



Western Australia

Education and Care Services National Law (WA) Act 2012

Compare between:

[01 Oct 2018, 00-f0-01] and [18 Jan 2019, 01-a0-03]

Western Australia

Education and Care Services National Law (WA) Act 2012

An Act to —

- **provide for a national scheme regarding the regulation of education and care services for children; and**
 - **make consequential and other amendments to various other Acts,**
- and for related purposes.**

Part 1 — Preliminary

1. Short title

This is the *Education and Care Services National Law (WA) Act 2012*¹.

2. Commencement

This Act comes into operation as follows —

- (a) sections 1 and 2 — on the day on which this Act receives the Royal Assent (*assent day*);
- (b) Part 4 Division 3 —
 - (i) on a day fixed by proclamation; or
 - (ii) if the day fixed under subparagraph (i) is before the day on which the *Health, Safety and Civil Liability (Children in Schools and Child Care Services) Act 2011* Part 2 (**Part 2**) comes into operation — immediately after Part 2 comes into operation;
- (c) the rest of the Act — on a day fixed by proclamation, and different days may be fixed for different provisions.

3. Terms used

- (1) For the purposes of this Act, the *local application provisions of this Act* are the provisions of this Act other than the Education and Care Services National Law set out in the Schedule.
- (2) In the local application provisions of this Act —
Education and Care Services National Law (Western Australia) means the provisions applying in this jurisdiction because of section 4.
- (3) If a term is given a meaning in the Education and Care Services National Law set out in the Schedule, it has the same meaning in the local application provisions of this Act.

Part 2 — Application of Education and Care Services National Law

4. Application of the Education and Care Services National Law

The Education and Care Services National Law set out in the Schedule —

- (a) applies as a law of this jurisdiction; and
- (b) as so applying, may be referred to as the *Education and Care Services National Law (Western Australia)*; and
- (c) as so applying, is part of this Act.

5. Exclusion of legislation of this jurisdiction

- (1) Except as provided in section 17, the following Acts of this jurisdiction do not apply to the *Education and Care Services National Law (Western Australia)* or to the instruments made under that Law —
 - (a) the *Freedom of Information Act 1992*;
 - (b) the *Interpretation Act 1984*.
- (2) The following Acts of this jurisdiction do not apply to the *Education and Care Services National Law (Western Australia)* or to the instruments made under that Law, except to the extent that that Law and those instruments apply to the Regulatory Authority and the employees, decisions, actions and records of the Regulatory Authority —
 - (a) the *Auditor General Act 2006*;
 - (b) the *Financial Management Act 2006*;
 - (c) the *Parliamentary Commissioner Act 1971*;
 - (d) the *Public Sector Management Act 1994*;
 - (e) the *State Records Act 2000*.

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6. Meaning of generic terms in Education and Care Services National Law for the purposes of this jurisdiction

In the *Education and Care Services National Law (Western Australia)* —

child protection law means the *Children and Community Services Act 2004*;

court means the Magistrates Court of Western Australia established under the *Magistrates Court Act 2004*;

de facto relationship has the meaning given in the *Interpretation Act 1984* section 13A;

local authority means a local government established under the *Local Government Act 1995*;

magistrate means —

(a) a magistrate within the meaning of the *Magistrates Court Act 2004*; or

(b) a justice of the peace;

public authority has the meaning given in the *Child Care Services Act 2007* section 3;

registered teacher has the meaning given in the *Teacher Registration Act 2012* section 3;

superior court means the Supreme Court of Western Australia;

this jurisdiction means Western Australia.

[Section 6 amended: No. 18 of 2018 s. 4.]

7. Relevant tribunal or court

For the purposes of the definition of **relevant tribunal or court** in the *Education and Care Services National Law (Western Australia)* section 5, the State Administrative Tribunal is declared to be the relevant tribunal or court for this jurisdiction for the purposes of that Law.

8. Regulatory Authority

For the purposes of the definition of **Regulatory Authority** in the *Education and Care Services National Law (Western Australia)* section 5, the chief executive officer of the department principally assisting in the administration of the *Child Care Services Act 2007* is declared to be the regulatory authority for this jurisdiction for the purposes of that Law.

9. Children's services law

- (1) For the purposes of the definition of **children's services law** in the *Education and Care Services National Law (Western Australia)* section 5, the *Child Care Services Act 2007* and the regulations made under that Act are declared to be a children's services law for this jurisdiction for the purposes of that Law.
- (2) For the purposes of the definition of **children's services regulator** in the *Education and Care Services National Law (Western Australia)* section 5, the chief executive officer of the department principally assisting in the administration of the *Child Care Services Act 2007* is declared to be a children's services regulator for this jurisdiction for the purposes of that Law.

10. Education law

For the purposes of the definition of **education law** in the *Education and Care Services National Law (Western Australia)* section 5, the *School Education Act 1999* and the regulations made under that Act and the *Teacher Registration Act 2012* and the regulations made under that Act are declared to be an education law for this jurisdiction for the purposes of that Law.

[Section 10 amended: No. 18 of 2018 s. 5.]

11. Former education and care services law

For the purposes of the definition of **former education and care services law** in the *Education and Care Services National Law*

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(*Western Australia*) section 5, the *Child Care Services Act 2007* and the regulations made under that Act (both as in force immediately before the commencement of the *Education and Care Services National Law (Western Australia)* Part 3) are declared to be a former education and care services law for this jurisdiction for the purposes of that Law.

12. Infringements law

For the purposes of the definition of *infringements law* in the *Education and Care Services National Law (Western Australia)* section 5, the *Criminal Procedure Act 2004* Part 2 and the regulations made for the purposes of that Part of that Act are declared to be an infringements law for this jurisdiction for the purposes of that Law.

13. Public sector law

For the purposes of the definition of *public sector law* in the *Education and Care Services National Law (Western Australia)* section 5, the *Public Sector Management Act 1994* and the regulations made under that Act are declared to be a public sector law for this jurisdiction for the purposes of that Law.

14. Working with children law

For the purposes of the definition of *working with children law* in the *Education and Care Services National Law (Western Australia)* section 5, the *Working with Children (Criminal Record Checking) Act 2004* and the regulations made under that Act are declared to be a working with children law for this jurisdiction for the purposes of that Law.

15. Transitional

- (1) For the purposes of the definition of *declared approved provider* in the *Education and Care Services National Law (Western Australia)* section 305, a person who held a licence, other than a licence to provide a family day care service, under the *Child Care Services Act 2007* is declared to be a declared

approved provider for this jurisdiction for the purposes of that Law.

- (2) For the purposes of the definition of ***declared approved service*** in the *Education and Care Services National Law (Western Australia)* section 305, a service to which a licence to provide a child care service, other than a licence to provide a family day care service, applied under the *Child Care Services Act 2007* is declared to be a declared approved service for this jurisdiction for the purposes of that Law.

[(3) *deleted*]

- (4) For the purposes of the definition of ***declared nominated supervisor*** in the *Education and Care Services National Law (Western Australia)* section 305, the following persons are declared to be declared nominated supervisors for this jurisdiction for the purposes of that Law —
- (a) for a declared approved service, a person who was a supervising officer under the *Child Care Services Act 2007* for that service;
 - (b) for a declared out of scope service, a person recognised as the coordinator of the service by the National Childcare Accreditation Council Inc.
- (5) For the purposes of the definition of ***declared out of scope service*** in the *Education and Care Services National Law (Western Australia)* section 305, a service that —
- (a) was delivered through the use of 2 or more individuals who each held a licence to provide a family day care service under the *Child Care Services Act 2007*; and
 - (b) provided education and care for children in residences whether or not the service also provided education and care to children at a place other than a residence,

is declared to be a declared out of scope service for this jurisdiction for the purposes of that Law.

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- (6) For the purposes of the definition of *former approval* in the *Education and Care Services National Law (Western Australia)* section 305, a licence to provide a child care service, other than a licence to provide a family day care service, under the *Child Care Services Act 2007* is declared to be a former approval for this jurisdiction for the purposes of that Law.

[Section 15 amended: No. 18 of 2018 s. 6.]

Part 3 — Other local provisions

16. Tabling of annual report

In addition to the requirements of the *Education and Care Services National Law (Western Australia)* section 280, the Minister must cause the annual report of the National Authority, and the report of the public sector auditor with respect to the financial statement in the report, to be laid before each House of the Western Australian Parliament as soon as is practicable after those reports have been tabled under that section.

17. National regulations under the WA national law

Where regulations may be made under the *Education and Care Services National Law (Western Australia)* section 301 by the Governor —

- (a) the Governor means the Governor of Western Australia and includes the officer for the time being administering the Government of Western Australia; and
- (b) the *Interpretation Act 1984* sections 41, 42 and 60 apply to, and in respect of the making of, regulations by the Governor under that section.

18. Review of Act

- (1) The Minister is to carry out a review of the operation and effectiveness of this Act as soon as is practicable after the expiration of 5 years from the commencement of this section.
- (2) The Minister is to prepare a report based on the review carried out under subsection (1) and is to cause the report to be laid before each House of the Western Australian Parliament as soon as is practicable after the report is prepared, and in any event, not later than 12 months after the requirement to carry out the review arose.

[19. Deleted: No. 18 of 2018 s. 7(1).]

[Part 4 (s. 20-54) deleted: No. 18 of 2018 s. 8.]

Schedule — Education and Care Services National Law

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Part 1 — Preliminary

1. Short title

This Law may be cited as the Education and Care Services National Law.

2. Commencement

This Law commences in a participating jurisdiction as provided under the Act of that jurisdiction that applies this Law as a law of that jurisdiction.

3. Objectives and guiding principles

- (1) The objective of this Law is to establish a national education and care services quality framework for the delivery of education and care services to children.
- (2) The objectives of the national education and care services quality framework are —
 - (a) to ensure the safety, health and wellbeing of children attending education and care services;
 - (b) to improve the educational and developmental outcomes for children attending education and care services;
 - (c) to promote continuous improvement in the provision of quality education and care services;
 - (d) to establish a system of national integration and shared responsibility between participating jurisdictions and the Commonwealth in the administration of the national education and care services quality framework;
 - (e) to improve public knowledge, and access to information, about the quality of education and care services;
 - (f) to reduce the regulatory and administrative burden for education and care services by enabling information to be shared between participating jurisdictions and the Commonwealth.

- (3) The guiding principles of the national education and care services quality framework are as follows —
- (a) that the best interests of the child are paramount;
 - (b) that children are successful, competent and capable learners;
 - (c) that the principles of equity, inclusion and diversity underlie this Law;
 - (d) that Australia's Aboriginal and Torres Strait Islander cultures are valued;
 - (e) that the role of parents and families is respected and supported;
 - (f) that best practice is expected in the provision of education and care services.

Note: This section differs from section 3 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

4. How functions to be exercised

An entity that has functions under this Law is to exercise its functions having regard to the objectives and guiding principles of the national education and care services quality framework set out in section 3.

5. Definitions

- (1) In this Law —

approved education and care service means an education and care service for which a service approval exists;

approved family day care service means an approved education and care service that is a family day care service;

approved family day care venue means a place (other than a residence) approved under section 50A or 54(8A) as a family day care venue for an approved family day care service;

approved learning framework means a learning framework approved by the Ministerial Council;

approved provider means a person who holds a provider approval;

associated children's service means a children's service that is operated or intended to be operated by an approved provider at the same place as an approved education and care service;

Australian Accounting Standards means the standards issued or pronounced by the Australian Accounting Standards Board;

authorised officer means a person authorised to be an authorised officer under Part 9;

Authority Fund means the Australian Children's Education and Care Quality Authority Fund established under section 274;

Board means the Australian Children's Education and Care Quality Authority Board established under this Law;

chief executive officer means the chief executive officer of the National Authority appointed under this Law;

children's service means a service providing or intended to provide education and care on a regular basis to children under 13 years of age that is primarily regulated under a children's services law of a participating jurisdiction and is not an education and care service;

children's services law, in relation to a participating jurisdiction, means a law declared by a law of that jurisdiction to be a children's services law for the purposes of this Law;

children's services regulator, in relation to a participating jurisdiction, means a person declared by a law of that jurisdiction to be the children's services regulator for the purposes of this Law;

Commonwealth Minister means the Minister of the Commonwealth who is responsible for policies and programs relating to education and care services;

community kindergarten means a kindergarten registered under the *School Education Act 1999* Part 5;

compliance direction means a compliance direction under section 176;

compliance notice means a compliance notice under section 177;

disciplinary action means disciplinary action under Part 7 Division 3A;

education and care service means any service providing or intended to provide education and care on a regular basis to children under 13 years of age other than —

- (a) a school providing an educational program to school children in accordance with the *School Education Act 1999*; or

- (b) a community kindergarten providing an educational program to children in accordance with the *School Education Act 1999*; or
- (c) a personal arrangement; or
- (d) a service principally conducted to provide instruction in a particular activity; or

Example: Instruction in a particular activity could be instruction in sport, dance, music, culture or language or religious instruction.

- (e) a service providing education and care to patients in a hospital or patients of a medical or therapeutic care service; or
- (f) care provided under a child protection law of a participating jurisdiction; or
- (g) a prescribed class of disability service; or
- (h) a service of a prescribed class;

Example: Education and care services to which this Law applies include long day care services, family day care services and outside school hours services, unless expressly excluded.

education and care service premises means —

- (a) in relation to an education and care service other than a family day care service, each place at which an education and care service operates or is to operate; or
- (b) in relation to a family day care service —
 - (i) an office of the family day care service; or
 - (ii) an approved family day care venue; or
 - (iii) each part of a residence used to provide education and care to children as part of a family day care service or used to provide access to the part of the residence used to provide that education and care;

education law, in relation to a participating jurisdiction, means a law declared by a law of that jurisdiction to be an education law for the purposes of this Law;

educational program means an educational programme as defined in the *School Education Act 1999*;

educator means an individual who provides education and care for children as part of an education and care service;

eligible association means an association of a prescribed class;

executor, in relation to an approved provider, includes a person —

- (a) entitled to a grant of letters of administration in relation to the estate of the approved provider, including with the will annexed; or
- (b) granted letters of administration in relation to the estate of the approved provider, including with the will annexed; or
- (c) granted probate of the will of the approved provider; or
- (d) named as executor in a valid will of the approved provider;

Note: This definition is not included in section 5 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

family day care co-ordinator means a person employed or engaged by an approved provider of a family day care service to monitor and support the family day care educators who are part of the service;

family day care educator means an educator engaged by or registered with a family day care service to provide education and care for children in a residence or at an approved family day care venue;

~~**family day care educator assistant** means a person engaged by or registered with a family day care service to assist family day care educators;~~

family day care residence means a residence at which a family day care educator educates and cares for children as part of a family day care service;

~~**family day care educator assistant** means a person engaged by or registered with a family day care service to assist family day care educators;~~

family day care service means an education and care service that —

- (a) is delivered through the use of 2 or more educators to provide education and care to children; and
- (b) operates from 2 or more residences;

Note: A family day care service that is an approved family day care service may provide education and care to children from a family day care residence or an approved family day care venue.

family member, in relation to a child, means —

- (a) a parent, grandparent, brother, sister, uncle, aunt, or cousin of the child, whether of the whole blood or half-blood and whether that relationship arises by marriage (including a de facto relationship) or by adoption or otherwise; or
- (b) a relative of the child according to Aboriginal or Torres Strait Islander tradition; or
- (c) a person with whom the child resides in a family-like relationship; or
- (d) a person who is recognised in the child's community as having a familial role in respect of the child;

former education and care services law, in relation to a participating jurisdiction, means a law declared by a law of that jurisdiction to be a former education and care services law for the purposes of this Law;

grade 1, in relation to a school, means the first year of compulsory full-time schooling;

guardian, in relation to a child, means the legal guardian of the child;

infringements law, in relation to a participating jurisdiction, means a law declared by a law of that jurisdiction to be an infringements law for the purposes of this Law;

Ministerial Council means the Ministerial Council which —

- (a) is constituted from time to time by Ministers of the Crown of the Commonwealth, State and Territory Governments; and
- (b) is responsible for early childhood education and care matters;

National Authority means the Australian Children's Education and Care Quality Authority established under this Law;

national education and care services quality framework means —

- (a) this Law; and
- (b) the national regulations; and
- (c) the National Quality Standard; and
- (d) the prescribed rating system;

National Partnership Agreement means the National Partnership Agreement on the National Quality Agenda for Early Childhood and Care entered into by the States and Territories and the Commonwealth on 7 December 2009, as amended from time to time;

National Quality Framework means the national education and care services quality framework;

National Quality Standard means the National Quality Standard prescribed by the national regulations;

national regulations means the regulations made under this Law;

nominated supervisor, in relation to an education and care service, means an individual who —

- (a) is nominated by the approved provider of the service under Part 3 to be a nominated supervisor of that service; and
- (b) unless the individual is the approved provider, has provided written consent to that nomination;

Note: An individual may be both a nominated supervisor of a family day care service and a family day care co-ordinator for that service if the individual meets the criteria for each role.

office, in relation to a family day care service, means —

- (a) the principal office of the service; or
- (b) the principal office of the approved provider of the service; or
- (c) any other business office of the approved provider of the service; or
- (d) any premises of the service from which the service's family day care educators are co-ordinated;

parent, in relation to a child, means a person who at law has responsibility for —

- (a) the long term care, welfare and development of the child; or
- (b) the day-to-day care, welfare and development of the child;

participating jurisdiction means a State or Territory in which —

- (a) this Law applies as a Law of the State or Territory; or
- (b) a law that substantially corresponds to the provisions of this Law has been enacted;

payment, in relation to a prescribed fee, includes payment by electronic or other means;

person means —

- (a) an individual; or
- (b) a body corporate; or

- (c) an eligible association; or
- (d) a partnership; or
- (e) a prescribed entity;

person in day-to-day charge, in relation to an education and care service, means a person who is placed in day-to-day charge of the service in accordance with the national regulations;

person with management or control, in relation to an education and care service, means —

- (a) if the provider or intended provider of the service is a body corporate, an officer of the body corporate within the meaning of the *Corporations Act 2001* of the Commonwealth who is responsible for managing the delivery of the education and care service; or
- (b) if the provider of the service is an eligible association, each member of the executive committee of the association who has the responsibility, alone or with others, for managing the delivery of the education and care service; or
- (c) if the provider of the service is a partnership, each partner who has the responsibility, alone or with others, for managing the delivery of the education and care service; or
- (d) in any other case, a person who has the responsibility, alone or with others, for managing the delivery of the education and care service;

personal arrangement means education and care provided to a child —

- (a) by a family member or guardian of a child personally, otherwise than as a staff member of, or under an engagement with, a service providing education and care on a regular basis to children under 13 years of age; or
- (b) by a friend of the family of the child personally under an informal arrangement where no offer to provide that education and care was advertised;

prescribed ineligible person means a person in a class of persons prescribed by the national regulations to be prescribed ineligible persons;

prohibition notice means a prohibition notice given under section 182(1) or 182(3);

protected disclosure — see section 296;

provider approval means a provider approval —

- (a) granted under Part 2 of this Law or this Law as applying in another participating jurisdiction; and
- (b) as amended under this Law or this Law as applying in another participating jurisdiction —

but does not include a provider approval that has been cancelled;

public sector law, in relation to a participating jurisdiction, means a law declared by a law of that jurisdiction to be a public sector law for the purposes of this Law;

rating assessment means an assessment or reassessment of an approved education and care service under Part 5;

Ratings Review Panel means a Ratings Review Panel established under section 146;

receiving approved provider has the meaning set out in section 58;

Regulatory Authority means a person declared by a law of a participating jurisdiction to be the Regulatory Authority for that jurisdiction or for a class of education and care services for that jurisdiction;

relevant Commonwealth Department means the government department administered by the Commonwealth Minister;

relevant tribunal or court, in relation to a participating jurisdiction, means the tribunal or court declared by a law of that jurisdiction to be the relevant tribunal or court for the purposes of this Law or a provision of this Law;

residence means the habitable areas of a dwelling;

school children, includes students, as defined in the *School Education Act 1999*, attending school before grade 1;

school means —

- (a) a government school; or
- (b) a non-government school that is registered or accredited under an education law of a participating jurisdiction;

serious detrimental action — see section 296;

serious incident means an incident or class of incidents prescribed by the national regulations as a serious incident;

service approval means a service approval —

- (a) granted under Part 3 of this Law or this Law as applying in another participating jurisdiction; and
- (b) as amended under this Law or this Law as applying in another participating jurisdiction —

but does not include a service approval that has been cancelled;

staff member, in relation to an education and care service, means any individual (other than a nominated supervisor or a volunteer) employed, appointed or engaged to work in or as part of an education and care service, whether as family day care co-ordinator, educator or otherwise;

transferring approved provider has the meaning set out in section 58;

working with children card means a card issued to a person under a working with children law of a participating jurisdiction that permits that person to work with children;

working with children check means a notice, certificate or other document granted to, or with respect to, a person under a working with children law to the effect that —

- (a) the person has been assessed as suitable to work with children; or
- (b) there has been no information that if the person worked with children the person would pose a risk to the children; or
- (c) the person is not prohibited from attempting to obtain, undertake or remain in child-related employment;

working with children law, in relation to a participating jurisdiction, means a law declared by a law of that jurisdiction to be a working with children law for the purposes of this Law;

working with vulnerable people law, in relation to a participating jurisdiction, means a law declared by a law of that jurisdiction to be a working with vulnerable people law for the purposes of this Law.

- (2) In this Law, a reference (either generally or specifically) to a law or a provision of a law (including this Law) includes a reference to the statutory instruments made or in force under the law or provision.
- (3) In this Law a reference to education and care includes a reference to education or care.

- (4) In this Law, an education and care service as defined in subsection (1) is an education and care service even if the service also provides education and care to children of or over the age of 13 years.
- (5) In this Law, a children's service as defined in subsection (1) is a children's service even if the service also provides education and care to children of or over the age of 13 years.
- (6) In this Law, a reference to this Law as applying in a jurisdiction, includes a reference to a law that substantially corresponds to this Law enacted, or applying, in a jurisdiction.

Note: This section differs from section 5 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 5 amended: No. 18 of 2018 s. 10.]

6. Interpretation generally

- (1) Schedule 1 applies in relation to this Law.
- (2) The National Partnership Agreement is declared to be a relevant document for the purposes of paragraph (h) of the definition of extrinsic material in clause 8(1) of Schedule 1.

7. Single national entity

- (1) It is the intention of the Parliament of this jurisdiction that this Law as applied by an Act of this jurisdiction, together with this Law as applied by Acts of other participating jurisdictions, has the effect that an entity established by this Law is one single national entity, with functions conferred by this Law as so applied.
- (2) An entity established by this Law has power to do acts in or in relation to this jurisdiction in the exercise of a function expressed to be conferred on it by this Law as applied by Acts of each participating jurisdiction.
- (3) An entity established by this Law may exercise its functions in relation to —
 - (a) one participating jurisdiction; or
 - (b) 2 or more or all participating jurisdictions collectively.

8. Extraterritorial operation of Law

It is the intention of the Parliament of this jurisdiction that the operation of this Law is to, as far as possible, include operation in relation to the following —

- (a) things situated in or outside the territorial limits of this jurisdiction;
- (b) acts, transactions and matters done, entered into or occurring in or outside the territorial limits of this jurisdiction;
- (c) things, acts, transactions and matters (wherever situated, done, entered into or occurring) that would, apart from this Law, be governed or otherwise affected by the law of another jurisdiction.

9. Law binds the State

(1) This Law binds the State.

(2) In this section —

State means the Crown in right of this jurisdiction, and includes —

- (a) the Government of this jurisdiction; and
- (b) a Minister of the Crown in right of this jurisdiction; and
- (c) a statutory corporation, or other entity, representing the Crown in right of this jurisdiction.

Part 2 — Provider approval

Division 1 — Application for provider approval

10. Application for provider approval

(1) A person, other than a prescribed ineligible person, may apply to the Regulatory Authority for a provider approval.

(2) An application may be made by more than one person.

(3) If an application is made by more than one person —

- (a) the prescribed information requested under section 11(c) must be provided in respect of each person; and

- (b) the requirements of this Division must be complied with by and in respect of each person.

Note: This section differs from section 10 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

11. Form of application

An application under section 10 must —

- (a) be made to the Regulatory Authority of the participating jurisdiction —
 - (i) in which the applicant, or any of the applicants, is ordinarily resident; or
 - (ii) if the applicant or applicants are not individuals, in which the principal office of the applicant or any of the applicants is located; and
- (b) be in writing; and
- (c) include the prescribed information that is requested by the Regulatory Authority; and
- (d) include payment of the prescribed fee.

Note: This section differs from section 11 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 11 amended: No. 18 of 2018 s. 90.]

12. Applicant must be fit and proper person

- (1) An applicant who is an individual must satisfy the Regulatory Authority that the applicant is a fit and proper person to be involved in the provision of an education and care service.
- (2) If the applicant is not an individual, the applicant must satisfy the Regulatory Authority that —
 - (a) each person who will be a person with management or control of an education and care service to be operated by the applicant is a fit and proper person to be involved in the provision of an education and care service; and
 - (b) the applicant is a fit and proper person to be involved in the provision of an education and care service.

- (3) The head of a government department administering an education law of a participating jurisdiction is taken to be a fit and proper person for the purposes of this Part.

13. Matters to be taken into account in assessing whether fit and proper person

- (1) In determining whether a person is a fit and proper person under this Division, the Regulatory Authority must have regard to —
- (a) the person's history of compliance with —
 - (i) this Law as applying in any participating jurisdiction; and
 - (ii) a former education and care services law of a participating jurisdiction; and
 - (iii) a children's services law of a participating jurisdiction; and
 - (iv) an education law of a participating jurisdiction; and

Note: If a person has been served with an infringement notice for an offence under this Law, and the person has paid the penalty, the Regulatory Authority cannot consider that conduct when determining whether the person is fit and proper. See section 291(5).

- (b) any decision under a former education and care services law, a children's services law or an education law of a participating jurisdiction to refuse, refuse to renew, suspend or cancel a licence, approval, registration or certification or other authorisation granted to the person under that law; and
- (c) either —
 - (i) any prescribed matters relating to the criminal history of the person to the extent that history may affect the person's suitability for the role of provider of an education and care service; or
 - (ii) any check of the person under a working with vulnerable people law of a participating jurisdiction; and
- (d) whether the person is bankrupt, or has applied to take the benefit of any law for the relief of bankrupt or insolvent debtors or, in the case of a body corporate, is insolvent under administration or an externally-administered body corporate.

- (2) Without limiting subsection (1), the Regulatory Authority may have regard to —
- (a) whether the person has a medical condition that may cause the person to be incapable of being responsible for providing an education and care service in accordance with this Law; and
 - (b) whether the financial circumstances of the person may significantly limit the person's capacity to meet the person's obligations in providing an education and care service in accordance with this Law; and
 - (c) whether the person has the management capability to operate an education and care service in accordance with this Law; and
 - (d) any of the following actions taken under the *A New Tax System (Family Assistance) (Administration) Act 1999* of the Commonwealth in relation to a child care service approved under that Act, operated by the person or in relation to which the person was a person with management or control —
 - (i) any sanction imposed under section 200 of that Act;
 - (ii) any suspension imposed under section 201A of that Act;
 - (iii) any infringement notice given under section 219TSI of that Act.
- (3) Nothing in subsection (1) or (2) limits the circumstances in which a person may be considered not to be a fit and proper person to be involved in the provision of an education and care service.

[Section 13 amended: No. 18 of 2018 s. 11.]

14. Regulatory Authority may seek further information

- (1) For the purpose of carrying out an assessment as to whether a person is a fit and proper person, the Regulatory Authority may —
- (a) ask the person to provide further information; and
 - (b) undertake inquiries in relation to the person.
- (2) If the Regulatory Authority asks the applicant for further information under this section, the period from the making of the request until the provision of the further information is not included in the period

referred to in section 15 for the Regulatory Authority to make a decision on the application.

[Section 14 amended: No. 18 of 2018 s. 12.]

15. Grant or refusal of provider approval

- (1) On an application under section 10, the Regulatory Authority may —
- (a) grant the provider approval; or
 - (b) refuse to grant the provider approval.

Note: A provider approval is granted subject to conditions in accordance with section 19.

- (2) The Regulatory Authority must not grant a provider approval unless the Authority is satisfied as to the matters in section 12.
- (3) Subject to subsection (4), the Regulatory Authority must make a decision on the application within 60 days after the Regulatory Authority receives the application.

Note: If further information is requested under section 14(2), the period between the making of the request and the provision of the information is not included in the 60 day period.

- (4) The period referred to in subsection (3) may be extended by up to 30 days with the agreement of the applicant.
- (5) The Regulatory Authority is taken to have refused to grant a provider approval if the Regulatory Authority has not made a decision under subsection (1) —
- (a) within the relevant period required under subsection (3); or
 - (b) within the period extended under subsection (4),

as the case requires.

16. Notice of decision on application

The Regulatory Authority must give written notice to the applicant of a decision under section 15 and the reasons for that decision within 7 days after the decision is made.

17. Duration of provider approval

A provider approval granted under section 15 continues in force until —

- (a) it is cancelled or surrendered under this Law, or this Law as applying in a participating jurisdiction; or
- (b) if the provider approval is granted to an individual — the individual dies.

