



Western Australia

# **Occupational Safety and Health Regulations 1996**

Compare between:

[15 Apr 2021, 10-p0-00] and [21 Jun 2021, 10-q0-00]



## Occupational Safety and Health Regulations 1996

### Part 1 — Preliminary and interpretation

#### Division 1 — Preliminary and definitions

##### 1.1. Citation

These regulations may be cited as the *Occupational Safety and Health Regulations 1996*.

##### 1.2. Commencement

These regulations come into operation on 1 October 1996.

##### 1.3. Terms used

In these regulations, unless the contrary intention appears —  
***abrasive blasting***, ***abrasive material***, ***dry abrasive blasting*** and ***wet abrasive blasting*** have the respective meanings that they have in regulation 3.102;

***approved*** means approved by the Commissioner;

***AS*** followed by a designation refers to the Australian Standard having that designation that is published by Standards Australia and that is referred to in Schedule 1 and includes any amendment to the document made before the reference to the document is included in Schedule 1;

***AS/NZS*** followed by a designation refers to the Australian/New Zealand Standard having that designation that is published by Standards Australia and the Standards Council of New Zealand under an Active Cooperation Agreement between those 2 bodies and that is referred to in Schedule 1 and includes

any amendment to the document made before the reference to the document is included in Schedule 1;

*asbestos* has the meaning that it has in regulation 5.1;

*Building Code* means the Building Code of Australia as at 1 May 2010 published by or on behalf of the Australian Building Codes Board and a reference in these regulations to the class of a building is a reference to the building's classification under the Building Code;

*building maintenance unit* has the meaning that it has in regulation 4.1;

*building or structure* includes any erection, edifice, wall, chimney, fence, bridge, dam, reservoir, wharf, jetty, or ship or other floating structure, and includes any part of any of those things;

*competent person*, in relation to the doing of anything, means a person who has acquired through training, qualification or experience, or a combination of those things, the knowledge and skills required to do that thing competently;

*construction site* means a workplace at which construction work is done and includes any adjoining area where plant or other materials used or to be used in connection with that work are located or kept and over which the main contractor has control for the purpose of doing the construction work;

*construction work* means —

- (a) the construction, erection, installation, alteration, repair, maintenance, cleaning, painting, renewal, removal, excavation, dismantling or demolition of, or addition to, any building or structure, or any work in connection with any of those things, that is done at or adjacent to the place where the building or structure is located; or
- (b) work on which a hoisting appliance or any scaffold or shoring is used or intended to be used; or
- (c) work in driving or extracting piles, sheet piles or trench sheet; or

- (d) work in laying any pipe or work in lining pipe that is done at or adjacent to the place where the pipe is laid or to be laid; or
- (e) work in sinking or lining or altering, repairing, maintaining, renewing, removing, or dismantling a well or borehole; or
- (f) road works, earthworks or reclamation; or
- (g) work in laying an underground cable or work related to laying an underground cable that is done at or adjacent to the place where the cable is laid or to be laid;

**crane** has the meaning that it has in regulation 4.1;

**danger tag** means an accident prevention tag as referred to in section 5 of AS 1319 that is in the form of a danger sign within the meaning of that Standard;

**demolition** has the meaning that it has in regulation 3.114;

**designated period** means the period —

- (a) beginning on the day on which the *Occupational Safety and Health Amendment (COVID-19 Response) Regulations (No. 2) 2020* regulation 6 comes into operation; and
- (b) ending on 31 March 2021;

**earthmoving machinery** has the meaning it has in regulation 4.1;

**electrical installation** has the meaning given in the *Electricity (Licensing) Regulations 1991* regulation 3(1);

**exhaust system**, in relation to a workplace, means a system by which dust, fumes, mist, gas, vapour or any other airborne particle is removed from the atmosphere of the workplace and includes —

- (a) a collecting hood, ductwork and fan; and
- (b) an air cleaning filtration system; and
- (c) an associated motor, collector bin or receptacle;

**gas cylinder** has the meaning that it has in regulation 4.1;

**gear** includes a ladder, plank, chain, rope, fastening, coupling, fitting, hoist-block, stay, pulley, hanger, sling, brace or movable contrivance of a similar kind, used or intended for use on or in connection with construction work;

**high risk work licence** has the meaning given in regulation 6.1(1);

**hoarding** has the meaning that it has in regulation 3.66;

**hoist** has the meaning that it has in regulation 4.1;

**main contractor** means —

- (a) the person for whose direct benefit all the work done at a construction site exists upon its completion; or
- (b) if the person mentioned in paragraph (a) has engaged another person, other than as his or her employee, to do or cause to be done all the work at the construction site, the other person so engaged;

**manufacturing process** means a process in or incidental to the making, assembly, altering, repairing, renovating, preparing, ornamenting, finishing, cleaning, washing or adapting of any goods or of any other articles or part thereof for trade, sale or gain or as ancillary to a business;

**medical practitioner** means a person registered under the *Health Practitioner Regulation National Law (Western Australia)* in the medical profession;

**person having control of a workplace** means a person other than an employee who has, to any extent, control of a workplace where persons who are not employees of that person work or are likely to be in the course of that work and where the control is in connection with the carrying on by that person of a trade, business or undertaking (whether for profit or not); and includes a person who has, by virtue of a contract or lease, an obligation of any extent in relation to the maintenance or repair of a workplace;

**person having control of access to a workplace** means a person other than an employee who has, to any extent, control of the

means of access to and egress from a workplace where the control is in connection with the carrying on by that person of a trade, business or undertaking (whether for profit or not); and includes a person who has, by virtue of a contract or lease, an obligation of any extent in relation to the maintenance or repair of the means of access to or egress from a workplace;

**platform** means the surface of a plank or other material that is used to provide access to, or egress from, a place, or for persons to stand on or load materials or other things onto, or is otherwise used as a working platform;

**pressure vessel** has the meaning that it has in regulation 4.1;

**registered training organisation** means an organisation registered by a body established under a law of a State or a Territory to register organisations that provide vocational education and training as defined in the *Vocational Education and Training Act 1996* section 5;

**regulation 1.15 penalty** means the penalty specified in regulation 1.15;

**regulation 1.16 penalty** means the penalty specified in regulation 1.16;

**scaffold** has the meaning that it has in regulation 3.66;

**supplied air respirator** has the meaning that it has in regulation 3.37;

**welding** and **allied process**, in relation to welding, have the respective meanings that they have in regulation 3.94.

*[Regulation 1.3 amended: Gazette 17 Dec 1999 p. 6228-9; 8 Mar 2002 p. 961-2; 25 Jun 2004 p. 2291; 22 Oct 2004 p. 4834; 14 Dec 2004 p. 6010; 24 Aug 2007 p. 4257; 31 Jul 2009 p. 3032; 22 Dec 2009 p. 5235; 15 Jan 2010 p. 75; 7 Jan 2011 p. 53; 27 Jul 2012 p. 3667; 14 Nov 2017 p. 5602; SL 2020/198 r. 4.]*

## **Division 2 — Interpretation**

### **1.4. Employer, extent of duty of**

Unless the contrary intention appears, where an employer has a duty under a provision of these regulations to do or not do something in relation to a workplace, the employer's duty —

- (a) relates only to a matter over which, and the extent to which, the employer has control or can reasonably be expected to have control having regard to the workplace and the work done or caused to be done by the employer or his or her employee; and
- (b) is limited to himself or herself and to any other person who is —
  - (i) his or her employee; or
  - (ii) any other person who may be affected wholly or in part as a result of the work done or caused to be done by the employer or his or her employee.

### **1.5. Self-employed person, extent of duty of**

Unless the contrary intention appears, where a self-employed person has a duty under a provision of these regulations to do or not do something in relation to a workplace, the self-employed person's duty —

- (a) relates only to a matter over which, and the extent to which, the self-employed person has control or can reasonably be expected to have control having regard to the workplace and the work done or caused to be done by the self-employed person; and
- (b) is limited to himself or herself and to any other person who may be affected wholly or in part as a result of the work done or caused to be done by the self-employed person.



**1.6. Main contractor, extent of duty of**

Unless the contrary intention appears, where a main contractor has a duty under a provision of these regulations to do or not do something in relation to a construction site, the main contractor's duty —

- (a) relates only to a matter over which, and the extent to which, the main contractor has control or can reasonably be expected to have control at the site; and
- (b) is limited to any person who may be affected wholly or in part as a result of the work done at the site.

**1.7. Person having control of workplace, extent of duty of**

Unless the contrary intention appears, where a person having control of a workplace has a duty under a provision of these regulations to do or not do something in relation to the workplace, the person's duty —

- (a) relates only to a matter over which, and the extent to which, the person has control or can reasonably be expected to have control having regard to the person's interest in the workplace; and
- (b) is limited to persons who are at the workplace.

**1.8. Person having control of access to workplace, extent of duty of**

Unless the contrary intention appears, where a person having control of access to a workplace has a duty under a provision of these regulations to do or not do something in relation to the workplace, the person's duty —

- (a) relates only to a matter over which, and the extent to which, the person has control or can reasonably be expected to have control of the access to and egress from the workplace having regard to the person's interest in the access to and egress from the workplace; and

- (b) is limited to persons who use the access to and egress from the workplace.

**1.9. Employee, meaning of**

Unless the contrary intention appears, a reference in a provision of these regulations to an employee is to be treated as a reference to an employee who works at the workplace to which the provision applies but, if used in association with a reference to a workplace or to an employer, the reference is to be treated as limited to an employee who works at that workplace or to an employee of that employer.

**1.10. Workplace, meaning of**

Unless the contrary intention appears, a reference in a provision of these regulations to a workplace if used in association with a reference to —

- (a) an employer, is to be treated as limited to the workplace in respect of which that person is the employer;
- (b) an employee, is to be treated as limited to the workplace at which that employee works;
- (c) a self-employed person, is to be treated as limited to the workplace at which work is done or caused to be done by that person.

**1.11. NOHSC and standards, references to**

- (1) A reference in any provision of these regulations to a document which has **NOHSC** in its name or code is a reference to the document of that name or code that is published by the National Occupational Health and Safety Commission and includes, subject to this regulation, any amendment to the document made before that provision comes into operation.
- (2) A reference in any provision of these regulations to the *National Exposure Standards* [NOHSC: 1003 (1995)] is a reference to the *Adopted National Exposure Standards for Atmospheric Contaminants in the Occupational Environment*

[NOHSC: 1003 (1995)] and includes any amendment to the document made before 1 September 2005.

- (3) A reference in any provision of these regulations to the *Guidance Note on the Interpretation of Exposure Standards for Atmospheric Contaminants in the Occupational Environment* [NOHSC: 3008 (1995)] includes any amendment to the document made before 4 January 2005.

