

# **Associations Incorporation Regulations 1988**

Compare between:

[21 Aug 2013, 04-f0-03] and [17 Jan 2014, 05-a0-02]



Reprinted under the Reprints Act 1984 as at 17 January 2014

#### Associations Incorporation Act 1987

# **Associations Incorporation Regulations 1988**

#### 1. Citation

These regulations may be cited as the *Associations Incorporation Regulations 1988* <sup>1</sup>.

#### 2. Commencement

These regulations shall come into operation on the day on which the *Associations Incorporation Act 1987* comes into operation <sup>1</sup>.

#### 3. Term used: Corporations Act

In these regulations, unless the contrary intention appears — *Corporations Act* means the *Corporations Act* 2001 of the Commonwealth.

[Regulation 3 inserted in Gazette 28 Sep 2001 p. 5353.]

# 4. Application for approval of purpose

An application to the Commissioner for the approval of a purpose of an association under section 4(1)(f) of the Act shall be accompanied by —

(a) a copy of the rules of the association conforming to the requirements of the Act; and

- (b) a certificate given by the applicant as to the matters referred to in section 5(2)(b) of the Act.
- [5. Deleted in Gazette 30 Dec 2004 p. 6906.]

# 6. Applied provisions — voluntary winding up

- (1) The voluntary winding up of an incorporated association under section 30 of the Act is declared to be an applied Corporations legislation matter for the purposes of Part 3 of the *Corporations* (Ancillary Provisions) Act 2001 in relation to Parts 5.5 and 5.6 of the Corporations Act other than sections 491, 496 to 500, 507, 514 to 529, 580 and 581.
- (2) The following modifications to the text of Parts 5.5 and 5.6 of the Corporations Act apply for the purposes of subregulation (1)
  - (a) a reference to the constitution of a company is to be read as a reference to the rules of an incorporated association;
  - (b) a reference to a special resolution is to be read as a reference to a special resolution within the meaning of the Act;
  - (c) a reference to an officer, or a past officer, of a company is to be read as a reference to a member, or a past member, of the committee of an association:
  - (d) a reference to a contributory of a company is to be read as a reference to a member of an association;
  - (e) a reference to the registered office of an incorporated association is to be read as a reference to the place where the secretary of an incorporated association resides;
  - (f) a reference to a company carrying on its business or having a place of business is to be read as a reference to an incorporated association pursuing its objects or purposes;

- (g) a reference to the deregistration of a company is to be read as a reference to the winding up of an incorporated association.
- (3) Section 501 of the Corporations Act, as applied because of this regulation, is not to be read as authorising the distribution of surplus property of an incorporated association to its members.
- (4) In addition to any person qualified to act as the liquidator of a company under Parts 5.5 and 5.6 of the Corporations Act, as applied because of this regulation, a person in respect of whom an approval is in force under regulation 7 may be appointed, and may act as, liquidator of an incorporated association to which the approval relates.
- (5) Subregulation (4) applies despite section 532 of the Corporations Act as applied because of this regulation. [Regulation 6 inserted in Gazette 3 Jun 2003 p. 1977-8.]

#### 7. Approval of liquidator

Where, having regard to —

- (a) the property, debts and liabilities of an incorporated association; and
- (b) the interests of the creditors and members of an incorporated association,

the Commissioner is satisfied that a person is a fit and proper person to exercise and perform the powers and duties of liquidator of an incorporated association, the Commissioner may by instrument in writing approve of the person acting as liquidator of the incorporated association subject to such conditions as he thinks fit and may in like manner vary or revoke any such approval or conditions.

#### 8. Applied provisions — winding up by Court

For the purposes of section 32 of the Act, a reference in the text of Part 5.7 of the Corporations Act to a company carrying on its

business or having a place of business is to be read as a reference to an incorporated association pursuing its objects or purposes.

[Regulation 8 inserted in Gazette 28 Sep 2001 p. 5354.]

#### 9. Forms

The forms set out in Schedule 1 are prescribed in relation to the matters specified in those forms.

[Regulation 9 inserted in Gazette 22 Sep 2006 p. 4072.]

#### 10. Compliance with forms

- (1) Strict compliance with the style of a form set out in Schedule 1 is not necessary, unless the Commissioner so requires.
- (2) Strict compliance with the substance of, and provision of the information required by, a form set out in Schedule 1 is necessary unless the Commissioner otherwise approves.

# 11. Completion of forms

A form shall be completed in accordance with such directions and instructions as are specified in, or relate to, the form.