Note: This section differs from section 17 of the national law as set out in the Schedule to *the Education and Care Services National Law Act 2010* (Victoria).

[Section 17 amended: No. 18 of 2018 s. 13.]

18. Effect of provider approval

A provider approval authorises the approved provider to operate an approved education and care service and an associated children's service if the approved provider is the holder of the service approval for those services.

19. Conditions on provider approval

- (1) A provider approval may be granted subject to any conditions that are prescribed in the national regulations or that are determined by the Regulatory Authority.
- (2) Without limiting subsection (1), a provider approval is subject to the condition that the approved provider must comply with this Law.
- (3) A condition of a provider approval applies to the provider as the operator of any education and care service or associated children's service, unless the condition expressly provides otherwise.
- (4) An approved provider must comply with the conditions of the provider approval.

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

20. Copy of provider approval

If the Regulatory Authority grants a provider approval under this Part, the Regulatory Authority must provide a copy of the provider approval to the approved provider stating —

- (a) the name of the approved provider; and
- (b) if the approved provider is not an individual, the address of the principal office of the provider; and
- (c) any conditions to which the approval is subject; and
- (d) the date that the provider approval was granted; and
- (e) the provider approval number; and
- (f) any other prescribed matters.

Division 2 — Reassessment

21. Reassessment of fitness and propriety

- (1) The Regulatory Authority may at any time assess —
 - (a) whether an approved provider continues to be a fit and proper person to be involved in the provision of an education and care service; or
 - (b) whether a person with management or control of an education and care service operated by an approved provider continues to be a fit and proper person to be involved in the provision of an education and care service; or
 - (c) whether a person who becomes a person with management or control of an education and care service operated by the approved provider after the grant of the provider approval is a fit and proper person to be involved in the provision of an education and care service.
- (2) Sections 13 and 14 apply to the reassessment.

Division 3 — Amendment of provider approvals

22. Amendment of provider approval on application

- (1) An approved provider may apply to the Regulatory Authority for an amendment of the provider approval.

- (2) The application must —
 - (a) be in writing; and
 - (b) include the prescribed information that is requested by the Regulatory Authority; and
 - (c) include payment of the prescribed fee.
- (3) The Regulatory Authority must decide the application by —
 - (a) amending the provider approval in the way applied for; or
 - (b) with the applicant's written agreement, amending the provider approval in another way; or
 - (c) refusing to amend the provider approval.
- (4) The Regulatory Authority must make a decision on the application within 30 days after the Regulatory Authority receives the application.
- (5) Without limiting subsection (3), an amendment may vary a condition of the provider approval or impose a new condition on the provider approval.

Note: This section differs from section 22 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 22 amended: No. 18 of 2018 s. 90.]

23. Amendment of provider approval by Regulatory Authority

- (1) The Regulatory Authority may amend a provider approval at any time without an application from the approved provider.
- (2) Without limiting subsection (1), an amendment may vary a condition of the provider approval or impose a new condition on the provider approval.
- (3) The Regulatory Authority must give written notice to the approved provider of the amendment.
- (4) An amendment under this section has effect —
 - (a) 14 days after the Regulatory Authority gives notice of the amendment under subsection (3); or
 - (b) if another period is specified by the Regulatory Authority, at the end of that period.

24. Copy of amended provider approval to be provided

If the Regulatory Authority amends a provider approval under this Division, the Regulatory Authority must —

- (a) provide an amended copy of the provider approval to the approved provider; and
- (b) make any necessary amendments to any service approval held by the provider and provide an amended copy of the service approval to the approved provider.

Division 4 — Suspension or cancellation of provider approval

25. Grounds for suspension of provider approval

- (1) The Regulatory Authority may suspend a provider approval if —
 - (a) the approved provider, or a person with management or control of an education and care service operated by the approved provider, has been charged with an indictable offence, or with an offence that if committed in this jurisdiction would be an indictable offence, or any other circumstance indicates that the approved provider, or a person with management or control of an education and care service operated by the approved provider, may not be a fit and proper person to be involved in the provision of an education and care service; or
 - (b) the approved provider has failed to comply with a condition of the provider approval; or
 - (c) the approved provider has failed to comply with this Law as applying in any participating jurisdiction; or
 - (d) action is being taken under Part 7 (other than a compliance direction) in respect of more than one education and care service operated by the approved provider; or
 - (e) the approved provider has not operated any education and care service for a period of more than 12 months (including any period of suspension); or
 - (f) the approved provider purported to transfer or receive a transfer of an approved education and care service without the consent of the Regulatory Authority; or

- (g) the approved provider has not paid —
 - (i) an amount due under a monetary order made under, or in relation to proceedings commenced by an allegation made under, section 188AB; or
 - (ii) any outstanding prescribed fees.
- (2) In subsection (1) —
monetary order has the meaning given in the *State Administrative Tribunal Act 2004* section 3(1).

Note: This section differs from section 25 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 25 amended: No. 18 of 2018 s. 14.]

26. Show cause notice before suspension

- (1) This section applies if the Regulatory Authority is considering the suspension of a provider approval under section 25.
- (2) The Regulatory Authority must first give the approved provider a notice (show cause notice) stating —
 - (a) that the Regulatory Authority intends to suspend the provider approval; and
 - (b) the proposed period of suspension; and
 - (c) the reasons for the proposed suspension; and
 - (d) that the approved provider may, within 30 days after the notice is given, give the Regulatory Authority a written response to the proposed suspension.

27. Decision to suspend after show cause process

After considering any written response from the approved provider received within the time allowed by section 26(2)(d), the Regulatory Authority may —

- (a) if the suspension was proposed on a ground referred to in section 25(1)(a), accept an undertaking from the approved provider under section 179A; or

- (b) in any case —
- (i) suspend the provider approval for a period not more than the prescribed period; or
 - (ii) decide not to suspend the provider approval.

Note: This section differs from section 27 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 27 amended: No. 18 of 2018 s. 15.]

28. Suspension without show cause notice

- (1) The Regulatory Authority may suspend the provider approval on a ground referred to in section 25 without giving the approved provider a show cause notice under section 26 if the Regulatory Authority is satisfied that there is an immediate risk to the safety, health or wellbeing of a child or children being educated and cared for by an education and care service operated by the provider.
- (2) The suspension may not be for a period of more than 6 months.

29. Notice and taking effect of suspension

- (1) The Regulatory Authority must give the approved provider written notice of the decision to suspend the provider approval.
- (2) The notice of a decision to suspend must set out the period of suspension and the date on which it takes effect.
- (3) The decision under section 27 to suspend takes effect at the end of 14 days after the date of the decision, or, if another period is specified by the Regulatory Authority, at the end of that period.
- (4) The decision under section 28 to suspend takes effect on the giving of the notice.

30. Effect of suspension

- (1) Subject to this section, if a provider approval is suspended under section 27 or 28 of this Law as applying in any participating jurisdiction, all service approvals held by the provider are also suspended for the same period.

- (2) A suspension under subsection (1) applies to both education and care services and any associated children's services.
- (3) A person whose provider approval is suspended is taken not to be an approved provider for the period of the suspension.
- (4) A service approval is not suspended under subsection (1) during any period that a person is approved under section 41 to manage or control the education and care service.
- (5) The Regulatory Authority may consent under Part 3 to the transfer of a service approval that is suspended under section 27 or 28.
- (6) The suspension of the service approval ceases on the transfer taking effect, unless the conditions imposed by the Regulatory Authority on the consent to the transfer specify a later date.

31. Grounds for cancellation of provider approval

The Regulatory Authority may cancel a provider approval if —

- (a) the Regulatory Authority is satisfied that the approved provider or a person with management or control of an education and care service operated by the approved provider is not a fit and proper person to be involved in the provision of an education and care service; or
- (b) the Regulatory Authority is satisfied that the continued provision of education and care services by the approved provider would constitute an unacceptable risk to the safety, health or wellbeing of any child or class of children being educated and cared for by an education and care service operated by the approved provider; or
- (c) the approved provider has been found guilty of an indictable offence or an offence that if committed in this jurisdiction would be an indictable offence; or
- (d) the approved provider has been found guilty of an offence under this Law as applying in any participating jurisdiction; or
- (e) the approved provider has breached a condition of the provider approval; or

- (f) the approved provider has not operated any education and care service for a period of more than 12 months (including any period of suspension).

32. Show cause notice before cancellation

- (1) This section applies if the Regulatory Authority is considering the cancellation of a provider approval under section 31.
- (2) The Regulatory Authority must first give the approved provider a notice (show cause notice) stating —
 - (a) that the Regulatory Authority intends to cancel the provider approval; and
 - (b) the reasons for the proposed cancellation; and
 - (c) that the approved provider may, within 30 days after the notice is given, give the Regulatory Authority a written response to the proposed cancellation.

33. Decision in relation to cancellation

- (1) After considering any written response from the approved provider received within the time allowed under section 32(2)(c), the Regulatory Authority —
 - (a) may —
 - (i) cancel the provider approval; or
 - (ii) suspend the provider approval for a period not more than the prescribed period; or
 - (iii) decide not to cancel the provider approval; and
 - (b) must give the approved provider written notice of the decision.
- (2) The decision to cancel the provider approval takes effect at the end of 14 days after the date of the decision or, if another period is specified by the Regulatory Authority, at the end of that period.
- (3) The notice of a decision to cancel the provider approval must set out the date on which it takes effect.
- (4) This Law applies to a suspension of a provider approval under this section as if it were a suspension under section 27.

34. Effect of cancellation

- (1) Subject to this section, if a provider approval is cancelled under section 33 of this Law as applying in any participating jurisdiction, all service approvals held by the person who was the approved provider are also cancelled.
- (2) A cancellation under subsection (1) applies to both education and care services and any associated children's services.
- (3) A service approval is not cancelled under subsection (1) if before that cancellation a person is approved under section 41 to manage or control the education and care service.
- (4) A service approval is cancelled if a person referred to in subsection (3) ceases to manage or control the service.
- (5) A provider whose provider approval is to be cancelled under section 33 may apply to the Regulatory Authority under Part 3 for consent to transfer a service approval held by the provider.
- (6) The application for consent to transfer must be made within 14 days after the date of the decision to cancel the provider approval is made.
- (7) If an application for consent to transfer is made, the service approval is suspended until the Regulatory Authority determines the application.
- (8) The suspension of the service approval ceases on the transfer taking effect, unless the conditions of the transfer specify a later date.
- (9) If the Regulatory Authority refuses to consent to the transfer, the service approval is cancelled on the making of the decision to refuse consent.

35. Approved provider to provide information to Regulatory Authority

- (1) This section applies if a show cause notice has been given to an approved provider under section 26 or 32.
- (2) The approved provider, at the request of the Regulatory Authority, must, within 7 days of the request, provide the Regulatory Authority with the contact details of the parents of all children enrolled at each education and care service operated by the approved provider.

- (3) The Regulatory Authority may use the information provided under subsection (2) solely to notify the parents of children enrolled at an education and care service about the suspension or cancellation.

36. Notice to parents of suspension or cancellation

- (1) This section applies if a provider approval has been suspended or cancelled under section 27, 28, 33 or 34.
- (2) The Regulatory Authority may require the person who is or was the approved provider to give written notice of the suspension or cancellation and its effect to the parents of children enrolled at all or any of the education and care services operated by that person.
- (3) A person must comply with a requirement made of that person under subsection (2).

Penalty: \$3 000, in the case of an individual.

\$15 000, in any other case.

37. Voluntary suspension of provider approval

- (1) An approved provider may apply to the Regulatory Authority for a suspension of the provider approval for a period of not more than 12 months.
- (2) The application must —
 - (a) be in writing; and
 - (b) include the prescribed information that is requested by the Regulatory Authority; and
 - (c) include payment of the prescribed fee.
- (3) The approved provider must, at least 14 days before making an application under this section, notify the parents of children enrolled at the education and care services operated by the approved provider of the intention to make the application.
- (4) The Regulatory Authority must within 30 days after the application is made decide whether or not to grant the application.
- (5) The Regulatory Authority must give written notice of its decision (including the period of suspension) to the approved provider.

- (6) If the Regulatory Authority decides to grant the application, the suspension takes effect on a date agreed between the Regulatory Authority and the approved provider.
- (7) A suspension under this section remains in force for the period of time specified in the notice.
- (8) The approved provider may apply to the Regulatory Authority to revoke the suspension before the end of the suspension period.
- (9) If the Regulatory Authority grants the application to revoke the suspension, the suspension ceases on the date determined by agreement with the approved provider.
- (10) If a provider approval is suspended under this section, each service approval held by the provider is also suspended for the same period unless —
 - (a) a person is approved under section 41 to manage or control the education and care service to which the approval relates; or
 - (b) the service approval is transferred under Division 3 of Part 3.

Note: This section differs from section 37 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 37 amended: No. 18 of 2018 s. 90.]

38. Surrender of provider approval by approved provider

- (1) An approved provider may surrender the provider approval by written notice to the Regulatory Authority.
- (2) The notice must specify a date on which the surrender is intended to take effect which must be —
 - (a) after the notice is given; and
 - (b) after the end of the period of notice required under subsection (3).
- (3) The approved provider must notify the parents of children enrolled at the education and care services operated by the approved provider of the intention to surrender the provider approval, at least 14 days before the surrender is intended to take effect.

- (4) If a provider approval is surrendered, the approval is cancelled on the date specified in the notice.
- (5) If a provider approval is surrendered, any service approval held by the provider is also taken to be surrendered.

Note: If a service approval is surrendered, it is cancelled — see section 86.
A cancelled service approval cannot be transferred — see definition of service approval and also Division 3 of Part 3.

Division 5 — Approval of executor, representative or guardian as approved provider

39. Death of approved provider

- (1) This section applies if an approved provider dies.
- (2) A nominated supervisor or a person in day-to-day charge of an education and care service of the approved provider must notify the Regulatory Authority of the approved provider's death within 7 days after that death.
- (3) The executor of the estate of the approved provider may continue to operate any approved education and care service of the approved provider for the relevant period provided that at least 1 nominated supervisor continues to manage the day-to-day operation of the service.
- (4) The executor of the estate of the approved provider may transfer, surrender or apply for suspension of a service approval of the approved provider under this Law during the relevant period as if the executor were the approved provider.
- (5) The executor of the estate of the approved provider may apply to the Regulatory Authority for a provider approval.
- (6) The application must be made within 30 days of the death of the approved provider and must —
 - (a) be in writing; and
 - (b) include the prescribed information that is requested by the Regulatory Authority; and
 - (c) include payment of the prescribed fee.

(7) In this section —

relevant period means —

- (a) the period of 30 days after the death of the approved provider;
or
- (b) if the executor of the estate of the approved provider makes an application under subsection (5) within that period, until the application is finally determined under this Law.

Note: This section differs from section 39 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria), including that the term executor is defined in section 5 of this Law.

[Section 39 amended: No. 18 of 2018 s. 16.]

40. Incapacity of approved provider

- (1) This section applies if an approved provider has become incapacitated.
- (2) The legal personal representative or guardian of an approved provider may apply to the Regulatory Authority for a provider approval.
- (3) The application must —
 - (a) be in writing; and
 - (b) include the prescribed information that is requested by the Regulatory Authority; and
 - (c) include payment of the prescribed fee.

Note: This section differs from section 40 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 40 amended: No. 18 of 2018 s. 90.]

41. Decision on application

- (1) The Regulatory Authority must not grant a provider approval to a person who has made an application under section 39 or 40 unless the Regulatory Authority is satisfied that the person is a fit and proper person to be involved in the provision of an education and care service.

- (2) Sections 12, 13 and 14 apply to the assessment of a person under subsection (1).
- (3) Subject to this section, the Regulatory Authority may —
 - (a) grant the provider approval; or
 - (b) grant the provider approval subject to conditions; or
 - (c) refuse to grant the provider approval.
- (4) An approval under this section —
 - (a) may be granted for a period of not more than 6 months; and
 - (b) may be extended or further extended for periods of not more than 6 months,at the discretion of the Regulatory Authority.
- (5) The provider approval is granted only in relation to the operation of the approved education and care services of the approved provider for whom the applicant is the executor, legal personal representative or guardian, as the case requires.

Note: Executor is defined in section 5 of this Law but is not defined in section 5 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 41 amended: No. 18 of 2018 s. 17.]

Division 6 — Exercise of powers by another Regulatory Authority

42. Exercise of powers by another Regulatory Authority

- (1) This section applies if the Regulatory Authority has granted a provider approval under this Part.
- (2) A Regulatory Authority of another participating jurisdiction may exercise all of the powers and perform all of the functions of the Regulatory Authority under this Part (except Division 5) in respect of the provider approval if the approved provider operates an approved education and care service in that participating jurisdiction.
- (3) A Regulatory Authority (including the Regulatory Authority of this jurisdiction) may only exercise a power to amend, suspend or cancel a provider approval after consulting with the Regulatory Authority of

each participating jurisdiction in which the approved provider operates an approved education and care service.

- (4) A failure by a Regulatory Authority to comply with subsection (3) does not affect the validity of the exercise of the power.
- (5) A cancellation or suspension of a provider approval in another participating jurisdiction has effect in this jurisdiction.

Part 3 — Service approval

Division 1 — Application for service approval

43. Application for service approval

- (1) An approved provider may apply to the Regulatory Authority for a service approval for an education and care service.
- (2) An approved provider may only apply for a service approval for an education and care service if the approved provider is or will be the operator of the education and care service and is or will be responsible for the management of the staff members and nominated supervisors of that service.
- (3) A person who has applied for a provider approval may apply to the Regulatory Authority for a service approval, however the Regulatory Authority must not grant the service approval unless the provider approval is granted.

[Section 43 amended: No. 18 of 2018 s. 18.]

44. Form of application

- (1) An application for a service approval must —
 - (a) be made to the Regulatory Authority of the participating jurisdiction in which the service is to be located; and
 - (b) be in writing; and
 - (c) include the prescribed information that is requested by the Regulatory Authority; and
 - (d) nominate one or more individuals to be nominated supervisors of the service; and

- (da) include from each nominated individual (other than the approved provider) the written consent to the nomination; and
 - (e) include payment of the prescribed fee.
- (2) An application for service approval may include an associated children's service.
 - (3) An application for a service approval for a family day care service may include a request for approval of a place (other than a residence) as a family day care venue for that service.

Notes:

- 1. This approval is granted under section 50A only if exceptional circumstances exist.
- 2. This section differs from section 44 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 44 amended: No. 18 of 2018 s. 19.]

45. Regulatory Authority may seek further information

- (1) The Regulatory Authority may ask an applicant for a service approval to provide any further information that is reasonably required for the purpose of assessing the application.
- (2) If the Regulatory Authority asks the applicant for further information under this section, the period from the making of the request until the provision of the further information is not included in the period referred to in section 48 for the Regulatory Authority to make a decision on the application.

46. Investigation of application for service approval

- (1) For the purposes of determining an application for a service approval, the Regulatory Authority may —
 - (a) undertake inquiries and investigations, including inquiries relating to the previous licensing, accreditation or registration of the education and care service under a former education and care services law, a children's services law or an education law of any participating jurisdiction; and
 - (b) inspect the education and care service premises; and

- (c) inspect the policies and procedures of the service.
- (2) For the purposes of an inspection under subsection (1)(b) or (c), the Regulatory Authority may enter the education and care service premises at any reasonable time.

47. Determination of application

- (1) Subject to subsection (3), in determining an application under section 43, the Regulatory Authority must have regard to —
 - (a) the National Quality Framework; and
 - (b) except in the case of a family day care residence, the suitability of the education and care service premises and the site and location of those premises for the operation of an education and care service; and
 - (c) the adequacy of the policies and procedures of the service; and
 - (d) whether the applicant has a provider approval; and
 - (e) except in the case of a nominated supervisor who is the approved provider, whether each nominated supervisor has consented in writing to the nomination; and
 - (f) any other matter the Regulatory Authority thinks fit; and
 - (g) any other prescribed matter.
- (2) In addition, the Regulatory Authority may have regard to either of the following —
 - (a) whether the applicant is capable of operating the education and care service having regard to its financial capacity and management capability and any other matter the Regulatory Authority considers relevant;
 - (b) the applicant's history of compliance with this Law or this Law as applying in any participating jurisdiction, including in relation to any other education and care service it operates.
- (3) Subject to subsection (4), in assessing an associated children's service for the purposes of determining whether to grant a service approval, the Regulatory Authority must have regard to the criteria under the children's services law of this jurisdiction for the grant of a children's services licence.

- (4) The criteria referred to in subsection (3) do not include criteria relating to whether the applicant is a fit and proper person.

[Section 47 amended: No. 18 of 2018 s. 20.]

48. Grant or refusal of service approval

- (1) On an application under section 43, the Regulatory Authority may —
- (a) grant the service approval; or
 - (b) refuse to grant the service approval.

Note: A service approval is granted subject to conditions in accordance with section 51.

- (2) Subject to subsection (3), the Regulatory Authority must make a decision on the application within 90 days after the Regulatory Authority received the application.

Note: If further information is requested under section 45(2), the period between the making of the request and the provision of the information is not included in the 90 day period.

- (3) The period referred to in subsection (2) may be extended with the agreement of the applicant.
- (4) The Regulatory Authority may grant a service approval solely for an education and care service if —
- (a) the application includes an application for an associated children's service; and
 - (b) that associated children's service does not comply with the criteria referred to in section 47(3).
- (5) The Regulatory Authority is taken to have refused to grant a service approval if the Regulatory Authority has not made a decision under subsection (1) —
- (a) within the relevant time required under subsection (2); or
 - (b) within the period extended under subsection (3) —
- as the case requires.
- (6) A service approval cannot be granted solely for an associated children's service.

49. Grounds for refusal

- (1) The Regulatory Authority must refuse to grant a service approval if —
 - (a) the Regulatory Authority is satisfied that the service, if permitted to operate, would constitute an unacceptable risk to the safety, health or wellbeing of children who would be educated or cared for by the education and care service; or
 - (b) the applicant does not have a provider approval.
- (2) The Regulatory Authority may refuse to grant a service approval on any other grounds prescribed in the national regulations.

50. Notice of decision on application

The Regulatory Authority must give written notice to the applicant of a decision under section 48 and the reasons for the decision within 7 days after the decision is made.

50A. Approval of a place as a family day care venue

The Regulatory Authority may, at the time of granting the service approval for a family day care service, approve a place (other than a residence) as a family day care venue for that service if the Regulatory Authority considers exceptional circumstances exist.

[Section 50A inserted: No. 18 of 2018 s. 21.]

51. Conditions on service approval

- (1) A service approval is granted subject to the condition that the education and care service is operated in a way that —
 - (a) ensures the safety, health and wellbeing of the children being educated and cared for by the service; and
 - (b) meets the educational and developmental needs of the children being educated and cared for by the service.
- (2) A service approval for a family day care service is granted subject to a condition that the approved provider must ensure that each family day care educator engaged by or registered with the service is adequately monitored and supported by a family day care co-ordinator.

- (2A) A service approval for a family day care service is granted subject to a condition that each family day care residence, and any approved family day care venue of the service, are to be located within this jurisdiction.
- (3) A service approval is granted subject to a condition that the service must commence ongoing operation of the service within 6 months after the approval is granted unless the Regulatory Authority agrees to an extension of time.
- (4) A service approval is granted subject to a condition that the approved provider must hold the prescribed insurance in respect of the education and care service.
- (4A) A service approval for an education and care service other than a family day care service is granted subject to a condition that the approved provider must ensure that the number of children educated and cared for by the service at any one time does not exceed the maximum number of children specified in the service approval.
- (4B) An approved provider is not required to comply with subsection (4A) if —
- (a) the maximum number of children is exceeded because a child is being educated and cared for by the education and care service in an emergency; and
 - (b) the approved provider is satisfied on reasonable grounds that this will not affect the health, safety and wellbeing of any other child who is attending the education and care service.
- Example: An emergency under this subsection would include circumstances where a child is in need of protection under a child protection order or where the parent of a child needs urgent health care that prevents that parent caring for the child.
- (5) A service approval is granted subject to any other conditions prescribed in the national regulations or imposed by —
- (a) this Law; or
 - (b) the Regulatory Authority.
- (6) A condition of a service approval does not apply to an associated children's service unless the condition is expressed to apply to that associated children's service.

- (7) A condition of a service approval may be expressed to apply solely to an associated children's service only if the Regulatory Authority has first consulted with the children's services regulator.
- (8) An approved provider must comply with the conditions of a service approval held by the approved provider.
- Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

Note: This section differs from section 51 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 51 amended: No. 18 of 2018 s. 22.]

52. Copy of service approval to be provided

If the Regulatory Authority grants a service approval under this Part, the Regulatory Authority must provide a copy of the service approval to the approved provider stating —

- (a) the name of the education and care service; and
- (b) the location of the education and care service or, if the education and care service is a family day care service, the location of the principal office of the service and any approved family day care venue for the service; and
- (c) any conditions to which the service approval is subject; and
- (d) the date the service approval was granted; and
- (e) the service approval number; and
- (f) the name of the approved provider; and
- (g) for a service other than a family day care service, the maximum number of children who can be educated and cared for by the service at any one time; and
- (h) the details of any service waiver under Division 5 or temporary waiver under Division 6 applying to the service; and
- (i) any other prescribed matters.

[Section 52 amended: No. 18 of 2018 s. 23.]

53. Annual fee

An approved provider must, in accordance with the national regulations, pay the prescribed annual fee in respect of each service approval held by the approved provider.

Division 2 — Amendment of service approval

54. Amendment of service approval on application

- (1) An approved provider may apply to the Regulatory Authority for an amendment of a service approval.
- (1A) An application under subsection (1) may include a request for the approval of a place (other than a residence) as a family day care venue for a family day care service.
- (2) An application must —
 - (a) be in writing; and
 - (b) include the prescribed information that is requested by the Regulatory Authority; and
 - (c) include payment of the prescribed fee.
- (3) The Regulatory Authority may ask the approved provider to provide any further information that is reasonably required for the purpose of assessing the application.
- (4) If the Regulatory Authority asks the applicant for further information under this section, the period from the making of the request until the provision of the further information is not included in the period referred to in subsection (5).
- (5) The Regulatory Authority must make a decision on the application within 60 days after the Regulatory Authority receives the application.
- (6) The Regulatory Authority must decide the application by —
 - (a) amending the service approval in the way applied for; or
 - (b) with the applicant's written agreement, amending the service approval in another way; or
 - (c) refusing to amend the service approval.

- (7) Without limiting subsection (6), an amendment may vary a condition of the service approval or impose a new condition on the service approval.
- (8) Subject to subsection (8A), an amendment cannot change a location of an education and care service.
- (8A) The Regulatory Authority may approve a place (other than a residence) as a family day care venue for a family day care service if the Regulatory Authority considers exceptional circumstances exist.
- (9) The Regulatory Authority must give written notice of its decision to the approved provider.

Note: This section differs from section 54 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 54 amended: No. 18 of 2018 s. 24.]

55. Amendment of service approval by Regulatory Authority

- (1) The Regulatory Authority may amend a service approval at any time without an application from the approved provider.
- (2) Without limiting subsection (1), an amendment may vary a condition of the service approval or impose a new condition on the service approval.
- (3) The Regulatory Authority must give written notice of the amendment to the approved provider.
- (4) An amendment under this section has effect —
 - (a) 14 days after the Regulatory Authority gives notice of the amendment under subsection (3); or
 - (b) if another period is specified by the Regulatory Authority, at the end of that period.
- (5) The Regulatory Authority must amend a service approval to the extent that it relates to an associated children's service in accordance with any direction by the children's services regulator if that direction is given in accordance with the children's services law of this jurisdiction.

55A. Condition relating to family day care co-ordinators

- (1) Without limiting section 55, an amendment under that section may impose a condition on the service approval for a family day care service requiring the approved provider to ensure that there is a minimum of 1 qualified person employed or engaged as a family day care co-ordinator for each 15 family day care educators engaged by or registered with that service.
- (2) A condition may only be imposed under subsection (1) if —
 - (a) the family day care service has been operating for more than 12 months; and
 - (b) the Regulatory Authority —
 - (i) has taken into account the approved provider's capability and compliance with this Law in respect of the family day care service; and
 - (ii) considers that family day care educators are not adequately monitored and supported by a family day care co-ordinator.
- (3) Section 163 does not apply if a condition is imposed under subsection (1) in respect of a family day care service.
- (4) A person is a qualified person under this section if the person has the qualifications prescribed by the national regulations.

[Section 55A inserted: No. 18 of 2018 s. 25.]

56. Notice of change to nominated supervisor

- (1) The approved provider of an education and care service must give written notice to the Regulatory Authority in accordance with this section if the approved provider wishes to add a new nominated supervisor of the education and care service.
- (2) The notice must —
 - (a) nominate one or more individuals to be nominated supervisors of the service and, unless the individual nominated is the approved provider, include from each nominated individual the written consent to the nomination; and

- (b) include the prescribed information that is requested by the Regulatory Authority; and
- (c) be given —
 - (i) at least 7 days before the individual is to commence work as a nominated supervisor; or
 - (ii) if that period of notice is not possible in the circumstances, as soon as practicable but not more than 14 days after the individual commences work as a nominated supervisor.