*[Regulation 1.11 amended: Gazette 12 Sep 1997 p. 5176-7; 8 Mar 2002 p. 962; 7 Jan 2005 p. 77; 27 Apr 2007 p. 1776.]*

### **1.12. Standards etc., compliance with**

Where a provision of these regulations requires a person to comply with —

- (a) an Australian Standard published by Standards Australia; or
- (b) an Australian/New Zealand Standard published by Standards Australia and the Standards Council of New Zealand under an Active Cooperation Agreement between those 2 bodies; or
- (c) a standard or other document published by the National Occupational Health and Safety Commission,

that provision is to be treated as requiring compliance only to the extent that it is not inconsistent with these regulations.

*[Regulation 1.12 amended: Gazette 22 Oct 2004 p. 4834.]*

### **1.13. Technical terms not defined, meaning of**

In these regulations, unless the contrary intention appears, technical terms not otherwise defined have the respective meanings ordinarily ascribed to them in the industry in relation to which the term is used.

**1.14. AS or AS/NZS, reference to in Sch. 1**

Failure to include the number of the regulation in which a reference is made to an AS or AS/NZS designation in the regulation reference for that designation in Schedule 1 does not invalidate the reference to that designation in the regulation.

*[Regulation 1.14 inserted: Gazette 17 Dec 1999 p. 6229.]*

**1.15. Regulation 1.15 penalty**

- (1) Subregulation (2) applies where “the regulation 1.15 penalty” is specified in a penalty provision at the foot of a regulation or subregulation.
- (2) The applicable penalty is —
  - (a) for a first offence, \$5 000; and
  - (b) for a subsequent offence, \$6 250.

*[Regulation 1.15 inserted: Gazette 14 Dec 2004 p. 6010.]*

**1.16. Regulation 1.16 penalty**

- (1) Subregulation (2) applies where “the regulation 1.16 penalty” is specified in a penalty provision at the foot of a regulation or subregulation.
- (2) The applicable penalty is —
  - (a) in the case of an individual —
    - (i) for a first offence, \$25 000; and
    - (ii) for a subsequent offence, \$31 250;or
  - (b) in the case of a body corporate —
    - (i) for a first offence, \$50 000; and
    - (ii) for a subsequent offence, \$62 500.

*[Regulation 1.16 inserted: Gazette 14 Dec 2004 p. 6010.]*

## Part 2 — General

### Division 1 — Matters prescribed for purposes of the Act

#### 2.1. Laws prescribed (Act s. 14(1)(b))

The laws and provisions of laws relating to occupational safety and health that are set out in the Table to this regulation are prescribed for the purposes of section 14(1)(b) of the Act.

**Table**

Law	Provisions of that law
<i>Mines Safety and Inspection Act 1994</i>	All

#### 2.2. Introductory and transitional courses for, and entitlements under Act s. 35(3) of, safety and health representatives

- (1) This regulation applies where, under section 14(1)(h) of the Act, the Commission —
  - (a) accredits a training course designed for safety and health representatives to attend, subject to course availability, during the first year of holding office; or
  - (b) accredits a training course designed to update the knowledge of safety and health representatives who have completed an introductory course.
- (2) In this regulation —

***introductory course*** means a course of a kind referred to in subregulation (1)(a) accredited as referred to in that provision;

***representative*** means a safety and health representative;

***transitional course*** means a course of a kind referred to in subregulation (1)(b) accredited as referred to in that provision.
- (3) A representative, subject to the availability of introductory courses, is to endeavour to attend an introductory course within the first 12 months of being elected.

- (4) A representative who has not previously attended an introductory course may give to his or her employer notice in writing in accordance with subregulation (4b) that the representative wishes to attend an introductory course.
- (4a) A representative who has previously attended an introductory course but completed it before March 2005 may, if the representative has not completed a transitional course after February 2005, give to his or her employer notice in writing in accordance with subregulation (4b) that the representative wishes to attend a transitional course.
- (4b) Notice under subregulation (4) or (4a) that a representative wishes to attend a course has to be given not less than 21 days, or a shorter period agreed between the representative and the employer, before the commencement of the course.
- (5) If a representative has given notice under subregulation (4) or (4a) that he or she wishes to attend a course then the employer, subject to subregulation (7), is to permit the representative to take off work, with pay, such time, not exceeding 5 days, as is required for the purpose of attending that course.
- (6) An employer who has been given notice under subregulation (4) or (4a) by a representative wishing to attend a course may consult with the representative or the relevant trade union concerning the attendance of the representative at that course and, in those consultations, due regard is to be given to the need to minimise any adverse effect on the operation of the business of the employer.
- (7) If the employer has consulted under subregulation (6) with the representative or trade union concerned, the employer may decline to permit attendance at the course as wished but instead permit attendance at the next course of the same kind available that the representative wishes to attend.
- (8) The pay to which a representative is entitled in respect of time the representative is permitted to take off work to attend an introductory course or a transitional course must be calculated at

the representative's ordinary rate of pay on the time that the representative would ordinarily have worked had the representative worked his or her scheduled work time —

(a) including —

- (i) regular over award payments for ordinary hours of work; and
- (ii) shift work premiums according to roster or projected roster including Saturday or public holiday shift; and
- (iii) industry allowances; and
- (iv) climatic, regional, and other like allowances; and
- (v) first aid allowances; and
- (vi) tool allowances; and
- (vii) qualification allowances; and
- (viii) service grants made on a regular basis; and
- (ix) experience allowances; and
- (x) any penalty rates that are paid in relation to actual hours worked or payment of which are guaranteed by a contract of service whether the hours were required to be worked or not;

(b) but not including —

- (i) overtime payments (except if they form part of the contract of service); or
- (ii) camping allowances; or
- (iii) travelling allowances; or
- (iv) disability rates such as for confined spaces and dirty work; or
- (v) car allowances; or
- (vi) meal allowances,

but nothing in this subregulation excludes an entitlement to additional payments that may be set out in an award or agreed between the employer and the representative as being applicable.

- (9) An employer must not alter the conditions or remuneration of a person who is a representative to the detriment of that person unless the alteration is in accordance with this regulation.

Penalty:

- (a) in the case of an individual —
    - (i) for a first offence, \$10 000; and
    - (ii) for a subsequent offence, \$12 500;or
  - (b) in the case of a body corporate —
    - (i) for a first offence, \$20 000; and
    - (ii) for a subsequent offence, \$25 000.
- (10) Attendance at an introductory course or a transitional course is to be regarded as service for the purposes of ascertaining any entitlement under an award.
- (11) In subregulation (8) —
- award** means —
- (a) an award under the *Industrial Relations Act 1979*, and includes any industrial agreement or order under that Act; or
  - (b) an award or order that has been reduced to writing under section 143(1) of the *Industrial Relations Act 1988*<sup>1</sup> of the Commonwealth; or
  - (c) an enterprise flexibility agreement within the meaning of the *Industrial Relations Act 1988*<sup>1</sup> of the Commonwealth; or
  - (d) an award under the *Coal Industry Tribunal of Western Australia Act 1992*, and includes any order under that Act and any agreement that comes within section 12(4) or 17(1) of that Act.

[Regulation 2.2 amended: Gazette 15 Aug 2003 p. 3689;  
amended: Gazette 14 Dec 2004 p. 6016; 4 Mar 2005 p. 881-3.]



**2.3. Subsequent courses for, and entitlements under Act s. 35(3) of, safety and health representatives**

- (1) This regulation applies where, under section 14(1)(h) of the Act, the Commission accredits a training course suitable for attendance by safety and health representatives, subject to course availability, during each 2 year term of holding office following the first 2 year term.
- (2) In this regulation —  
*post-introductory course* means a course of a kind referred to in subregulation (1);  
*representative* means a safety and health representative.
- (3) A representative may take such time (with or without pay as is agreed between the representative and his or her employer) off work for the purpose of attending a post-introductory course as is agreed with his or her employer.
- (4) Attendance at a post-introductory course is to be regarded as service for the purposes of ascertaining any entitlement under an award.

**2.4. Injuries etc. prescribed (Act s. 23I)**

- (1) For the purposes of section 23I(2)(a) of the Act, the kinds of injury incurred by an employee to be notified by an employer to the Commissioner are —
  - (a) a fracture of the skull, spine or pelvis;
  - (b) a fracture of any bone —
    - (i) in the arm, other than in the wrists or hand;
    - (ii) in the leg, other than a bone in the ankle or foot;
  - (c) an amputation of an arm, a hand, finger, finger joint, leg, foot, toe or toe joint;
  - (d) the loss of sight of an eye;

- (e) any injury other than an injury of a kind referred to in paragraphs (a) to (d) which, in the opinion of a medical practitioner, is likely to prevent the employee from being able to work within 10 days of the day on which the injury occurred.
- (2) For the purposes of section 23I(3) of the Act, notification of an injury to which section 23I(2)(a) of the Act applies is to be made —
  - (a) in the form of Form 1 in Schedule 2; or
  - (b) by telephone.
- (3) The prescribed particulars for the purposes of the notification of an injury to which section 23I(2)(a) of the Act applies are —
  - (a) name and business address of the employer; and
  - (b) name, sex and occupation of the employee; and
  - (c) address of the place at which the injury was incurred; and
  - (d) date and time the injury was incurred; and
  - (e) brief description of how the injury was incurred and the type of machine or equipment, if any, involved; and
  - (f) nature of the injury or, where applicable, report of death; and
  - (g) the place to which the employee has been taken.

*[Regulation 2.4 amended: Gazette 14 Dec 2004 p. 6011.]*

**2.5. Diseases etc. prescribed (Act s. 23I)**

- (1) For the purposes of section 23I(2)(a) of the Act, the kinds of disease affecting an employee to be notified by an employer to the Commissioner are the diseases set out in column 1 of the Table to this regulation that have been contracted in the course of the kind of work set out opposite that disease in column 2 of the Table.

**Table**

<b>Disease</b>	<b>Work</b>
1. Infectious diseases: tuberculosis viral hepatitis legionnaires' disease HIV	Work involving exposure to human blood products, body secretions, excretions or other material which may be a source of infection
2. Occupational zoonoses: Q fever Anthrax Leptospiroses Brucellosis	Work involving the handling of or contact with animals, animal hides, skins, wool, hair, carcasses or animal waste products

- (2) For the purposes of section 23I(3) of the Act, notification of a disease to which section 23I(2)(a) of the Act applies is to be made —
- (a) in the form of Form 2 in Schedule 2; or
  - (b) by telephone.
- (3) The prescribed particulars for the purposes of the notification of a disease to which section 23I(2)(a) of the Act applies are —
- (a) name and business address of the employer; and
  - (b) name, sex and occupation of the employee; and
  - (c) name and address of the workplace where the employee works; and
  - (d) name of the disease; and
  - (e) date of diagnosis of the disease.

