

Auction Sales Regulations 1974

Compare between:

[01 Jul 2016, 02-d0-02] and [01 Jul 2017, 02-e0-00]

Western Australia

Auction Sales Act 1973

Auction Sales Regulations 1974

1. Citation

- (1) These regulations may be cited as the *Auction Sales Regulations 1974* ¹.
- (2) In these regulations the *Auction Sales Act 1973* is referred to as the *Act*.

[Regulation 1 amended in Gazette 29 Aug 1986 p. 3205.]

2. Forms

The forms prescribed for the purposes of the Act are set out in the Schedule.

3. Applications

(1) The application forms referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column, and the fee payable for the purpose shall be at the rate specified in the third column.

Application forms and fees payable

Form No.	Purpose	Fee
1	General licence for a period of —	
	• 1 year	•\$179.00 -per

Form No.	Purpose	Fee
	2 years3 years	\$358.00\$537.00
2	Restricted licence for a period of — 1 year 2 years 3 years	• \$179.00 per annum • \$358.00 • \$537.00
3	Occasional licence	\$ 65.00 66.15 per licence
4	Interim licence	\$15.0025 per month or part of a month
5	Provisional licence	\$15.0025 per month or part of a month
6	Duplicate licence	\$1. 67 70
7	Transfer of licence	\$4 9.5 4 <u>50.40</u>

- (2) Copies of the application forms required may be obtained, free of charge, from the registrar of the Magistrates Court ^{2, 3}.
- (3) The forms of licence referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column.

Licences

Form No. Purpose

- 8 General licence.
- 9 Restricted licence.

- 10 Occasional licence.
- 11 Interim licence.
- 12 Provisional licence.
- (4) The form of transfer of a licence shall be in Form 13 and shall be endorsed on the original licence to which it relates.

[Regulation 3 amended in Gazette 28 Oct 1983 p. 4372; 29 Aug 1986 p. 3205; 5 Aug 1988 p. 2629; 30 Jun 1989 p. 1976; 1 Aug 1990 p. 3658; 13 Dec 1991 p. 6156; 14 Aug 1992 p. 4016-7; 27 Jun 2013 p. 2662; 17 Jun 2014 p. 1956; 23 Jun 2015 p. 2161; 3 Jun 2016 p. 1751 1751; 23 Jun 2017 p. 3221; 27 Jun 2017 p. 3408-9.]

4. Renewals

- (1A) In addition to the fee payable under subregulation (1) on the renewal of a licence, the fee payable for an application for the renewal made after, but within 3 months of, the date on which the licence expires is 25% of the appropriate licence fee.
 - (1) The fee payable on the renewal of a licence shall, subject to apportionment in respect of periods of less than 12 months, be the fee payable on application for that kind of licence as set out in the table to regulation 3(1).
 - (2) A licence shall not be renewable as of right.
 - (3) An application for the renewal of a licence shall be made in the same manner as an application for the grant of a licence, save that the applicant is not required to comply with section 11(5) of the Act or to lodge character testimonials.

[Regulation 4 amended in Gazette 6 Oct 1978 p. 3634; 27 Jun 2017 p. 3409.]

5. Method of application

(1) An application for the grant, renewal or transfer of a licence shall be made in the manner provided by section 11 of the Act

by lodging with the registrar of the Magistrates Court ^{2, 3} the prescribed fee together with —

- (a) where the application is made for a licence to be used for the benefit of a firm or corporation, 4 copies; and
- (b) in any other case, 3 copies,

of the relevant application form.

[(1) deleted]

(2) Where the application is for the transfer of a licence the application shall be made in Form 7 by the proposed transferee and shall be endorsed with the consent of the proposed transferor and on behalf of the firm or corporation (if any) named in the original licence as that for the benefit of which the licence is to be used.

6. Notice of application

For the purposes of section 11(5) of the Act publication of a copy of the application shall be taken of itself to constitute the notice in the prescribed form, no further notice being required to be published.

[Regulation 65 amended in Gazette 22 Nov 197427 Jun 2017]
p. 5101.]-3409.]