Notes:

1. Section 173(2)(b) requires an approved provider to notify the Regulatory Authority of the removal of a nominated supervisor
2. This section differs from section 56 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 56 inserted: No. 18 of 2018 s. 26.]

56A. Notice of change of a nominated supervisor's name or contact details

The approved provider of an education and care service must give written notice to the Regulatory Authority of any change to the name or contact details of any nominated supervisor of the education and care service.

[Section 56A inserted: No. 18 of 2018 s. 26.]

57. Copy of amended service approval to be provided

If the Regulatory Authority amends a service approval under this Division, the Authority must provide an amended copy of the service approval to the approved provider.

Division 3 — Transfer of service approval

58. Service approval may be transferred

- (1) Subject to this Division, an approved provider who holds a service approval (transferring approved provider) may transfer the service approval to another approved provider (receiving approved provider).

- (2) If a service approval is transferred to a receiving approved provider the transfer includes the transfer of the service approval for any associated children's service.
- (3) A person who holds a provider approval may transfer a service approval held by the provider even if the provider approval or service approval is suspended.

59. Regulatory Authority to be notified of transfer

- (1) The transferring approved provider and the receiving approved provider must jointly notify the Regulatory Authority of the transfer —
 - (a) at least 42 days before the transfer is intended to take effect; or
 - (b) if the Regulatory Authority considers that the circumstances are exceptional, a lesser period agreed to by the Regulatory Authority.
- (2) The notice must —
 - (a) be in writing; and
 - (b) include the prescribed information that is requested by the Regulatory Authority; and
 - (c) include payment of the prescribed fee.

Note: This section differs from section 59 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 59 amended: No. 18 of 2018 s. 90.]

60. Consent of Regulatory Authority required for transfer

A service approval cannot be transferred without the consent of the Regulatory Authority.

61. Consent taken to be given unless Regulatory Authority intervenes

The Regulatory Authority is taken to have consented to the transfer of a service approval if —

- (a) the parties have given a notification under section 59; and

- (b) 28 days before the transfer is intended to take effect, the Regulatory Authority has not notified the parties that it intends to intervene under section 62.

62. Transfer may be subject to intervention by Regulatory Authority

- (1) The Regulatory Authority may intervene in a transfer of a service approval if the Regulatory Authority is concerned as to any of the following matters —
 - (a) whether the receiving approved provider is capable of operating the education and care service having regard to its financial capacity and management capability and any other matter the Regulatory Authority considers relevant;
 - (b) the receiving approved provider's history of compliance with this Law as applying in a participating jurisdiction, including in relation to any other education and care service it operates;
 - (c) any other matter relevant to the transfer of the service approval.
- (2) The Regulatory Authority must notify the transferring approved provider and the receiving approved provider of the decision to intervene.
- (3) Subject to subsection (5), the notice must be given at least 28 days before the date on which the transfer is intended to take effect.
- (4) A notification under subsection (2) must be in writing.
- (5) The period within which notice must be given under subsection (3) does not apply where the Regulatory Authority has not been notified of the intended transfer of a service approval in accordance with section 59.

Note: This section differs from section 62 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 62 amended: No. 18 of 2018 s. 27.]

63. Effect of intervention

If the Regulatory Authority intervenes under section 62, the transfer must not proceed unless and until the Regulatory Authority gives written consent to the transfer.

64. Regulatory Authority may request further information

If the Regulatory Authority has intervened under section 62, the Regulatory Authority may —

- (a) request further information from the transferring approved provider or receiving approved provider for the purposes of deciding whether to consent to the transfer; and
- (b) undertake inquiries in relation to the receiving approved provider for that purpose.

65. Decision after intervention

- (1) If the Regulatory Authority has intervened under section 62, it may decide —
 - (a) to consent to the proposed transfer; or
 - (b) to refuse to consent to the proposed transfer.
- (2) If the Regulatory Authority consents to the proposed transfer the Regulatory Authority may impose conditions on the consent, including specifying the date on which the proposed transfer is to take effect.
- (3) The service approval must be transferred in accordance with the conditions imposed on the consent.

66. Regulatory Authority to notify outcome 7 days before transfer

- (1) If the Regulatory Authority has intervened in the transfer of a service approval, the Authority must, at least 7 days before the date on which the transfer is intended to take effect, give a notice to each party specifying that the Authority —
 - (a) consents to the transfer; or
 - (b) refuses to consent to the transfer; or
 - (c) has suspended further consideration of the transfer until further information is received and that the transfer may not proceed until a further notice is given under this section consenting to the transfer; or
 - (d) has not yet made a decision on the transfer and that the Regulatory Authority will make a decision on the transfer within 28 days and that the transfer may not proceed until a

further notice is given under this section consenting to the transfer.

- (2) If the Regulatory Authority consents to the transfer, the notice —
 - (a) must specify —
 - (i) the date on which the transfer is to take effect; and
 - (ii) any conditions on the consent to the transfer; and
 - (b) may include notice of any condition that the Regulatory Authority has imposed on the provider approval or a service approval of the receiving approved provider because of the transfer.
- (3) If the Regulatory Authority refuses to consent to the transfer, the notice must include the reasons for the refusal.

67. Transfer of service approval without consent is void

A transfer of a service approval is void if —

- (a) it is made without the consent of the Regulatory Authority; or
- (b) it is made in contravention of the conditions imposed by the Regulatory Authority on the consent to the transfer; or
- (c) it is made to a person who is not the approved provider who gave the notification under section 59 as the receiving approved provider.

68. Confirmation of transfer

- (1) The transferring approved provider and the receiving approved provider must give written notice to the Regulatory Authority within 2 days after the transfer takes effect specifying the date of the transfer.
Penalty: \$4 000, in the case of an individual.
\$20 000, in any other case.
- (2) On receipt of a notice under this section, the Regulatory Authority must amend the service approval and provide an amended copy of the service approval to the receiving approved provider.
- (3) The amendment to the service approval is taken to take effect on the date of the transfer.

- (4) An approved provider who gives notice under this section is not guilty of an offence for a failure of any other person to give that notice.

69. Notice to parents

- (1) The receiving approved provider must give written notice to the parents of children enrolled at an education and care service of the transfer of the service approval for that service to that provider.

Penalty: \$3 000, in the case of an individual.

\$15 000, in any other case.

- (2) The notice must be given at least 2 days before the date on which the transfer of the service approval takes effect.

Division 4 — Suspension or cancellation of service approval

70. Grounds for suspension of service approval

- (1) A Regulatory Authority may suspend a service approval if —
- (a) the Regulatory Authority reasonably believes that it would not be in the best interests of children being educated and cared for by the service for the service to continue; or
 - (b) a condition of the service approval has not been complied with; or
 - (c) the service is not being managed in accordance with this Law; or
 - (d) the service has operated at a rating level as not meeting the National Quality Standard and —
 - (i) a service waiver or temporary waiver does not apply to the service in respect of that non-compliance; and
 - (ii) there has been no improvement in the rating level; or
 - (e) the approved provider has contravened this Law as applying in any participating jurisdiction; or
 - (f) the approved provider has failed to comply with a direction, compliance notice or emergency order under this Law as applying in any participating jurisdiction in relation to the service; or

- (g) the approved provider has —
 - (i) ceased to operate the education and care service at the education and care service premises for which the service approval was granted; and
 - (ii) within 6 months of ceasing to operate the service, has not transferred the service to another approved provider; or
 - (h) the approved provider has not, within 6 months after being granted a service approval, commenced ongoing operation of the service; or
 - (i) the approved provider has not paid —
 - (i) an amount due under a monetary order made under, or in relation to proceedings commenced by an allegation made under, section 188AB; or
 - (ii) any outstanding prescribed fees.
- (2) In subsection (1) —

monetary order has the meaning given in the *State Administrative Tribunal Act 2004* section 3(1).

Note: This section differs from section 70 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 70 amended: No. 18 of 2018 s. 28.]

71. Show cause notice before suspension

- (1) This section applies if the Regulatory Authority is considering the suspension of a service approval under section 70.
- (2) The Regulatory Authority must first give the approved provider a notice (show cause notice) stating —
 - (a) that the Regulatory Authority intends to suspend the service approval; and
 - (b) the proposed period of suspension; and
 - (c) the reasons for the proposed suspension; and
 - (d) that the approved provider may, within 30 days after the notice is given, give the Regulatory Authority a written response to the proposed suspension.

72. Decision in relation to suspension

After considering any written response from the approved provider received within the time allowed by section 71(2)(d), the Regulatory Authority may —

- (a) if the suspension was proposed on the ground referred to in section 70(1)(a), (c) or (d), accept an undertaking from the approved provider under section 179A; or
- (b) in any case —
 - (i) suspend the service approval for a period not more than the prescribed period; or
 - (ii) decide not to suspend the service approval.

Note: This section differs from section 72 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 72 amended: No. 18 of 2018 s. 29.]

73. Suspension of service approval without show cause

The Regulatory Authority may suspend the service approval without giving the approved provider a show cause notice under section 71 if the Regulatory Authority is satisfied that there is an immediate risk to the safety, health or wellbeing of a child or children being educated and cared for by the education and care service.

74. Notice and effect of decision

- (1) The Regulatory Authority must give the approved provider written notice of the decision to suspend.
- (2) Subject to section 76, the decision under section 72 to suspend takes effect at the end of 14 days after the date of the decision, or, if another period is specified by the Regulatory Authority, at the end of that period.
- (3) Subject to section 76, the decision under section 73 to suspend takes effect on the giving of the notice.
- (4) The notice of a decision to suspend must set out —
 - (a) the period of suspension; and
 - (b) the date on which it takes effect.

- (5) A suspension of a service approval also suspends the service approval to the extent that it relates to an associated children's service.

75. Suspension of service approval to the extent that it relates to associated children's service

- (1) If the Regulatory Authority considers that a service approval should be suspended to the extent only that it applies to an associated children's service, the Regulatory Authority must refer the matter to the children's services regulator of this jurisdiction for determination under the children's services law.
- (2) The children's services regulator must notify the Regulatory Authority if it proposes to conduct any investigation or inquiry into an associated children's service under the children's services law.
- (3) If a final determination is made under the children's services law of this jurisdiction that a service approval should be suspended to the extent that it relates to an associated children's service —
- (a) the children's services regulator must advise the Regulatory Authority of that determination; and
 - (b) the service approval is suspended to the extent that it relates to the associated children's service in accordance with that determination.

76. Transfer of suspended service

- (1) The Regulatory Authority may consent under this Part to the transfer of a service approval that is suspended under section 72 or 73.
- (2) The suspension of the service approval ceases on the transfer taking effect, unless the conditions of the Regulatory Authority's consent to the transfer otherwise provide.

77. Grounds for cancellation of service approval

A Regulatory Authority may cancel a service approval if —

- (a) the Regulatory Authority reasonably believes that the continued operation of the education and care service would constitute an unacceptable risk to the safety, health or wellbeing of any child or class of children being educated and cared for by the education and care service; or

- (b) the service has been suspended under section 72 or 73 and the reason for the suspension has not been rectified at or before the end of the period of suspension; or
- (c) the service approval was obtained improperly; or
- (d) a condition of the service approval has not been complied with.

78. Show cause notice before cancellation

- (1) This section applies if the Regulatory Authority is considering the cancellation of a service approval under section 77.
- (2) The Regulatory Authority must first give the approved provider a notice (show cause notice) stating —
 - (a) that the Regulatory Authority intends to cancel the service approval; and
 - (b) the reasons for the proposed cancellation; and
 - (c) that the approved provider may, within 30 days after the notice is given, give the Regulatory Authority a written response to the proposed cancellation.

79. Decision in relation to cancellation

- (1) After considering any written response from the approved provider received within the time allowed by section 78(2)(c), the Regulatory Authority —
 - (a) may —
 - (i) cancel the service approval; or
 - (ii) suspend the service approval for a period not more than the prescribed period; or
 - (iii) decide not to cancel the service approval; and
 - (b) must give the approved provider written notice of the decision.
- (2) Subject to section 81, the decision to cancel the service approval takes effect —
 - (a) at the end of 14 days after the date of the decision; or
 - (b) if another period is specified by the Regulatory Authority, at the end of that period.

- (3) The notice of a decision to cancel must set out the date on which it takes effect.
- (4) A cancellation of a service approval includes the cancellation of the service approval to the extent that it relates to an associated children's service.
- (5) This Law applies to a suspension of a service approval under this section as if it were a suspension under section 72.

80. Cancellation of service approval to the extent that it relates to associated children's service

- (1) If the Regulatory Authority considers that a service approval should be cancelled to the extent only that it applies to an associated children's service, the Regulatory Authority must refer the matter to the children's services regulator of this jurisdiction for determination under the children's services law.
- (2) The children's services regulator must notify the Regulatory Authority if it proposes to conduct any investigation or inquiry into an associated children's service under the children's services law.
- (3) If a final determination is made under the children's services law of this jurisdiction that a service approval should be cancelled to the extent that it relates to an associated children's service —
 - (a) the children's services regulator must advise the Regulatory Authority of that determination; and
 - (b) the service approval is cancelled to the extent that it relates to the associated children's service in accordance with that determination.

81. Application for transfer of cancelled service

- (1) An approved provider may apply to the Regulatory Authority under this Part for consent to transfer a service approval that is to be cancelled under this Part.
- (2) The application for consent to transfer must be made within 14 days after the decision to cancel the service approval is made.

- (3) If an application for consent to transfer is made, the cancellation of the service approval does not take effect, and the service approval is suspended, until the Regulatory Authority determines the application.
- (4) An application cannot be made under this section for consent to transfer a cancelled service approval if the cancellation relates only to an associated children's service.

82. Decision on application to transfer cancelled service

- (1) If the Regulatory Authority consents to the transfer —
 - (a) the decision to cancel the service approval is revoked; and
 - (b) the suspension of the service approval ceases on the transfer taking effect, unless the conditions imposed by the Regulatory Authority on the consent to the transfer specify a later date for the suspension to cease.
- (2) If the Regulatory Authority refuses to consent to the transfer, the service approval is cancelled on the making of the decision to refuse to consent.

83. Approved provider to provide information to Regulatory Authority

- (1) This section applies if a show cause notice has been given to an approved provider under section 71 or 78.
- (2) The approved provider, at the request of the Regulatory Authority, must provide the Authority with the contact details of the parents of all children enrolled at the education and care service.
- (3) The Regulatory Authority may use the information provided under subsection (2) solely to notify the parents of children enrolled at an approved education and care service of a suspension or cancellation of the service approval for the service.

84. Notice to parents of suspension or cancellation

- (1) This section applies if a service approval has been suspended or cancelled under section 72, 73, 79 or 82.
- (2) The Regulatory Authority may require the approved provider to give written notice of the suspension or cancellation and its effect to the

parents of children enrolled at the education and care service to which the approval relates and any associated children's service.

- (3) The approved provider must comply with a requirement made under subsection (2).

Penalty: \$3 000, in the case of an individual.

\$15 000, in any other case.

[Section 84 amended: No. 18 of 2018 s. 30.]

85. Voluntary suspension of service approval

- (1) An approved provider may apply to the Regulatory Authority for the suspension of a service approval for a period of not more than 12 months.
- (2) The application must —
- (a) be in writing; and
 - (b) include the prescribed information that is requested by the Regulatory Authority; and
 - (c) include payment of the prescribed fee.
- (3) The Regulatory Authority may agree to the suspension, having regard to whether the suspension is reasonable in the circumstances.
- (4) The approved provider must, at least 14 days before making an application under this section, notify the parents of children enrolled at the education and care service and any associated children's service of the intention to make the application.
- (5) The Regulatory Authority must, within 30 days after the application is made, decide whether or not to grant the application.
- (6) If the Regulatory Authority decides to grant the application, the suspension takes effect on a date agreed between the Regulatory Authority and the approved provider.

Note: This section differs from section 85 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 85 amended: No. 18 of 2018 s. 90.]

86. Surrender of service approval

- (1) An approved provider may surrender a service approval by written notice to the Regulatory Authority.
- (2) The notice must specify a date on which the surrender is intended to take effect which must be —
 - (a) after the notice is given; and
 - (b) after the end of the period of notice required under subsection (3).
- (3) The approved provider must notify the parents of children enrolled at the education and care service to which the approval relates and any associated children's service of the intention to surrender the service approval, at least 14 days before the surrender is intended to take effect.
- (4) If a service approval is surrendered, the approval is cancelled on the date specified in the notice.

Division 5 — Application for service waiver

87. Application for service waiver for service

- (1) An approved provider may apply to the Regulatory Authority for a waiver from a requirement that an approved education and care service comply with a prescribed element or elements of the National Quality Standard and the national regulations as provided for in the national regulations.
- (2) A person who applies for a service approval may apply for a service waiver under this section together with the application for the service approval.
- (3) The Regulatory Authority must not grant a service waiver to a person who applies under subsection (2) unless the service approval is granted to that person.

88. Form of application

An application under section 87 must —

- (a) be in writing; and

- (b) include the prescribed information that is requested by the Regulatory Authority; and
- (c) include payment of the prescribed fee.

Note: This section differs from section 88 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 88 amended: No. 18 of 2018 s. 90.]

89. Powers of Regulatory Authority in considering application

- (1) For the purpose of determining an application under this Division, the Regulatory Authority may —
 - (a) ask the applicant to provide further information; and
 - (b) inspect the education and care service premises and the office of the applicant.
- (2) If the Regulatory Authority asks the applicant for further information under this section, the period from the making of that request until the provision of further information is not included in the period referred to in section 91(2) for the Regulatory Authority to make a decision on the application.

[Section 89 amended: No. 18 of 2018 s. 31.]

90. Matters to be considered

In considering whether the grant of a service waiver is appropriate, the Regulatory Authority may have regard to either or both of the following —

- (a) whether the education and care service is able to meet the prescribed element or elements of the National Quality Standard and the national regulations by alternative means that satisfy the objectives of those elements;
- (b) any matters disclosed in the application that are relevant to the application for the service waiver.

91. Decision on application

- (1) On an application under this Division, the Regulatory Authority may decide to grant the service waiver or refuse the application.

- (2) Subject to subsection (3), the Regulatory Authority must notify the applicant within 60 days after the application is made of the Authority's decision on the application.
- (3) If an application for a service waiver has been made together with an application for service approval, the Regulatory Authority may notify the applicant of the Authority's decision on the application at the same time as the notice of the decision on the application for the service approval.
- (4) If a service waiver is granted, the Regulatory Authority may place any conditions on the service waiver, including any condition limiting the use of the service waiver.
- (5) The Regulatory Authority may, at any time remove, add to or vary any conditions placed on a service waiver under subsection (4).
- (6) If a service waiver is granted or its conditions are amended under subsection (5), the Regulatory Authority must issue or reissue the service approval specifying —
 - (a) the element or elements of the National Quality Standard and the national regulations that have been waived; and
 - (b) any conditions placed on the waiver.

[Section 91 amended: No. 18 of 2018 s. 32.]

92. Revocation of service waiver

- (1) The Regulatory Authority may, at its discretion, revoke a service waiver.
- (2) An approved provider may apply to the Regulatory Authority for the revocation of a service waiver applying to any education and care service that it operates.
- (3) A revocation under this section takes effect at the end of the period prescribed in the national regulations.

93. Effect of service waiver

While a service waiver is in force, the approved education and care service is taken to comply with the element or elements of the National Quality Standard and the national regulations that are specified in the service waiver.

Division 6 — Temporary waiver

94. Application for temporary waiver

An approved provider may apply to the Regulatory Authority for a temporary waiver from a requirement that an approved education and care service comply with any prescribed element or elements of the National Quality Standard and the national regulations as provided for in the national regulations.

95. Form of application

An application under section 94 must —

- (a) be in writing; and
- (b) include the prescribed information that is requested by the Regulatory Authority; and
- (c) include payment of the prescribed fee.

Note: This section differs from section 95 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 95 amended: No. 18 of 2018 s. 90.]

96. Regulatory Authority may seek further information

- (1) For the purpose of determining an application under this Division, the Regulatory Authority may —
 - (a) ask the applicant to provide further information; and
 - (b) inspect the education and care service premises and the office of the applicant.
- (2) If the Regulatory Authority asks the applicant for further information under this section, the period from the making of that request until the provision of further information is not included in the period referred to in section 98(1) for the Regulatory Authority to make a decision on the application.

[Section 96 amended: No. 18 of 2018 s. 33.]

97. Special circumstances

In considering whether the grant of a temporary waiver is appropriate, the Regulatory Authority must have regard to whether special circumstances disclosed in the application reasonably justify the grant of the temporary waiver.

98. Decision on application

- (1) The Regulatory Authority must notify the applicant within 60 days after the application is made of the Authority's decision on the application.
- (2) A temporary waiver must specify the period of the waiver which cannot be for a period of more than 12 months.
- (3) The Regulatory Authority, on the application of the approved provider, may —
 - (a) extend and further extend the period of a temporary waiver by periods of not more than 12 months; and
 - (b) grant a further temporary waiver for an education and care service under this Division.
- (4) An application under subsection (3) must include payment of the prescribed fee.
- (5) If a temporary waiver is granted, the Regulatory Authority may place any conditions on the temporary waiver, including any condition limiting the use of the temporary waiver.
- (6) The Regulatory Authority may, at any time remove, add to or vary any conditions placed on a temporary waiver under subsection (5).
- (7) If a temporary waiver is granted or its conditions are amended under subsection (6), the Regulatory Authority must issue or reissue the service approval specifying —
 - (a) the element or elements of the National Quality Standard and the national regulations that have been temporarily waived; and
 - (b) the period of the waiver; and
 - (c) any conditions placed on the waiver.

[Section 98 amended: No. 18 of 2018 s. 34.]

99. Revocation of temporary waiver

The Regulatory Authority may, at its discretion, revoke a temporary waiver.

100. Effect of temporary waiver

While a temporary waiver is in force, the approved education and care service is not required to comply with the element or elements of the National Quality Standard and the national regulations that have been temporarily waived.

Division 7 — Exercise of powers by another Regulatory Authority

101. Exercise of powers by another Regulatory Authority — family day care services

- (1) This section applies if the Regulatory Authority has granted a service approval under this Part to an approved provider for a family day care service.
- (2) A Regulatory Authority of another participating jurisdiction may exercise all the powers and perform all the functions of a Regulatory Authority under this Part (except Division 3 or section 76, 81 or 82) in respect of the service approval if the family day care service operates in that participating jurisdiction.
- (3) A Regulatory Authority (including the Regulatory Authority of this jurisdiction) may only exercise a power to amend, suspend or cancel a service approval after consulting with the Regulatory Authority of each participating jurisdiction in which the family day care service operates.
- (4) A failure by a Regulatory Authority to comply with subsection (3) does not affect the validity of the exercise of the power.
- (5) A cancellation or suspension of a service approval for a family day care service in another participating jurisdiction has effect in this jurisdiction.

Division 8 — Associated children's services

102. Application of this Law to associated children's services

This Law does not apply to an associated children's service except as expressly provided in this Law.

Division 9 — Offences

103. Offence to provide an education and care service without service approval

- (1) A person must not provide an education and care service unless —
- (a) the person is an approved provider in respect of that service; and
 - (b) the education and care service is an approved education and care service.

Penalty: \$20 000, in the case of an individual.

\$100 000, in any other case.

- (2) Subsection (1) does not apply to a family day care educator providing education and care to children as part of an approved family day care service.

103A. Offence relating to places where education and care is provided as part of a family day care service

An approved provider of a family day care service must ensure that education and care is not provided to children, as part of the service, from a place that is not a family day care residence or an approved family day care venue unless otherwise permitted by this Law.

Penalty: \$20 000, in the case of an individual.

\$100 000, in any other case.

[Section 103A inserted: No. 18 of 2018 s. 35.]

104. Offence to advertise education and care service without service approval

- (1) A person must not knowingly publish or cause to be published an advertisement for an education and care service unless it is an approved education and care service.

Penalty: \$6 000, in the case of an individual.

\$30 000, in any other case.

- (2) Subsection (1) does not apply if an application for a service approval in respect of the education and care service has been made under this Law but has not been decided.

[Part 4 (s. 105-132) deleted: No. 18 of 2018 s. 36.]

Part 5 — Assessments and ratings

Division 1 — Assessment and rating

133. Assessment for rating purposes

- (1) The Regulatory Authority that granted the service approval for an education and care service may at any time assess the service in accordance with the national regulations to determine whether and at what rating level the service meets the National Quality Standard and the requirements of the national regulations.

- (2) Until an approved education and care service is first assessed under this Part, it is taken to have the prescribed provisional rating.

134. Rating levels

- (1) The rating levels for the purposes of this Law are the levels prescribed by the national regulations.
- (2) The highest rating level prescribed by the national regulations can only be given by the National Authority under this Part.

137. Suspension of rating assessment

- (1) This section applies in respect of a rating assessment of an approved education and care service if the assessment has not been completed and —
 - (a) the provider approval or the service approval for the service is suspended or cancelled; or
 - (b) a compliance notice has been given to the approved provider in respect of the service; or
 - (c) a notice has been given under section 179 in respect of the service; or
 - (d) an action has been taken under section 189 in respect of a child or children being educated and cared for by the service.
- (2) The Regulatory Authority must give the approved provider a written notice stating that notice of the outcome of the rating assessment will be given under section 136 within 60 days after —
 - (a) the end of the final period for review of the decision or action referred to in subsection (1); or
 - (b) if that review is sought, the determination of the review.

Division 2 — Reassessment and re-rating

138. Regulatory Authority may reassess and re-rate approved education and care service

The Regulatory Authority may at any time reassess an approved education and care service or any aspect or element of an approved education and care service in accordance with the national regulations to determine whether and at what rating level it meets the National Quality Standard and the requirements of the national regulations for the purpose of rating that service.

139. Application for reassessment and re-rating by approved provider

- (1) An approved provider may apply to the Regulatory Authority for a reassessment and re-rating of an approved education and care service or any aspect or element of an approved education and care service which is rateable against the National Quality Standard or the national regulations.

- (2) An application must —
 - (a) be in writing; and
 - (b) include the prescribed information that is requested by the Regulatory Authority; and
 - (c) include payment of the prescribed fee.
- (3) An application under this section can only be made once in every 2 year period, unless the Regulatory Authority agrees otherwise.

Note: This section differs from section 139 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 139 amended: No. 18 of 2018 s. 90.]

140. Application of Division 1

Division 1 applies (with any necessary changes) to a reassessment and re-rating of an approved education and care service or any aspect or element of an approved education and care service under this Division.

Division 3 — Review by Regulatory Authority

141. Review by Regulatory Authority

- (1) This section applies to an approved provider that is given a notice under section 136.
- (2) The approved provider may ask the Regulatory Authority that determined the rating levels to review the rating levels.
- (3) A request must be made within 14 days after the approved provider receives the notice.
- (4) A request must —
 - (a) be in writing; and
 - (b) set out the grounds on which review is sought; and
 - (c) be accompanied by the prescribed information that is requested by the Regulatory Authority; and

- (d) include payment of the prescribed fee.

Note: This section differs from section 141 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 141 amended: No. 18 of 2018 s. 90.]

142. Process for review

- (1) The person who conducts a review of rating levels for an approved education and care service for the Regulatory Authority must not be a person who was involved in the assessment or rating of the service.
- (2) The person conducting the review may ask the approved provider and any person who was involved in the assessment or rating of the service for further information.
- (3) A review under this section must be conducted within 30 days after the application for review is received.
- (4) The Regulatory Authority may extend the 30 day review period under subsection (3) in one of the following circumstances —
 - (a) for an additional period of up to 30 days, if a request for further information is made under subsection (2);
 - (b) for an additional period of up to 30 days, by agreement between the approved provider and the Regulatory Authority;
 - (c) for an additional period of up to 60 days, where the Regulatory Authority considers there are special circumstances that warrant an extension of time to conduct the review.

[Section 142 amended: No. 18 of 2018 s. 37.]

143. Outcome of review by Regulatory Authority

- (1) Following a review under section 142, the Regulatory Authority may —
 - (a) confirm the specific rating levels or the overall rating or both;
or
 - (b) amend the specific rating levels or the overall rating or both.

- (2) An application must —
 - (a) be in writing; and
 - (b) include the prescribed information; and
 - (c) include payment of the prescribed fee.
- (3) An application must not include information or evidence that was not given to the Regulatory Authority for the purpose of a determination under Division 1, 2 or 3.
- (4) The National Authority must —
 - (a) within 7 days after receipt of the application, give written notice to the Regulatory Authority of an application under this Division to review a determination of the Regulatory Authority; and
 - (b) invite the Regulatory Authority to make submissions to the review.

Subdivision 2 — Establishment of Ratings Review Panel

146. Establishment of Ratings Review Panel

- (1) The Board must establish a Ratings Review Panel for the purposes of conducting a review under this Division.
- (2) The Panel is to consist of up to 3 members appointed by the Board.
- (3) One of the members is to be appointed as chairperson.
- (4) The members are to be appointed from the Review Panel pool established under section 147.

147. Review Panel pool

- (1) The Board must establish a pool of persons to act as members of a Ratings Review Panel.
- (2) The pool may consist of persons nominated by the Regulatory Authorities of each participating jurisdiction and the Commonwealth Minister.

- (3) Subject to subsection (4), the persons approved as members of the pool must have expertise or expert knowledge in one or more of the following areas —
 - (a) early learning and development research or practice;
 - (b) law;
 - (c) a prescribed area.
- (4) A member of staff of the National Authority may be approved as a member of the pool.