*[Regulation 2.5 amended: Gazette 14 Dec 2004 p. 6011.]*

**2.6. Procedure prescribed (Act s. 24(2))**

- (1) If no procedure has been agreed between an employer and employees for the resolution of issues relating to occupational

safety and health arising at the workplace then this regulation applies for the purposes of section 24(2) of the Act.

- (2) Subject to subregulation (3), where there is a safety and health representative in respect of the workplace the employer is to arrange to meet with the employees and that representative at a time that is as soon after the issue arises as is mutually convenient.
- (3) Where there is a safety and health representative in respect of the workplace but it is not practicable for the employer to meet with the employees and that representative within a reasonable time, the employer is to communicate orally with the employees and that representative at a time that is as soon after the issue arises as is mutually convenient.
- (4) Where there is not a safety and health representative in respect of the workplace concerned, the employer is to arrange to meet with the employees or a person authorised by them to represent them at that meeting at a time that is as soon after the issue arises as is mutually convenient.

*[2.7. Deleted: Gazette 6 Jan 2006 p. 11.]*

**2.8. Forms prescribed (Act s. 51)**

- (1) A reference under section 51(1) of the Act of an improvement notice issued under section 48 of the Act for review is to be made in the form of Form 4 in Schedule 2.
- (2) A reference under section 51(1) of the Act of a prohibition notice issued under section 49 of the Act for review is to be made in the form of Form 5 in Schedule 2.

*[Regulation 2.8 amended: Gazette 1 Apr 2005 p. 1067;  
9 Dec 2005 p. 5898; 9 Jul 2010 p. 3247.]*

*[2.8A. Deleted: Gazette 15 Jan 2010 p. 75.]*

**2.8B. Courses of training prescribed (Act s. 51AB *qualified representative*)**

- (1) Each course of training described in subregulation (2) is prescribed for the purposes of the definition of *qualified representative* in section 51AB.
- (2) The prescribed courses are —
  - (a) a course that was an introductory course, as defined in regulation 2.2(2), and that the safety and health representative completed after February 2005; and
  - (b) a course that was a transitional course, as defined in regulation 2.2(2), and that the safety and health representative completed after February 2005 after having, before March 2005, completed a course that was an introductory course, as defined in regulation 2.2(2).

*[Regulation 2.8B inserted: Gazette 4 Mar 2005 p. 883.]*

**Division 2 — Administrative provisions**

**2.9. Plant subject of improvement or prohibition notice**

If an inspector issues an improvement notice or a prohibition notice that relates to any plant at a workplace then the inspector may mark the plant, or any part of it, to indicate that it is not to be used and a person must not —

- (a) use, or cause to be used, any plant, or any part of it, that is marked to indicate that it is not to be used; or
- (b) without the authority of an inspector to do so, remove, obliterate, or otherwise interfere with the mark.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 2.9 amended: Gazette 14 Dec 2004 p. 6017.]*

**2.10. Local government to notify Commissioner of construction work permits**

Each local government is to notify the Commissioner, in an approved form and within the first week of each month, of all permits issued by the local government within the previous month in relation to the commencement of construction work in the local government's district.

**2.11. Commissioner may direct medical examination of employee**

- (1) The Commissioner may, by written notice, direct an employer to arrange at the expense of the employer and within the time specified in the notice a medical examination of an employee whose name is specified in the notice.
- (2) The Commissioner is to set out in any notice under subregulation (1) the purpose of the proposed medical examination.
- (3) The Commissioner is to ensure that, before the medical examination is conducted, the employee is advised of the nature of, and the reasons for, the medical examination so that the employee is sufficiently informed for the purposes of making a choice in the selection of a medical practitioner.
- (4) For the purposes of selecting a medical practitioner to conduct a medical examination under this regulation, an employer must consult with the employee to be examined and give the employee a reasonable choice in the selection of the medical practitioner.
- (5) An employer must comply with a notice under subregulation (1) unless the employee does not agree to the selection of the medical practitioner or consent to undergoing the examination, proof of which is on the employer.

- (6) A medical practitioner who conducts an examination under this regulation must —
- (a) explain any test results to the employee and give the employee a copy of any test results; and
  - (b) give to the employee a copy of any medical report based on the test results or medical examination; and
  - (c) give a copy of the test results to the Commissioner; and
  - (d) on the written request of the person examined, supply the medical practitioner's findings on the examination to another medical practitioner who has been nominated by the person examined.
- (7) The Commissioner is to inform the employer of —
- (a) the outcome of the medical examination; and
  - (b) any need for remedial action.
- (8) The employer must ensure that the results of a medical examination under this section are treated as confidential records.

Penalty applicable to subregulations (4), (5), (6) and (8):

- (a) in the case of an individual —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$20 000; and
  - (ii) for a subsequent offence, \$25 000.

*[Regulation 2.11 amended: Gazette 14 Dec 2004 p. 6012.]*

## **2.12. Exemption from regulation where substantial compliance**

- (1) A person may apply to the Commissioner for a person who, or a workplace which, does not fully comply with a requirement of

these regulations to be exempted from the requirement and the application is to be in an approved form.

- (2) If, on an application under subregulation (1), the Commissioner is satisfied that there is substantial compliance with the relevant requirements of these regulations then the Commissioner may exempt the person or workplace from the requirement and the exemption is to be in writing and may be made subject to such conditions as are specified by the Commissioner.
- (3) If the Commissioner imposes a condition in relation to an exemption granted under subregulation (2) then a person having the benefit of the exemption must comply with the condition.  
Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.  
Penalty in any other case: the regulation 1.16 penalty.
- (4) The Commissioner may, at any time, revoke an exemption granted under subregulation (2) and the revocation takes effect on the day on which notice of the revocation posted to the person's last known address would have been delivered in the ordinary course of post.

*[Regulation 2.12 amended: Gazette 14 Dec 2004 p. 6017.]*

**2.13. Exemption from regulation where compliance unnecessary or impracticable**

- (1) A person may apply to the Commissioner for a person or a workplace to be exempted from complying with a requirement of these regulations and the application is to be in an approved form.
- (2) If, on an application under subregulation (1), the Commissioner is satisfied that compliance with any requirement of these regulations would be unnecessary or impracticable then the Commissioner may exempt the person or workplace from the requirement and the exemption is to be in writing and may be made subject to such conditions as are specified by the Commissioner.



- (3) If the Commissioner imposes a condition in relation to an exemption granted under subregulation (2), a person having the benefit of the exemption must comply with the condition.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (4) The Commissioner may, at any time, revoke an exemption granted under subregulation (2) and the revocation takes effect on the day on which notice of the revocation posted to the person's last known address would have been delivered in the ordinary course of post.

[Regulation 2.13 amended: Gazette 14 Dec 2004 p. 6017.]

## 2.14. Exemption from fees

If the Commissioner is satisfied that plant —

- (a) is owned by a charitable non-profit making institution or organisation; or
- (b) is used solely for the purpose of education or instruction,

then the Commissioner may, by notice in the *Government Gazette*, exempt a person who would otherwise be liable to pay a fee under these regulations in relation to that plant from that liability.

## 2.14A. Refund of fees in response to COVID-19 pandemic

- (1) In this regulation —

**commencement day** means the day on which the *Occupational Safety and Health Amendment (COVID-19 Response) Regulations (No. 2) 2020* regulation 6 comes into operation;

**designated fee** means a fee —

- (a) calculated by reference to the rate set out in Schedule 6.1 as in force on 1 April 2020; or
- (b) set out in Schedule 6.1A, 6.2, 6.2A or 6.4 as in force on 1 April 2020;

*reduced fee* means a fee —

- (a) calculated by reference to a rate set out in Column 2 of Schedule 6.1; or
  - (b) set out in Column 2 of Schedule 6.1A, 6.2, 6.2A or 6.4.
- (2) This regulation applies if —
- (a) a person paid a designated fee during the period beginning on 1 April 2020 and ending on the day before commencement day; and
  - (b) the designated fee is greater than the corresponding reduced fee.
- (3) The Commissioner must refund to the person an amount equal to the difference between the designated fee and the corresponding reduced fee.
- (4) However, subregulation (3) does not require the Commissioner to refund an amount in respect of a fee that has been refunded under regulation 3.116(1).

*[Regulation 2.14A inserted: SL 2020/198 r. 5.]*

### **Division 3 — Review of decisions under these regulations**

#### **2.15. Review of decisions by person other than Commissioner**

- (1) This regulation applies in respect of a decision made under these regulations by a person other than the Commissioner, whether or not the decision was made by that person as a delegate of the Commissioner.
- (2) A person who is not satisfied with a decision referred to in subregulation (1) may, within 14 days of receiving notice of the decision, refer the decision to the Commissioner for review setting out the grounds upon which a review of the decision is sought.

- (3) On reference of a decision to the Commissioner for review under this regulation the Commissioner is to inquire into the circumstances relevant to the decision and may —
- (a) affirm the decision; or
  - (b) set aside the decision; or
  - (c) substitute for the decision any decision that the Commissioner considers should have been made in the first instance,
- and the determination of the matter by the Commissioner is to have effect according to its tenor.

**[2.16.** *Deleted: Gazette 9 Jul 2010 p. 3247.]*

## **Part 3 — Workplace safety requirements**

### **Division 1 — General duties applying to workplaces**

#### **3.1. Identification of hazards, and assessment and reduction of risks, duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must, as far as practicable —

- (a) identify each hazard to which a person at the workplace is likely to be exposed; and
- (b) assess the risk of injury or harm to a person resulting from each hazard, if any, identified under paragraph (a); and
- (c) consider the means by which the risk may be reduced.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.1 amended: Gazette 14 Dec 2004 p. 6018.]*

#### **3.2. Person at workplace to have access to Act etc. on request**

A person who, at a workplace, is an employer or the main contractor must ensure that, as soon as practicable following a request from a person who works at the workplace, there is available for that person's perusal an up to date copy of —

- (a) the Act; and
- (b) these regulations; and
- (c) all Australian Standards, Australian/New Zealand Standards and NOHSC documents or parts of those Standards or documents referred to in these regulations that apply to that workplace; and
- (d) all codes of practice approved under section 57 of the Act that apply to that workplace; and

- (e) guidelines or forms of guidance referred to in section 14 of the Act —
  - (i) the titles of which have been published in the *Government Gazette* and which are set out in Schedule 3.1; and
  - (ii) which apply to that workplace.