# 12. General requirements for documents

Unless the Commissioner otherwise approves, a document lodged with the Commissioner shall —

- (a) be on paper of medium weight of good quality and of a size not less than international sheet size A5 and not more than foolscap folio size or international sheet size A4;
- (b) be legibly and clearly printed, written or otherwise produced in a manner that is permanent and will make possible a reproduction by photographic means that is satisfactory to the Commissioner;

- (c) not be a carbon copy, or a copy reproduced by any spirit duplication method;
- (d) have margins of not less than 25 millimetres mm on the left-hand side and not less than 13 millimetres mm on the right-hand side;
- (e) where it comprises 2 or more sheets, be fastened together securely in the top left-hand corner;
- (f) have written on the first sheet
  - (i) the registered number (if any) of the incorporated association to which the document relates; and
  - (ii) the name of that association; and
  - (iii) the title of the document; and
  - (iv) the name, address and telephone number of the person by whom or on whose behalf the document is lodged; and
  - (v) the words "lodged with the Commissioner on .....".

# 13. Annexures accompanying forms

- (1) Where the space provided for a particular purpose in a form is insufficient to contain all the required information in relation to a particular item, that information shall be set out in an annexure.
- (2) An annexure to a form shall have an identifying mark and be endorsed with the words —

"This is the annexure of	pages marked	
referred to in the (insert desc	cription of form)	
signed by me and dated	1	
	Signature(s)	,,

and signed by each person signing the form to which the document is annexed.

- (3) The pages to an annexure shall be numbered consecutively.
- (4) Where a document, copy of a document, or other matter is annexed to a form, reference made in the form to the annexure shall be by its identifying mark, the number of pages in it, and a brief description of the nature of the document and its contents.
- (5) A reference to an annexure includes a reference to a document, copy of a document or any other matter accompanying, attached to or annexed to a form.

#### 14. Signature of documents lodged with Commissioner

- (1) Subject to the Act and these regulations, a document relating to an incorporated association that is required to be lodged with the Commissioner by or on behalf of the association shall be signed by a member of the committee of the association authorised by the committee for that purpose.
- (2) The name of a person signing a document that is lodged with the Commissioner shall be legibly written under or alongside the signature of that person.

#### 15. Translations

- (1) Where any document or part of a document required to be lodged with the Commissioner is not written in the English language that document or part, unless the Commissioner otherwise directs, shall be accompanied by a translation of the document or part certified by a person approved by the Commissioner.
- (2) The Commissioner may, before accepting a translation for lodgment, require the person lodging the translation to furnish to the Commissioner such evidence as the Commissioner thinks sufficient of the ability of the person by whom the translation is made to make the translation.

#### 16. Fees

The fees set out in Schedule 2 are prescribed in respect of the matters referred to.

# 17. Infringement notices

- (1) The offences specified in Schedule 3 are offences for which an infringement notice may be issued under Part 2 of the *Criminal Procedure Act 2004*.
- (2) The modified penalty specified opposite an offence in Schedule 3 is the modified penalty for that offence for the purposes of section 5(3) of the *Criminal Procedure Act* 2004.
- (3) The Commissioner may, in writing, appoint persons or classes of persons to be authorised officers or approved officers for the purposes of Part 2 of the *Criminal Procedure Act 2004*.
- (4) The Commissioner is to issue to each authorised officer a certificate, badge or identity card identifying the officer as a person authorised to issue infringement notices.

[Regulation 17 inserted in Gazette 22 Sep 2006 p. 4072.]

# Schedule 1

[Regulation 9]

# **Forms**

		Incorporation sociation	Associations Inco	rm 1	
Association	Brief des	cription of main			
		Religion, education, c Promotion or encoura Sport, recreation or ar Community, social or Promotion of the inter Politics Other — to be approv Protection <sup>2</sup> [Extra fee and sociation formed for the lang a pecuniary profit to	gement of literature, nusement cultural centre rests of a local commerced by the Commission pplies] e purpose of trading	science or thus	ne arts
Applicant	Name Address Daytime contact I have be for incorp	Phone noe-mail en authorised by the As	fax no ssociation to apply		□ No
Contact person (if not the Applicant)	Name Address Daytime contact	street suburb/town phone no	fax no	postcode	