148. Procedure of Panel

- (1) Subject to this Law, the procedure of a Ratings Review Panel is in its discretion.
- (2) The chairperson of a Panel must convene meetings of the Panel.
- (3) A Panel must keep minutes of its deliberations setting out —
 - (a) the dates and duration of its deliberations; and
 - (b) its decisions on the review.
- (4) The Panel must seek to make decisions by consensus.
- (5) In the absence of a consensus, a decision of the Panel is a decision of the majority of the members of the Panel.
- (6) If a majority decision is not reached, the Panel is taken to have confirmed the rating levels determined by the Regulatory Authority.

149. Transaction of business by alternative means

- (1) A Ratings Review Panel may, if it thinks fit, transact any of its business by the circulation of papers among all the members of the Panel for the time being, and a resolution in writing approved in writing by a majority of those members is taken to be a decision of the Panel.
- (2) The Panel may, if it thinks fit, transact any of its business at a meeting at which members (or some members) participate by telephone, closed-circuit television or other means, but only if any member who speaks on a matter before the meeting can be heard by the other members.

- (3) Papers may be circulated among the members for the purposes of subsection (1) by facsimile, email or other transmission of the information in the papers concerned.

Subdivision 3 — Conduct of review

150. Conduct of review

- (1) In conducting a review, the Ratings Review Panel may consider —
- (a) any documents or other information or plans, photographs or video or other evidence available to the Regulatory Authority in carrying out the rating assessment; and
 - (b) the approved provider's history of compliance with this Law as applying in any participating jurisdiction; and
 - (c) the approved education and care service's history of compliance with this Law as applying in any participating jurisdiction; and
 - (d) the application for review to the Regulatory Authority; and
 - (e) any submissions made by the approved provider to the review by the Regulatory Authority; and
 - (f) the written findings on the review by the Regulatory Authority; and
 - (g) the application for review to the Ratings Review Panel; and
 - (h) any written submissions or responses made to the Ratings Review Panel by the approved provider; and
 - (i) any written submissions or responses made to the Ratings Review Panel by the Regulatory Authority relating to the stated grounds for review; and
 - (j) any advice received from the National Authority, at the request of the Ratings Review Panel, about how the prescribed processes for rating assessments are intended to be applied that is relevant to the review.
- (2) The Ratings Review Panel is not required to hold an oral hearing for a review.
- (3) The Ratings Review Panel may ask the Regulatory Authority to provide in writing any information in relation to the assessment.

- (4) The Ratings Review Panel may ask the approved provider for further written information in relation to its application.
- (5) The Ratings Review Panel must ensure that the approved provider is provided with a copy of, and an opportunity to respond in writing to, any documents, information or evidence provided to the Panel by the Regulatory Authority.

151. Decision on review by Ratings Review Panel

- (1) Following a review, the Ratings Review Panel may —
 - (a) confirm the rating levels determined by the Regulatory Authority; or
 - (b) amend the rating levels.
- (2) The Ratings Review Panel must make a decision on the review within 60 days after the application for review was made.
- (3) The chairperson of the Panel may extend the period for the making of a decision by a Ratings Review Panel if the chairperson considers there are special circumstances that warrant that extension.
- (4) The period for the making of a decision by a Ratings Review Panel may be extended by agreement between the chairperson of the Panel and the approved provider.
- (5) The Ratings Review Panel must give the approved provider, the Regulatory Authority and the National Authority written notice of the decision on the review within 14 days after the decision is made setting out its findings on each review ground.
- (6) A rating level confirmed or amended on a review under this Division by the Ratings Review Panel is the rating level for the approved education and care service for the purposes of this Law.

Division 5 — Awarding of highest rating

152. Application for highest rating

- (1) An approved provider may apply to the National Authority for an approved education and care service operated by that provider to be assessed for the highest rating level for the education and care service if the criteria determined by the National Authority are met.

- (2) The highest rating level is an overall rating of the education and care service.
- (3) An application must —
 - (a) be in writing; and
 - (b) include the prescribed information; and
 - (c) include payment of the prescribed fee.
- (4) An application may be made only once every 3 years, unless the National Authority determines otherwise in a particular case.
- (5) An application may be made for the highest rating level only if the approved education and care service holds the rating levels prescribed for the purposes of this section.

[Section 152 amended: No. 18 of 2018 s. 38.]

153. Assessment of education and care service

- (1) The National Authority may determine and publish criteria that must be met by approved education and care services in respect of the award of the highest rating level.
- (2) The Board must assess the approved education and care service in accordance with the criteria published under subsection (1) to determine whether the service meets the highest rating level in meeting the National Quality Standard and the requirements of the national regulations.
- (3) The Board must ask for, and take into account, the advice of the Regulatory Authority in carrying out the assessment.
- (4) The advice of the Regulatory Authority may include —
 - (a) previous rating assessments and ratings for the education and care service; and
 - (b) information about the service's compliance history; and
 - (c) any other relevant information.

154. Board may seek information and documents

- (1) The Board for the purposes of the rating assessment may —
 - (a) ask the approved provider of the approved education and care service for any information and documents; and
 - (b) make any inquiries it considers appropriate.
- (2) If the Board asks the approved provider for further information and documents under subsection (1), the period from the making of the request until the provision of the further information and documents is not included in the period referred to in section 155(2) for the Board to make a decision on the application.

155. Decision on application

- (1) After assessing the approved education and care service, the Board must —
 - (a) if it is satisfied that it is appropriate to do so, give the approved education and care service the highest rating level; or
 - (b) otherwise refuse to give that rating.
- (2) The Board must make its decision within 60 days after the application is received.
- (3) The period specified in subsection (2) may be extended by up to 30 days —
 - (a) if a request for information and documents is made under section 154; or
 - (b) by agreement between the approved provider and the Board.
- (4) If the Board gives the highest rating level to an approved education and care service, that rating becomes the rating level for that service.
- (5) The highest rating level awarded to an approved education and care service applies to that service for 3 years, unless sooner revoked.

156. Notice of decision

- (1) The Board must give written notice of its decision under section 155 in relation to an approved education and care service to —
 - (a) the approved provider; and
 - (b) the Regulatory Authority.
- (2) The notice must be given within 14 days of making the decision.

157. Reassessment of highest rating level

The Board may at any time reassess an approved education and care service in accordance with section 153.

158. Revocation of highest rating level

The Board must revoke the highest rating level of an approved education and care service if —

- (a) it determines that the service no longer meets the criteria for the highest rating level; or
- (b) the Regulatory Authority advises the Board that the service no longer meets the requirements for the rating levels prescribed for the purposes of section 152(5).

[Section 158 amended: No. 18 of 2018 s. 39.]

159. Re-application for highest rating level

- (1) An approved provider of an approved education and care service that has been awarded the highest rating level may reapply for the award of the highest rating level for the service.
- (2) The application must be made within 90 days before the expiry of the existing highest rating level for the approved education and care service.
- (3) The application must —
 - (a) be in writing; and
 - (b) include the prescribed information; and
 - (c) include payment of the prescribed fee.

161A. Offence for nominated supervisor not to meet prescribed minimum requirements

The approved provider of an education and care service must not nominate an individual to be a nominated supervisor of that service unless that individual meets the prescribed minimum requirements for nomination as a nominated supervisor.

Penalty: \$5 000, in the case of an individual.

\$25 000, in any other case.

[Section 161A inserted: No. 18 of 2018 s. 41.]

162. Offence to operate education and care service unless responsible person is present

(1) The approved provider of an education and care service must ensure that one of the following persons is present at all times that the service is educating and caring for children —

- (a) the approved provider, if the approved provider is an individual or, in any other case, a person with management or control of an education and care service operated by the approved provider;
- (b) a nominated supervisor of the service;
- (c) a person in day-to-day charge of the service.

Penalty: \$5 000, in the case of an individual.

\$25 000, in any other case.

(2) This section does not apply to an approved family day care service.

[Section 162 amended: No. 18 of 2018 s. 42.]

162A. Persons in day-to-day charge and nominated supervisors to have child protection training

The approved provider of an education and care service must ensure that each nominated supervisor and each person in day-to-day charge of the service has successfully completed the child protection training (if any) required by or under the law of this jurisdiction, a Government protocol applying to the approved provider in this jurisdiction or otherwise required by this jurisdiction.

[Section 162A inserted: No. 18 of 2018 s. 43.]

164A. Offence relating to the education and care of children by family day care service

- (1) The approved provider of a family day care service must ensure that any child being educated and cared for as part of the service is not educated and cared for by a person other than a family day care educator, except in the circumstances prescribed by the national regulations.

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

- (2) A family day care educator must ensure that any child being educated and cared for by the educator as part of a family day care service is not educated or cared for by any other person at the family day care residence or approved family day care venue, except in the circumstances prescribed by the national regulations.

Penalty: \$2 000.

[Section 164A inserted: No. 18 of 2018 s. 46.]

165. Offence to inadequately supervise children

- (1) The approved provider of an education and care service must ensure that all children being educated and cared for by the service are adequately supervised at all times that the children are in the care of that service.

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

- (2) A nominated supervisor of an education and care service must ensure that all children being educated and cared for by the service are adequately supervised at all times that the children are in the care of that service.

Penalty: \$10 000.

- (3) A family day care educator must ensure that any child being educated and cared for by the educator as a part of a family day care service is adequately supervised.

Penalty: \$10 000.

[Section 165 amended: No. 18 of 2018 s. 47.]

165A. Offence relating to children leaving the education and care service premises unauthorised

- (1) The approved provider of an education and care service must ensure that a child who is being educated and cared for by the education and care service does not leave the education and care service premises except in accordance with subsection (4).

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

- (2) A nominated supervisor of an education and care service must ensure that a child who is being educated and cared for by the education and care service does not leave the education and care service premises except in accordance with subsection (4).

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

- (3) A family day care educator must ensure that a child who is being educated and cared for by the educator as part of a family day care service does not leave the residence or approved family day care venue except in accordance with subsection (4).

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

- (4) The child may only leave the relevant premises if the child —

- (a) is given into the care of —
- (i) a parent of the child; or
 - (ii) an authorised nominee named in the child's enrolment record; or
 - (iii) a person authorised by a parent or authorised nominee named in the child's enrolment record to collect the child from the premises; or
- (b) leaves the premises in accordance with the written authorisation of the child's parent or authorised nominee named in the child's enrolment record; or
- (c) is taken on an excursion in accordance with the national regulations; or

- (d) is given into the care of a person or taken outside the premises —
 - (i) because the child requires medical, hospital or ambulance care or treatment; or
 - (ii) because of another emergency.

- (5) In this section —

authorised nominee has the meaning given in section 170(5);

parent does not include a parent who is prohibited by a court order from having contact with the child.

Note: Section 165A does not form part of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 165A amended: No. 18 of 2018 s. 48.]

166. Offence to use inappropriate discipline

- (1) The approved provider of an education and care service must ensure that no child being educated and cared for by the service is subjected to —

- (a) any form of corporal punishment; or
- (b) any discipline that is unreasonable in the circumstances.

Penalty: \$10 000, in the case of an individual.

\$50 000, in any other case.

- (2) A nominated supervisor of an education and care service must ensure that no child being educated and cared for by the service is subjected to —

- (a) any form of corporal punishment; or
- (b) any discipline that is unreasonable in the circumstances.

Penalty: \$10 000.

- (3) A staff member of, or a volunteer at, an education and care service must not subject any child being educated and cared for by the service to —

- (a) any form of corporal punishment; or

(b) any discipline that is unreasonable in the circumstances.

Penalty: \$10 000.

(4) A family day care educator must not subject any child being educated and cared for by the educator as part of a family day care service to —

(a) any form of corporal punishment; or

(b) any discipline that is unreasonable in the circumstances.

Penalty: \$10 000.

[Section 166 amended: No. 18 of 2018 s. 49.]

167. Offence relating to protection of children from harm and hazards

(1) The approved provider of an education and care service must ensure that every reasonable precaution is taken to protect children being educated and cared for by the service from harm and from any hazard likely to cause injury.

Penalty: \$10 000, in the case of an individual.

\$50 000, in any other case.

(2) A nominated supervisor of an education and care service must ensure that every reasonable precaution is taken to protect children being educated and cared for by the service from harm and from any hazard likely to cause injury.

Penalty: \$10 000.

(3) A family day care educator must ensure that every reasonable precaution is taken to protect a child being educated and cared for as part of a family day care service from harm and from any hazard likely to cause injury.

Penalty: \$10 000.

168. Offence relating to required programs

(1) The approved provider of an education and care service must ensure that a program is delivered to all children being educated and cared for by the service that —

(a) is based on an approved learning framework; and

(b) is delivered in a manner that accords with the approved learning framework; and

- (c) is based on the developmental needs, interests and experiences of each child; and
- (d) is designed to take into account the individual differences of each child.

Penalty: \$4 000, in the case of an individual.
\$20 000, in any other case.

- (2) A nominated supervisor of an education and care service must ensure that a program is delivered to all children being educated and cared for by the service that —
 - (a) is based on an approved learning framework; and
 - (b) is delivered in a manner that accords with the approved learning framework; and
 - (c) is based on the developmental needs, interests and experiences of each child; and
 - (d) is designed to take into account the individual differences of each child.

Penalty: \$4 000.

169. Offence relating to staffing arrangements

- (1) An approved provider of an education and care service must ensure that, whenever children are being educated and cared for by the service, the relevant number of educators educating and caring for the children is no less than the number prescribed for this purpose.

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

- (2) An approved provider of an education and care service must ensure that each educator educating and caring for children for the service meets the qualification requirements relevant to the educator's role as prescribed by the national regulations.

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

- (3) A nominated supervisor of an education and care service must ensure that, whenever children are being educated and cared for by the

service, the relevant number of educators educating and caring for the children is no less than the number prescribed for this purpose.

Penalty: \$10 000.

- (4) A nominated supervisor of an education and care service must ensure that each educator educating and caring for children for the service meets the qualification requirements relevant to the educator's role as prescribed by the national regulations.

Penalty: \$10 000.

- (5) A family day care educator must ensure that the number of children being educated and cared for by the family day care educator at any one time is no more than the number prescribed for this purpose.

Penalty: \$10 000.

- (6) Subsections (1), (2), (3), (4) and (5) do not apply in respect of an education and care service —

- (a) to the extent that it holds a temporary waiver under Division 6 of Part 3 in respect of this requirement; or
(b) to the extent that it holds a service waiver under Division 5 of Part 3 in respect of this requirement.

- (7) The National Authority may, on application, determine qualifications, including foreign qualifications, to be equivalent to the qualifications required by the national regulations.

- (8) If a determination is made under subsection (7), any person holding the qualification is to be taken to be qualified in accordance with the national regulations.

170. Offence relating to unauthorised persons on education and care service premises

- (1) This section applies to an education and care service operating in a participating jurisdiction that has a working with children law.
- (2) The approved provider of the education and care service must ensure that a person does not remain at the education and care service premises while children are being educated and cared for at the premises, unless —
- (a) the person is an authorised person; or

- (b) the person is under the direct supervision of an educator or other staff member of the service.

Penalty: \$1 000, in the case of an individual.

\$5 000, in any other case.

- (3) A nominated supervisor of the education and care service must ensure that a person does not remain at the education and care service premises while children are being educated and cared for at the premises, unless —

- (a) the person is an authorised person; or
- (b) the person is under the direct supervision of an educator or other staff member of the service.

Penalty: \$1 000.

- (4) A family day care educator must ensure that a person does not remain at the family day care residence or approved family day care venue at which the educator is educating and caring for children, unless —

- (a) the person is an authorised person; or
- (b) the person is under the direct supervision of the educator.

Penalty: \$1 000.

- (5) In this section —

authorised nominee, in relation to a child, means a person who has been given permission by a parent or family member of the child to collect the child from the education and care service or the family day care educator;

authorised person means a person who is —

- (a) a person who holds a current working with children check or working with children card; or
- (b) a parent or family member of a child who is being educated and cared for by the education and care service or the family day care educator; or
- (c) an authorised nominee of a parent or family member of a child who is being educated and cared for by the education and care service or the family day care educator; or

- (d) in the case of an emergency, medical personnel or emergency service personnel; or
- (e) a person who is permitted under the working with children law of this jurisdiction to remain at the education and care service premises without holding a working with children check or a working with children card.

~~(c) an authorised nominee of a parent or family member of a child who is being educated and cared for by the education and care service or the family day care educator; or~~

~~(d) in the case of an emergency, medical personnel or emergency service personnel; or~~

~~(e) a person who is permitted under the working with children law of this jurisdiction to remain at the education and care service premises without holding a working with children check or a working with children card.~~

- (6) A reference in subsection (5) to a parent or family member of a child does not include a person —
 - (a) whose access to the child is prohibited or restricted by an order of a court or tribunal of which the approved provider, nominated supervisor or family day care educator (as the case requires) is aware; or
 - (b) who is an inappropriate person within the meaning of section 171.

[Section 170 amended: No. 18 of 2018 s. 50.]

171. Offence relating to direction to exclude inappropriate persons from education and care service premises

- (1) The Regulatory Authority may direct an approved provider, a nominated supervisor or a family day care educator to exclude a person whom the Authority is satisfied is an inappropriate person from the education and care service premises while children are being educated and cared for at the premises for such time as the Authority considers appropriate.
- (2) A person to whom a direction is given under subsection (1) must comply with the direction.

Penalty: \$10 000, in the case of an individual.

\$50 000, in any other case.

(3) In this section —

inappropriate person means a person —

- (a) who may pose a risk to the safety, health or wellbeing of any child or children being educated and cared for by the education and care service; or
- (b) whose behaviour or state of mind or whose pattern of behaviour or common state of mind is such that it would be inappropriate for him or her to be on the education and care service premises while children are being educated and cared for by the education and care service.

Example: A person who is under the influence of drugs or alcohol.

172. Offence to fail to display prescribed information

An approved provider of an education and care service must ensure that the prescribed information about the following is positioned so that it is clearly visible to anyone from the main entrance to the education and care service premises —

- (a) the provider approval;
- (b) the service approval;
- (c) each nominated supervisor of the service;
- (d) the rating of the service;
- (e) any service waivers or temporary waivers held by the service;
- (f) any other prescribed matters.

Penalty: \$3 000, in the case of an individual.

\$15 000, in any other case.

[Section 172 amended: No. 18 of 2018 s. 51.]

173. Offence to fail to notify certain circumstances to Regulatory Authority

(1) An approved provider must notify the Regulatory Authority of the following in relation to the approved provider or each approved education and care service operated by the approved provider —

- (a) a change in the name of the approved provider;

- (b) any appointment or removal of a person with management or control of an education and care service operated by the approved provider;
- (c) a failure to commence operating an education and care service within 6 months (or within the time agreed with the Regulatory Authority) after being granted a service approval for the service.

Penalty: \$4 000, in the case of an individual.

\$20 000, in any other case.

- (2) An approved provider must notify the Regulatory Authority of the following in relation to an approved education and care service operated by the approved provider —

- (a) if the approved provider is notified of the suspension or cancellation of a working with children card or teacher registration of, or disciplinary proceedings under an education law of a participating jurisdiction in respect of, a nominated supervisor engaged by the service;
- (b) if a nominated supervisor of an approved education and care service —
 - (i) ceases to be employed or engaged by the service; or
 - (ii) is removed from the role of nominated supervisor; or
 - (iii) withdraws consent to the nomination;
- (c) any proposed change to the education and care service premises of an approved education and care service (other than a family day care residence);
- (d) if the approved provider ceases to operate the education and care service;
- (e) in the case of an approved family day care service, a change in the location of the principal office of the service;
- (f) an intention to transfer a service approval, as required under section 59.

Penalty: \$4 000, in the case of an individual.

\$20 000, in any other case.

- (3) A notice under subsection (1) or (2) must —

- (a) be in writing; and

- (b) include any prescribed information.
- (4) A notice under subsection (1) must be provided within the relevant prescribed time to the Regulatory Authority that granted the provider approval to which the notice relates.
- (5) A notice under subsection (2) must be provided within the relevant prescribed time to the Regulatory Authority that granted the service approval for the education and care service to which the notice relates.

[Section 173 amended: No. 18 of 2018 s. 52.]

174. Offence to fail to notify certain information to Regulatory Authority

- (1) An approved provider must notify the Regulatory Authority of the following information in relation to the approved provider or each approved education and care service operated by the approved provider —
 - (a) any change relevant to whether the approved provider is a fit and proper person to be involved in the provision of an education and care service;
 - (b) information in respect of any other prescribed matters.

Penalty: \$4 000, in the case of an individual.
\$20 000, in any other case.

- (2) An approved provider must notify the Regulatory Authority of the following information in relation to an approved education and care service operated by the approved provider —
 - (a) any serious incident at the approved education and care service;
 - (b) any complaints alleging —
 - (i) that a serious incident has occurred or is occurring while a child was or is being educated and cared for by the approved education and care service; or
 - (ii) that this Law has been contravened;
 - (c) information in respect of any other prescribed matters.

Penalty: \$4 000, in the case of an individual.
\$20 000, in any other case.

- (3) A notice under subsection (1) must be in writing and be provided within the relevant prescribed time to the Regulatory Authority that granted the provider approval.
- (4) A notice under subsection (2) must be in writing and be provided within the relevant prescribed time to —
 - (a) the Regulatory Authority that granted the service approval for the education and care service to which the notice relates; and
 - (b) in the case of a family day care service, the Regulatory Authority in each participating jurisdiction in which the family day care service operates.

~~[(5) deleted]~~

[Section 174 amended: No. 18 of 2018 s. 53.]

174A. Family day care educator to notify certain information to approved provider

A family day care educator who educates and cares for children as part of a family day care service must notify the approved provider of the service of the following information —

- (a) any serious incident that occurs while a child is being educated and cared for by the educator as part of the service;
- (b) any complaints alleging —
 - (i) that a serious incident has occurred or is occurring while a child was or is being educated and cared for by the educator; or
 - (ii) that this Law has been contravened;
- (c) information in respect of any other prescribed matters.

Penalty: \$2 000.

[Section 174A inserted: No. 18 of 2018 s. 54.]

175. Offence relating to requirement to keep enrolment and other documents

- (1) An approved provider of an education and care service must keep the prescribed documents available for inspection by an authorised officer in accordance with this section.

Penalty: \$4 000, in the case of an individual.

\$20 000, in any other case.

- (2) Documents referred to in subsection (1) —
- (a) must, to the extent practicable, be kept at the education and care service premises if they relate to —
- (i) the operation of the service; or
- (ii) any staff member employed or engaged by the service; or
- (iii) any child cared for, or educated at, those premises — in the previous 12 months; and
- (b) in any other case, must be kept at a place, and in a manner, that they are readily accessible by an authorised officer.
- (3) A family day care educator who educates and cares for a child at a residence or approved family day care venue, as part of a family day care service, must keep the prescribed documents available for inspection by an authorised officer at that residence or venue.

Penalty: \$4 000.

Part 7 — Compliance with this Law

Division 1 — Notices

176. Compliance directions

- (1) This section applies if the Regulatory Authority is satisfied that an education and care service has not complied with a provision of this Law that is prescribed by the national regulations.
- (2) The Regulatory Authority may give the approved provider a written direction (a compliance direction) requiring the approved provider to take the steps specified in the direction to comply with that provision.
- (3) An approved provider must comply with a direction under subsection (2) within the period (being not less than 14 days) specified in the direction.

Penalty: \$2 000, in the case of an individual.

\$10 000, in any other case.

177. Compliance notices

- (1) This section applies if the Regulatory Authority is satisfied that an education and care service is not complying with any provision of this Law.
- (2) The Regulatory Authority may give the approved provider a notice (a compliance notice) requiring the approved provider to take the steps specified in the notice to comply with that provision.
- (3) An approved provider must comply with a compliance notice under subsection (2) within the period (being not less than 14 days) specified in the notice.

Penalty: \$6 000, in the case of an individual.

\$30 000, in any other case.

178. Notice to suspend education and care by a family day care educator

- (1) This section applies if the Regulatory Authority is satisfied that because of the conduct of, or the inadequacy of the service provided by, a family day care educator engaged by or registered with a family day care service —
 - (a) the approved provider or a nominated supervisor of an approved family day care service is not complying with any provision of this Law; or
 - (b) there is a risk to the safety, health or wellbeing of children being educated and cared for by the family day care educator.
- (2) The Regulatory Authority may give the approved provider, the nominated supervisor (if applicable) and the educator a notice (show cause notice) stating —
 - (a) that the Regulatory Authority intends to give the approved provider a notice directing the provider to suspend the provision of education and care by the educator; and
 - (b) the reasons for the proposed direction; and
 - (c) that the approved provider, nominated supervisor or educator, (as the case requires) may, within 14 days after the show cause notice is given, make submissions to the Regulatory Authority in respect of the proposed direction.

- (3) The show cause notice must be served by delivering it personally to the family day care educator.
- (4) The Regulatory Authority —
 - (a) must consider any submissions from the approved provider, the nominated supervisor and the family day care educator received within the time allowed by subsection (2)(c); and
 - (b) may consider any other submissions and any matters the Regulatory Authority considers relevant; and
 - (c) may —
 - (i) give the approved provider a notice directing the provider to suspend the provision of education and care of children by the family day care educator; or
 - (ii) decide not to give that direction.
- (5) The Regulatory Authority must give the family day care educator a notice of the decision under subsection (4).
- (6) If the Regulatory Authority decides not to give the direction to suspend, the Regulatory Authority must give the approved provider notice of the decision.
- (7) A person must comply with a direction under subsection (4).

Penalty: \$6 000, in the case of an individual.
\$30 000, in any other case.

[Section 178 amended: No. 18 of 2018 s. 55.]

179. Emergency action notices

- (1) This section applies if the Regulatory Authority is satisfied that an education and care service is operating in a manner that poses, or is likely to pose, an immediate risk to the safety, health or wellbeing of a child or children being educated and cared for by the service.
- (2) The Regulatory Authority may, by written notice, direct the approved provider of the education and care service to take the steps specified in the notice to remove or reduce the risk within the time (not more than 14 days) specified in the notice.
- (3) An approved provider must comply with a direction given under subsection (2).

Note: This section differs from section 179A of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 179A inserted: No. 18 of 2018 s. 56.]

179B. Certain actions prohibited while undertaking is in force

- (1) While an undertaking is in force under section 179A(2), proceedings may not be brought for any offence constituted by the contravention or alleged contravention in respect of which the undertaking is given.
- (2) While an undertaking is in force under section 179A(3), the Regulatory Authority must not (as the case requires) —
 - (a) suspend the provider approval under section 27 in relation to a matter that is the subject of the undertaking; or
 - (b) suspend the service approval under section 72 in relation to a matter that is the subject of the undertaking; or
 - (c) give a prohibition notice under section 182 in relation to a matter that is the subject of the undertaking.
- (3) While an undertaking is in force under section 179A(4), the Regulatory Authority must not give a prohibition notice under section 182 in relation to a matter that is the subject of the undertaking.

[Section 179B inserted: No. 18 of 2018 s. 56.]

180. Certain actions prohibited if undertaking is complied with

- (1) If a person complies with the requirements of an undertaking under section 179A(2), no further proceedings may be brought for any offence constituted by the contravention or alleged contravention in respect of which the undertaking was given.
- (2) If an approved provider complies with the requirements of an undertaking under section 179A(3), the Regulatory Authority must not (as the case requires) —
 - (a) suspend the provider approval under section 27 in relation to a matter that is the subject of the undertaking; or
 - (b) suspend the service approval under section 72 in relation to a matter that is the subject of the undertaking; or

- (c) give a prohibition notice under section 182 in relation to a matter that is the subject of the undertaking.
- (3) If a person complies with the requirements of an undertaking under section 179A(4), the Regulatory Authority must not give a prohibition notice under section 182 in relation to a matter that is the subject of the undertaking.

[Section 180 inserted: No. 18 of 2018 s. 56.]

181. Failure to comply with enforceable undertakings

- (1) If the Regulatory Authority considers that a person who gave an undertaking under section 179A has failed to comply with any of its terms, the Regulatory Authority may apply to the relevant tribunal or court for an order under subsection (2) to enforce the undertaking.
- (2) If the relevant tribunal or court is satisfied that the person has failed to comply with a term of the undertaking, the relevant tribunal or court may make any of the following orders —
 - (a) an order directing the person to comply with the term of the undertaking;
 - (b) an order that the person take any specified action for the purpose of complying with the undertaking;
 - (c) any other order that the relevant tribunal or court considers appropriate in the circumstances.
- (3) If the relevant tribunal or court determines that the person has failed to comply with a term of an undertaking under section 179A(2), proceedings may be brought for any offence constituted by the contravention or alleged contravention in respect of which the undertaking was given.
- (4) If the relevant tribunal or court determines that an approved provider has failed to comply with a term of an undertaking under section 179A(3), the Regulatory Authority may without further notice —
 - (a) if the undertaking was given in circumstances set out in section 27(a), suspend the provider approval under section 27; or

- (b) if the undertaking was given in circumstances set out in section 72(a), suspend the service approval under section 72; or
 - (c) if the undertaking was given in circumstances set out in section 184(3), give a prohibition notice under section 182 in relation to a matter that is the subject of the undertaking.
- (5) If the relevant tribunal or court determines that a person has failed to comply with a term of an undertaking under section 179A(4), the Regulatory Authority may, without further notice, give a prohibition notice under section 182 in relation to a matter that is the subject of the undertaking.
- (6) Proceedings referred to in subsection (3) may be brought within 6 months of the determination or within 2 years of the date of the alleged offence, whichever occurs last.