Penalty:

- (a) in the case of an individual —
  - (i) for a first offence, \$2 000; and
  - (ii) for a subsequent offence, \$2 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$4 000; and
  - (ii) for a subsequent offence, \$5 000.

*[Regulation 3.2 amended: Gazette 14 Dec 2004 p. 6012.]*

### **3.3. Isolated employee to have communication for emergency etc.**

If an employee is isolated from other persons because of the time, location or nature of the work then the employer must ensure that —

- (a) there is a means of communication available which will enable the employee to call for help in the event of an emergency; and
- (b) there is a procedure for regular contact to be made with the employee and the employee is trained in the procedure.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.3 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.4. Manual handling, duties of employer etc. as to**

- (1) In this regulation —  
*manual handling* means any activity requiring the use of force exerted by a person to lift, lower, push, pull, carry or otherwise move, hold or restrain a person, animal or thing.
- (2) Without limiting regulation 3.1, a person who, at a workplace, is an employer, the main contractor or a self-employed person must, as far as practicable —
- (a) identify each hazard that is likely to arise from manual handling at the workplace; and
  - (b) assess the risk of injury or harm to a person resulting from each hazard, if any, identified under paragraph (a); and
  - (c) consider the means by which the risk may be reduced.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.4 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.5. Reported hazard etc., employer to investigate**

If an employer receives from an employee a report of a kind described in section 20(2)(d) of the Act or from a safety and health representative under section 33(1)(d) of the Act then the employer must, within a reasonable time, investigate the situation that has been reported.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.5 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.6. Movement of people, duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must, where practicable, ensure that the workplace is arranged so that —

- (a) persons are able to move safely within the workplace; and

- (b) passages for the purpose of enabling persons to move within the workplace are at all times kept free of obstructions.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.6 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.7. Access to and egress from workplace, duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of access to the workplace must, where practicable, ensure that the means of access to and egress from the workplace —

- (a) enable persons to move safely to and from the workplace; and
- (b) are at all times kept free of obstructions.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.7 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.8. Emergency egress from workplace, duty of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of access to the workplace must ensure that the means of emergency egress from the workplace enable safe egress from the workplace in the event of an emergency.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.8 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.9. Fire precautions, duties of employer etc. as to**

- (1) If there is a risk of fire at a workplace then a person who, at the workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must, as far as practicable —
  - (a) provide regularly maintained and efficient portable fire extinguishers to control any fire likely to arise from the work being done at the workplace; and

- (b) ensure that portable fire extinguishers are located and distributed at the workplace in accordance with AS 2444.

Penalty: the regulation 1.16 penalty.

- (2) If, in any part of a workplace —
  - (a) there are goods or materials which in the event of a fire are likely to burn with extreme rapidity, emit poisonous fumes or cause explosions; and
  - (b) there is a risk of harm or injury to a person at the workplace resulting from the goods or materials being ignited,

then a person who, in the case of a construction site, is the main contractor or who, in the case of any other workplace, is an employer or a self-employed person, must ensure, where practicable, that no person smokes or introduces a naked flame into that part of the workplace.

Penalty: the regulation 1.16 penalty.

- (3) A person must comply with a direction given for the purposes of subregulation (2).

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.9 amended: Gazette 14 Dec 2004 p. 6017 and 6018.]*

### **3.10. Evacuation procedure, duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that —

- (a) there is an evacuation procedure to be followed in the event of fire or other emergency at the workplace; and



- (b) where practicable, the evacuation procedure is clearly and prominently displayed at the workplace; and
- (c) where practicable, a diagram showing the location of exits and the position of the diagram in relation to the exits is clearly and prominently displayed at the workplace; and
- (d) where practicable, the evacuation procedure is practised at the workplace at reasonable intervals; and
- (e) persons at the workplace who would be required to help control or extinguish a fire at the workplace are appropriately trained and provided with appropriate protective clothing and equipment.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.10 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.11. Warning signs for hazards, duties of employer etc. as to**

Without limiting any other requirement of these regulations for the display of signs, if, in an area of a workplace there is a hazard which may not be readily apparent to a person working in or entering the area then a person who, at the workplace, is an employer, the main contractor, a person having control of the workplace or a person having control of access to the workplace must ensure that —

- (a) a sign relevant to each hazard is displayed to persons in or entering the area; and
- (b) the sign complies, and is used in accordance, with AS 1319.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.11 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.12. First aid, duties of employer etc. as to**

- (1) In this regulation —

***first aid*** means the immediate treatment or care of a person who is injured or who becomes ill at a workplace.

- (2) A person who, at a workplace, is an employer, the main contractor or a self-employed person —
- (a) must provide such first aid facilities as are appropriate having regard to —
    - (i) the type of hazards to persons at the workplace and the risk of those hazards; and
    - (ii) the number of persons at the workplace;and
  - (b) must ensure that, as far as practicable, persons trained in first aid are available to give first aid at the workplace having regard to —
    - (i) the type of hazards to persons at the workplace and the risk of those hazards; and
    - (ii) the number of persons at the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.12 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.13. Lighting, duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that lighting for the workplace from natural or artificial sources or both —

- (a) is adequate having regard to the nature and location of the work being done; and
- (b) without limiting regulation 3.6, is adequate for the movement of persons about the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.13 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.14. Work space for employee, employer's duty as to**

An employer must, as far as practicable, provide each employee with sufficient space in which to work without risk to the employee's safety and health.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.14 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.15. Air temperature etc., employer's duties as to**

An employer must ensure —

- (a) that work practices are arranged so that employees are protected from extremes of heat and cold; and
- (b) if the workplace is in a building or structure that, as far as practicable, heating and cooling are provided to enable employees to work in a comfortable environment.

Penalty:

- (a) in the case of an individual —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$20 000; and
  - (ii) for a subsequent offence, \$25 000.

*[Regulation 3.15 amended: Gazette 14 Dec 2004 p. 6016.]*

**3.16. Drinking water, duties of employer etc. as to**

- (1) A person who, at a workplace, is an employer or the main contractor must ensure that a supply of clean, cool, drinking water is provided for, and is readily accessible to, persons working at the workplace, and that the outlet is in a place —
  - (a) where the water supply is unlikely to be contaminated; and

(b) other than a place in which a toilet is located.

(2) If, at a workplace —

- (a) water is provided for use in industrial processes or for fire protection; and
- (b) the water is unfit for drinking; and
- (c) it is not readily apparent that the water is unfit for drinking,

then a person who, at the workplace, is an employer or the main contractor or a person having control of the workplace must ensure that conspicuous notices are posted at points of supply clearly marked “UNFIT FOR DRINKING” or with words having a similar effect.

Penalty applicable to subregulations (1) and (2):

- (a) in the case of an individual —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$20 000; and
  - (ii) for a subsequent offence, \$25 000.

*[Regulation 3.16 amended: Gazette 14 Dec 2004 p. 6012.]*

**3.17. Cleanliness of workplace and rubbish etc. removal, duties of employer etc. as to**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that —
  - (a) the workplace and other areas ancillary to the workplace are maintained in such clean condition as is necessary to avoid hazards to persons at the workplace; and
  - (b) where practicable, rubbish and debris are removed by mechanical means; and

- (c) as far as practicable, dust is prevented from being released into the atmosphere.
- (2) A person who, at a construction site, is the main contractor, an employer or a self-employed person must ensure that rubbish, building material and plant is stored away from footpaths and roadways at the site.
- (3) A person who, at a construction site, is the main contractor, an employer, or a self-employed person must ensure that debris from first and subsequent storeys at the site is removed by means of —
  - (a) a hoisting appliance; or
  - (b) a completely enclosed chute discharging either into disposal hoppers or into an area which is completely enclosed by a hoarding that is at least 2 metres in height.

Penalty applicable to subregulations (1), (2) and (3): the regulation 1.16 penalty.

*[Regulation 3.17 amended: Gazette 14 Dec 2004 p. 6018.]*

### **3.18. Floors, stairs etc., duties of employer etc. as to**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that as far as practicable —
  - (a) the floor of the workplace; or
  - (b) any stair or ramp in the workplace,has an unbroken and slip resistant surface and is free from any obstruction that may cause a person to trip or fall.
- (2) If, at a workplace, there is a risk of liquids coming into contact with a floor because of the nature of work done at the workplace then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that the floor is designed and constructed to provide adequate drainage.

- (3) This regulation does not apply to a natural ground surface comprising a floor.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 3.18 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.19. Seating, employer's duties as to**

- (1) If an employee's work is done from a sitting position or is of a kind that can be satisfactorily done from a sitting position then the employer must provide and maintain seating —
- (a) that is designed having regard to the nature of the work to be performed and the characteristics of the work station; and
  - (b) that is strongly constructed, stable, comfortable and of suitable size and height for the employee; and
  - (c) if practicable, has a backrest or is otherwise designed to provide back support.
- (2) If an employee's work is done from a standing position and the employee's work allows the employee to sit from time to time then, to the extent practicable, the employer must provide and maintain seating so that the employee may sit down for the periods when the employee is not working.

Penalty applicable to subregulations (1) and (2):

- (a) in the case of an individual —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$20 000; and
  - (ii) for a subsequent offence, \$25 000.

*[Regulation 3.19 amended: Gazette 14 Dec 2004 p. 6013.]*

**3.20. Sanitary etc. facilities, duties of employer etc. as to**

- (1) In this regulation —  
*other facility* means an area for the changing of clothes, showering, eating, drinking or resting;  
*sanitary facilities* means toilets (including urinals), hand-wash basins and units for the disposal of sanitary items.
- (2) A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that there are provided at the workplace for the use of persons working at the workplace —
- (a) reasonable sanitary facilities, having regard to the reasonable requirements of the persons working at the workplace; and
  - (b) convenient access to sanitary facilities; and
  - (c) any other facility if the safety or health of a person working at the workplace would be at risk if the facility were not provided.

Penalty:

- (a) in the case of an individual —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$20 000; and
  - (ii) for a subsequent offence, \$25 000.

*[Regulation 3.20 amended: Gazette 14 Dec 2004 p. 6016.]*

**3.21. Gas, water, sewerage and electricity services, employer etc. to record location of etc.**

- (1) If there is a risk that work to be conducted at a workplace might interfere with any gas, water, sewerage or electrical service then a person who, at the workplace, is an employer, the main

contractor, a self-employed person or a person having control of the workplace must ensure that —

- (a) the location of the service that might be affected is established; and
  - (b) an accurate diagrammatic representation of the service that might be affected is available at the workplace before the work commences.
- (2) If any person causes the location of any gas, water, sewerage or electrical service to a workplace to be changed then a person who, at the workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that an accurate diagrammatic representation of the change to the service is either prepared or amended, as the case requires, to show the change.
- (3) A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure, where practicable, that “as constructed” drawings showing the location of gas, water, sewerage and electrical service to the workplace are kept at the workplace.