Advertising	, , , , , , , , , , , , , , , , , , , ,			
	to apply for incorporation of the Association has been	•		
	newspaper as required by section 6 of the Act	☐ Yes	□ No	
	Name of newspaper			
	Date of publication			
Members	The Association has at least 6 members	☐ Yes	□ No	
Give names and	Name Address	<u> </u>	<u> </u>	
addresses of 6 members	2			
(Postal address is acceptable)	4			
	5 6			
Rules	The rules of the Association —  • include provisions dealing with all the matters			
	listed in Schedule 1 to the Act  comply with all other requirements of the Act	☐ Yes ☐ Yes	□ No	
	The copy of the Association's rules attached to this application and marked as annexure "A" is a	<b>D</b> 103	<b>5</b> 110	
	true copy of the Association's rules	☐ Yes	□ No	
Declaration	I certify that all the details set out in this Application a	re true and	correct	
	Signature of	Da		
	Applicant			
It is an offenc	e, with a penalty of \$500, to make a false statement in a	an applicat	ion.	

[Form 1 inserted in Gazette 16 Aug 2002 p. 4205-6; amended in Gazette 12 Jan 2007 p. 43.]

Associations Incorporation Act 1987 (Section 6(1))

# ADVERTISEMENT OF INTENDED APPLICATION FOR INCORPORATION OF

(Set out name of association)
NOTICE is hereby given that
(full name, address and occupation of applicant)
being duly authorised by the abovenamed association intends to apply to the Commissioner for Consumer Protection <sup>2</sup> on or after
(insert date being at least one month but not more than 3 months after publication of this notice)
for incorporation of
(insert name of association)
The association is formed for the purpose of
(insert main purpose)
Signed
Print full name in block letters
[Form 2 amended in Gazette 9 Oct 2001 p. 5527; 12 Jan 2007 p. 43.]

Associations Incorporation Act 1987 (Section 9(1))

# CERTIFICATE OF INCORPORATION

No		
	Y THATorporated under the Associations Inc	
Dated this	day of	20
	Commissioner for	Consumer Protection <sup>2</sup> .
[Form 3 an	nended in Gazette 9 Oct 2001 p. 552	27; 12 Jan 2007 p. 43.]

Associations Incorporation Act 1987 (Section 18(6))

# CERTIFICATE OF INCORPORATION ON CHANGE OF NAME

THIS IS TO CERTIFY THA	Т	
which was on the	day of	20
incorporated under the Assoc	ciations Incorporation Act 1987	<sup>7</sup> changed its name
to on this	day of	20
	Commissioner for Co	onsumer Protection <sup>2</sup> .

[Form 4 amended in Gazette 9 Oct 2001 p. 5527; 12 Jan 2007 p. 43.]

	corporation Act 1987	Infringement	
Infringemo	ent notice	notice no.	
Alleged	Name: Family name		
offender	or Company nameACN		
	Address		
		Postcode	
Alleged offence	Description of offence		
	Associations Incorporation Act 1982	7 s.	
	Date / /20	Time a.m./p.m.	
	Modified penalty \$		
Officer	Name		
issuing notice	Signature		
	Office		
Date	Date of notice / /20		
Notice to	It is alleged that you have committed	d the above offence.	
alleged	If you do not want to be prosecuted in court for the offence, pay the		
offender	modified penalty within 28 days after the date of this notice.		
	By post: Send a cheque or money Officer — Associations Incorpo Approved Officer — Associa Department of Consumer and Locked Bag 14 Cloisters Sq Perth WA 6850 In person: Pay the cashier at: Department of Consumer and 219 St George's Terrace, Pe	oration Act 1987') to: ations Incorporation Act 1987 d Employment Protection <sup>23</sup> uare d Employment Protection <sup>23</sup>	
	If you do not pay the modified penal prosecuted or enforcement action in Penalties and Infringement Notices that Act, some or all of the following driver's licence may be suspended; your details website; your vehicle may be immole plates removed; and your property in If you need more time to pay the may for an extension of time by writing to above postal address.	ay be taken under the <i>Fines</i> , <i>Enforcement Act 1994</i> . Under g action may be taken — your your vehicle licence may be may be published on a bilised or have its number may be seized and sold.  Inaddified penalty, you can apply	

# Associations Incorporation Regulations 1988

Schedule 1 Forms

# Form 5

If you want this matter to be dealt with by prosecution in court,
sign here
and post this notice to the Approved Officer at the above postal
address within 28 days after the date of this notice.

[Form 5 inserted in Gazette 22 Sep 2006 p. 4073; amended in Gazette 20 Aug 2013 p. 3821.]