[Section 181 inserted: No. 18 of 2018 s. 56.]

Division 3 — Prohibition notices

182. Grounds for giving prohibition notice

- (1) The Regulatory Authority may give a prohibition notice to a person who is in any way involved in the provision of an approved education and care service if it considers that there may be an unacceptable risk of harm to a child or children if the person were allowed —
- (a) to remain on the education and care service premises; or
 - (b) to provide education and care to children.
- (2) For the purposes of subsection (1), a person may be involved in the provision of an approved education and care service as any of the following —
- (a) an approved provider;
 - (b) a nominated supervisor;
 - (c) an educator;
 - (d) a family day care educator;
 - (e) an employee;
 - (f) a contractor;
 - (g) a volunteer;

stated in the show cause notice before deciding whether to give the person a prohibition notice.

- (2) If the Regulatory Authority decides not to issue a prohibition notice to the person, the Regulatory Authority must give the person notice of the decision.
- (3) The Regulatory Authority may accept an undertaking from a person under section 179A instead of giving a prohibition notice under this Division.

[Section 184 amended: No. 18 of 2018 s. 58.]

185. Content of prohibition notice

- (1) A prohibition notice given to a person under section 182(1) must state that the person is prohibited from doing one or more of the following —
 - (a) providing education and care to children for an education and care service;
 - (b) being engaged as an educator, family day care educator, employee, contractor or staff member of, or being a volunteer at, an education and care service;
 - (c) carrying out any other activity relating to an education and care service.
- (2) A prohibition notice given to a person under section 182(3) must state that either —
 - (a) the person is prohibited from being nominated as a nominated supervisor of an education and care service; or
 - (b) the person may only be nominated as a nominated supervisor of an education and care service on the condition or conditions specified by the Regulatory Authority in the notice.
- (3) A prohibition notice given to a person under section 182(1) or (3) must state —
 - (a) that the person may apply for cancellation of the notice; and
 - (b) how an application for cancellation must be made.

[Section 185 inserted: No. 18 of 2018 s. 59.]

186. Cancellation of prohibition notice

- (1) If the Regulatory Authority is satisfied there is not a sufficient reason for a prohibition notice to remain in force for a person, the Regulatory Authority must cancel the prohibition notice and give the person notice of the cancellation.
- (2) A person for whom a prohibition notice is in force may apply to the Regulatory Authority to cancel the notice.
- (3) The application must —
 - (a) be in writing; and
 - (b) include the prescribed information; and
 - (c) be signed by the person.
- (4) The person may state in the application anything the person considers relevant to the Regulatory Authority's decision about whether there would be an unacceptable risk of harm to children if the person were —
 - (a) to remain at the education and care service premises; or
 - (b) to provide education and care to children.
- (4A) The person may state in the application anything the person considers relevant to the Regulatory Authority's decision about whether the person is a fit and proper person to be nominated as a nominated supervisor with or without conditions.
- (5) The application may include a statement setting out any change in the person's circumstances since the prohibition notice was given or since any previous application under this section that would warrant the cancellation of the notice.
- (6) The Regulatory Authority must decide the application as soon as practicable after its receipt.

[Section 186 amended: No. 18 of 2018 s. 60.]

187. Person must not contravene prohibition notice

- (1) While a prohibition notice under section 182(1) is in force under this Law as applying in any participating jurisdiction for a person, the person must not —
- (a) provide education and care to children for an education and care service; or
 - (b) be engaged as an educator, family day care educator, employee, contractor or staff member of, or perform volunteer services for, an education and care service; or
 - (c) carry out any other activity relating to education and care services.

Penalty: \$20 000.

- (2) While a prohibition notice under section 182(3) is in force under this Law as applying in any participating jurisdiction for a person, the person must not —
- (a) in the case of a prohibition notice under section 182(3)(a), consent to a nomination of that person as a nominated supervisor of an education and care service; or
 - (b) in the case of a prohibition notice under section 182(3)(b), consent to a nomination of that person as a nominated supervisor of an education and care service in contravention of a condition or conditions stated in the prohibition notice.

Penalty: \$20 000.

[Section 187 amended: No. 18 of 2018 s. 61.]

188. Offence to engage person to whom prohibition notice applies

- (1) An approved provider must not engage a person as an educator, family day care educator, employee, contractor or staff member of, or allow a person to perform volunteer services for, an education and care service if the provider knows, or ought reasonably to know, a prohibition notice is in force under this Law as applying in any participating jurisdiction in respect of the person.

Penalty: \$20 000, in the case of an individual.

\$100 000, in any other case.

- (2) An approved provider must not nominate a person as a nominated supervisor of an education and care service if the approved provider knows, or ought reasonably to know, that a prohibition notice is in force under this Law as applying in any participating jurisdiction prohibiting the nomination of that person as a nominated supervisor of an education and care service.

Penalty: \$20 000, in the case of an individual.

\$100 000, in any other case.

- (3) An approved provider must not nominate a person as a nominated supervisor of an education and care service if the approved provider knows, or ought reasonably to know, that the nomination would place the person in contravention of a condition of a prohibition notice in force under this Law as applying in any participating jurisdiction.

Penalty: \$20 000, in the case of an individual.

\$100 000, in any other case.

[Section 188 amended: No. 18 of 2018 s. 62.]

188A. False or misleading information about prohibition notice

A person who is subject to a prohibition notice under this Law as applying in any participating jurisdiction must not give an approved provider any information about the content or existence of the prohibition notice that is false or misleading in any material particular.

Penalty: \$6 000.

[Section 188A inserted: No. 18 of 2018 s. 63.]

Division 3A — Disciplinary action

Note: Division 3A of Part 7 does not form part of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

188AA. Persons against whom disciplinary action may be taken

In this Division —

person linked to a WA service means any of the following —

- (a) an approved provider operating a WA service;

- (b) a nominated supervisor of a WA service;
- [(c) deleted]*
- (d) a person with management or control of a WA service;
- (e) a family day care educator engaged by or registered with a WA service;

WA service means an education and care service located in this jurisdiction.

Note: Section 188AA does not form part of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 188AA, formerly section 188A renumbered as section 188AA: No. 18 of 2018 s. 67; amended: No. 18 of 2018 s. 64.]

188AB. Disciplinary action

- (1) Grounds for disciplinary action exist against a person linked to a WA service if —
 - (a) the person has failed to comply with this Law as applying in this jurisdiction; or
 - (b) the person is a person with management or control of a body corporate that is itself a person linked to a WA service and —
 - (i) the body corporate has failed to comply with this law as applying in this jurisdiction; and
 - (ii) the person with management or control of the body corporate has failed to exercise due diligence to prevent that failure.
- (2) If the Regulatory Authority of this jurisdiction considers that grounds for disciplinary action exist in respect of a person linked to a WA service, the Regulatory Authority may make an allegation to the relevant tribunal or court of this jurisdiction in respect of that person.
- (3) In proceedings commenced by an allegation under subsection (2) in respect of a person, the relevant tribunal or court of this jurisdiction, if satisfied that grounds for disciplinary action exist, may make one or more of the following orders —
 - (a) an order reprimanding the person;

- (b) subject to section 188AC, an order requiring the person to pay a fine not exceeding \$50 000;
 - (c) an order to take certain actions, or refrain from taking certain actions, to comply with this Law.
- (4) The relevant tribunal or court may make an order under subsection (3)(a) or (b) in respect of a person whether or not at the time when the order is made the person is a person linked to a WA service.

Note: Section 188AB does not form part of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 188AB, formerly section 188B renumbered as section 188AB: No. 18 of 2018 s. 67; amended: No. 18 of 2018 s. 65.]

188AC. Limitation on section 188AB(3)(b)

- (1) The power described in section 188AB(3)(b) to impose a fine, and the power of a court to impose a penalty for an offence under this Law as it applies in this, or another participating jurisdiction, cannot both be exercised in respect of an act or omission of a person that is substantially the same.
- (2) A fine that exceeds the relevant maximum fine cannot be imposed under section 188AB(3)(b).
- (3) In subsection (2) —
relevant maximum fine means, if the fine is to be imposed in respect of an act or omission that constitutes an offence under this Law, the maximum fine that could be imposed by a court for that offence.

Note: Section 188AC does not form part of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 188AC, formerly section 188C renumbered as section 188AC: No. 18 of 2018 s. 67; amended: No. 18 of 2018 s. 66.]

Division 4 — Emergency removal of children

189. Emergency removal of children

- (1) This section applies if the Regulatory Authority considers, on reasonable grounds, that there is an immediate danger to the safety or health of a child or children being educated and cared for by an education and care service.
- (2) The Regulatory Authority may remove, or cause the removal of, the child or children from the education and care service premises.
- (3) In exercising a power under subsection (2) —
 - (a) the Regulatory Authority may be given such assistance by other persons (including police officers) as is reasonably required; and
 - (b) the Regulatory Authority and any person assisting the Regulatory Authority may —
 - (i) enter the education and care service premises, without warrant; and
 - (ii) use reasonable force as necessary.
- (4) If a child is removed from the education and care service premises under subsection (2), the Regulatory Authority must ensure that the child's parents are immediately notified of the situation and the child's current location.

Part 8 — Review

Division 1 — Internal review

190. Reviewable decision — internal review

A reviewable decision for internal review is a decision of the Regulatory Authority under this Law as applying in any participating jurisdiction —

- (a) to refuse to grant a provider approval or a service approval; or
- (b) to amend or refuse to amend a provider approval, a service approval or a supervisor certificate; or
- (c) to impose a condition on a provider approval, a service approval or a supervisor certificate; or

- (d) to suspend —
 - (i) a provider approval under section 28; or
 - (ii) a service approval under section 73; or

~~[(iii) deleted]~~

- (e) to refuse to consent to the transfer of a service approval; or
- (f) to revoke a service waiver; or
- (g) to issue a compliance direction; or
- (h) to issue a compliance notice.

[Section 190 amended: No. 18 of 2018 s. 68.]

191. Internal review of reviewable decisions

- (1) A person who is the subject of a reviewable decision for internal review may apply to the Regulatory Authority in writing for review of the decision.
- (2) An application under subsection (1) must be made —
 - (a) within 14 days after the day on which the person is notified of the decision; or
 - (b) if the person is not notified of the decision, within 14 days after the person becomes aware of the decision.
- (3) The person who conducts the review for the Regulatory Authority must not be a person who was involved in the assessment or investigation of the person or service to whom or which the decision relates.
- (4) The person conducting the review may ask the person who applied for the review for further information.
- (5) A review under this section must be conducted within 30 days after the application is made.
- (6) The period specified in subsection (5) may be extended by up to 30 days —
 - (a) if a request for further information is made under subsection (4); or
 - (b) by agreement between the person who applied for the review and the Regulatory Authority.

- (7) The Regulatory Authority may, in relation to an application under subsection (1) —
- (a) confirm the decision; or
 - (b) make any other decision that the Regulatory Authority thinks appropriate.

Division 2 — External review

192. Reviewable decision — external review

A reviewable decision for external review is —

- (a) a decision of the Regulatory Authority made under section 191 (other than a decision in relation to the issue of a compliance direction or a compliance notice); or
- (b) a decision of the Regulatory Authority under this Law as applying in any participating jurisdiction —
 - (i) to suspend a provider approval under section 27; or
 - (ii) to cancel a provider approval under section 33; or
 - (iii) to suspend a service approval under section 72; or
 - (iv) to cancel a service approval under section 79 or 307; or
 - or
 - [(v) *deleted*]
 - (vi) to direct the approved provider of a family day care service to suspend the care and education of children by a family day care educator; or
 - (vii) to give a prohibition notice or to refuse to cancel a prohibition notice.

Note: A person is not entitled to a review under this section in respect of a suspension or cancellation of a service approval if that suspension or cancellation relates only to an associated children's service. Any right of review would be under the children's services law.

[Section 192 amended: No. 18 of 2018 s. 69.]

193. Application for review of decision of the Regulatory Authority

- (1) A person who is the subject of a reviewable decision for external review may apply to the relevant tribunal or court for a review of the decision.

- (2) An application must be made within 30 days after the day on which the applicant is notified of the decision that is to be reviewed.
- (3) After hearing the matter, the relevant tribunal or court may —
 - (a) confirm the decision of the Regulatory Authority; or
 - (b) amend the decision of the Regulatory Authority; or
 - (c) substitute another decision for the decision of the Regulatory Authority.
- (4) In determining any application under this section, the relevant tribunal or court may have regard to any decision under this Law as applying in another participating jurisdiction of a relevant tribunal or court of that jurisdiction.

Division 3 — General

194. Relationship with Act establishing administrative body

This Part applies despite any provision to the contrary in the Act that establishes the relevant tribunal or court but does not otherwise limit that Act.

Part 9 — Monitoring and enforcement

Division 1 — Authorised officers

195. Authorisation of authorised officers

- (1) The Regulatory Authority may authorise any person who the Regulatory Authority is satisfied is an appropriate person to be an authorised officer for the purposes of this Law.
- (2) In considering whether a person is an appropriate person to be an authorised officer, the Regulatory Authority must take into account the requirements for the authorisation of authorised officers determined by the National Authority under subsection (5).
- (3) An authorised officer holds office on any terms and conditions stated in the authorisation.
- (4) A defect in the authorisation of an authorised officer does not affect the validity of any action taken or decision made by the authorised officer under this Law.

- (5) The National Authority may determine the requirements for the authorisation of authorised officers under this section.
- (6) A determination under subsection (5) must be published on the website of the National Authority.

196. Identity card

- (1) The Regulatory Authority must issue to each authorised officer an identity card in the form prescribed by the national regulations.
- (2) The identity card must identify the authorised officer as an authorised officer authorised by the Regulatory Authority under this Law.
- (3) An authorised officer must —
 - (a) carry the identity card whenever the officer is exercising his or her functions under this Law; and
 - (b) show the identity card —
 - (i) before exercising a power of entry under this Law; and
 - (ii) at any time during the exercise of a power under this Law when asked to do so.

Penalty: \$1 000.

- (4) An authorised officer who fails to comply with subsection (3)(b) in relation to the exercise of a power ceases to be authorised to exercise the power in relation to the matter.
- (5) An authorised officer must return his or her identity card to the Regulatory Authority on ceasing to be authorised as an authorised officer for the purposes of this Law.

Penalty: \$1 000.

Division 2 — Powers of entry

197. Powers of entry for assessing and monitoring approved education and care service

- (1) An authorised officer may exercise a power under this section for any of the following purposes —
 - (a) monitoring compliance with this Law;

- (ab) monitoring compliance with a working with children law by specified persons in relation to the provision of an education and care service;
 - (b) a rating assessment of an approved education and care service under Part 5;
 - (c) obtaining information requested under section 35 or 83.
- (2) An authorised officer may, at any reasonable time and with such assistants as may reasonably be required, enter any education and care service premises and do any of the following —
- (a) inspect the premises and any plant, equipment, vehicle or other thing;
 - (b) photograph or film, or make audio recordings or make sketches of, any part of the premises or anything at the premises;
 - (c) inspect and make copies of, or take extracts from, any document kept at the premises;
 - (d) take any document or any other thing at the premises;
 - (e) ask a person at the premises —
 - (i) to answer a question to the best of that person's knowledge, information and belief; or
 - (ii) to take reasonable steps to provide information or produce a document.
- (3) A power under subsection (2)(a) to (d) is limited to a document or thing that is used or likely to be used in, or in relation to, the provision of the education and care service.
- (4) If the authorised officer takes any document or thing under subsection (2), he or she must —
- (a) give notice of the taking of the document or thing to the person apparently in charge of it or to an occupier of the premises; and
 - (b) return the document or thing to that person or the premises within 7 days after taking it.

- (5) An authorised officer may not, under this section, enter a residence unless —
- (a) an approved education and care service is operating at the residence at the time of entry; or
 - (b) the occupier of the residence has consented in writing to the entry and the inspection.
- (6) In this section —
- specified person* has the meaning given in section 206(4).

Note: This section differs from section 197 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

198. National Authority representative may enter service premises in company with Regulatory Authority

- (1) A person authorised by the National Authority, in company with an authorised officer, may enter premises used by an approved education and care service within the usual hours of operation of the service at those premises.
- (2) The entry by the person authorised by the National Authority is solely for the purpose of informing the National Authority of the rating assessment processes of the Regulatory Authority under Part 5 to assist the National Authority in promoting consistency across participating jurisdictions.

199. Powers of entry for investigating approved education and care service

- (1) An authorised officer may exercise the powers under this section to investigate an approved education and care service if the authorised officer reasonably suspects that an offence may have been or may be being committed against this Law.
- (2) The authorised officer, with any necessary assistants, may with or without the consent of the occupier of the premises, enter the education and care service premises at any reasonable time and do any of the following —
- (a) search any part of the premises;

- (b) inspect, measure, test, photograph or film, or make audio recordings of, any part of the premises or anything at the premises;
 - (c) take a thing, or a sample of or from a thing, at the premises for analysis, measurement or testing;
 - (d) copy, or take an extract from, a document, at the premises;
 - (e) take into or onto the premises any person, equipment and materials the authorised officer reasonably requires for exercising a power under this subsection;
 - (f) require the occupier of the premises, or a person at the premises, to give the authorised officer information to help the authorised officer in conducting the investigation.
- (3) A power under subsection (2)(b) to (d) is limited to a document or thing that is used or likely to be used in the provision of the education and care service.
- (4) An authorised officer may not, under this section, enter a residence unless —
- (a) the authorised officer reasonably believes that an approved education and care service is operating at the residence at the time of entry; or
 - (ab) the register of family day care educators records that the approved education and care service operates at the residence at the time of entry; or
 - (b) the occupier of the residence has consented in writing to the entry and the inspection.

[Section 199 amended: No. 18 of 2018 s. 70.]

200. Powers of entry to business premises

- (1) An authorised officer may exercise powers under this section if the authorised officer reasonably suspects that documents or other evidence relevant to the possible commission of an offence against this Law are present at the principal office of the approved provider of the service or any other business office of the approved provider of the service.

- (2) The authorised officer, with the consent of the occupier of the premises, may enter the premises and do any of the following —
- (a) search any part of the premises;
 - (b) inspect, measure, test, photograph or film, or make audio recordings of, any part of the premises or anything at the premises;
 - (c) take a thing, or a sample of or from a thing, at the premises for analysis, measurement or testing;
 - (d) copy, or take an extract from, a document, at the premises;
 - (e) take into or onto the premises any person, equipment and materials the authorised officer reasonably requires for exercising a power under this subsection;
 - (f) require the occupier of the premises, or a person at the premises, to give the authorised officer information to help the authorised officer in conducting the investigation.
- (3) An authorised officer must not enter and search the premises with the consent of the occupier unless, before the occupier consents to that entry, the authorised officer has —
- (a) produced his or her identity card for inspection; and
 - (b) informed the occupier —
 - (i) of the purpose of the search and the powers that may be exercised; and
 - (ii) that the occupier may refuse to give consent to the entry and search or to the taking of anything found during the search; and
 - (iii) that the occupier may refuse to consent to the taking of any copy or extract from a document found on the premises during the search.

[Section 200 amended: No. 18 of 2018 s. 71.]

200A. Entry to premises without search warrant

- (1) An authorised officer may enter any premises (including residential or business premises) for the purpose of determining whether an

education and care service is operating without a service approval at or from the premises, if —

- (a) the authorised officer reasonably believes that a person is operating an education and care service in contravention of section 103 at the premises; and
 - (b) the occupier of the premises has consented in writing to the entry and inspection.
- (2) An authorised officer must not enter and search the premises under this section unless, before the occupier consents to the entry, the authorised officer has —
- (a) produced the authorised officer’s identity card for inspection; and
 - (b) informed the occupier —
 - (i) of the purpose of the search and the powers that may be exercised; and
 - (ii) that the occupier may refuse to consent to the entry and search or the taking of anything found during the search; and
 - (iii) that the occupier may refuse to consent to the taking of any copy or extract from a document found on the premises during the search.
- (3) An authorised officer who exercises a power of entry under this section may for the purposes of the investigation do any of the things referred to in clause 5(2)(a) to (e) of Schedule 2 as if a reference to that Schedule included a reference to this section.

[Section 200A inserted: No. 18 of 2018 s. 72.]

201. Entry to premises with search warrant

- (1) An authorised officer under the authority of a search warrant may enter premises if the authorised officer reasonably believes that a person is operating an education and care service in contravention of section 103 at or from the premises.
- (2) An authorised officer under the authority of a search warrant may enter any education and care service premises or any premises where the authorised officer reasonably believes that an approved education and care service is operating if the authorised officer reasonably

believes that the education and care service is operating in contravention of this Law.

- (3) An authorised officer under the authority of a search warrant may enter the principal office of the approved provider of the service or any other business office of the approved provider of the service if the authorised officer reasonably believes that documents or other evidence relevant to the possible commission of an offence against this Law are present at those premises.
- (4) Schedule 2 applies in relation to the issue of the search warrant and the powers of the authorised officer on entry.

[Section 201 amended: No. 18 of 2018 s. 73.]

202. Seized items

- (1) If an authorised officer has taken a thing under section 199, 200 or 200A or under a search warrant under section 201, the authorised officer must take reasonable steps to return the thing to the person from whom it was taken if the reason for the taking no longer exists.
- (2) If the thing has not been returned within 60 days after it was taken, the authorised officer must take reasonable steps to return it unless —
 - (a) proceedings have been commenced within the period of 60 days and those proceedings (including any appeal) have not been completed; or
 - (b) a court makes an order under section 203 extending the period the thing can be retained.
- (3) If an authorised officer has taken a thing under section 199, 200 or 200A or under a search warrant under section 201, the authorised officer must provide the owner of the thing with reasonable access to the thing.

[Section 202 amended: No. 18 of 2018 s. 74.]

203. Court may extend period

- (1) An authorised officer may apply to a court within the period of 60 days referred to in section 202 or within a period extended by the court under this section for an extension of the period for which the thing can be held.

- (2) The court may order the extension if satisfied that retention of the thing is necessary —
 - (a) for the purposes of an investigation into whether an offence has been committed; or
 - (b) to enable evidence of an offence to be obtained for the purposes of a prosecution.
- (3) The court may adjourn an application to enable notice of the application to be given to any person.

Division 3 — Other powers

204. Power to require name and address

- (1) This section applies if —
 - (a) an authorised officer finds a person committing an offence against this Law or a working with children law; or
 - (b) an authorised officer finds a person in circumstances that lead, or the authorised officer has information that leads, the officer to reasonably suspect the person is committing, or has committed, an offence against this Law or a working with children law.
- (2) The authorised officer may require the person to state the person's name and residential address.
- (3) The authorised officer may require the person to give evidence of the correctness of the stated name or residential address if the officer reasonably suspects the stated name or address to be false.

Note: This section differs from section 204 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

205. Power to require evidence of age, name and address of person

- (1) This section applies if —
 - (a) the national regulations require a staff member, a family day care educator or a volunteer to have attained a prescribed minimum age; and

- (b) an authorised officer reasonably suspects that a person —
 - (i) is employed or engaged as a staff member or a family day care educator by, or is a volunteer at, an education and care service; and
 - (ii) has not attained that prescribed minimum age.
- (2) The authorised officer may require the person to state the person's correct date of birth, whether or not when requiring the person to state the person's correct name and address.
- (3) Also, the authorised officer may require the person to provide evidence of the correctness of the stated date of birth —
 - (a) at the time of making the requirement under subsection (2) if, in the circumstances, it would be reasonable to expect the person to be in possession of evidence of the correctness of the stated date of birth; or
 - (b) otherwise, within 14 days of making the requirement under subsection (2).
- (4) The authorised officer may require the person to state the person's name and residential address if —
 - (a) the person refuses or is unable to comply with a requirement under subsection (2) or (3); or
 - (b) according to the date of birth the person states, or the evidence of the person's age the person gives, the person has not attained the prescribed minimum age.

206. Power of authorised officers to obtain information documents and evidence

- (1) An authorised officer may exercise a power under this section for any of the following purposes —
 - (a) monitoring compliance with this Law;
 - (ab) monitoring compliance with a working with children law by specified persons in relation to the provision of an education and care service;
 - (b) a rating assessment of an approved education and care service under Part 5;
 - (c) obtaining information requested under section 35 or 83.

- (2) An authorised officer may, by written notice, require a specified person to provide to the authorised officer, by writing signed by that person or, if the person is not an individual, by a competent officer of that person, within the time and in the manner specified in the notice, any relevant information that is specified in the notice.
- (3) The time specified in the notice must not be less than 14 days from the date the notice is issued.

- (4) In this section —

specified person means a person who is or has been —

- (a) an approved provider, a nominated supervisor or a staff member of, or a volunteer at, an approved education and care service; or
- (b) a family day care educator.

Note: This section differs from section 206 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 206 amended: No. 18 of 2018 s. 75.]

Division 4 — Offences relating to enforcement

207. Offence to obstruct authorised officer

A person must not obstruct an authorised officer in exercising his or her powers under this Law.

Penalty: \$8 000, in the case of an individual.

\$40 000, in any other case.

208. Offence to fail to assist authorised officer

A person must not —

- (a) refuse to answer a question lawfully asked by an authorised officer (other than a question asked under section 197(2)(e)); or
- (b) refuse to provide information or produce a document lawfully required by an authorised officer; or

- (c) fail to comply with a requirement made by an authorised officer under clause 5(2)(f) or (g) of Schedule 2.

Penalty: \$8 000, in the case of an individual.

\$40 000, in any other case.

Note: This section differs from section 208 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

209. Offence to destroy or damage notices or documents

A person must not, without lawful authority, destroy or damage any notice or document given or prepared or kept under this Law.

Penalty: \$8 000, in the case of an individual.

\$40 000, in any other case.

210. Offence to impersonate authorised officer

A person must not impersonate an authorised officer.

Penalty: \$8 000.

211. Protection against self-incrimination

- (1) An individual may refuse or fail to give information or do any other thing that the individual is required to do by or under this Law if giving the information or doing the thing might incriminate the individual.
- (2) However, subsection (1) does not apply to —
- (a) the production of a document or part of a document that is required to be kept under this Law; or
 - (b) the giving of the individual's name or address in accordance with this Law; or
 - (c) anything required to be done under section 215 or 216.
- (3) Any document referred to in subsection (2)(a) that is produced by an individual or any information obtained directly or indirectly from that document produced by an individual is not admissible in evidence against the individual in any criminal proceedings (except for criminal proceedings under this Law) or in any civil proceedings.

212. Warning to be given

- (1) Before requiring a person to answer a question or provide information or a document under this Part or Schedule 2, an authorised officer must —
 - (a) identify himself or herself to the person as an authorised officer by producing the officer's identity card; and
 - (b) warn the person that a failure to comply with the requirement or to answer the question, without reasonable excuse, would constitute an offence; and
 - (c) in the case of an individual, warn the person about the effect of section 211.
- (2) Nothing in this section prevents an authorised officer from obtaining and using evidence given to the authorised officer voluntarily by any person.
- (3) This section does not apply to a request made under section 197.

213. Occupier's consent to search

- (1) An occupier who consents in writing to the entry and inspection of his or her premises under Division 2 must be given a copy of the signed consent immediately.
- (2) If, in any proceeding, a written consent is not produced to the court, it must be presumed until the contrary is proved that the occupier did not consent to the entry and search.

Division 5 — Powers of Regulatory Authority

214. Powers of Regulatory Authority to obtain information for rating purposes

The Regulatory Authority may, for the purpose of a rating assessment —

- (a) ask the approved provider of the approved education and care service for any information and documents; and
- (b) make any inquiries it considers appropriate.

215. Power of Regulatory Authority to obtain information, documents and evidence by notice

- (1) This section applies if the Regulatory Authority reasonably suspects that an offence has or may have been committed against this Law.
- (2) The Regulatory Authority may, by written notice, require a person —
 - (a) to provide to the Regulatory Authority, in writing signed by that person or, if the person is not an individual, by a competent officer of that person, within the time and in the manner specified in the notice, any relevant information that is specified in the notice; or
 - (b) to produce to the Regulatory Authority, or to a person specified in the notice acting on the Regulatory Authority's behalf, in accordance with the notice, any relevant document referred to in the notice; or
 - (c) to appear before the Regulatory Authority, or a person specified in the notice acting on the Regulatory Authority's behalf, at a time and place specified in the notice to give any evidence or to produce any relevant document specified in the notice.
- (3) The notice must —
 - (a) warn the person that failure or refusal to comply with the notice would constitute an offence; and
 - (b) warn the person about the effect of sections 217, 218 and 219.
- (4) The Regulatory Authority or the person specified in the notice acting on the Regulatory Authority's behalf may require the evidence referred to in subsection (2)(c) to be given on oath or affirmation and for that purpose may administer an oath or affirmation.
- (5) The person may give evidence under subsection (2)(c) by telephone or video conference or other electronic means unless the Regulatory Authority, on reasonable grounds, requires the person to give that evidence in person.