[6, 7. Notice of objection

A notice of objection to the grant, renewal or transfer of a licence is not required to be given Deleted in a prescribed form. Gazette 27 Jun 2017 p. 3409.]

8. Police inquiries

On receipt of a copy of the application for the grant, renewal or transfer of a licence from the registrar of the Magistrates Court ^{2, 3}, the senior police officer stationed within the police district shall —

- (a) inquire as to the character and suitability of the applicant; and
- (b) inquire as to the fitness and repute of the persons responsible for the management of that firm or corporation where the licence is sought for the benefit of a firm or corporation; and
- (c) inquire as to the circumstances and the likelihood of the occasion occurring, where an occasional licence is sought; and
- (d) inquire as to the genuineness of the incapacity by a medical certificate or other evidence, where an interim licence is sought; and
- (e) report in writing to the court the result of his inquiries.

8A. Prescribed period for general or restricted licence

For the purposes of section 19(2A)(b) of the Act, the prescribed period for a general or restricted licence is 3 years.

[Regulation 8A inserted in Gazette 27 Jun 2017 p. 3409.]

8B. Prescribed period for renewed general or restricted licence

- (1) In this regulation
 - transition period means the period commencing on the day on which the *Licensing Provisions Amendment Act 2016* section 8 comes into operation and ending 12 months after that day.
- (2) For the purposes of section 19(2B) of the Act, the prescribed period for a general or restricted licence that is renewed is 3 years.
- (3) However, if an application for renewal of a general licence or a restricted licence is made during the transition period, the prescribed period for the renewed licence is as follows—
 - (a) for a licence that expires between 1 January and 30 April a period of 3 years;

- (b) for a licence that expires between 1 May and 31 August —a period of 2 years;
- (c) for a licence that expires between 1 September and 31 December —a period of 1 year.

[Regulation 8B inserted in Gazette 27 Jun 2017 p. 3409-10.]

8C. Refund of prescribed fee if licence surrendered

(1) In this regulation —

surrendered licence means a general licence or a restricted licence that is surrendered under section 21(1) of the Act.

(2) The registrar of the Magistrates Court must, on application by a person who was the holder of a surrendered licensee, refund to the person an amount of the prescribed fee paid for the licence that, in the registrar's opinion, relates to the period between the day the licence is surrendered and the day the licence would have expired.

[Regulation 8C inserted in Gazette 27 Jun 2017 p. 3410.]

9. Stock register

- (1) The register to be kept for the purposes of section 30 of the Act shall be in Form 15.
- (2) On the same day as a sale of stock is conducted, the auctioneer shall cause to be entered in the register the following particulars as a separate entry for each lot of animals offered for sale
 - (a) the number of the pen where the animals were held when auctioned: and
 - (b) the name and address or identifying code of the vendor of the animals so auctioned; and
 - (c) the quantity of animals auctioned in that lot; and
 - (d) a description of the breed and type of animal auctioned; and

- (e) the identifier applied to the animals under the *Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013*; and
- (f) if sold by auction, the price per head of each animal when knocked down to the purchaser, and the name and address or identifying code of the purchaser of the animal; and
- (g) if passed in or sold by private treaty, a description of the means of disposal and the name and address of any purchaser.
- (3) The auctioneer conducting the sale shall append his signature in the place provided, signifying that the particulars contained in that sheet are, to the best of his knowledge, accurate particulars of all stock offered for sale by him.
- (4) Where any alteration to particulars entered on the register appears, the auctioneer shall initial each alteration as being correct.

[Regulation 9 amended in Gazette 5 Feb 2013 p. 833.]

10. Waybills

A person who sells by auction or submits for sale by auction any stock, in relation to which a waybill issued under the *Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013* is not furnished, commits an offence.

[Regulation 10 amended in Gazette 5 Feb 2013 p. 833.]

11. Misrepresentation

A person who wilfully makes any representation or statement which is false or misleading in a material particular in relation to any application, objection, register or other matter or proceeding under the Act or these regulations commits an offence and is liable to the penalties provided by section 35 of the Act.