Associations Inc	corporation Act 1987	Withdrawal no.	
	al of infringement notic	e	
Alleged	Name: Family name	<u> </u>	
offender	Given names		
	or Company name		
		ACN	
	Address		
		Postcode	
Infringement	Infringement notice no.		
notice	Date of issue / /20		
Alleged	Description of offence		
offence			
	Associations Incorporation Act 1		
	Date / /20	Time a.m./p.m.	
Officer	Name		
withdrawing	Signature		
notice	Office		
Date	, , ,	/20	
Withdrawal of		ssued against you has been	
infringement	withdrawn.		
notice	If you have already paid the mod		
[*delete	offence you are entitled to a refu	nd.	
whichever	* Your refund is enclosed.		
is not applicable]	or		
	* If you have paid the modified penalty but a refund is not		
		d sign this notice and post it to:	
		ciations Incorporation Act 1987	
		and Employment Protection <sup>23</sup>	
	Locked Bag 14 Cloisters S	Square	
	Perth WA 6850	/ /20	
	Signature	/ /20	

[Form 6 inserted in Gazette 22 Sep 2006 p. 4074.]

Schedule 2

[r. 16]

[Heading inserted in Gazette 28 Jun 2005 p. 2909.]

Item	Matter	Fee
1.	Application for approval of purpose of an association under section 4(1)(f)	\$41.60
2.	Application for incorporation of an association under section 5(1)	\$138.00
3.	Application for approval to apply for registration or incorporation as a prescribed body corporate under section 10B(2)	\$293.00
4.	(a) Lodgment of notice of special resolution setting out particulars of alteration of rules of an association under section 17	<u>\$</u> 23.10
	(b) Where alteration of rules has effect to change name of an association, on approval of change of name and issue of certificate of incorporation on change of name under section 18(6)	<u>\$</u> 23.10
	(c) Where alteration of rules has effect to change objects or purposes of an association, on approval of the alteration under section 19	<u>\$</u> 23.10
5.	Lodgment of application for extension of period for holding an annual general meeting under section 23(1)	\$41.60
6.	Lodgment of application for approval of variation of provisions of rules of an association relating to distribution of surplus property upon winding up under	
	section 33(4)	\$38.50
7.	Lodgment of distribution plan under section 33(6)	\$41.70
8.	Inspection of document lodged with Commissioner under section 37(2)(a)	\$7.95
9.	Issue of uncertified copy of, or extract from document, other than current rules of an incorporated association, lodged with Commissioner —	
	(a) where fee payable under item 8 for inspection of the document has been paid, for each page	\$1.65

Item	Matter	Fee
	(b) where fee payable under item 8 for inspection of the document has not been paid —	
	(i) for the first page	\$8.65
	(ii) for each additional page	\$1.75
10.	Issue of uncertified copy of current rules of an incorporated association lodged with Commissioner	\$32.40
11.	Issue of certified copy of, or extract from document lodged with Commissioner —	
	(a) for the first page	\$12.70
	(b) for each additional page	\$1.65
12.	Application for certificate of Commissioner under	
	section 38	\$12.80

[Schedule 2 inserted in Gazette 28 Jun 2005 p. 2909-10; amended in Gazette 15 Jun 2007 p. 2765-6; 23 Jun 2009 p. 2430-1; 25 Feb 2011 p. 652; 22 Jun 2011 p. 2335-6; 27 Jun 2013 p. 2671-2.]

# Schedule 3 — Prescribed offences and modified penalties

[r. 17]

[Heading inserted in Gazette 22 Sep 2006 p. 4074.]

Offences un	Modified penalty	
s. 22	Member of committee with pecuniary interest taking part in deliberations or decision	\$100
s. 39(5)(a)	Failing to produce records	\$100
s. 44	Unincorporated person using name including "incorporated"	\$40

[Schedule 3 inserted in Gazette 22 Sep 2006 p. 4074.]