Note: This section differs from section 215 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

216. Power of Regulatory Authority to obtain information, documents and evidence at education and care service

- (1) This section applies if the Regulatory Authority reasonably suspects that an offence has or may have been committed against this Law.
- (2) The Regulatory Authority may require a person at an education and care service —
 - (a) to provide the Regulatory Authority, or a person acting on the Regulatory Authority's behalf, with any specified information that is relevant to the suspected offence; or
 - (b) to produce to the Regulatory Authority, or to a person acting on the Regulatory Authority's behalf, any specified document that is relevant to the suspected offence.
- (3) The Regulatory Authority must —
 - (a) warn the person that failure or refusal to comply with the requirement would constitute an offence; and
 - (b) warn the person about the effect of sections 217, 218 and 219.
- (4) The Regulatory Authority must not require a person to remain at the education and care service more than a reasonable time for the purposes of providing information or producing documents under subsection (2).

Note: This section differs from section 216 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

217. Offence to fail to comply with notice or requirement

A person must not refuse or fail to comply with a requirement under section 215 or 216 to the extent that the person is capable of complying with that requirement.

Penalty: \$8 000, in the case of an individual.

\$40 000, in any other case.

218. Offence to hinder or obstruct Regulatory Authority

A person must not obstruct or hinder the Regulatory Authority in exercising a power under section 215 or 216.

Penalty: \$8 000, in the case of an individual.

\$40 000, in any other case.

219. Self-incrimination not an excuse

- (1) A person is not excused from complying with a notice or requirement under section 215 or 216 on the ground that complying with the notice or requirement may result in information being provided that might tend to incriminate the person.
- (2) Subject to subsection (3), disclosed information is not admissible in evidence against the individual in any disciplinary proceedings or in any criminal proceedings (other than proceedings under section 218 or 295) or in any civil proceedings.
- (3) Despite subsection (2), any information obtained from a document or documents required to be kept under this Law, or required under section 215(2)(b) or 216(2)(b), that is produced by a person is admissible in evidence against the person in disciplinary proceedings or in criminal proceedings under this Law.
- (4) In this section —
disclosed information means —
 - (a) the answer by an individual to any question asked under section 215 or 216; or
 - (b) the provision by an individual of any information in compliance with section 215 or 216; or
 - [(c) this national law provision does not apply as a law of WA.]*

Note: This section differs from section 219 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

Part 10 — Ministerial Council

220. Functions of Ministerial Council

- (1) The Ministerial Council has the following functions under this Law —
 - (a) to oversee the implementation and administration of the National Quality Framework;
 - (b) to promote uniformity in the application and enforcement of the National Quality Framework;

- (c) to review and approve the National Quality Standard and set specific standards for education and care services and classes of education and care services;
 - (d) to review and approve the rating level system to be used in rating education and care services;
 - (e) to review and approve the fee structure under this Law;
 - (f) to review and approve new learning frameworks for the purposes of this Law;
 - (g) to monitor the implementation and operation of, and recommend or approve amendments to, this Law;
 - (h) to monitor the implementation and operation of the national regulations;
 - (i) to review the education and care services to which this Law applies and recommend, or amend the national regulations to provide for, the inclusion of new classes of education and care services under this Law;
 - (j) to appoint the members of the Board;
 - (k) to monitor and review the performance of the National Authority;
 - (l) any other functions given to the Ministerial Council by or under this Law.
- (2) The Ministerial Council must have regard to the objectives and guiding principles of the National Quality Framework in carrying out its functions.

221. Powers of Ministerial Council

The Ministerial Council may —

- (a) make recommendations to the Board in relation to the exercise of the National Authority's functions under this Law; and
- (b) refer any matter to the Board for consideration and advice; and
- [(c) this national law provision does not apply as a law of WA.]*

- (d) do anything necessary or convenient to be done in carrying out its functions.

Note: This section differs from section 221 of the national law as set out in the Schedule to *the Education and Care Services National Law Act 2010* (Victoria).

222. Directions

- (1) The Ministerial Council may give directions to the Board in relation to the carrying out of the National Authority's functions under this Law, including the following —
- (a) reporting and accountability to the Ministerial Council and Regulatory Authorities;
 - (b) the application of the National Quality Framework;
 - (c) the rating level system to be used in rating education and care services;
 - (d) the collection and use of information.
- (2) The Ministerial Council may give directions to a Regulatory Authority with respect to the administration of the National Quality Framework.
- (3) A direction under this section cannot be about —
- (a) a particular person or education and care service; or
 - (b) a particular application, approval, notification, assessment or proceeding; or
 - (c) the determination of a rating for a particular education and care service.
- (4) A direction must be in writing.
- (5) A direction must not be inconsistent with this Law.
- (6) A direction is not a legislative instrument or an instrument of a legislative character.
- (7) A direction to the Board under this section must be given to the Chairperson of the Board.

- (c) to report to the Regulatory Authorities and the relevant Commonwealth Department in relation to the following —
 - (i) the collection of information under this Law;
 - (ii) the evaluation of the National Quality Framework;
- (d) to establish consistent, effective and efficient procedures for the operation of the National Quality Framework;
- (e) to determine the arrangements for national auditing for the purposes of this Law;
- (f) to keep national information on the assessment, rating and regulation of education and care services;
- (g) to establish and maintain national registers of approved providers and approved education and care services and to publish those registers;
- (h) to promote and foster continuous quality improvement by approved education and care services;
- (i) to publish, monitor and review ratings of approved education and care services;
- (j) to make determinations with respect to the highest level of rating for approved education and care services;
- (k) in conjunction with the Regulatory Authorities, to educate and inform education and care services and the community about the National Quality Framework;
- (l) to publish guides and resources —
 - (i) to support parents, family members and the community in understanding quality in relation to education and care services; and
 - (ii) to support the education and care services sector in understanding the National Quality Framework;
- (m) to publish information about the implementation and administration of the National Quality Framework and its effect on developmental and educational outcomes for children;
- (n) to publish practice notes and guidelines for the application of this Law;
- (o) to provide support and training for staff of Regulatory Authorities;

- (a) collect, hold and use information obtained under this Law by the National Authority or a Regulatory Authority about the provision of education and care to children including information about outcomes for children and about providers of education and care services in each participating jurisdiction; and

Note: See section 270, which provides for the National Authority to publish information about approved providers.

- (b) develop protocols for communication and dispute resolution among the National Authority, the Regulatory Authorities and the relevant Commonwealth Department to provide for consistency in the implementation and administration of the National Quality Framework; and
- (c) collect, waive, reduce, defer and refund fees and enter into agreements in relation to fees in accordance with the national regulations; and
- (d) enter into agreements in relation to fees and funding with the Regulatory Authorities; and
- (e) undertake research and evaluation activities for the purpose of its functions under this Law.

228. Co-operation with participating jurisdictions and Commonwealth

- (1) The National Authority may exercise any of its functions in co-operation with or with the assistance of a participating jurisdiction or the Commonwealth, including in co-operation with or with the assistance of any of the following —
 - (a) a government agency of a participating jurisdiction or of the Commonwealth; or
 - (b) an educational body or other body established by or under a law of a participating jurisdiction or the Commonwealth; or
 - (c) a prescribed body or body in a prescribed class of bodies.
- (2) In particular, the National Authority may —
 - (a) ask a person or body referred to in subsection (1) for information that the Authority requires to carry out its functions under this Law; and
 - (b) use the information to carry out its functions under this Law.

- (4) The Board must ensure that the National Authority carries out its functions and duties and exercises its powers in a proper, effective and efficient way.
- (5) The Board has any other functions given to it under this Law.
- (6) Any report to the Ministerial Council under this Law is to be made by or through the Board.
- (7) The Board must act in accordance with any directions given to the National Authority by the Ministerial Council under section 222.
- (8) The Board must work collaboratively with the Regulatory Authorities and the relevant Commonwealth Department to support and promote the National Quality Framework.

232. Membership of Board

- (1) The Board consists of up to 13 members appointed by consensus of the Ministerial Council.
- (2) The Ministerial Council must appoint by consensus one person to be the Chairperson of the Board.
- (3) One member is to be appointed for each State and Territory from 2 persons nominated by each State or Territory Minister on the Ministerial Council.
- (4) The Commonwealth Minister may nominate up to 8 persons for appointment to the Board.
- (5) Four of the persons nominated under subsection (4) must be appointed to the Board.
- (6) The Ministerial Council must appoint by consensus one of the members referred to in subsection (3) or (5) to be the Deputy Chairperson of the Board.
- (7) The members appointed to the Board must have professional skills and expertise in one or more of the following areas —
 - (a) assessment of quality in education and care services or other relevant services;
 - (b) early childhood development;
 - (c) labour market and workforce participation and development;

- (d) in the case of the Chairperson of the Board, is absent, without leave first being granted by the Chairperson of the Ministerial Council, from 3 or more consecutive meetings of the Board; or
 - (e) in the case of any other member, is absent, without leave first being granted by the Chairperson of the Board, from 3 or more consecutive meetings of the Board of which reasonable notice has been given to the member personally or by post; or
 - (f) dies.
- (2) The Chairperson of the Ministerial Council may remove a member of the Board from office if —
- (a) the member has been found guilty of an offence (whether in a participating jurisdiction or elsewhere) that, in the opinion of the Chairperson of the Ministerial Council, renders the member unfit to continue to hold the office of member; or
 - (b) the member becomes bankrupt, applies to take the benefit of any law for the relief of bankrupt or insolvent debtors, compounds with the member's creditors or makes an assignment of the member's remuneration for their benefit; or
 - (c) the Board recommends the removal of the member, on the basis that the member has engaged in misconduct or has failed, or is unable, to properly carry out the member's functions as a member.
- (3) The Chairperson of the Board may resign by written notice addressed to the Chairperson of the Ministerial Council.
- (4) A resignation takes effect on the day that it is received by the Chairperson of the Board or the Chairperson of the Ministerial Council (as the case requires) or a later day specified in the notice of resignation.
- (5) If the office of a member of the Board becomes vacant, the Chairperson of the Board must notify the Chairperson of the Ministerial Council of the vacancy.
- (6) If the office of the Chairperson of the Board becomes vacant, the Deputy Chairperson of the Board must notify the Chairperson of the Ministerial Council of the vacancy.

236. Acting positions

- (1) The Deputy Chairperson of the Board is to act as the Chairperson of the Board —
 - (a) during a vacancy in the office of Chairperson; or
 - (b) during any period that the Chairperson —
 - (i) is absent from duty; or
 - (ii) is, for any reason, unable to carry out the duties of that office.
- (2) While the Deputy Chairperson of the Board is acting in the office of Chairperson —
 - (a) he or she has all the powers and functions of the Chairperson; and
 - (b) this Law and other laws apply to the Deputy Chairperson as if he or she were Chairperson.
- (3) Anything done by or in relation to the Deputy Chairperson when purporting to act in the office of Chairperson is not invalid merely because the occasion for the Deputy Chairperson to act had not arisen or had ceased.
- (4) The Ministerial Council may, by consensus, appoint a member of the Board to be the Deputy Chairperson of the Board —
 - (a) during a vacancy in the office of Deputy Chairperson; or
 - (b) during any period that the Deputy Chairperson —
 - (i) is acting as the Chairperson; or
 - (ii) is absent from duty; or
 - (iii) is, for any reason, unable to carry out the duties of that office.
- (5) A person nominated by a Minister on the Ministerial Council may, with the approval of the Chairperson of the Board, act as a member of the Board in the place of the member appointed on the nomination of that Minister if that member is unable to attend a meeting of the Board.

237. Leave of absence

- (1) The Chairperson of the Ministerial Council may grant the Chairperson of the Board a leave of absence on the terms and conditions determined by the Chairperson of the Ministerial Council.
- (2) The Chairperson of the Board may grant leave of absence to any other member of the Board on the terms and conditions determined by the Chairperson of the Board.
- (3) The Chairperson of the Board must notify the Chairperson of the Ministerial Council if the Chairperson of the Board grants to a member a leave of absence of more than 6 months.
- (4) If a member of the Board has been granted a leave of absence of 3 months or more, the Ministerial Council may appoint a person nominated by the Minister who nominated the member to act as a member of the Board in the place of the member during that leave of absence.

238. Disclosure of conflict of interest

- (1) If —
 - (a) a member of the Board has a direct or indirect pecuniary or other interest in a matter being considered or about to be considered at a meeting of the Board; and
 - (b) the interest appears to raise a conflict with the proper performance of the member's duties in relation to the consideration of the matter —

the member must, as soon as possible after the relevant facts have come to the member's knowledge, disclose the nature of the interest at a meeting of the Board.

- (2) Particulars of any disclosure made under subsection (1) must be recorded by the Board.
- (3) After a member has disclosed the nature of an interest in any matter, the member must not, unless the Ministerial Council or the Board otherwise determines —
 - (a) be present during any deliberation of the Board with respect to the matter; or

- (c) one may be a member appointed on the nomination of the Commonwealth Minister.

241. Chief executive officer may attend meetings of the Board

- (1) The chief executive officer of the National Authority, subject to the policies and procedures of the Board, may attend meetings of the Board and participate in its deliberations but —
 - (a) is not a member of the Board; and
 - (b) is not entitled to vote at a meeting of the Board.
- (2) Section 238 applies to the chief executive officer in relation to attendance at meetings of the Board as if the chief executive officer were a member of the Board.

242. Presiding member

The Chairperson (or, in the absence of the Chairperson, the Deputy Chairperson) is to preside at a meeting of the Board.

243. Voting

- (1) At a meeting of the Board each member will have a deliberative vote.
- (2) A decision supported by a majority of the votes cast at the meeting of the Board at which a quorum is present is the decision of the Board.
- (3) In the event of an equality of votes the Chairperson (or the Deputy Chairperson if the Chairperson is not present), will have a second or casting vote.
- (4) The Board must keep a record of all decisions made at a meeting.
- (5) If a decision of the Board to recommend a matter to the Ministerial Council is not arrived at unanimously, the Chairperson of the Board must advise the Ministerial Council of the reasons for and extent of the minority opinions.

244. Defects in appointment of members

A decision of the Board is not invalidated by any defect or irregularity in the appointment of any member (or acting member) of the Board.

delegated function, power or duty to a member of staff of the entity or department.

- (3) The chief executive officer of the National Authority may subdelegate a delegated power, function or duty to a member of the staff of the National Authority.

247. Committees

- (1) The Board may establish committees to assist it in carrying out its functions.
- (2) The Board must determine —
 - (a) the membership and functions of a committee; and
 - (b) the procedure at or in relation to meetings of the committee including —
 - (i) the convening of meetings; and
 - (ii) the quorum for meetings; and
 - (iii) the selection of a committee member to be the chairperson of the committee; and
 - (iv) the manner in which questions arising at meetings of the committee are to be decided; and
 - (c) the procedures for reporting to the Board.
- (3) A committee must give the Board any reports, documents and information relating to the committee's functions and activities that the Board requests.
- (4) The Board must report to the Ministerial Council on any committees it establishes.

Subdivision 4 — Chief executive officer of the National Authority

248. Chief executive officer

- (1) Subject to this section, the Chairperson of the Board is to appoint a person as chief executive officer of the National Authority.
- (2) The appointment may only be made after the Ministerial Council has first endorsed the appointment on the recommendation of the Board.

- (6) The chief executive officer of the National Authority is taken, while holding that office, to be a member of the staff of the National Authority.

251. Remuneration

The chief executive officer is to be paid the remuneration and allowances decided by the Board. —

252. Vacancy in office

The office of the chief executive officer of the National Authority becomes vacant if —

- (a) the chief executive officer resigns from office by written notice addressed to the Chairperson of the Board; or
- (b) the appointment of the chief executive officer is terminated by the Board under this Law; or
- (c) the chief executive officer dies.

253. Resignation

- (1) The chief executive officer may resign the office by written notice addressed to the Chairperson of the Board.
- (2) The resignation takes effect on the day that it is received by the Chairperson of the Board or a later day specified in the notice of resignation.
- (3) The Chairperson of the Board must notify the Ministerial Council of that resignation.

254. Termination of appointment

- (1) The Board may terminate the appointment of the chief executive officer —
 - (a) for misconduct or for physical or mental incapacity that significantly impacts on the ability of the chief executive officer to perform the role; or
 - (b) if the Board is satisfied that the performance of the chief executive officer has been unsatisfactory; or

personal or pecuniary interest that the chief executive officer has, or acquires, or may acquire, that conflicts or could conflict with the proper carrying out of the chief executive officer's functions.

Subdivision 5 — Staff, consultants and contractors

257. Staff of National Authority

- (1) The National Authority may, for the purpose of carrying out its functions, employ staff.
- (2) The staff of the National Authority are to be employed on the terms and conditions determined by the National Authority from time to time.
- (3) Subsection (2) is subject to any relevant industrial award or agreement that applies to the staff.

258. Staff seconded to National Authority

The National Authority may, in consultation with the relevant Regulatory Authority or the relevant Commonwealth Department, make arrangements for the secondment of staff.

259. Consultants and contractors

- (1) The National Authority may engage persons with suitable qualifications and experience as consultants or contractors.
- (2) The terms and conditions of engagement of consultants or contractors are as decided by the National Authority from time to time.

Part 12 — Regulatory Authority

260. Functions of Regulatory Authority

The Regulatory Authority has the following functions under this Law in relation to this jurisdiction —

- (a) to administer the National Quality Framework;
- (b) to assess approved education and care services against the National Quality Standard and the national regulations and determine the ratings of those services;
- (c) to monitor and enforce compliance with this Law;

- (d) to receive and investigate complaints arising under this Law;
- (e) in conjunction with the National Authority and the relevant Commonwealth Department, to educate and inform education and care services and the community in relation to the National Quality Framework;
- (f) to work in collaboration with the National Authority to support and promote continuous quality improvements in education and care services;
- (g) to undertake information collection, review and reporting for the purposes of —
 - (i) the regulation of education and care services; and
 - (ii) reporting on the administration of the National Quality Framework; and
 - (iii) the sharing of information under this Law;
- (h) any other functions conferred on the Regulatory Authority under this Law.

261. Powers of Regulatory Authority

- (1) The Regulatory Authority has the power to do all things that are necessary or convenient to be done for, or in connection with, or that are incidental to the carrying out of its functions under this Law.
- (2) Without limiting subsection (1), the Regulatory Authority has the following powers under this Law in relation to this jurisdiction —
 - (a) to collect, hold and use information obtained under this Law by the Regulatory Authority or the National Authority about the provision of education and care to children including information about outcomes for children and information about providers of education and care services in each participating jurisdiction;
 - (b) subject to the *Privacy Act 1988* of the Commonwealth, to collect, hold and use information about providers of education and care services, family day care educators and nominated supervisors;
 - (c) to maintain and publish registers of approved providers and approved education and care services;

- (d) to publish information about the National Quality Framework, including ratings and prescribed information about compliance with this Law;
- (e) to collect, waive, reduce, defer and refund fees (including late payment fees) and enter into agreements in relation to fees;
- (f) to enter into agreements relating to fees and funding with the National Authority;
- (g) to exercise any other powers conferred on it by this Law.

[Section 261 amended: No. 18 of 2018 s. 78.]

262. Delegations

- (1) The Regulatory Authority may in writing delegate any of its functions and powers under this Law (other than this power of delegation) to —
 - (a) any person employed under a public sector law of this jurisdiction; or
 - (b) a prescribed person or a person in a prescribed class of persons.
- (2) A delegate of the Regulatory Authority must disclose to the Regulatory Authority, at the request of the Authority, any direct or indirect personal or pecuniary interest the delegate may have in relation to the delegated functions and powers.

Part 13 — Information, records and privacy

Division 1 — Privacy

263. Application of Commonwealth Privacy Act

- (1) The Privacy Act applies as a law of a participating jurisdiction for the purposes of the National Quality Framework.
- (2) For the purposes of subsection (1), the Privacy Act applies —
 - (a) as if a reference to the Office of the Privacy Commissioner were a reference to the Office of the National Education and Care Services Privacy Commissioner; and
 - (b) as if a reference to the Privacy Commissioner were a reference to the National Education and Care Services Privacy Commissioner; and

- (c) with any other modifications made by the national regulations.
- (3) Without limiting subsection (2)(c), the national regulations may —
 - (a) provide that the Privacy Act applies under subsection (1) as if a provision of the Privacy Act specified in the national regulations were omitted; or
 - (b) provide that the Privacy Act applies under subsection (1) as if an amendment to the Privacy Act made by a law of the Commonwealth, and specified in the national regulations, had not taken effect; or
 - (c) confer jurisdiction on a tribunal or court of a participating jurisdiction.
- (4) In this section —

Privacy Act means the *Privacy Act 1988* of the Commonwealth, as in force from time to time.

Division 2 — Application of Commonwealth FOI Act

264. Application of Commonwealth FOI Act

- (1) The FOI Act applies as a law of a participating jurisdiction for the purposes of the National Quality Framework.
- (2) For the purposes of subsection (1), the FOI Act applies —
 - (a) as if a reference to the Office of the Freedom of Information Commissioner were a reference to the Office of the National Education and Care Services Freedom of Information Commissioner; and
 - (b) as if a reference to the Freedom of Information Commissioner were a reference to the National Education and Care Services Freedom of Information Commissioner; and
 - (c) with any other modifications made by the national regulations.
- (3) Without limiting subsection (2), the national regulations may —
 - (a) provide that the FOI Act applies under subsection (1) as if a provision of the FOI Act specified in the national regulations were omitted; or

- (b) provide that the FOI Act applies under subsection (1) as if an amendment to the FOI Act made by a law of the Commonwealth, and specified in the national regulations, had not taken effect; or
 - (c) confer jurisdiction on a tribunal or court of a participating jurisdiction.
- (4) In this section —
FOI Act means the *Freedom of Information Act 1982* of the Commonwealth, as in force from time to time.

Division 3 — Application of New South Wales State Records Act

265. Application of State Records Act

- (1) The State Records Act applies as a law of a participating jurisdiction for the purposes of the National Quality Framework except to the extent that this Law applies to a Regulatory Authority and the records of a Regulatory Authority.
- (2) The national regulations may modify the State Records Act for the purposes of this Law.
- (3) Without limiting subsection (2), the national regulations may —
 - (a) provide that the State Records Act applies under subsection (1) as if a provision of the State Records Act specified in the national regulations were omitted; or
 - (b) provide that the State Records Act applies under subsection (1) as if an amendment to the State Records Act made by a law of New South Wales, and specified in the national regulations, had not taken effect; or
 - (c) confer jurisdiction on a tribunal or court of a participating jurisdiction.
- (4) In this section —
State Records Act means the *State Records Act 1998* of New South Wales, as in force from time to time.

to the National Authority and the relevant Commonwealth Department.

[268. Deleted: No. 18 of 2018 s. 79.]

269. Register of family day care educators, co-ordinators and assistants

- (1) The approved provider of a family day care service must keep a register at the principal office of the service that contains the prescribed information in respect of the following persons —
- (a) each family day care educator engaged by or registered with the service;
 - (b) each family day care co-ordinator employed or engaged by the service;
 - (c) each family day care educator assistant engaged by or registered with the service.

Penalty: \$4 000, in the case of an individual.
\$20 000, in any other case.

- (2) The approved provider must take reasonable steps to ensure that the information contained in the register is accurate.

Penalty: \$2 000.

- (3) The approved provider must provide any information on the register and any changes to the information on the register to the Regulatory Authority on request within 24 hours of the Regulatory Authority's request.

Penalty: \$4 000, in the case of an individual.
\$20 000, in any other case.

[Section 269 inserted: No. 18 of 2018 s. 80.]

Division 5 — Publication of information

270. Publication of information

- (1) The National Authority and the Regulatory Authority may publish the following information about approved providers, approved education and care services and nominated supervisors —

- (c) if the Regulatory Authority is satisfied that it is in the public interest to do so, a person with management or control of an education and care service; or
- (d) a person against whom disciplinary action has been taken.

Note: This section differs from section 270 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 270 amended: No. 18 of 2018 s. 81.]

Division 6 — Disclosure of information

271. Disclosure of information to other authorities

- (1) The National Authority may disclose information in respect of an education and care service for a purpose listed in subsection (4), to —
 - (a) a relevant Commonwealth Government Department; or
 - (b) any State or Territory Government Department; or
 - (c) any Commonwealth, State or Territory public authority; or
 - (d) any State or Territory local authority; or
 - (e) a Regulatory Authority of a participating jurisdiction.
- (2) The Regulatory Authority may disclose information in respect of an education and care service for a purpose listed in subsection (4), to —
 - (a) a relevant Commonwealth Government Department; or
 - (b) any State or Territory Government Department; or
 - (c) any Commonwealth, State or Territory public authority; or
 - (d) any State or Territory local authority; or
 - (e) a Regulatory Authority of another participating jurisdiction.
- (3) The National Authority, the Regulatory Authority and any Government Department, public authority or local authority may disclose information to each other in respect of an education and care service for a purpose listed in subsection (4).
- (4) The purposes for disclosure of information under this section are —
 - (a) the disclosure is reasonably necessary to promote the objectives of the national education and care services quality framework; or

- (b) the disclosure is for the purposes of enabling or assisting the other entity to perform or exercise any of its functions or powers under this Law; or
 - (c) the disclosure is for the purposes of research or the development of National, State or Territory policy with respect to education and care services; or
 - (d) the disclosure is for a purpose relating to the funding of education and care services; or
 - (e) the disclosure is for a purpose relating to the payment of benefits or allowances to persons using education and care services, provided the disclosure of information is not otherwise prohibited by law.
- (5) The Regulatory Authority must disclose to the Regulatory Authorities of other participating jurisdictions the suspension or cancellation of a working with children check, working with children card or teacher registration of a nominated supervisor of which it is notified under this Law.
- (6) The Regulatory Authority may disclose to the head of the government department responsible for the administration of a working with children law, any prohibition notice given under this Law as applying in any participating jurisdiction in respect of the person.
- (7) A disclosure of information under this section is subject to Division 1 and any protocol agreed for the purposes of this section by —
- (a) the National Authority, all participating jurisdictions and the Commonwealth; and
 - (b) subject to any protocol referred to in paragraph (a), the National Authority and the Regulatory Authority, or the National Authority, the Regulatory Authority and any Regulatory Authority of another participating jurisdiction.
- (8) Information disclosed under this section for the purpose of research or the development of National, State or Territory policy with respect to education and care services must not include information that could identify or lead to the identification of an individual other than —
- (a) an approved provider or a nominated supervisor; or

- (b) a family day care educator who has been suspended from providing education and care to children as part of a family day care service; or
- (c) a person to whom a prohibition notice applies; or
- (d) a person who is being prosecuted for an offence against this Law.

[Section 271 inserted: No. 18 of 2018 s. 82.]

272. Disclosure of information to education and care services

- (1) At the request of an approved provider, the National Authority or the Regulatory Authority may disclose the following information to the provider, if the National Authority or Regulatory Authority considers on reasonable grounds that the provider requires the information to comply with the provider's obligations under this Law —
 - (a) whether a person named in the request is subject to a prohibition notice given under section 182;
 - (b) whether a family day care educator named in the request has been suspended from providing education and care to children as part of a family day care service under section 178.
- (2) A disclosure of information under this section is subject to Division 1 and any protocol agreed for the purposes of this section by —
 - (a) the National Authority, all participating jurisdictions and the Commonwealth; and
 - (b) subject to any protocol referred to in paragraph (a), the National Authority and the Regulatory Authority, or the National Authority, the Regulatory Authority and any Regulatory Authority of another participating jurisdiction.

[Section 272 inserted: No. 18 of 2018 s. 82.]

273. Duty of confidentiality

- (1) An individual who is, or who has been, a person exercising functions under this Law must not disclose to another person protected information.

Penalty: \$5 000.

- (2) However, subsection (1) does not apply if —
- (a) the information is disclosed in the exercise of a function under, or for the purposes of, or in accordance with, this Law; or
 - (b) the disclosure is authorised or required by any law of a participating jurisdiction, or is otherwise required or permitted by law; or
 - (c) the disclosure is with the agreement of the person to whom the information relates; or
 - (d) the information relates to proceedings before a court or tribunal and the proceedings are or were open to the public; or
 - (e) the information is, or has been accessible to the public, including because it was published for the purposes of, or in accordance with, this Law; or
 - (f) the disclosure is otherwise authorised by the Ministerial Council.
- (3) In this section —
- protected information*** means information —
- (a) that is personal to a particular individual and that identifies or could lead to the identification of the individual; and
 - (b) that comes to a person's knowledge in the course of, or because of, the person exercising functions under this Law.

Part 14 — Miscellaneous

Division 1 — Finance

274. Australian Children’s Education and Care Quality Authority Fund

- (1) The Australian Children’s Education and Care Quality Authority Fund is established.
- (2) The Authority Fund is a fund to be administered by the National Authority.
- (3) The National Authority may establish accounts with any financial institution for money in the Authority Fund.
- (4) The Authority Fund does not form part of the consolidated fund or consolidated account of a participating jurisdiction or the Commonwealth.