Schedule

Form 1

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A GENERAL LICENCE

To the Magistrate ⁴ at
and carrying on business at
of
Dated theday of, 20
Signature of Applicant.
* Strike out whichever not required.
†
Authorised person for firm or corporation. † Insert name of firm or corporation.
The application will be heard before the
Court at
Registrar of the Magistrates Court ² .
A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 2 WESTERN AUSTRALIA Auction Sales Act 1973

APPLICATION FOR A RESTRICTED LICENCE

To the Magistrate ⁴ at	l of a
in relation to the sale of (2)	consents
Dated the day of, 2	20
(1) Describe Police Dist. (2) Describe Class of Business. (3) Describe Time Periods. * Strike out whichever not required.	
† Consents to this Application.	
Authorised p firm or cor † Insert name of firm or corporation.	person for
The application will be heard before the	day
Registrar of the Magistrate	es Court ² .

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 3 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR AN OCCASIONAL LICENCE

now residing at		
and having attained the age of licence in accordance with seauctioneer * (for the benefit of	of 18 years, do herection 13 of the Amor	reby apply for the grant of an occasional uction Sales Act 1973 to act as an
of		
		yee/partner/Director and which consents to
		Police
on the occasion and in the cir	cumstances follo	wing (4)
Act 1973 in relation to the sa * (granted to be used for the	(5) le of (3) benefit of	licence under the Auction Sales
which * have/have not conse		
Dated the	day of	, 20
		Signature of Applicant.
* Strike out whichever not required		
 Insert period of licence. Describe place of sale. Describe class of business. Describe reasons for applic Describe kind of licence. 	ation.	
†		
Consents to this Applic		
		Authorized f
		Authorised person for firm or corporation.
† Insert name of firm or corporation	4.	•

Auction Sales Regulations 1974 Schedule

_	_			_
-	റ	r	m	.3

Court at		on the	da [,]
			o'clock in the
	noon.		

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 4 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR AN INTERIM LICENCE

	ne Magistrate ⁴ at
* the	* firm/corporation for the benefit of which the licence was granted erson acting on behalf and with the consent of the parties named in the licence
hereb	by apply for the suspension of the (2)
	ce No granted to (3)
Sales	For the grant of an interim licence in accordance with section 14 of the <i>Auction</i> and <i>Act 1973</i> in favour of (4)
now	residing at
	carrying on business at
for th	ne period from the day of to the
day c	of in relation to the sale of (5) on the
	nds that (3)
will ł	be incapacitated by reason of (6)
Date	d the, 20,
	C:
* 0. 11	Signature of Applicant.
	ke out whichever not required. Name of auctioneer, firm, corporation or proposed licensee making the application.
(1) (2)	Describe kind of licence.
(3)	Name of auctioneer.
(4)	Name of proposed licensee.
(5)	Describe class of business.
(6)	Describe reason for incapacity.
†	
1	Consents to this Application.
	Authorised person for
	firm or corporation.
	•
† Ince	ert name of firm or corporation

Auction Sales Regulations 1974 Schedule

				Form 4
The application will be	heard before the			
Court at				
	noon.			
		Regi	strar of the Magis	trates Court 2

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 5 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A PROVISIONAL LICENCE

To the Magistrate ⁴ at	
* I (1)* the $*$ firm/corporation for the benefit of which the lie	cence was granted
of	
hereby apply for the grant of a provisional licence und	
Act 1973 in favour of (2)	
to act as an auctioneer u	*
instruction of (3)	
for the period from theday to theday of	
of (4)	
Dated the day of	
•	
	Signature of Applicant.
	•
I (3)	
carrying on business at	
day of	
* (for the benefit of	
of	
a * firm/corporation of which I am an * employee/part	
to this application am aware that I am personally liable	e and responsible for any act or
default of the holder of the provisional licence in the s	
extent that I would be liable had such act been done or	-
Dated the day of	, 20
	Signature of Auctioneer.
* Strike out whichever not required.	
(1) Name of auctioneer, firm or corporation making the applic	ation.
(2) Name of proposed trainee.(3) Name of auctioneer.	
(4) Describe class of business.	
(5) Describe kind of licence.	