Penalty applicable to subregulations (1), (2) and (3):

- (a) in the case of an individual —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$20 000; and
  - (ii) for a subsequent offence, \$25 000.

*[Regulation 3.21 amended: Gazette 14 Dec 2004 p. 6013.]*

**3.22. Moving vehicles etc., duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor or a person having control of the workplace must



ensure that the movement and speed of vehicles and plant at the workplace are managed in a way that minimises the risk of injury to pedestrians and persons operating vehicles.

Penalty:

- (a) in the case of an individual —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$20 000; and
  - (ii) for a subsequent offence, \$25 000.

*[Regulation 3.22 amended: Gazette 14 Dec 2004 p. 6016.]*

**3.23. Material etc. being lifted etc. by crane etc. at construction site, duties of main contractor etc. as to**

- (1) If any material or gear is being lifted, lowered or otherwise moved by a crane at a construction site then the main contractor must ensure that persons and vehicles are prevented from entering any area in or adjacent to the site where there is a risk of injury to persons or damage to property occurring as a result of the movement of the material or gear, and that such prevention is —
  - (a) by means of warning signs, flashing lights, barriers, traffic controllers or other means as would be appropriate to the nature of the load; and
  - (b) with the minimum amount of disruption to persons and traffic in the area.
- (2) If an area of a construction site where any material or gear is being lifted, lowered or otherwise moved from or onto the area is open to the public or if an inspector so requires it then a person who, at the site, is the main contractor, an employer, or a self-employed person must ensure that there is either in place or available in the area a system or device that would prevent

persons or vehicles entering the area when the material or gear is being lifted, lowered or otherwise moved.

- (3) A person who, at a construction site, is the main contractor, an employer or a self-employed person must ensure that any material or gear that is being raised or otherwise moved or suspended or supported at the site is properly secured so as to minimise the risk of injury to persons or damage to property occurring as a result of the movement of the material or gear.
- (4) If a mobile crane, other than a vehicle loading crane or earthmoving machinery, that is a non-slewing crane with a maximum rated capacity of greater than 20 tonnes or a slewing crane, is used at a construction site, a person who at the site, is the main contractor, an employer or a self-employed person, must ensure that the crane is fitted as far as practicable with a system having the following components —
  - (a) at least one light source inside the crane that emits green light only if a load being lifted by the crane is less than or equal to 90% of the crane's rated capacity and which light gives the crane operator an effective warning signal of the status of the load in that respect; and
  - (b) at least one light source on the outside of the crane that emits a green light only if a load being lifted by the crane is less than or equal to 90% of the crane's rated capacity and which light is visible to a person in the vicinity of the crane; and
  - (c) at least one light source inside the crane that emits amber light only if a load being lifted by the crane is greater than 90% and less than 100% of the crane's rated capacity and which light gives the crane operator an effective warning signal of the status of the load in that respect; and
  - (d) at least one light source on the outside of the crane that emits an amber light only if a load being lifted by the crane is greater than 90% and less than 100% of the

- crane's rated capacity and which light is visible to a person in the vicinity of the crane; and
- (e) at least one light source inside the crane that emits a flashing red light only if a load being lifted by the crane is greater than 100% of the crane's rated capacity and which light gives the crane operator an effective warning signal of the status of the load in that respect; and
  - (f) at least one light source on the outside of the crane that emits a flashing red light only if a load being lifted by the crane is greater than 100% of the crane's rated capacity and which light is visible to a person in the vicinity of the crane; and
  - (g) an alarm that sounds inside the crane only if a load being lifted by the crane is greater than 100% of the crane's rated capacity, which alarm gives the crane operator an effective warning signal of the status of the load in that respect; and
  - (h) an alarm that sounds outside the crane only if a load being lifted by the crane is greater than 100% of the crane's rated capacity, which sound is audible to a person in the vicinity of the crane.
- (5) A person does not commit an offence under subregulation (4) if the percentage of a crane's rated capacity at which a light is emitted or an alarm sounds differs by no more than 2% from that required under subregulation (4) if, proof of which is on the person —
- (a) only one colour of light is emitted by the inside and outside light sources at a time; and
  - (b) the inside and outside alarms sound at the same time as the inside and outside light sources emit red light; and
  - (c) the percentage of the crane's rated capacity above which the inside and outside light sources emit red light and the inside and outside alarms sound is not greater than 100%; and

- (d) the crane is operated in accordance with written instructions developed at the time of design or manufacture by the person who designed or manufactured the crane.

Penalty applicable to subregulations (1), (2), (3) and (4): the regulation 1.16 penalty.

*[Regulation 3.23 amended: Gazette 3 Oct 2003 p. 4356-8; 25 Jun 2004 p. 2292; 14 Dec 2004 p. 6018.]*

**3.24. Lowering gear on construction site, duties of person engaged in**

A person working on a construction site who lowers any gear from any building or structure where persons in the vicinity are at risk of injury from the gear being lowered must do so carefully, without throwing or dropping the gear.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case:

- (a) for a first offence, \$25 000; and
- (b) for a subsequent offence, \$31 250.

*[Regulation 3.24 amended: Gazette 14 Dec 2004 p. 6017.]*

**3.25. Conduit crossing thoroughfare at construction site, duties of main contractor etc. as to**

A person who, at a construction site, is the main contractor, an employer or a self-employed person must ensure that any conduit crossing a thoroughfare is secured to the ground or protected by a non-slip ramp.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.25 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.26. Portable ladder, duties of person using**

- (1) If, at a workplace, a person uses either a single or extension ladder then the person must ensure that the ladder —
  - (a) is placed so that the distance from the ladder base to the base of the support wall is about  $\frac{1}{4}$  of the working length of the ladder; and
  - (b) is located on a firm footing; and
  - (c) is secured into position so as to prevent slipping or sideways movement; and
  - (d) if being used to approach a platform, protrudes at least 900 mm beyond the landing for the platform; and
  - (e) if being used at a workplace that is a construction site, is not suspended from a parapet hook.
- (2) If, at a workplace, a person uses —
  - (a) a portable metal ladder then the person must ensure that the ladder is designed and constructed in accordance with the general requirements of AS/NZS 1892.1 and the specific requirements of that Standard in relation to the type of ladder; or
  - (b) a portable wooden ladder then the person must ensure that the ladder is designed and constructed in accordance with the general requirements of AS 1892.2 and the specific requirements of that Standard in relation to the type of ladder.
- (3) A person must not use a ladder-bracket scaffold at a workplace unless the ladder-bracket scaffold is set up and used in accordance with clause 10.2.5 of AS/NZS 4576.

Penalty applicable to subregulations (1), (2) and (3) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1), (2) and (3) in any other case: the regulation 1.16 penalty.

*[Regulation 3.26 amended: Gazette 17 Dec 1999 p. 6229; 14 Dec 2004 p. 6017.]*

**3.27. Gas cylinder, duties of employer etc. as to**

A person who, at a workplace is an employer, the main contractor or a self-employed person must ensure that any gas cylinder at the workplace —

- (a) is appropriately secured and protected from damage or the uncontrolled release of its contents while the cylinder is being used, moved, or stored; and
- (b) is not lifted or lowered by mechanical means unless —
  - (i) it is contained in an appropriate type of box; or
  - (ii) it is lifted or lowered using attachments that are suitable for the task being performed.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.27 amended: Gazette 17 Dec 1999 p. 6229-30; 14 Dec 2004 p. 6018.]*

**3.28. Manifolded cylinder pack, duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure, in relation to a manifolded cylinder pack used in welding or in an allied process at the workplace, that at all times —

- (a) each manifolded cylinder pack is so located and guarded to protect it from damage; and
- (b) where 2 or more manifolded cylinder packs are located in the same room, they are located at least 5 metres apart.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.28 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.29. Construction diving work, duties of employer etc. as to**

- (1) In this regulation —

**construction diving work** means any construction work in which diving is done using either surface supplied breathing apparatus or self-contained underwater breathing apparatus, and

includes the work done by the persons who give direct support to the diver.

- (2) If, at a workplace, a person is to do construction diving work the person's employer or the self-employed person, as the case may be, must ensure that —
- (a) each person to do the work is qualified in accordance with AS/NZS 2299; and
  - (b) the work is done in accordance with the relevant requirements of AS/NZS 2299.

Penalty: the regulation 1.16 penalty.

- (3) A person who does construction diving work must, when in the water, use compressed air to breathe in accordance with the requirements of AS/NZS 2299.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case:

- (a) for a first offence, \$25 000; and
- (b) for a subsequent offence, \$31 250.

*[Regulation 3.29 amended: Gazette 10 Jan 2003 p. 63; 14 Dec 2004 p. 6017 and 6018.]*

**3.30. Flotation device, employer etc. to provide etc. if person working with others near water etc.**

If, at a workplace —

- (a) there is a risk of a person falling into water or other liquid and drowning at or adjacent to the workplace; and
- (b) persons other than the person referred to in paragraph (a) are likely to be present at the workplace,

then a person who, at the workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must provide appropriate flotation devices in a

readily accessible position and ensure that rescue equipment is maintained and ready for use.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.30 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.31. Life jacket, duties of employer etc. as to for person working alone over water etc.**

If, at a workplace —

- (a) a person works over water or other liquid and there is a risk of the person drowning if he or she falls into the water or other liquid; and
- (b) the person works alone,

a person who, at the workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must, as far as practicable, ensure that the first-mentioned person wears a life jacket to reduce the risk of drowning.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.31 inserted: Gazette 17 Dec 1999 p. 6230; amended: Gazette 14 Dec 2004 p. 6018.]*

**Division 2 — General duties in relation to personal protective clothing and equipment**

**3.32. Risks to be reduced in first instance by means other than protective clothing and equipment**

When a person is considering, for the purposes of regulation 3.1(c), the means by which a risk may be reduced, the person is to —

- (a) firstly consider the means other than the use of protective clothing and equipment by which the risk might be reduced; and
- (b) then consider the use of protective clothing and equipment to the extent that it is not practicable to



reduce the risk by means other than the use of protective clothing and equipment.

**3.33. Personal protective clothing and equipment, standards applicable to**

- (1) If a person —
- (a) is required under any of these regulations to identify a hazard at a workplace and to assess the risk of injury or harm to a person resulting from the hazard; and
  - (b) concludes from the assessment process that a risk might be reduced by any of the personal protective clothing or equipment set out in column 1 of the Table to this regulation,

then the person must ensure that the personal protective clothing or equipment is in accordance, and complies, with the relevant requirements of each Standard set out opposite the clothing or equipment in column 2 of the Table.