#### **Notes**

This reprint is a compilation as at 17 January 2014 of the Associations Incorporation Regulations 1988 and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

# **Compilation table**

Citation	Gazettal	Commencement				
Associations Incorporation Regulations 1988	24 Jun 1988 p. 1998-2001	25 Jul 1988 (see r. 2 and <i>Gazette</i> 24 Jun 1988 p. 1995)				
Associations Incorporation Amendment Regulations 1988	22 Jul 1988 p. 2483	25 Jul 1988 (see r. 2 and <i>Gazette</i> 24 Jun 1988 p. 1995)				
Associations Incorporation Amendment Regulations 1990	12 Oct 1990 p. 5176	12 Oct 1990				
Associations Incorporation Amendment Regulations 1991	8 Nov 1991 p. 5716	8 Nov 1991				
Associations Incorporation Amendment Regulations 1993	31 Aug 1993 p. 4686	1 Sep 1993 (see r. 2)				
Associations Incorporation Amendment Regulations 1995	27 Jun 1995 p. 2543-4	1 Jul 1995 (see r. 2)				
Reprint of the Associations Incorporation Regulations 1988 as at 28 Nov 1997 (includes amendments listed above)						
Corporations (Consequential Amendments) Regulations 2001 Pt. 2	28 Sep 2001 p. 5353-8	15 Jul 2001 (see r. 2 and Cwlth <i>Gazette</i> 13 Jul 2001 No. S285)				
Associations Incorporation Amendment Regulations 2001	9 Oct 2001 p. 5527	9 Oct 2001				
Associations Incorporation Amendment Regulations (No. 2) 2002	28 Jun 2002 p. 3050-2	1 Jul 2002 (see r. 2)				
Associations Incorporation Amendment Regulations 2002	16 Aug 2002 p. 4205-6	16 Aug 2002				
Reprint of the Associations Incorporation Regulations 1988 as at 11 Oct 2002 (includes amendments listed above)						
Associations Incorporation Amendment Regulations 2003	3 Jun 2003 p. 1977-8	3 Jun 2003				
Associations Incorporation Amendment Regulations (No. 2) 2003	27 Jun 2003 p. 2541-2	1 Jul 2003 (see r. 2)				
Associations Incorporation	30 Dec 2004	1 Jan 2005 (see r. 2 and Gazette				

Compare 21 Aug 2013 [04-f0-03] / 17 Jan 2014 [05-a0-02]

Amendment Regulations 2004

31 Dec 2004 p. 7130)

p. 6906

Citation	Gazettal	Commencement			
Associations Incorporation Amendment Regulations 2005	28 Jun 2005 p. 2909-10	1 Jul 2005 (see r. 2)			
Associations Incorporation Amendment Regulations 2006	22 Sep 2006 p. 4071-4	22 Sep 2006 (see r. 2(a))			
Reprint 3: The Associations Incorporation Regulations 1988 as at 3 Nov 2006 (includes amendments listed above)					
Associations Incorporation Amendment Regulations (No. 2) 2006	12 Jan 2007 p. 43	12 Jan 2007			
Associations Incorporation Amendment Regulations 2007	15 Jun 2007 p. 2765-6	r. 1 and 2: 15 Jun 2007 (see-r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2007 (see r. 2(b)(i))			
Reprint 4: The Associations Incorporation Regulations 1988 as at 20 Mar 2009 (includes amendments listed above)					
Associations Incorporation Amendment Regulations 2009	23 Jun 2009 p. 2430-1	r. 1 and 2: 23 Jun 2009 (see-r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2009 (see r. 2(b))			
Associations Incorporation Amendment Regulations 2011	25 Feb 2011 p. 651-2	r. 1 and 2: 25 Feb 2011 (see r. 2(a)); Regulations other than r. 1 and 2: 26 Feb 2011 (see r. 2(b) and <i>Gazette</i> 25 Feb 2011 p. 651)			
Associations Incorporation Amendment Regulations (No. 2) 2011	22 Jun 2011 p. 2335-6	r. 1 and 2: 22 Jun 2011 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2011 (see r. 2(b))			
Associations Incorporation Amendment Regulations (No. 2) 2013	27 Jun 2013 p. 2671-2	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2013 (see r. 2(b))			
Associations Incorporation Amendment Regulations 2013	20 Aug 2013 p. 3821	r. 1 and 2: 20 Aug 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 21 Aug 2013 (see r. 2(b) and <i>Gazette</i> 20 Aug 2013 p. 3815)			

<sup>2</sup>Reprint 5: The Associations Incorporation Regulations 1988 as at 17 Jan 2014 (includes amendments listed above)

- The Consumer Affairs Act 1971, under which the Commissioner for Consumer Protection existed, ceased to apply on 1 January 2011 after the Fair Trading Act 2010 Part 10 came into force except for certain limited purposes. Under the Fair Trading Act 2010 s. 6 "Commissioner" means the person for the time being designated as the Commissioner under s. 55 of that Act.
- Under the *Public Sector Management Act 1994* the names of departments may be changed. At the time of this compilation reprint the former Department of Consumer and Employment Protection was called the Department of Commerce.