Auction Sales Regulations 1974 Schedule

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†	
Consents to this Application.	
	Authorised person for firm or corporation.
† Insert name of firm or corporation.	
The application will be heard before the	
Court atoi	n theday
	, ato'clock in
the noon.	,
	Registrar of the Magistrates Court ² .

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 6 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A DUPLICATE LICENCE

To the Magistrate ⁴ at	
now residing at	
and carrying on business at	
do hereby apply for a duplicate of my (1)	
licence No which was issued to me at the	
Court on the day of	, 20
My licence was Lost/Destroyed under the followi	
Dated theday of	, 20
	ature of Applicant.

(1) Describe kind of licence.

Form 7 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION TO TRANSFER A LICENCE

Compare 01 Jul 2016 [02-d0-02] / 01 Jul 2017 [02-e0-00] Published on www.legislation.wa.gov.au

Auction Sales Regulations 1974 Schedule

Form 7			

The application will be	be heard before the		
Court at		on the	day
of	, 20	, at	o'clock in the
	noon.		
		Registrar	of the Magistrates Court 2.

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 8 WESTERN AUSTRALIA

Auction Sales Act 1973

Auc	tion Sales Act 19/3
FEE\$	No
GEN	NERAL LICENCE
residing at	
and carrying on business at	
	day of, 20
at the	Court.
Fee \$ paid. I	Magistrate ⁴ Date/ Receipt No
	Registrar of the Magistrates Court ²

^{*} Strike out if not applicable.

Form 9 WESTERN AUSTRALIA

Auct	tion Sales Act 1973
FEE\$	No
REST	RICTED LICENCE
residing at	
and carrying on business at* (for the benefit of	
a * firm/corporation of whom he is a licensed, subject to the conditions, li and carry on the business of, an auct	on * employee/partner/Director), is hereby mitations or restrictions endorsed hereon to act as, ioneer in accordance with the <i>Auction Sales</i> the
	s applicable
	, 20
Foo \$ paid	Magistrate ⁴ Date/ Receipt No
pard.	
	Registrar of the Magistrates Court 2

^{*} Strike out if not applicable.

Form 10 WESTERN AUSTRALIA

Auction Sales Act 1973

Auction s	Sales Act 19/3
FEE \$	No
OCCASIO	NAL LICENCE
and carrying on business at	
of	employee/partner/Director), is hereby licensed
or restrictions endorsed herein, from the .	day of, day of,
Conditions, limitations or restrictions app	licable
	Magistrate ⁴ .
Fee \$ paid. Date	/ Receipt No
	Registrar of the Magistrates Court ² .

Form 11 WESTERN AUSTRALIA

Auction Sales Act 1973

Auction Sules Act 1973
FEE \$ No
INTERIM LICENCE
This is to certify thatresiding at
and carrying on business at
and to act as, and carry on the business of
whose † licence would otherwise be current until
* (for the benefit of
of
This licence applies from the
is suspended.
This licence is subject to the following conditions, limitations or restrictions
Issued this
Magistrate '
† Describe kind of licence.
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court

Form 12 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE\$	No
PROVISIO	NAL LICENCE
residing atis hereby authorised under the <i>Auction Sa</i> direct supervision and instruction of	ales Act 1973 to act as an auctioneer under the
ofthe holder of a †	licence issued for the benefit of
until the	
	rt. 20
† Describe kind of licence.	Magistrate ⁴
	/ Receipt No.
	Registrar of the Magistrates Court ²

Period of this licence not to exceed 2 months.