**Table**

<b>Column 1</b>	<b>Column 2</b>
<b>Means of protection</b>	<b>Standard</b>
Safety helmet	AS/NZS 1801
Eye protection	AS/NZS 1337 and AS/NZS 1338
Gloves	AS/NZS 2161
Footwear	AS/NZS 2210
Skin protection by way of sunscreen	AS/NZS 2604
Clothing for protection against heat and flame	AS/NZS ISO 2801 and AS/NZS 4501.1
Clothing to protect skin against toxic or volatile chemicals	AS 3765

Penalty: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1) if, proof of which is on the person, the protection provided by the person in relation to a particular risk is of an equivalent or higher standard than the means of protection referred to in subregulation (1).
- (3) Nothing in subregulation (1) affects any requirement in the Act or in these regulations to provide such protection as will protect a person from a risk if the means of protection referred to in subregulation (1) would not provide adequate protection in relation to that risk.

*[Regulation 3.33 amended: Gazette 10 Jan 2003 p. 63; 14 Dec 2004 p. 6018; 2 Nov 2010 p. 5499.]*

**3.34. Person concluding personal protective clothing or equipment should be used, duties of**

- (1) If a person is required under any of these regulations to identify a hazard at a workplace and to assess the risk of injury or harm to a person resulting from the hazard and the person concludes from the assessment process that personal protective clothing or equipment should be used at the workplace then the person must ensure that —
  - (a) the person who uses the clothing or equipment is instructed in relation to the correct fitting, use, selection, testing, maintenance and storage of the clothing or equipment; and
  - (b) the person who uses the clothing or equipment is informed of the limitations in the use of the clothing or equipment; and
  - (c) the clothing or equipment is maintained in good working order; and
  - (d) the clothing or equipment is replaced —
    - (i) when it no longer provides the level of protection required to protect the wearer or user against the particular hazard; or

- (ii) when the safe working life, as specified by the person who manufactured the clothing or equipment, has expired; or
  - (iii) subject to subregulation (2), when it is damaged and cannot be repaired;
- and
- (e) the area of a workplace at which the clothing or equipment is required to be used by a person other than the person who provides the clothing or equipment is identified by signs in accordance, and complying, with AS 1319.

Penalty: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1)(d) if, proof of which is on the person, the clothing or equipment is repaired rather than replaced and —
  - (a) the repair is done by a competent person; and
  - (b) the repair is done according to the specifications of the manufacturer of the equipment; and
  - (c) any replacement part used in the repair is that which is specified by the manufacturer of the equipment as the correct replacement part.

*[Regulation 3.34 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.35. Person issued personal protective clothing or equipment, duties of**

A person to whom personal protective clothing or equipment is provided or made available for use at a workplace —

- (a) must use the protective clothing or equipment in a manner in which he or she has been properly instructed to use it; and
- (b) must not misuse or damage the clothing or equipment; and

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- (c) must, as soon as practicable after becoming aware of any —
  - (i) damage to; or
  - (ii) malfunction of; or
  - (iii) need to clean or sterilize,the clothing or equipment, notify the person providing the clothing or equipment of the damage, malfunction or need to clean or sterilize the clothing or equipment.

Penalty: the regulation 1.15 penalty.

*[Regulation 3.35 amended: Gazette 14 Dec 2004 p. 6016-17.]*

**3.36. Safety helmets at construction site, main contractor's duties as to**

If there is a risk of a person being struck on the head by a falling object at a construction site then the main contractor must ensure that —

- (a) at all times when the person is at risk, the person wears a safety helmet complying with the relevant requirements of AS/NZS 1801; and
- (b) there is displayed at each entrance to the site a safety sign bearing the words “SAFETY HELMET AREA — HELMETS MUST BE WORN AT THIS SITE” and that otherwise complies with AS 1319.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.36 amended: Gazette 10 Jan 2003 p. 64; 14 Dec 2004 p. 6018.]*

## **Division 3 — Atmosphere and respiratory protection**

### **Subdivision 1 — Atmosphere and respiratory protection generally**

*[Heading inserted: Gazette 22 Jul 1997 p. 3839.]*

#### **3.37. Terms used**

In this Subdivision —

***filter*** means a filter that complies with the requirements of AS/NZS 1715;

***oxygen deficient atmosphere*** means an atmosphere containing less than 19.5% oxygen;

***self-contained breathing apparatus*** means a type of supplied air respirator which is carried by the user and supplies the user with respirable air from a source carried by the user;

***supplied air respirator*** means a device which, by means of an air line, air hose or apparatus carried by the user, supplies the user with respirable air from a source other than the ambient atmosphere;

***toxic atmosphere***, in relation to a workplace, includes —

- (a) an atmosphere in which there is an atmospheric contaminant in a concentration exceeding the exposure standard for the contaminant —
  - (i) specified in the *National Exposure Standards* [NOHSC: 1003 (1995)]; or
  - (ii) if the Minister determines an exposure standard under regulation 5.1(3) for that contaminant — as so determined;

and

- (b) where an inspirable dust or respirable dust is not within the scope of the Exposure Standards referred to in

paragraph (a), an atmosphere in which a person at the workplace would be exposed to —

- (i) the inspirable dust that, when measured in accordance with AS 3640, exceeds 10 mg/m<sup>3</sup> of air; or
- (ii) the respirable dust that, when measured in accordance with AS 2985, exceeds 5 mg/m<sup>3</sup> of air,

as an average over a work period of 8 hours; and

- (c) an atmosphere containing gas, vapour, dust or any other particle which is, or is in a concentration that is, a risk to the safety and health of a person at the workplace.

*[Regulation 3.37 amended: Gazette 22 Jul 1997 p. 3840; SL 2020/182 r. 4.]*

**3.38. Atmospheric hazards, duties of employer etc. to identify etc.**

Without limiting regulations 3.1 and 3.32, a person who, at a workplace, is an employer, the main contractor or a self-employed person must —

- (a) identify each hazard arising from an oxygen deficient atmosphere or a toxic atmosphere to which a person at the workplace is likely to be exposed; and
- (b) assess the risk of injury or harm to a person resulting from each hazard, if any, identified under paragraph (a); and
- (c) consider whether the risk may be reduced by any of the means referred to in regulation 3.39.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.38 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.39. Means of reducing risks (r. 3.38(c))**

The means referred to in regulation 3.38(c) are —

- (a) the use of an effective ventilation system for the workplace; and
- (b) the provision of an exhaust system that effectively extracts any contaminant and which is arranged so as to prevent re-entry of the extracted air into the workplace; and
- (c) such other means as would prevent persons at the workplace from being exposed to an oxygen deficient atmosphere or a toxic atmosphere,

as is appropriate to the particular case.

**3.40. Respiratory protective equipment, duties of employer etc. as to**

- (1) In this regulation —  
*toxic atmosphere* means any toxic atmosphere that is of a kind other than a kind referred to in any of paragraphs (b) to (f) of regulation 3.41.
- (2) To the extent that it is not practicable to prevent, by any of the means referred to in regulation 3.39, a person at a workplace from being exposed to a toxic atmosphere a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that each person who may be so exposed is provided with respiratory protective equipment —
  - (a) selected in accordance with AS/NZS 1715 to suit the circumstances of the case and that is used and maintained in accordance with that Standard; and
  - (b) complying with the relevant requirements of AS/NZS 1716.
- (3) Nothing in regulation 3.41 prevents a person from concluding, for purposes of subregulation (2), that the appropriate

respiratory protective equipment in a particular case is equipment of a kind referred to in regulation 3.41.

- (4) A person who, at a workplace, is an employer, the main contractor or a self-employed person and who provides for use at a workplace respiratory protective equipment of any kind must ensure that the equipment is readily accessible to persons at the workplace who may need to use the equipment.

Penalty applicable to subregulations (2) and (4): the regulation 1.16 penalty.

*[Regulation 3.40 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.41. Supplied air respirator, when employer etc. to provide**

To the extent that it is not practicable to prevent, by any of the means referred to in regulation 3.39, a person at a workplace from being exposed to —

- (a) an oxygen deficient atmosphere; or
- (b) an atmosphere in which the level of toxic gases or vapours exceeds the capability of an air-purifying device; or
- (c) a toxic atmosphere where the level of contamination is not known; or
- (d) a toxic atmosphere in which the person is required to remain for a period longer than the estimated life of a filter; or
- (e) an atmosphere that presents an immediate danger to life or health; or
- (f) a toxic atmosphere which contains a contaminant against which there is no suitable filter,

a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that each person who may be so exposed is provided with a supplied air respirator.

Penalty: the regulation 1.16 penalty.



*[Regulation 3.41 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.42. Supplied air respirator etc., duties of employer etc. as to**

If a person is required, under regulation 3.40 or 3.41, to ensure that a supplied air respirator is provided for use at a workplace then the person must —

- (a) assess the number of sets of supplied air respirators that should be provided for regular, emergency or rescue purposes at the workplace; and
  - (b) assess whether self-contained breathing apparatus should be provided for emergency use and rescue at the workplace and, if so, the number of sets of such apparatus that should be provided; and
  - (c) ensure that each supplied air respirator provided for use at the workplace —
    - (i) has been selected in accordance with AS/NZS 1715 to suit the circumstances of the case and is used and maintained in accordance with that Standard; and
    - (ii) complies with relevant requirements of AS/NZS 1716;
- and
- (d) in the case of a supplied air respirator by which air is supplied to the user by means of an air line or air hose, assess whether there should be provided for use at the workplace a device that warns when the air supply is about to fail; and
  - (e) assess whether there should be provided for use at the workplace a supplied air escape type-respirator in the event that the flow of supplied air fails; and

- (f) ensure that each person required to use a supplied air respirator for emergency or rescue purposes at a workplace is trained in the use of the equipment and in emergency procedures.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.42 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.43. Supplied air respirator, duties of employer etc. to maintain etc.**

Without limiting regulation 3.34, if a person is required, under regulation 3.40 or 3.41, to ensure that a supplied air respirator is provided for use at a workplace then the person must also ensure that —

- (a) the compressor, filters and respirator supplying air to breathe —
  - (i) are maintained in efficient working order and in accordance with the manufacturer's instructions; and
  - (ii) are inspected before and after each use and during cleaning;
- and
- (b) the respirator is kept in a place where it will not be contaminated; and
- (c) the equipment for the supply of air to breathe or the compressor incorporates a mechanism to cut off the air supply to the respirator in the event that the equipment or compressor heats beyond the manufacturer's specifications for the maximum temperature at which the equipment or compressor will function properly; and
- (d) the respirator and air supply have couplings of a type that requires at least 2 deliberate actions to separate the connector or coupling and are of a design that is different from that of other compressed gas and air services, if any, at the workplace; and