275. Payments into Authority Fund

There is payable into the Authority Fund —

- (a) all money provided by a participating jurisdiction or the Commonwealth for the purposes of the Fund; and
- (b) the proceeds of the investment of money in the Fund; and
- (c) all grants, gifts and donations made to the National Authority, but subject to any trusts declared in relation to the grants, gifts or donations; and
- (d) all money directed or authorised to be paid into the Fund by or under this Law, any law of a participating jurisdiction or any law of the Commonwealth; and
- (e) any other money and property prescribed by the national regulations; and
- (f) any other money or property received by the National Authority in connection with the exercise of its functions.

- (e) take any action necessary to ensure the preparation of accurate financial statements in accordance with Australian Accounting Standards for inclusion in its annual report; and
- (f) take any action necessary to facilitate the audit of those financial statements in accordance with this Law; and
- (g) arrange for any further audit by a qualified person of the books and records kept by the National Authority in relation to the Authority Fund, if directed to do so by the Ministerial Council.

Division 2 — Reporting

279. Annual report

- (1) The Board must, within 4 months after the end of each financial year, submit an annual report of the National Authority for the financial year to the Ministerial Council.
- (2) The annual report must include —
 - (a) an audited financial statement for the period to which the report relates; and
 - (b) a report about the National Authority's performance of its functions under this Law during the period to which the annual report relates; and
 - (c) an assessment of the implementation and administration of the National Quality Framework; and
 - (d) all directions given to the National Authority by the Ministerial Council and the Authority's response; and
 - (e) all directions given to the Regulatory Authorities by the Ministerial Council and the Regulatory Authorities' responses; and
 - (f) a report on any committees established by the Board; and
 - (g) any other matter determined by the Ministerial Council.
- (3) The financial statement is to be prepared in accordance with Australian Accounting Standards.
- (4) The financial statement is to be audited by a public sector auditor and a report is to be provided by the auditor.

- (5) The Ministerial Council may extend, or further extend, the period for submission of an annual report to the Council by a total period of up to 3 months.
- (6) In this section —
- public sector auditor* means —
- (a) the Auditor-General (however described) of a participating jurisdiction or the Commonwealth; or
 - (b) an auditor employed, appointed or otherwise engaged by an Auditor-General of a participating jurisdiction or the Commonwealth.

280. Tabling and publication of annual report

- (1) The Ministerial Council must make arrangements for the tabling of the annual report of the National Authority, and the report of the public sector auditor with respect to the financial statement in the report, in the Parliament of a participating jurisdiction determined by the Ministerial Council.
- (2) As soon as practicable after the annual report has been tabled in the Parliament determined under subsection (1), the National Authority must publish a copy of the report on its website.

281. Other reporting

- (1) The National Authority may make any reports to the Ministerial Council that it considers necessary in the performance of its functions.
- (2) The Chairperson of the Board must provide to the Ministerial Council any other reports and documents and information relating to the operations of the National Authority that the Ministerial Council requires.
- (3) The Chairperson of the Board may provide to the responsible Minister of a participating jurisdiction and the Commonwealth Minister any reports and documents and information relating to the operations of the National Authority that the responsible Minister requires.
- (4) The reports, documents and information referred to in subsection (2) must be provided within the time set by the Ministerial Council.

Division 3 — Application of Commonwealth Ombudsman Act

282. Application of Commonwealth Ombudsman Act

- (1) The Ombudsman Act applies as a law of a participating jurisdiction for the purposes of this Law except to the extent that this Law applies to a Regulatory Authority and the employees, decisions, actions and records of a Regulatory Authority.
- (2) For the purposes of subsection (1), the Ombudsman Act applies —
 - (a) as if a reference to the Commonwealth Ombudsman were a reference to the Education and Care Services Ombudsman; and
 - (b) with any other modifications made by the national regulations.
- (3) Without limiting subsection (2), the national regulations may —
 - (a) provide that the Ombudsman Act applies under subsection (1) as if a provision of the Ombudsman Act specified in the regulations were omitted; or
 - (b) provide that the Ombudsman Act applies under subsection (1) as if an amendment to the Ombudsman Act made by a law of the Commonwealth, and specified in the regulations, had not taken effect; or
 - (c) confer jurisdiction on a tribunal or court of a participating jurisdiction.
- (4) In this section —

Ombudsman Act means the *Ombudsman Act 1976* of the Commonwealth, as in force from time to time.

Division 4 — Legal proceedings

283. Who may bring proceedings for an offence?

- (1) The following persons may bring proceedings for an offence under this Law —
 - (a) the Regulatory Authority;
 - (b) a person authorised by the Regulatory Authority;
 - (c) a police officer.

- (2) In a proceeding for an offence against this Law or the regulations it must be presumed, in the absence of evidence to the contrary, that the person bringing the proceeding was authorised to bring it.

284. When proceedings may be brought

Subject to section 181(6), proceedings for an offence under this Law must be commenced within 2 years of the date of the alleged offence.

[Section 284 amended: No. 18 of 2018 s. 83.]

285. Offences by bodies corporate

- (1) If a body corporate commits an offence against this Law, any person with management or control of the body corporate who failed to exercise due diligence to prevent the contravention that is the subject of the offence also commits that offence and is liable to the penalty for that offence.
- (2) The penalty for an offence referred to in this section is the penalty applicable to an individual.

286. Application of Law to partnerships and eligible associations and other entities

- (1) If this Law would otherwise require or permit something to be done by a partnership, the thing may be done by one or more of the partners on behalf of the partnership.
- (2) If this Law would otherwise require or permit something to be done by an eligible association, the thing may be done by one or more of the members of the executive committee on behalf of the association.
- (3) If this Law would otherwise require or permit something to be done by a prescribed entity, the thing may be done by one or more of the persons with management or control of the entity on behalf of the entity.
- (4) An offence against this Law that would otherwise be committed by the partnership is taken to have been committed by each partner who is a person with management or control of the partnership.

- (5) An offence against this Law that would otherwise be committed by an eligible association is taken to have been committed by each person who is a person with management or control of the association.
- (6) An offence against this Law that would otherwise be committed by a prescribed entity is taken to have been committed by each person who is a person with management or control of that entity.
- (7) The penalty for an offence that is taken to be committed under this section is the penalty applicable to an individual.

287. Multiple holders of an approval

If more than one person holds a provider approval or service approval under this Law each holder of the approval is jointly and severally responsible for compliance with this Law.

288. Double jeopardy

If a person has been convicted or found guilty in another participating jurisdiction for an offence against this Law as it applies in that jurisdiction, proceedings cannot be brought in this jurisdiction against the same person in respect of an offence concerning the same subject-matter.

289. Immunity

- (1) A member of the Board of the National Authority, a committee of the Board or a Ratings Review Panel is not personally liable for anything done or omitted to be done in good faith —
 - (a) in the exercise of a power or the performance of a function under this Law; or
 - (b) in the reasonable belief that the action or omission was in the exercise of the power or the performance of the function under this Law.
- (2) Any liability resulting from an act or omission that would, but for subsection (1), attach to an individual referred to in that subsection attaches instead to the National Authority.

- (3) The Regulatory Authority (if an individual) or a member of the governing body of the Regulatory Authority is not personally liable for anything done or omitted to be done in good faith —
- (a) in the exercise of a power or the performance of a function under this Law; or
 - (b) in the reasonable belief that the action or omission was in the exercise of the power or the performance of the function under this Law.
- (4) Any liability resulting from an act or omission that would, but for subsection (3), attach to an individual referred to in that subsection attaches instead to the State.

290. Immunity — education law

- (1) This section applies if the Regulatory Authority becomes aware of misconduct by a registered teacher or other person who could be subject to disciplinary action under an education law of a participating jurisdiction.
- (2) The Regulatory Authority may refer the matter to the relevant disciplinary body under the education law.
- (3) If the Regulatory Authority refers a matter under subsection (2), a prosecution cannot be brought under this Law for an offence in relation to that matter.

291. Infringement offences

- (1) An authorised officer or other person authorised by the Regulatory Authority may serve an infringement notice on a person for a contravention of —
- (a) section 172, 173, 176 or 269; or
 - (b) offences against the national regulations that are prescribed for the purposes of this section.
- (2) The infringement penalty for an offence for which an infringement notice may be served on a person is the amount which is 10 per cent of the maximum penalty that could be imposed on the person in respect of that offence.
- (3) An infringement notice must be in the form prescribed or contain the information prescribed by the infringements law of this jurisdiction.

- (4) Subject to this section, the infringements law of this jurisdiction applies to infringement notices served under this section in this jurisdiction and for that purpose —
- (a) this law is taken to be a prescribed Act under Part 2 of the infringements law; and
 - (b) an offence against a provision referred to in subsection (1)(a) or prescribed under subsection (1)(b) is taken to be an offence prescribed under section 5(1) of the infringements law; and
 - (c) the infringement penalty referred to in subsection (2) for an offence is taken to be the modified penalty prescribed under the infringements law for that offence; and
 - (d) the matters to be prescribed under section 6 of the infringements law are to be prescribed in the national regulations.
- (5) The payment of an infringement penalty expiates the offence and is not to be considered in —
- (a) assessing whether a person is a fit and proper person to be involved in the provision of, or to be a supervisor of, an education and care service; or
 - (b) assessing an approved education and care service under Part 5.

Note: This section differs from section 291 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 291 amended: No. 18 of 2018 s. 84.]

292. Evidentiary certificates

A certificate purporting to be signed by the chief executive officer of the National Authority or by a Regulatory Authority and stating any of the following matters is prima facie evidence of the matter —

- (a) a stated document is one of the following things made, given, issued or kept under this Law —
 - (i) an appointment, approval or decision;
 - (ii) a notice, direction or requirement;
 - [(iii) deleted]*

- (iii) sending it by facsimile transmission to a facsimile number notified to the sender by the individual as an address at which service of notices under this Law will be accepted; or
 - (iv) sending it by email to an internet address notified to the sender by the individual as an address at which service of notices under this Law will be accepted; or
 - (b) on a person other than an individual by —
 - (i) leaving it at, or by sending it by post to, the address notified to the sender by the person as an address at which service of notices under this Law will be accepted or otherwise the address of the head office, a registered office or the principal place of business of the person; or
 - (ii) sending it by facsimile transmission to a facsimile number notified to the sender by the person as an address at which service of notices under this Law will be accepted; or
 - (iii) sending it by email to an internet address notified to the sender by the person as an address at which service of notices under this Law will be accepted.
- (2) Subsection (1) applies whether the word “deliver”, “give”, “notify”, “send” or “serve” or another expression is used.
- (3) Subsection (1) does not affect the power of a court or tribunal to authorise service of a notice otherwise than as provided in that subsection.

294. Service by post

If a notice authorised or required to be served (whether the word “deliver”, “give”, “notify”, “send” or “serve” or another expression is used) on a person is served by post, service of the notice —

- (a) may be effected by properly addressing, prepaying and posting a letter containing the document; and
- (b) in Australia or in an external Territory — is, unless evidence sufficient to raise doubt is adduced to the contrary, taken to have been effected on the fourth day after the letter was posted; and

serious detrimental action includes dismissal, involuntary transfer, loss of promotion and demotion.

297. Protection from reprisal

- (1) A person must not take serious detrimental action against a person in reprisal for a protected disclosure.

Penalty: \$10 000, in the case of an individual.
\$50 000, in any other case.

- (2) A person takes serious detrimental action in reprisal for a protected disclosure if —

(a) the person takes or threatens to take the action because —

(i) a person has made, or intends to make, a protected disclosure; or

(ii) the person believes that a person has made or intends to make the protected disclosure; or

(b) the person incites or permits another person to take or threaten to take the action for either of those reasons.

- (3) In determining whether a person takes serious detrimental action in reprisal, it is irrelevant whether or not a reason referred to in subsection (2) is the only or dominant reason as long as it is a substantial reason.

298. Proceedings for damages for reprisal

- (1) A person who takes serious detrimental action against a person in reprisal for a protected disclosure is liable in damages to that person.

- (2) The damages may be recovered in proceedings as for a tort in any court of competent jurisdiction.

- (3) Any remedy that may be granted by a court with respect to a tort, including exemplary damages, may be granted by a court in proceedings under this section.

- (4) The right of a person to bring proceedings for damages does not affect any other right or remedy available to the person arising from the serious detrimental action.

299. Application for injunction or order

A person who believes that serious detrimental action has been taken or may be taken against him or her in reprisal for a protected disclosure may apply to the superior court for —

- (a) an order requiring the person who has taken the serious detrimental action to remedy that action; or
- (b) an injunction.

300. Injunction or order

(1) If, on receipt of an application under section 299, the superior court is satisfied that a person has taken or intends to take serious detrimental action against a person in reprisal for a protected disclosure, the court may —

- (a) order the person who took the serious detrimental action to remedy that action; or
- (b) grant an injunction in any terms the court considers appropriate.

(2) The superior court, pending the final determination of an application under section 299, may —

- (a) make an interim order in the terms of subsection (1)(a); or
- (b) grant an interim injunction.

Division 8 — National regulations

301. National regulations

(1) The Governor may make regulations for the purposes of this Law.

(2) The national regulations may provide for any matter that is required or permitted to be prescribed or necessary or convenient to be prescribed for carrying out or giving effect to this Law.

(3) Without limiting subsection (1), the national regulations may provide for the following —

- (a) fees (including application fees and annual fees) for approvals and other things done under this Law;
- (b) the indexation of fees;

- (c) standards for education and care services;
- (d) requirements for educational programs, including the quality of those programs and their development, documentation and delivery;
- (e) requirements and standards to be complied with for the safety, health and wellbeing of children being educated and cared for by an education and care service;
- (f) requirements and standards to be complied with for safety, security, cleanliness, comfort, hygiene and repair of premises, outdoor spaces, fencing, gates, resources and equipment used for providing education and care services;
- (g) requirements and standards about the premises to be used to provide an education and care service including siting, design, layout, space, security and entitlement to occupy;
- (h) requirements and standards for the staffing of education and care services including the recruitment (and conduct of criminal history or other security checks) and the appointment of staff, performance improvement, professional standards, professional development, numbers and qualifications of educators (including minimum age and requirements concerning groups of children of different ages and composition) and staffing rosters and arrangements;
- (i) requirements and standards about educators' relationships with children, interactions and behaviour guidance and inclusion policies and practice for education and care services;
- (j) requirements and standards for partnerships between education and care services and the community in which they are located and the families of children being educated and cared for by education and care services, including requirements for services to link to other support services for children and families;
- (k) requirements and standards as to the leadership and management of education and care services including governance and fitness and propriety of all staff members and volunteers, management of grievances and complaints and the provision of information to families;

- (l) the records, policies and procedures to be kept by approved providers and family day care educators including enrolment and attendance information;
 - (m) requirements and standards about first aid and management of children's medical conditions including —
 - (i) the training of educators and staff members; and
 - (ii) plans, policies and procedures used to manage medical conditions and first aid; and
 - (iii) the keeping and storage of first aid kits and medications;
 - (n) information required to be submitted for applications made under this Law;
 - (o) requirements and standards for the provision and display of information by approved providers;
 - (p) the publication of information about enforcement actions taken under this Law, including notice and review of proposals to publish information;
 - (q) matters relating to the application of this Law to partnerships, eligible associations or prescribed entities;
 - (r) requirements relating to the receipt and payment and distribution of fees and monetary penalties payable under this Law.
- (4) The national regulations —
- (a) may be of a general or limited application; and
 - (b) may differ according to differences in time, place (including jurisdiction) or circumstances; and
 - (c) may differ according to the type or class of education and care service and the ages of children being educated and cared for by a service; and
 - (d) may exempt any education and care service or any type or class of education and care service from complying with all or any of the regulations; and
 - (e) may leave any matter or thing to be from time to time determined, applied, dispensed with or regulated by a Regulatory Authority; and

- (f) may apply, adopt or incorporate by reference any document either —
 - (i) as in force at the date the national regulations come into operation or at any date before then; or
 - (ii) wholly or in part or as amended by the national regulations; and
- (g) may impose penalties not exceeding \$2000 for offences against the national regulations.

Note: This section differs from section 301 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 301 amended: No. 18 of 2018 s. 86.]

302. Publication of national regulations

Note: Section 302 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria) does not apply as a law of WA.

303. Parliamentary scrutiny of national regulations

Note: Section 303 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria) does not apply as a law of WA.

304. Effect of disallowance of national regulation

Note: Section 304 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria) does not apply as a law of WA.

Part 15 — Transitional provisions

Division 1 — Introductory

305. Definitions

In this Part —

declared approved family day care service, in relation to a participating jurisdiction, means an education and care service that is declared by a law of that jurisdiction to be a declared approved family day care service for the purposes of this Law;

declared approved family day care venue, in relation to a participating jurisdiction, means a place other than a residence that is declared by a law of that jurisdiction to be a declared approved family day care venue for the purposes of this Law;

declared approved provider, in relation to a participating jurisdiction, means a person or a person in a class of persons declared by a law of that jurisdiction to be a declared approved provider for the purposes of this Law;

declared approved service, in relation to a participating jurisdiction, means an education and care service that is declared by a law of that jurisdiction to be a declared approved service for the purposes of this Law;

declared compliance notice, in relation to a participating jurisdiction, means an order or notice under a former education and care services law that is declared by a law of that jurisdiction to be a declared compliance notice for the purposes of this Law;

declared enforceable undertaking, in relation to a participating jurisdiction, means an undertaking entered into under a former education and care services law that is declared by a law of that jurisdiction to be a declared enforceable undertaking under this Law;

declared nominated supervisor, in relation to a participating jurisdiction, means a person or a person in a class of persons declared by a law of that jurisdiction to be a declared nominated supervisor for the purposes of this Law;

declared out of scope service, in relation to a participating jurisdiction, means an education and care service —

- (a) for which a former approval was not required under a former education and care services law; and
- (b) that is declared by a law of that jurisdiction to be a declared out of scope service for the purposes of this Law;

former approval, in relation to a participating jurisdiction, means an approval, licence or other authorisation under a former education and care services law that is declared by a law of that jurisdiction to be a former approval for the purposes of this Law;

scheme commencement day means the day on which Parts 2, 3 and 4 of this Law commence.

Note: This section differs from section 305 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 305 amended: No. 18 of 2018 s. 87.]

Division 2 — Education and care services

306. Approved provider

- (1) Any person who immediately before the scheme commencement day was a declared approved provider is taken to be an approved provider under this Law.
- (2) Subsection (1) does not apply if the declared approved provider is a prescribed ineligible person.
- (3) Subsection (1) does not apply to a declared approved provider whose former approval was suspended under the former education and care services law immediately before the scheme commencement day because the provider was not a fit and proper person (however described) to operate the declared approved service.
- (4) If a declared approved provider is a trust, the trustee or trustees of the trust are taken to be an approved provider under this Law.
- (5) The trust must, within 30 days after the scheme commencement day, notify the Regulatory Authority of the identity of the trustees of the trust.
- (6) If a notice is not given under subsection (5) within the required period, each trustee of the trust ceases to be an approved provider under this Law at the end of that period.
- (7) If a person is taken under this section to be an approved provider, the person is taken to be the holder of a provider approval for the purposes of this Law.
- (8) If a person is taken under this section to hold a provider approval, any conditions of the former approval relating to that person are taken to be conditions of the provider approval unless they are inconsistent with this Law.

(b) the service approval should be cancelled.

- (8) Any conditions imposed on the former approval under the former education and care services law are taken to be conditions on the service approval unless the conditions are inconsistent with this Law.
- (9) The Regulatory Authority must, on or before 30 June 2012*, provide each person who is taken under this section to hold a former approval in respect of a declared approved service with a copy of the service approval for the service setting out the relevant matters in section 52.

*~~†~~*Modified by regulations ² to apply for ~~W.A.~~WA as if amended to read 31 January 2013.*

308. Approved family day care venues

A declared approved family day care venue existing under a former education and care services law immediately before the scheme commencement day is taken on and after the scheme commencement day to be an approved family day care venue under this Law.

309. Approval of declared out of scope services

A person who operated a declared out of scope service immediately before the scheme commencement day is taken under this Law to hold a provider approval and a service approval for the declared out of scope service for the period from and including 1 January 2012* —

~~—————*Modified by regulations² to apply for W.A. as if amended to read 4 August 2012~~

(a) to 30 June 2012~~*,†~~, unless paragraph (b) applies; or

~~—————*Modified by regulations² to apply for W.A. as if amended to read 31 January 2013~~

(b) if the person applies under this Law to the Regulatory Authority for a provider approval and for a service approval and the applications are received by the Regulatory Authority on or before 30 June 2012~~*,†~~, to the date on which those applications are finally determined under this Law.

*~~†~~*Modified by regulations ² to apply for ~~W.A.~~WA as if amended to read 1 August 2012.*

~~—————†Modified by regulations ¹ to apply for WA as if amended to read 31 January 2013.~~

310. Application for service waiver or temporary waiver

- (1) This section applies to an education and care service that was exempt under a former education and care services law from a requirement of that law.
- (2) The education and care service is taken to comply with an equivalent requirement under this Law for the period from and including 1 January 2012* —

~~*Modified by regulations² to apply for W.A. as if amended to read 1 August 2012~~

- (a) to 31 March 2012^{*,†}, unless paragraph (b) applies; or

~~*Modified by regulations² to apply for W.A. as if amended to read 1 November 2012~~

- (b) if the provider of the education and care service applies to the Regulatory Authority under this Law for a temporary waiver or service waiver under this Law in respect of that requirement and the application is received by the Regulatory Authority on or before 31 March 2012^{*,†}, to the date on which the application is finally determined under this Law.

~~†*Modified by regulations²¹ to apply for W.A. WA as if amended to read 1 August 2012.~~

~~†Modified by regulations¹ to apply for WA as if amended to read 1 November 2012.~~

311. Existing applicants

- (1) This section applies if a person has made an application for a former approval under a former education and care services law in respect of an education and care service before the scheme commencement day.
- (2) The applicant is taken to be an applicant for a provider approval and a service approval under this Law.
- (3) The Regulatory Authority may —
 - (a) ask the applicant for more information; and
 - (b) inspect —
 - (i) the premises of the service and the offices of the applicant; and

- (ii) any documents relating to the applicant; and
 - (c) exercise any power under section 14 or section 46 in relation to the application.
- (4) This section does not apply if the applicant is a prescribed ineligible person.

312. Existing multiple approvals to merge

- (1) If the holder of a former approval held more than one former approval in respect of the same premises, the former approvals are taken to be one service approval in respect of those premises for the purposes of this Law.
- (2) This section does not apply to a former approval for a family day care service.
- (3) This section does not apply to a former approval to which section 311 applies.

313. Display of accreditation and rating

- (1) This section applies to a declared approved service that is taken under this Part to be an approved education and care service.
- (2) The approved provider must display the provisional rating of that approved education and care service in accordance with section 172 until a first rating assessment is completed and a rating level given to the service after that assessment is published under Part 5.
- (3) If the education and care service was accredited by the National Child Care Accreditation Council before the scheme commencement day, the approved provider of the education and care service must continue to display that accreditation at the service together with the provisional rating until a first rating assessment is completed and a rating (other than a provisional rating) given to the service after that assessment is published under Part 5.

314. Effect of non-compliance in 3 years before scheme commencement day

- (1) In determining whether to suspend or cancel under Part 2 a provider approval referred to in section 306, the Regulatory Authority —

- (a) may take into account any non-compliance by the approved provider with a former education and care services law that occurred in the period of 3 years immediately preceding the scheme commencement day; but
 - (b) must not suspend or cancel the provider approval solely on the basis of that non-compliance.
- (2) In determining whether to suspend or cancel under Part 3 a service approval referred to in section 307(1), the Regulatory Authority —
- (a) may take into account any non-compliance by the approved provider with a former education and care services law that occurred in the period of 3 years immediately preceding the scheme commencement day; but
 - (b) must not suspend or cancel the service approval solely on the basis of that non-compliance.

315. Certified supervisors

Note: Section 315 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria) does not apply as a law of WA.

[Section 315 inserted: No. 18 of 2018 s. 88]

316. Nominated supervisors

- (1) A person who immediately before the scheme commencement day was a declared nominated supervisor —
- (a) for a declared approved service that is taken under section 307 to be an approved education and care service; or
 - (b) for a declared out of scope service, the operator of which is taken under section 309 to hold a service approval for the service,
- is taken to be the nominated supervisor for that approved education and care service.
- (2) Subsection (1) ceases to apply if —
- (a) the approved provider does not confirm the nomination within a time specified by the Regulatory Authority after being requested in writing to do so by the Regulatory Authority; or

- (b) the person advises the Regulatory Authority in writing that the person does not consent to being the nominated supervisor of the education and care service.

Note: This section differs from section 316 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

317. Notices and undertakings

- (1) A declared compliance notice in force under a former education and care services law immediately before the scheme commencement day is taken on and after the scheme commencement day to be a compliance notice under this Law.
- (2) A declared enforceable undertaking in force under a former education and care services law immediately before the scheme commencement day is taken on and after the scheme commencement day to be an enforceable undertaking under this Law.

318. Offences

The Regulatory Authority may bring or continue a prosecution for any offence under a former education and care services law in relation to a service that is taken to be an education and care service.

Division 3 — National Authority

319. First meeting of National Authority

Despite section 239, the Ministerial Council is to convene the first meeting of the Board of the National Authority.

320. First chief executive officer of National Authority

- (1) Despite section 248, the first chief executive officer of the National Authority is to be appointed by the Chairperson of the Ministerial Council on the basis of a consensus recommendation of the Ministerial Council.
- (2) The appointment is to be on the remuneration and other terms and conditions set out in the appointment.
- (3) Any amount payable to the first chief executive officer under the appointment is payable from the Authority Fund.

321. First annual report of National Authority

Despite section 279, the first annual report of the National Authority —

- (a) is to be made within 4 months after the end of the financial year ending 30 June 2012; and
- (b) is to cover the period from the first meeting of the National Authority until 30 June 2012.

Division 4 — General

322. Information retention and sharing

- (1) The Regulatory Authority must, in accordance with the national regulations, keep all prescribed information held by the Regulatory Authority (or any regulatory body under the former education and care services law) in relation to —
 - (a) the licensing or approval of education and care services under the former education and care services law; and
 - (b) the monitoring and enforcement of the former education and care services law in relation to education and care services.
- (2) Information referred to in subsection (1) may be —
 - (a) used for information purposes under this Law; and
 - (b) held by the Regulatory Authority in any form; and
 - (c) made available to the Regulatory Authorities of other participating jurisdictions and the National Authority.
- (3) A provider of an education and care service existing immediately before the scheme commencement day must, in accordance with the national regulations —
 - (a) continue to keep all documents required under the former education and care services law to be kept in respect of the service; and
 - (b) make those documents available to the Regulatory Authority on request.

Penalty: \$4 000, in the case of an individual.
\$20 000, in any other case.

323. Approved learning framework

A declared approved learning framework is taken to be an approved learning framework under this Law.

324. Savings and transitional regulations

- (1) The national regulations may contain provisions (savings and transitional provisions) of a savings or transitional nature —
 - (a) consequential on the enactment of this Law in a participating jurisdiction; or
 - (b) to otherwise allow or facilitate the change from the operation of a former education and care services law of a participating jurisdiction to the operation of this Law.
- (2) Savings and transitional regulations may have retrospective operation to a day not earlier than the day on which section 1 of this Law commences.

Division 5 — Transitional provisions — *Education and Care Services National Law (WA) Amendment Act 2018*

[Heading inserted: No. 18 of 2018 s. 89.]

325. Definitions

In this Division —

2018 Act means the *Education and Care Services National Law (WA) Amendment Act 2018*;

commencement day means the day on which the *Education and Care Services National Law (WA) Amendment Act 2018* section 89 comes into operation;

repealed, in relation to a section, means the section as in force before the section was repealed by the 2018 Act.

Note: These definitions and the provisions of this Division that use the definitions differ from the definitions and provisions in this Division of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 325 inserted: No. 18 of 2018 s. 89.]

326. Approved family day care venues

- (1) In this section —

appointed day means the day that is 6 months after the commencement day;

formerly approved family day care venue means a family day care venue that, immediately before the commencement day, was an approved family day care venue.

- (2) Subject to subsection (3), a formerly approved family day care venue ceases to be an approved family day care venue on the appointed day unless, before the appointed day the Regulatory Authority, on application by the approved provider under section 54 (as amended by the 2018 Act), approves the venue as a family day care venue.
- (3) If, before the appointed day, the Regulatory Authority had not determined an application by an approved provider under section 54 (as amended by the 2018 Act) for approval of the venue, the formerly approved family day care venue continues to be an approved family day care venue until the application is determined.
- (4) Despite section 54(5), the Regulatory Authority must make a decision on an application made under section 54 for approval of a formerly approved family day care venue within 6 months of receiving the application.

[Section 326 inserted: No. 18 of 2018 s. 89.]

327. Declared approved family day care venues continue to be approved

Despite section 326, a declared approved family day care venue that was taken under section 308 to be an approved family day care venue continues, on and after the commencement day, to be an approved family day care venue for the purposes of this Law.

[Section 327 inserted: No. 18 of 2018 s. 89.]

328. Service approval condition requiring sufficient family day care co-ordinators

- (1) In this section —

appointed day means the day that is 12 months after the commencement day.

- (2) This section applies to a family day care service if, immediately before the commencement day —
- (a) the service was an approved family day care service; and
 - (b) the service approval included a condition referred to in section 51(2).
- (3) Despite the commencement of the 2018 Act, sections 51(2) and 163, as in force immediately before the commencement day, continue to apply to the approved family day care service until the appointed day, unless the Regulatory Authority earlier amends the service approval to impose a condition under section 55A.