Form 13

WESTERN AUSTRALIA

Auction Sales Act 1973

FORM OF ORDER OF TRANSFER TO BE ENDORSED ON LICENCE

-	3.6
	Mag istrate ⁴
	, having heard an application
by	,
	, for an order
of 20	to him, and being satisfied that the licensee (or
the legal personal representative	e of the licensee) has consented to the application, and
that the applicant is a fit and pro	oper person to be the holder of a licence under the
Auction Sales Act 1973, do here	eby order the licence to be transferred, and the same is
hereby transferred to the said	
	, who shall henceforth
	xercise the same, subject to the Act.
Dated the	. day of, 20
	Magistrate ⁴ .

[Form 14 deleted in Gazette 22 Nov 1974 p. 5101.]

							Form 15
			Fo	rm 15			
			WESTERN	AUSTRA	ALIA		
				day of			, 20
Type of	Stock		Auction Sc	iles Act 197	73		
			REGIST	ER		Lo	ocation
Pen	Vendor, Address	Quantity	Description	Identifier	Price		Purchaser, Address or How Disposed of
				•••••	•••••	•••••	Licensed Auctioneer
	[Form	15 amena	led in Gazet	te 5 Feb 2	013 p. 8	33.]	

Notes

This is a compilation of the Auction Sales Regulations 1974 and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

Compilation table

Citation	Gazettal	Commencement
Auction Sales Act Regulations 1974 ⁵	13 Sep 1974 p. 3426-38	13 Sep 1974
Untitled regulations	22 Nov 1974 p. 5101	22 Nov 1974
Untitled regulations	6 Oct 1978 p. 3633-4	6 Oct 1978
Auction Sales Amendment Regulations 1983	28 Oct 1983 p. 4372	1 Nov 1983 (see r. 2)
Auction Sales Amendment Regulations 1986	29 Aug 1986 p. 3204-5	1 Sep 1986 (see r. 2)
Auction Sales Amendment Regulations 1988	5 Aug 1988 p. 2629	5 Aug 1988
Auction Sales Amendment Regulations 1989	30 Jun 1989 p. 1976	1 Jul 1989 (see r. 2)
Auction Sales Amendment Regulations 1990	1 Aug 1990 p. 3658	1 Aug 1990
Auction Sales Amendment Regulations 1991	13 Dec 1991 p. 6156	13 Dec 1991
Auction Sales Amendment Regulations 1992	14 Aug 1992 p. 4016-17	14 Aug 1992

listed above)

Auction Sales Amendment Regulations 2013	5 Feb 2013 p. 832-3	r. 1 and 2: 5 Feb 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 May 2013 (see r. 2(b)(i)) and Gazette 5 Feb 2013 p. 823)
Auction Sales Amendment Regulations (No. 2) 2013	27 Jun 2013 p. 2661-2	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2013 (see r. 2(b))

Citation	Gazettal	Commencement		
Auction Sales Amendment Regulations 2014	17 Jun 2014 p. 1956	r. 1 and 2: 17 Jun 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2014 (see r. 2(b))		
Reprint 2: The <i>Auction Sales Regulations 1974</i> as at 7 Nov 2014 (includes amendments listed above)				
Auction Sales Amendment Regulations 2015	23 Jun 2015 p. 2160-1	r. 1 and 2: 23 Jun 2015 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2015 (see r. 2(b))		
Commerce Regulations Amendment (Fees and Charges) Regulations 2016 Pt. 2	3 Jun 2016 p. 1745-73	1 Jul 2016 (see r. 2(b))		
Commerce Regulations Amendment (Fees and Charges) Regulations 2017 Pt. 3	23 Jun 2017 p. 3213-52	1 Jul 2017 (see r. 2(b))		
<u>Licensing Provisions Regulations</u> <u>Amendment Regulations 2017 Pt. 2</u>	27 Jun 2017 p. 3408-16	1 Jul 2017 (see r. 2(b))		

- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 54(2), a reference in a written law to the clerk of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the registrar of the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 58, a reference in a written law to the court of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 15(7), a reference in a written law to a stipendiary magistrate is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to a magistrate. The reference was changed under the *Reprints Act 1984* s. 7(5)(a).
- Now known as the *Auction Sales Regulations 1974*; name changed (see note under r. 1).