- (e) the air supply or compressor has a receiver of sufficient capacity to reduce pulsation from compressor action; and
- (f) the quality of air supplied to the respirator is tested regularly to ensure that it is in accordance with regulation 3.44; and
- (g) records are kept of —
  - (i) the purchase dates of the respirator and the compressor; and
  - (ii) the maintenance of the respirator and compressor; and
  - (iii) the date and result of each test conducted on the respirator; and
  - (iv) the name and address of the person who conducted each test; and
  - (v) the —
    - (I) volume of air; and
    - (II) odour; and
    - (III) volume of oxygen, carbon monoxide, carbon dioxide, oil and water in the sample of air tested; and
    - (IV) temperature of the sample.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.43 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.44. Supplied air respirator, quantity and quality of air supplied by**

If a person is required, under regulation 3.40 or 3.41, to ensure that a supplied air respirator is provided for use at a workplace then the person must also ensure —

- (a) that air supplied to the supplied air respirator is supplied at a minimum rate of 170 litres per minute per person measured at the respirator; and

- (b) that air supplied to the supplied air respirator has passed through an efficient purifying device so that the air —
  - (i) does not have an objectionable or nauseous odour; and
  - (ii) contains not less than 19.5% and not more than 22% by volume of oxygen; and
  - (iii) at 15°C and 100 kPa absolute —
    - (I) contains not more than 11 mg/m<sup>3</sup> (10 ppm by volume) of carbon monoxide; and
    - (II) contains not more than 1400 mg/m<sup>3</sup> (800 ppm by volume) of carbon dioxide; and
    - (III) contains not more than 1 mg/m<sup>3</sup> (1 ppm) of oil; and
    - (IV) if in a cylinder, contains not more than 100 mg/m<sup>3</sup> of water when sampled from the cylinder when it is initially filled to a pressure of at least 12 MPa; and
    - (V) if used for diving, contains not more than 900 mg/m<sup>3</sup> (480 ppm by volume) of carbon dioxide;
- and
- (c) where the air is to be supplied from a compressor to a face-piece, hood or helmet, that the air has passed through —
  - (i) a conditioner to ensure that the temperature of the air is not less than 15°C and not more than 25°C; and
  - (ii) an efficient condensate trap fitted with a drain cock for the removal of any condensed liquid; and

- (iii) an efficient ring circuit or controlled leak-off for the elimination of stale air.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.44 amended: Gazette 14 Dec 2004 p. 6018;  
3 Jul 2007 p. 3293.]*

### **Subdivision 2 — Protection from tobacco smoke**

*[Heading inserted: Gazette 22 Jul 1997 p. 3840.]*

#### **3.44A. Terms used**

In this Subdivision —

**enclosed workplace** has a meaning affected by regulation 3.44AA;

**roof** includes a ceiling, and any material —

- (a) through which air cannot flow; and
- (b) that is used for the same purpose as a ceiling or roof;

**smoke** has the meaning given to that term in the *Tobacco Products Control Act 2006* glossary;

**tobacco product** has the meaning given to that term in the *Tobacco Products Control Act 2006* glossary;

**wall** means a wall or any other vertical structure, covering or device, whether fixed or moveable, but does not include a balustrade —

- (a) that is one metre or less in height; and
- (b) of which more than 50% of its total vertical surface is open.

*[Regulation 3.44A inserted: Gazette 7 Nov 2008 p. 4823.]*

#### **3.44AA. Enclosed workplace, meaning of**

- (1) A workplace or a part of a workplace that has the features mentioned in subregulations (2) and (3) is an enclosed workplace for the purposes of this Subdivision.

- (2) An enclosed workplace is covered by a roof or a part of a roof.
- (3) An enclosed workplace is configured so that the total vertical surface area of the solid material in the walls is more than 50% of the notional vertical surface area of the place as assessed in accordance with regulation 3.44AB.
- (4) The total vertical surface area of the solid material in the walls is to be assessed by —
  - (a) multiplying the following —
    - (i) the length of each wall under the roof or the part of a roof plus the length of each wall and each section of a wall that is one metre or less beyond the perimeter of the roof or the part of a roof when measured horizontally from the perimeter of the roof or the part of the roof;
    - (ii) the actual height of the walls and wall sections mentioned in subparagraph (i);
  - and
  - (b) deducting the total vertical surface area of the open parts of the walls or wall sections mentioned in paragraph (a)(i).
- (5) The total vertical surface area of solid material in a wall is to be assessed as if each window, door or vertical retractable covering in or adjacent to the wall —
  - (a) is closed; and
  - (b) forms part of the wall.
- (6) A place is not an enclosed workplace for the purposes of this Subdivision if it is covered by a roof or a part of a roof and is bounded by only —
  - (a) one straight wall; or
  - (b) 2 straight walls that are set in an angle of 90 degrees or more.

- (7) A part of a workplace may be an enclosed workplace even though —
- (a) it is part of a workplace that is not an enclosed workplace; or
  - (b) it is part of another part of a workplace that is not an enclosed workplace.

*[Regulation 3.44AA inserted: Gazette 7 Nov 2008 p. 4823-4.]*

**3.44AB. Notional vertical surface area, assessment of**

- (1) The notional vertical surface area of a workplace is to be assessed by multiplying the following —
- (a) the length of the perimeter of the roof, or the part of a roof, covering the workplace;
  - (b) the average height of the roof, or the part of a roof, covering the workplace.
- (2) The notional vertical surface area of a part of a workplace is to be assessed by multiplying the following —
- (a) the length of the perimeter of the roof, or the part of a roof, covering the part of the workplace;
  - (b) the average height of the roof, or the part of a roof, covering the part of the workplace.

*[Regulation 3.44AB inserted: Gazette 7 Nov 2008 p. 4824-5.]*

**3.44B. Certain persons not to smoke in enclosed workplace**

A person who, at an enclosed workplace, is an employer, a self-employed person or an employee must not smoke in the enclosed workplace.

Penalty: the regulation 1.15 penalty.

*[Regulation 3.44B inserted: Gazette 26 Mar 1999 p. 1282; amended: Gazette 14 Dec 2004 p. 6016-17.]*

*[3.44C. Deleted: Gazette 7 Nov 2008 p. 4825.]*

**3.44D. Defence to r. 3.44B, smoking in private vehicle or residence**

A person does not commit an offence under regulation 3.44B if, proof of which is on the person —

- (a) the enclosed workplace is —
  - (i) a vehicle supplied by the person; or
  - (ii) the person's residence;
- and
- (b) no other person is present —
  - (i) who, in the case of an employer, is that person's employee; or
  - (ii) who, in the case of an employee, is also an employee of that person's employer.

*[Regulation 3.44D inserted: Gazette 26 Mar 1999 p. 1282.]*

**3.44E. Defence to r. 3.44B, smoking by actor etc. in a performance**

A person does not commit an offence under regulation 3.44B if, proof of which is on the person, the person is an actor, artist or other performer who smokes for the purposes of a performance.

*[Regulation 3.44E inserted: Gazette 26 Mar 1999 p. 1283.]*

*[3.44F. Deleted: Gazette 7 Nov 2008 p. 4825.]*

**3.44G. Notice of smoking restrictions, employer etc. to give etc.**

A person who, at an enclosed workplace, is an employer or a person having control of the workplace must ensure that notice is given or displayed to persons working in the workplace to the effect that smoking by those persons is prohibited in the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.44G inserted: Gazette 26 Mar 1999 p. 1283; amended: Gazette 14 Dec 2004 p. 6018.]*



[3.44H. Deleted: Gazette 7 Nov 2008 p. 4825.]

**3.44I. Inspectors may require certain persons to extinguish tobacco products**

- (1) If an inspector has reasonable cause to believe that a person is smoking in contravention of regulation 3.44B the inspector may require the person to extinguish the tobacco product that the person is smoking.
- (2) A person must comply with an inspector's requirement under subregulation (1).

Penalty: the regulation 1.15 penalty.

[Regulation 3.44I inserted: Gazette 26 Mar 1999 p. 1284;  
amended: Gazette 14 Dec 2004 p. 6016-17.]

**Division 4 — Noise control and hearing protection**

**3.45. Terms used**

In this Division —

***exposure standard for noise***, in relation to a person, means —

- (a) an  $L_{Aeq,8h}$  of 85 dB(A); or
- (b) an  $L_{C,peak}$  of 140 dB(C),

measured at the position of the person's ear without taking into account any protection which may be provided to the person by personal hearing protectors;

***$L_{Aeq,8h}$***  means an 8 hour equivalent continuous A-weighted sound pressure level in decibels (dB(A)) referenced to 20 micropascals, that is to say, the steady noise level which would, in the course of an 8 hour period, cause the same A-weighted sound energy that would be caused by the actual noise during an actual working day, determined in accordance with AS/NZS 1269.1;

*L<sub>C,peak</sub>* means C-weighted peak noise level, that is to say, the C-weighted peak hold sound pressure level in decibels (dB(C)) referenced to 20 micropascals, determined in accordance with AS/NZS 1269.1;

*noise* means any unwanted or damaging sound;

*personal hearing protectors* means a device, or pair of devices, worn by a person or inserted in the ears of a person to protect the person's hearing.

*[Regulation 3.45 amended: Gazette 17 Dec 1999 p. 6230; 8 Mar 2002 p. 962.]*

**3.46. Noise above exposure standard, duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must, as far as practicable, ensure that noise to which a person is exposed at the workplace does not exceed the exposure standard for noise.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.46 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.47 Personal hearing protectors, employer etc. to provide etc.**

If it is not practicable to avoid exposing a person at a workplace to noise above the exposure standard for noise then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that the first-mentioned person is provided with personal hearing protectors that have been selected in accordance with the procedures specified in AS/NZS 1269.3.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.47 inserted: Gazette 17 Dec 1999 p. 6230-1; amended: Gazette 14 Dec 2004 p. 6018.]*

## **Division 5 — Prevention of falls at workplaces**

*[Heading inserted: Gazette 30 Mar 2001 p. 1767.]*

### **3.48. Terms used**

In this Division —

***anchorage*** means an anchorage point for a fall injury prevention system;

***fall injury prevention system*** means a system designed to —

- (a) arrest a person's fall from one level at a workplace to another; and
- (b) minimise the risk of injury or harm to a person who falls from one level at a workplace to another.