[Section 328 inserted: No. 18 of 2018 s. 89.]

329. Service approval condition requiring minimum number of family day care co-ordinators

- (1) This section applies to a family day care service if, immediately before the commencement day —
- (a) the service was an approved family day care service; and
 - (b) the service approval included a condition requiring the service provide a specified minimum number of family day care co-ordinators.
- (2) Despite the commencement of the 2018 Act, section 163, as in force immediately before the commencement day, and the condition requiring the service provide a specified minimum number of family day care co-ordinators continue to apply to the approved family day care service until the condition is amended under section 54 or 55.

[Section 329 inserted: No. 18 of 2018 s. 89.]

330. Publication of information about certified supervisors

- (1) The National Authority may publish information about any enforcement action taken under this Law before the commencement day in relation to any person who was a certified supervisor before that day, including —
- (a) the prosecution of any offence; and
 - (b) the acceptance of an undertaking; and

- (ii) if the certificate was cancelled on the ground set out in repealed section 123(b) or (c) — a prohibition notice under section 182(1);
 - and
 - (b) the prohibition notice commences to have effect on the commencement day.
- (2) Subsection (3) applies if, before the commencement day —
- (a) the Regulatory Authority decides to cancel a person’s supervisor certificate under repealed section 125; and
 - (b) the Regulatory Authority gave the person a written notice under repealed section 127 of the decision under repealed section 125 to cancel the supervisor certificate; and
 - (c) the cancellation was in effect under repealed section 127 immediately before the commencement day.
- (3) The written notice becomes, on the commencement day —
- (a) if the supervisor certificate was cancelled under repealed section 123(a) — a prohibition notice under section 182(3)(a); or
 - (b) if the supervisor certificate was cancelled under repealed section 123(b) or (c) — a prohibition notice under section 182(1).
- (4) The Regulatory Authority must —
- (a) take reasonable steps to locate a person given a notice to which subsection (2)(a) or (b) applies; and
 - (b) if the Regulatory Authority is able to locate the person — give the person the information, in writing, that would be required to be given —
 - (i) if subsection (1)(a)(i) or (3)(a) applies — under section 185(2) and (3), if the notice were a prohibition notice given under section 182(3)(a); and

331. Evidentiary certificates

- (1) Despite the amendment of section 292 by the 2018 Act, a Regulatory Authority or the National Authority may continue to certify matters relating to any person who was a certified supervisor before the commencement day as if that section had not been amended.
- (2) This section expires on 31 December 2022.

Note: This section differs from section 331 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 331 inserted: No. 18 of 2018 s. 89.]

Division 6 — Further transitional provisions — *Education and Care Services National Law (WA) Amendment Act 2018*

[Heading inserted: No. 18 of 2018 s. 89.]

332. Definitions

In this Division —

2018 Act means the *Education and Care Services National Law (WA) Amendment Act 2018*;

commencement day means the day on which the *Education and Care Services National Law (WA) Amendment Act 2018* section 89 comes into operation.

Note: These definitions and the provisions of this Division that use the definitions differ from the definitions and provisions in this Division of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

[Section 332 inserted: No. 18 of 2018 s. 89.]

333. Partial assessment and re-rating

Note: Section 333 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria) does not apply as a law of WA.

[Section 333 inserted: No. 18 of 2018 s. 89.]

334. Application for highest rating level made before commencement day

- (1) This section applies if before the commencement day —
 - (a) an approved provider had applied for an education and care service to be assessed for the highest rating level under section 152; and
 - (b) the application had not been determined.
- (2) Despite its substitution by the 2018 Act, section 152(5), as in force immediately before the commencement day, continues to apply in respect of the application.
- (3) Section 153(2) applies for the purposes of the assessment of the approved education and care service, as if a reference —
 - (a) to the criteria published under subsection (1) were a reference to the criteria published under subsection (1) immediately before the commencement day; and
 - (b) to the National Quality Standard and the national regulations were a reference to the National Quality Standard and the national regulations as in force immediately before the commencement day.

[Section 334 inserted: No. 18 of 2018 s. 89.]

335. Highest rating level awarded before, or on application made before, commencement day

- (1) This section applies in relation to an approved education and care service that was awarded the highest rating level —
 - (a) before the commencement day; or
 - (b) after the commencement day on an application referred to in section 334.
- (2) Section 153(2) applies for the purposes of a reassessment under section 157 of the approved education and care service, as if a reference —
 - (a) to the criteria published under subsection (1) were a reference to the criteria published under subsection (1) immediately before the commencement day; and

- (b) to the National Quality Standard and the requirements of the national regulations were a reference to the National Quality Standard and the national regulations as in force immediately before the commencement day.
- (3) Despite its amendment by the 2018 Act, section 158 as in force immediately before the commencement day continues to apply to the revocation of the highest rating level and for the purposes of that section —
 - (a) the criteria to be met are the criteria published under section 153(1) immediately before the commencement day; and
 - (b) the overall rating level is to be determined in accordance with the National Quality Standard as in force immediately before the commencement day.

[Section 335 inserted: No. 18 of 2018 s. 89.]

- (3) Punctuation in this Law is part of this Law.
- (4) A heading to a section or subsection of this Law does not form part of this Law.
- (5) Notes included in this Law (including footnotes and endnotes) do not form part of this Law.

5. References to particular Acts and to enactments

In this Law —

- (a) an Act of this jurisdiction may be cited —
 - (i) by its short title; or
 - (ii) by reference to the year in which it was passed and its number; and
- (b) a Commonwealth Act may be cited —
 - (i) by its short title; or
 - (ii) in another way sufficient in a Commonwealth Act for the citation of such an Act;together with a reference to the Commonwealth; and
- (c) an Act of another jurisdiction may be cited —
 - (i) by its short title; or
 - (ii) in another way sufficient in an Act of the jurisdiction for the citation of such an Act;together with a reference to the jurisdiction.

6. References taken to be included in Act or Law citation etc

- (1) A reference in this Law to an Act includes a reference to —
 - (a) the Act as originally enacted, and as amended from time to time since its original enactment; and
 - (b) if the Act has been repealed and re-enacted (with or without modification) since the enactment of the reference — the Act as re-enacted, and as amended from time to time since its re-enactment.

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Schedule 1 Miscellaneous provision relating to interpretation

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laid before, or given to the members of, the Parliament of this jurisdiction by the member bringing in the Bill before the provision was enacted; and

- (f) the speech made to the Parliament of this jurisdiction by the member in moving a motion that the Bill be read a second time; and
- (g) material in the Votes and Proceedings of the Parliament of this jurisdiction or in any official record of debates in the Parliament of this jurisdiction; and
- (h) a document that is declared by this Law to be a relevant document for the purposes of this clause;

ordinary meaning means the ordinary meaning conveyed by a provision having regard to its context in this Law and to the purpose of this Law.

- (2) Subject to subclause (3), in the interpretation of a provision of this Law, consideration may be given to extrinsic material capable of assisting in the interpretation —
 - (a) if the provision is ambiguous or obscure — to provide an interpretation of it; or
 - (b) if the ordinary meaning of the provision leads to a result that is manifestly absurd or is unreasonable — to provide an interpretation that avoids such a result; or
 - (c) in any other case — to confirm the interpretation conveyed by the ordinary meaning of the provision.
- (3) In determining whether consideration should be given to extrinsic material, and in determining the weight to be given to extrinsic material, regard is to be had to —
 - (a) the desirability of a provision being interpreted as having its ordinary meaning; and
 - (b) the undesirability of prolonging proceedings without compensating advantage; and
 - (c) other relevant matters.

9. Effect of change of drafting practice and use of examples

If —

- (a) a provision of this Law expresses an idea in particular words; and
- (b) a provision enacted later appears to express the same idea in different words for the purpose of implementing a different legislative drafting practice, including, for example —
 - (i) the use of a clearer or simpler style; or
 - (ii) the use of gender-neutral language;

the ideas must not be taken to be different merely because different words are used.

10. Use of examples

If this Law includes an example of the operation of a provision —

- (a) the example is not exhaustive; and
- (b) the example does not limit, but may extend, the meaning of the provision; and
- (c) the example and the provision are to be read in the context of each other and the other provisions of this Law, but, if the example and the provision so read are inconsistent, the provision prevails.

11. Compliance with forms

- (1) If a form is prescribed or approved by or for the purpose of this Law, strict compliance with the form is not necessary and substantial compliance is sufficient.
- (2) If a form prescribed or approved by or for the purpose of this Law requires —
 - (a) the form to be completed in a specified way; or
 - (b) specified information or documents to be included in, attached to or given with the form; or
 - (c) the form, or information or documents included in, attached to or given with the form, to be verified in a specified way,

the form is not properly completed unless the requirement is complied with.

11A. Penalty at end of provision

In this Law, a penalty specified at the end of a provision indicates that a contravention of the provision is an offence the penalty on conviction for which is the penalty specified.

Note: Clause 11A does not form part of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

Part 3 — Terms and references

12. Definitions

(1) In this Law —

Act means an Act of the Legislature of this jurisdiction;

adult means an individual who is 18 or more;

affidavit, in relation to a person allowed by law to affirm, declare or promise, includes affirmation, declaration and promise;

amend includes —

- (a) omit or omit and substitute; or
- (b) alter or vary; or
- (c) amend by implication;

appoint includes reappoint;

Australia means the Commonwealth of Australia but, when used in a geographical sense, does not include an external Territory;

business day means a day that is not —

- (a) a Saturday or Sunday; or
- (b) a public holiday, special holiday or bank holiday in the place in which any relevant act is to be or may be done;

calendar month means a period starting at the beginning of any day of one of the 12 named months and ending —

- (a) immediately before the beginning of the corresponding day of the next named month; or
- (b) if there is no such corresponding day — at the end of the next named month;

calendar year means a period of 12 months beginning on 1 January;

external Territory means a Territory, other than an internal Territory, for the government of which as a Territory provision is made by a Commonwealth Act;

fail includes refuse;

financial year means a period of 12 months beginning on 1 July;

foreign country means a country (whether or not an independent sovereign State) outside Australia and the external Territories;

function includes a power, authority or duty;

Gazette means the *Government Gazette* of this jurisdiction;

gazetted means published in the *Gazette*;

Gazette notice means notice published in the *Gazette*;

Government Printer means the Government Printer of this jurisdiction, and includes any other person authorised by the Government of this jurisdiction to print an Act or instrument;

individual means a natural person;

information system means a system for generating, sending, receiving, storing or otherwise processing electronic communications;

insert, in relation to a provision of this Law, includes substitute;

instrument includes a statutory instrument;

interest, in relation to land or other property, means —

- (a) a legal or equitable estate in the land or other property; or
- (b) a right, power or privilege over, or in relation to, the land or other property;

internal Territory means the Australian Capital Territory, the Jervis Bay Territory or the Northern Territory;

Jervis Bay Territory means the Territory mentioned in the *Jervis Bay Territory Acceptance Act 1915* (Cwlth);

make includes issue or grant;

minor means an individual who is under 18;

modification includes addition, omission or substitution;

month means a calendar month;

named month means 1 of the 12 months of the year;

Northern Territory means the Northern Territory of Australia;

- (c) abrogate or limit the effect of this Law or instrument concerned; or
- (d) exclude from, or include in, the application of this Law or instrument concerned any person, subject matter or circumstance;

sign includes the affixing of a seal or the making of a mark;

statutory declaration means a declaration made under an Act, or under a Commonwealth Act or an Act of another jurisdiction, that authorises a declaration to be made otherwise than in the course of a judicial proceeding;

statutory instrument means an instrument (including a regulation) made or in force under or for the purposes of this Law, and includes an instrument made or in force under any such instrument;

swear, in relation to a person allowed by law to affirm, declare or promise, includes affirm, declare or promise;

word includes any symbol, figure or drawing;

writing includes any mode of representing or reproducing words in a visible form.

- (2) In a statutory instrument —
the Law means this Law.

13. Provisions relating to defined terms and gender and number

- (1) If this Law defines a word or expression, other parts of speech and grammatical forms of the word or expression have corresponding meanings.
- (2) Definitions in or applicable to this Law apply except so far as the context or subject matter otherwise indicates or requires.
- (3) In this Law, words indicating a gender include each other gender.
- (4) In this Law —
 - (a) words in the singular include the plural; and
 - (b) words in the plural include the singular.

- (b) to make a document containing the information available for inspection by a court, tribunal or person;

then, unless the court, tribunal or person otherwise directs —

- (c) the requirement obliges the person to produce or make available for inspection, as the case may be, a document that reproduces the information in a form capable of being understood by the court, tribunal or person; and
- (d) the production to the court, tribunal or person of the document in that form complies with the requirement.

18. References to this jurisdiction to be implied

In this Law —

- (a) a reference to an officer, office or statutory body is a reference to such an officer, office or statutory body in and for this jurisdiction; and
- (b) a reference to a locality or other matter or thing is a reference to such a locality or other matter or thing in and of this jurisdiction.

19. References to officers and holders of offices

In this Law, a reference to a particular officer, or to the holder of a particular office, includes a reference to the person for the time being occupying or acting in the office concerned.

20. Reference to certain provisions of Law

If a provision of this Law refers —

- (a) to a Part, section or Schedule by a number and without reference to this Law — the reference is a reference to the Part, section or Schedule, designated by the number, of or to this Law; or
- (b) to a Schedule without reference to it by a number and without reference to this Law — the reference, if there is only one Schedule to this Law, is a reference to the Schedule; or
- (c) to a Division, Subdivision, subsection, paragraph, subparagraph, sub-subparagraph, clause, subclause, item,

Part 4 — Functions and powers

22. Performance of statutory functions

- (1) If this Law confers a function or power on a person or body, the function may be performed, or the power may be exercised, from time to time as occasion requires.
- (2) If this Law confers a function or power on a particular officer or the holder of a particular office, the function may be performed, or the power may be exercised, by the person for the time being occupying or acting in the office concerned.
- (3) If this Law confers a function or power on a body (whether or not incorporated), the performance of the function, or the exercise of the power, is not affected merely because of vacancies in the membership of the body.

23. Power to make instrument or decision includes power to amend or repeal

If this Law authorises or requires the making of an instrument or decision —

- (a) the power includes power to amend or repeal the instrument or decision; and
- (b) the power to amend or repeal the instrument or decision is exercisable in the same way, and subject to the same conditions, as the power to make the instrument or decision.

24. Matters for which statutory instruments may make provision

- (1) If this Law authorises or requires the making of a statutory instrument in relation to a matter, a statutory instrument made under this Law may make provision for the matter by applying, adopting or incorporating (with or without modification) the provisions of —
 - (a) an Act or statutory instrument; or
 - (b) another document (whether of the same or a different kind);as in force at a particular time or as in force from time to time.
- (2) If a statutory instrument applies, adopts or incorporates the provisions of a document, the statutory instrument applies, adopts or incorporates

attached to or given with the form, to be verified by statutory declaration.

25. Presumption of validity and power to make

- (1) All conditions and preliminary steps required for the making of a statutory instrument are presumed to have been satisfied and performed in the absence of evidence to the contrary.
- (2) A statutory instrument is taken to be made under all powers under which it may be made, even though it purports to be made under this Law or a particular provision of this Law.

26. Appointments may be made by name or office

- (1) If this Law authorises or requires a person or body —
 - (a) to appoint a person to an office; or
 - (b) to appoint a person or body to exercise a power; or
 - (c) to appoint a person or body to do another thing;the person or body may make the appointment by —
 - (d) appointing a person or body by name; or
 - (e) appointing a particular officer, or the holder of a particular office, by reference to the title of the office concerned.
- (2) An appointment of a particular officer, or the holder of a particular office, is taken to be the appointment of the person for the time being occupying or acting in the office concerned.

27. Acting appointments

- (1) If this Law authorises a person or body to appoint a person to act in an office, the person or body may, in accordance with this Law, appoint —
 - (a) a person by name; or
 - (b) a particular officer, or the holder of a particular office, by reference to the title of the office concerned;to act in the office.
- (2) The appointment may be expressed to have effect only in the circumstances specified in the instrument of appointment.

- (3) The appointer may —
 - (a) determine the terms and conditions of the appointment, including remuneration and allowances; and
 - (b) terminate the appointment at any time.
- (4) The appointment, or the termination of the appointment, must be in, or evidenced by, writing signed by the appointer.
- (5) The appointee must not act for more than 1 year during a vacancy in the office.
- (6) If the appointee is acting in the office otherwise than because of a vacancy in the office and the office becomes vacant, then, subject to subclause (2), the appointee may continue to act until —
 - (a) the appointer otherwise directs; or
 - (b) the vacancy is filled; or
 - (c) the end of a year from the day of the vacancy;whichever happens first.
- (7) The appointment ceases to have effect if the appointee resigns by writing signed and delivered to the appointer.
- (8) While the appointee is acting in the office —
 - (a) the appointee has all the powers and functions of the holder of the office; and
 - (b) this Law and other laws apply to the appointee as if the appointee were the holder of the office.
- (9) Anything done by or in relation to a person purporting to act in the office is not invalid merely because —
 - (a) the occasion for the appointment had not arisen; or
 - (b) the appointment had ceased to have effect; or
 - (c) the occasion for the person to act had not arisen or had ceased.
- (10) If this Law authorises the appointer to appoint a person to act during a vacancy in the office, an appointment to act in the office may be made by the appointer whether or not an appointment has previously been made to the office.

28. Powers of appointment imply certain incidental powers

- (1) If this Law authorises or requires a person or body to appoint a person to an office —
- (a) the power may be exercised from time to time as occasion requires; and
 - (b) the power includes —
 - (i) power to remove or suspend, at any time, a person appointed to the office; and
 - (ii) power to appoint another person to act in the office if a person appointed to the office is removed or suspended; and
 - (iii) power to reinstate or reappoint a person removed or suspended; and
 - (iv) power to appoint a person to act in the office if it is vacant (whether or not the office has ever been filled); and
 - (v) power to appoint a person to act in the office if the person appointed to the office is absent or is unable to discharge the functions of the office (whether because of illness or otherwise).
- (2) The power to remove or suspend a person under subclause (1)(b) may be exercised even if this Law provides that the holder of the office to which the person was appointed is to hold office for a specified period.
- (3) The power to make an appointment under subclause (1)(b) may be exercised from time to time as occasion requires.
- (4) An appointment under subclause (1)(b) may be expressed to have effect only in the circumstances specified in the instrument of appointment.

29. Delegation of functions

- (1) If this Law authorises a person or body to delegate a function, the person or body may, in accordance with this Law and any other applicable law, delegate the function to —
- (a) a person or body by name; or

- (10) If —
- (a) the delegator is a body; and
 - (b) there is a change in the membership of the body;
- then —
- (c) the delegation continues in force; and
 - (d) the body as constituted for the time being is taken to be the delegator for the purposes of this clause.
- (11) If a function is delegated to a specified officer or the holder of a specified office —
- (a) the delegation does not cease to have effect merely because the person who was the specified officer or the holder of the specified office when the function was delegated ceases to be the officer or the holder of the office; and
 - (b) the function may be exercised by the person for the time being occupying or acting in the office concerned.
- (12) A function that has been delegated may, despite the delegation, be exercised by the delegator.
- (13) The delegation of a function does not relieve the delegator of the delegator's obligation to ensure that the function is properly exercised.
- (14) Subject to subclause (15), this clause applies to a subdelegation of a function in the same way as it applies to a delegation of a function.
- (15) If this Law authorises the delegation of a function, the function may be subdelegated only if the Law expressly authorises the function to be subdelegated.

30. Exercise of powers between enactment and commencement

- (1) If a provision of this Law (the empowering provision) that does not commence on its enactment would, had it commenced, confer a power —
- (a) to make an appointment; or
 - (b) to make a statutory instrument of a legislative or administrative character; or

- (d) any provision of the instrument that required an exercise of the additional instrument-making power is to be treated as made under subclause (2).
- (4) If an instrument, or a provision of an instrument, is made under subclause (1) or (2) that is necessary for the purpose of —
- (a) enabling the exercise of a power mentioned in the subclause; or
 - (b) bringing an appointment, instrument or other thing made or done under such a power into effect;
- the instrument or provision takes effect —
- (c) on the making of the instrument; or
 - (d) on such later day (if any) on which, or at such later time (if any) at which, the instrument or provision is expressed to take effect.
- (5) If —
- (a) an appointment is made under subclause (1) or (2); or
 - (b) an instrument, or a provision of an instrument, made under subclause (1) or (2) is not necessary for a purpose mentioned in subclause (4);
- the appointment, instrument or provision takes effect —
- (c) on the commencement of the relevant empowering provision; or
 - (d) on such later day (if any) on which, or at such later time (if any) at which, the appointment, instrument or provision is expressed to take effect.
- (6) Anything done under subclause (1) or (2) does not confer a right, or impose a liability, on a person before the relevant empowering provision commences.
- (7) After the enactment of a provision mentioned in subclause (2) but before the provision's commencement, this clause applies as if the references in subclauses (2) and (5) to the commencement of the empowering provision were references to the commencement of the provision mentioned in subclause (2) as amended by the empowering provision.

- (7) For the purposes of this Law, a person attains an age in years at the beginning of the person's birthday for the age.

Part 6 — Effect of repeal, amendment or expiration

32. Time of Law ceasing to have effect

If a provision of this Law is expressed —

- (a) to expire on a specified day; or
- (b) to remain or continue in force, or otherwise have effect, until a specified day;

this provision has effect until the last moment of the specified day.

33. Repealed Law provisions not revived

If a provision of this Law is repealed or amended by a Western Australian Act, or a provision of a Western Australian Act, the provision is not revived merely because the Western Australian Act or the provision of the Western Australian Act —

- (a) is later repealed or amended; or
- (b) later expires.

Note: This clause differs from clause 33 to Schedule 1 of the national law as set out in the Schedule to the *Education and Care Services National Law Act 2010* (Victoria).

34. Saving of operation of repealed Law provisions

- (1) The repeal, amendment or expiry of a provision of this Law does not —
- (a) revive anything not in force or existing at the time the repeal, amendment or expiry takes effect; or
 - (b) affect the previous operation of the provision or anything suffered, done or begun under the provision; or
 - (c) affect a right, privilege or liability acquired, accrued or incurred under the provision; or
 - (d) affect a penalty incurred in relation to an offence arising under the provision; or
 - (e) affect an investigation, proceeding or remedy in relation to such a right, privilege, liability or penalty.

Schedule 2 — Powers of entry by search warrant

1. Application for warrant

- (1) An authorised officer may apply to a magistrate of a participating jurisdiction for a search warrant in relation to premises if the officer believes on reasonable grounds that —
 - (a) a person is or has been operating an education and care service at the premises in contravention of this Law; or
 - (b) documents or other evidence relevant to the possible commission of an offence against this Law are present at the premises.
- (2) The authorised officer must prepare a written application that states the grounds on which the warrant is sought.
- (3) The written application must be sworn.
- (4) The magistrate may refuse to consider the application until the authorised officer gives the magistrate all the information the magistrate requires about the application in the way the magistrate requires.

2. Issue of warrant

- (1) The magistrate may issue the warrant in respect of premises only if the magistrate is satisfied there are reasonable grounds to believe that —
 - (a) a person is operating an education and care service at the premises in contravention of this Law; or
 - (b) documents or other evidence relevant to the possible commission of an offence against this Law are present at the premises.
- (2) The warrant must state —
 - (a) that a stated authorised officer may, with necessary and reasonable help and force —
 - (i) enter the premises and any other premises necessary for entry; and
 - (ii) exercise the authorised officer's powers under this Schedule; and

- (b) the matter for which the warrant is sought; and
- (c) the evidence that may be seized under the warrant; and
- (d) the hours of the day or night when the premises may be entered; and
- (e) the date, within 14 days after the warrant's issue, the warrant ends.

3. Application by electronic communication

- (1) An authorised officer may apply for a warrant by phone, facsimile, email, radio, video conferencing or another form of communication if the authorised officer considers it necessary because of —
 - (a) urgent circumstances; or
 - (b) other special circumstances, including the authorised officer's remote location.
- (2) The application —
 - (a) may not be made before the authorised officer prepares the written application under clause 1(2); but
 - (b) may be made before the written application is sworn.
- (3) The magistrate may issue the warrant (the original warrant) only if the magistrate is satisfied —
 - (a) it was necessary to make the application under subclause (1); and
 - (b) the way the application was made under subclause (1) was appropriate.
- (4) After the magistrate issues the original warrant —
 - (a) if there is a reasonably practicable way of immediately giving a copy of the warrant to the authorised officer, for example, by sending a copy by fax or email, the magistrate must immediately give a copy of the warrant to the authorised officer; or
 - (b) otherwise —
 - (i) the magistrate must tell the authorised officer the date and time the warrant is issued and the other terms of the warrant; and

- (ii) the authorised officer must complete a form of warrant including by writing on it —
 - (A) the magistrate's name; and
 - (B) the date and time the magistrate issued the warrant; and
 - (C) the other terms of the warrant.
- (5) The copy of the warrant referred to in subclause (4)(a), or the form of warrant completed under subclause (4)(b) (in either case the duplicate warrant), is a duplicate of, and as effectual as, the original warrant.
- (6) The authorised officer must, at the first reasonable opportunity, send to the magistrate —
 - (a) the written application complying with clause 1(2) and (3); and
 - (b) if the authorised officer completed a form of warrant under subclause (4)(b), the completed form of warrant.
- (7) The magistrate must keep the original warrant and, on receiving the documents under subclause (6), file the original warrant and documents in the court.
- (8) Despite subclause (5), if —
 - (a) an issue arises in a proceeding about whether an exercise of a power was authorised by a warrant issued under this clause; and
 - (b) the original warrant is not produced in evidence —

the onus of proof is on the person relying on the lawfulness of the exercise of the power to prove a warrant authorised the exercise of the power.
- (9) This clause does not limit clause 1.

4. Procedure before entry under warrant

- (1) Before entering premises under a warrant, an authorised officer must do or make a reasonable attempt to do the following —
 - (a) identify himself or herself to a person present at the premises who is an occupier of the premises by producing the authorised officer's identity card;

- (b) give the person a copy of the warrant;
 - (c) tell the person the authorised officer is permitted by the warrant to enter the premises;
 - (d) give the person an opportunity to allow the authorised officer immediate entry to the premises without using force.
- (2) However, the authorised officer need not comply with subclause (1) if the authorised officer reasonably believes that immediate entry to the premises is required to ensure the effective execution of the warrant is not frustrated.

5. Powers after entering premises

- (1) This clause applies if an authorised officer enters premises under clause 4.
- (2) The authorised officer may for the purposes of the investigation do the following —
- (a) search any part of the premises;
 - (b) inspect, measure, test, photograph or film, or make audio recordings of, any part of the premises or anything at the premises;
 - (c) take a thing, or a sample of or from a thing, at the premises for analysis, measurement or testing;
 - (d) copy, or take an extract from, a document, at the premises;
 - (e) take into or onto the premises any person, equipment and materials the authorised officer reasonably requires for exercising a power under this Schedule;
 - (f) require the occupier of the premises, or a person at the premises, to give the authorised officer reasonable help to exercise the authorised officer's powers under paragraphs (a) to (e);
 - (g) require the occupier of the premises, or a person at the premises, to give the authorised officer information to help the authorised officer in conducting the investigation.



Notes

¹ This is a compilation of the *Education and Care Services National Law (WA) Act 2012* and includes ~~the~~ amendments made by ~~the~~ other written laws referred to in the following. [For provisions that have come into operation, and for information about any reprints, see the compilation table.](#)

Compilation table

Short title	Number and year	Assent	Commencement
<i>Education and Care Services National Law (WA) Act 2012</i>	11 of 2012	20 Jun 2012	s. 1 and 2: 20 Jun 2012 (see s. 2(a)); Act other than s. 1, 2, Pt. 4 Div. 3, Schedule (s. 70(1)(d), 152, 153(2)-(4)), 154-159, Pt. 5 Div. 6 (s. 160), s. 172(d), 266-268 and 270(1)(d) and (2)-(4): 1 Aug 2012 (see s. 2(c) and <i>Gazette</i> 25 Jul 2012 p. 3411); Pt. 4 Div. 3: 1 Jan 2013 (see s. 2(b)(ii) and <i>Gazette</i> 14 Dec 2012 p. 6195); Schedule (s. 70(1)(d), 152, 153(2)-(4), 154-159, Pt. 5 Div. 6 (s. 160), s. 172(d), 266-268 and 270(1)(d) and (2)-(4): 1 May 2013 (see s. 2(c) and <i>Gazette</i> 1 May 2013 p. 1697)
<i>Education and Care Services National Law (WA) Amendment Act 2018 Pt. 2</i>	18 of 2018	7 Sep 2018	1 Oct 2018 (see s. 2(b)(i))

²

[Reprint 1: The Education and Care Services National Law \(WA\) Act 2012 as at 18 Jan 2019 \(includes amendments listed above\)](#)

Other notes

¹ See the *Education and Care Services National Law (Transitional) Regulations 2012* published in *Gazette* 25 Jul 2012 p. 3413-15 made under the *Education and Care Services National Law (WA) Act 2012* section 19.