*[Regulation 3.48 inserted: Gazette 30 Mar 2001 p. 1767.]*

### **3.49. Hazards relating to falling, duties of employer etc. to identify etc.**

Without limiting regulation 3.1, a person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must —

- (a) identify each hazard to which a person at the workplace is likely to be exposed in relation to the person falling from one level at the workplace to another; and
- (b) assess the risk of injury or harm to a person resulting from each hazard, if any, identified under paragraph (a); and
- (c) consider the means by which the risk may be reduced.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.49 inserted: Gazette 30 Mar 2001 p. 1768;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**3.50. Anchorage and fall injury prevention system, duties of employer etc. as to design of etc.**

An employer, main contractor, self-employed person or the person having control of the workplace must ensure that an anchorage or a fall injury prevention system at a workplace is designed, manufactured, constructed, selected, or installed so as to be capable of withstanding the force applied to it as a result of a person's fall at the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.50 inserted: Gazette 30 Mar 2001 p. 1768;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**3.51. Fall injury prevention system, duties of employer etc. as to inspection of etc.**

A person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure, in relation to each fall injury prevention system provided at the workplace that —

- (a) each component of the system and its means of attachment to an anchorage is inspected by a competent person —
  - (i) after it is installed but before it is used; and
  - (ii) at regular intervals; and
  - (iii) immediately after it has operated or should have operated in relation to a person's free fall at the workplace;
- and
- (b) any component of the system or its means of attachment to an anchorage that, on an inspection referred to in paragraph (a), shows wear or weakness is withdrawn from use until it is replaced with a properly functioning component.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.51 inserted: Gazette 30 Mar 2001 p. 1768-9;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**3.52. Welding etc. being done near fall injury prevention system, duties of employer etc. in case of**

If welding or an allied process is being done at a workplace where a fall injury prevention system is in operation then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that —

- (a) a person using the system is protected from hot particles or sparks resulting from the welding or allied process; and
- (b) the system is protected from hot particles or sparks resulting from the welding or allied process.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.52 inserted: Gazette 30 Mar 2001 p. 1769; amended: Gazette 14 Dec 2004 p. 6018.]*

**3.53. Anchorage, duties of employer etc. as to inspection of etc.**

A person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure —

- (a) that an anchorage at the workplace is inspected by a competent person and —
  - (i) in the case of an anchorage that is permanently fixed and in regular use, inspected at intervals not greater than 6 months; and
  - (ii) in the case of an anchorage that is permanently fixed but not in regular use, inspected before it is used;
- and
- (b) where, in the opinion of the competent person, an anchorage is worn or the load bearing capacity of the anchorage may be impaired —
  - (i) that the anchorage is not used while it is in that condition; and

- (ii) while the anchorage is in that condition, that it is tagged to indicate that it is not to be used;

and

- (c) that an anchorage that has been repaired is not used unless it has been inspected by a competent person who is of the opinion that the anchorage can be used again.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.53 inserted: Gazette 30 Mar 2001 p. 1769;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**3.54. Holes etc. in floors, duties of employer etc. as to**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that any hole or opening (other than a lift well, stairwell or vehicle inspection pit) with dimensions of more than 200 mm x 200 mm but less than 2 metres x 2 metres or with a diameter greater than 200 mm but less than 2 metres —
  - (a) in a floor, other than a concrete floor, of a building or structure at the workplace is covered with a material that is —
    - (i) strong enough to prevent persons or things entering or falling through or into the hole or opening; and
    - (ii) securely fixed to the floor;
  - or
  - (b) in a concrete floor of a building or structure at the workplace —
    - (i) has, if practicable, wire mesh that meets the requirements of subregulation (2); and

- (ii) is covered with a material that is —
    - (I) strong enough to prevent persons or things entering or falling through or into the hole or opening; and
    - (II) securely fixed to the floor.
- (2) The wire in the wire mesh referred to in subregulation (1)(b)(i) is required to —
  - (a) be at least 4 mm in diameter; and
  - (b) have maximum apertures of 75 mm x 75 mm; and
  - (c) be embedded, at least 200 mm in the edges of the surrounding concrete; and
  - (d) be embedded either —
    - (i) in the upper half of the slab with a minimum concrete cover of 20 mm; or
    - (ii) in the lower half of the slab with a minimum cover of 30 mm.
- (3) A person to whom subregulation (1) applies must ensure that —
  - (a) wire mesh referred to in subregulation (1)(b)(i) —
    - (i) is not used as a working platform; and
    - (ii) is only removed for the purposes of installing services in circumstances where the removal takes place immediately before the installation of a service and the only portion removed is the minimum portion required to be removed for the installation;
  - and
  - (b) any cover referred to in subregulation (1)(a) or (b)(ii) —
    - (i) is marked in clearly legible lettering with the words “DANGER — HOLE BENEATH”; and

- (ii) is only removed for the purposes of installing services in circumstances where the removal takes place immediately before the installation of a service.

Penalty applicable to subregulations (1) and (3): the regulation 1.16 penalty.

*[Regulation 3.54 inserted: Gazette 30 Mar 2001 p. 1770-1; amended: Gazette 14 Dec 2004 p. 6018.]*

**3.55. Edges, duties of employer etc. to prevent falls from**

- (1) A person who at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that edge protection that complies with subregulation (5) is provided and kept in place whenever there is a risk that a person could fall 2 or more metres from the edge of —

- (a) a scaffold, fixed stair, landing or suspended slab at the workplace; or
- (b) formwork or falsework at the workplace.

Penalty: the regulation 1.16 penalty.

- (2) A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that either —

- (a) edge protection that complies with subregulation (5) is provided and kept in place whenever there is a risk that a person could fall 3 or more metres from an edge at the workplace other than an edge referred to in subregulation (1); or
- (b) a fall injury prevention system is provided and in operation whenever there is a risk that a person could fall 3 or more metres from an edge at the workplace other than an edge referred to in subregulation (1).

Penalty: the regulation 1.16 penalty.



- (3) When a fall injury prevention system that is designed to be attached to a person is provided in accordance with subregulation (2)(b), a person who is at risk of falling from the structure must use the system.

Penalty: the regulation 1.15 penalty.

- (4) When a fall injury prevention system that is not designed to be attached to a person is provided in accordance with subregulation (2)(b), a person who is at risk of falling from the structure must ensure, before the person ascends the structure, that the system is in operation.

Penalty: the regulation 1.15 penalty.

- (5) Edge protection must have —

(a) a top rail —

- (i) positioned not less than 900 mm and not more than 1 100 mm above the working surface; and
- (ii) that is capable of withstanding a force of 0.55 kN applied to any point of the guard rail system;

and

(b) either —

- (i) a mid rail and a toe board; or
- (ii) a toe board and a mesh panel that comprises wire that is not less than 3 mm in diameter and apertures not greater than 75 mm x 50 mm and that fills the space between the top rail and the toe board.

*[Regulation 3.55 inserted: Gazette 30 Mar 2001 p. 1771-2; amended: Gazette 14 Dec 2004 p. 6016-17 and 6018.]*

**3.56. Grid mesh and checker plate flooring panel on construction site, duties of main contractor etc. as to**

A person who, at a workplace that is a construction site, is the main contractor, an employer, or a self-employed person must

ensure that if grid mesh or checker plate flooring panels are being installed at the workplace —

- (a) subject to paragraph (b), then each panel is securely fixed, in accordance with the manufacturer's specifications, to a supporting structure before the support structure is placed into position on the building or structure under construction; and
- (b) where it is not practicable to fix the panels to a supporting structure, then each panel is securely fixed to the building or structure under construction immediately after the panel is placed into position.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.56 inserted: Gazette 30 Mar 2001 p. 1772;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**3.57. Brittle or fragile roofing, duties of employer etc. as to work on**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that if a person is required to work on or from a roof at the workplace where brittle or fragile material forms the whole or any part of the roof then —
  - (a) the person to work on or from the roof is informed that the roof is wholly or in part brittle or fragile, as is relevant to the case; and
  - (b) the person to work on or from the roof is provided with a safe working platform and safe access way; and
  - (c) the person to work on or from the roof is trained and instructed on —
    - (i) the precautions to be taken; and
    - (ii) how and where to access the roof; and

- (iii) how and where to gain access to the working platform or access way referred to in paragraph (b);

and

- (d) to the extent practicable, a warning notice bearing the words “DANGER — FRAGILE ROOFING — USE WORKING PLATFORM” is placed at each place where a person who is to work on or from the roof is to access the roof.

Penalty: the regulation 1.16 penalty.

- (2) Without limiting regulation 3.1, if at a workplace brittle or fragile material forms the whole or part of a roof that is to be removed, a person who, at the workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must before the roof is removed —
  - (a) identify which areas of the roof are made of a brittle or fragile material; and
  - (b) assess the stability of the structure that supports the roof and the soundness of the roof.

Penalty: the regulation 1.16 penalty.

- (3) A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure, if a person is required to work on or from a roof at the workplace where brittle or fragile material forms the whole or any part of the roof and there is a risk that that person might fall through the roof, and if there is no other practicable means of preventing the person falling through the roof, that —
  - (a) non-corrosive safety mesh that is capable of preventing a person falling through the roof is securely fixed directly over the top of, or directly underneath, the brittle or fragile areas; or

- (b) barriers are securely fixed and adequately maintained around the brittle or fragile areas.

Penalty: the regulation 1.16 penalty.

- (4) A person must not remove a notice referred to in subregulation (1) without the authority of the person who caused the notice to be placed.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

[Regulation 3.57 inserted: Gazette 30 Mar 2001 p. 1772-4; amended: Gazette 14 Dec 2004 p. 6017 and 6018.]

## **Division 6 — Electricity**

### **3.58. Interpretation**

- (1) In this Division, unless the contrary intention appears —  
**de-energised**, in relation to a part of an electrical installation, has the meaning given in the *Electricity (Licensing) Regulations 1991* regulation 3(1);  
**electrical work** has the meaning given in the *Electricity (Licensing) Regulations 1991* regulation 3(1);  
**energised**, in relation to a part of an electrical installation, has the meaning given in the *Electricity (Licensing) Regulations 1991* regulation 3(1);  
**network operator** has the meaning given in the *Electricity Act 1945* section 5(1).
- (2) For the purposes of this Division, a reference in AS/NZS 3012 to an electricity distributor is to be treated as a reference to a person who is a network operator under the *Electricity (Network Safety) Regulations 2015* regulation 4(1)(a), (b), (c), (d), (e), (f) or (g).

- (3) For the purposes of this Division —
- (a) a part of an electrical installation is taken to be energised unless it is de-energised; and
  - (b) the neutral for a part of an electrical installation is taken to be de-energised if the part is de-energised.

*[Regulation 3.58 inserted: Gazette 14 Nov 2017 p. 5603.]*

**3.59. Electrical installation etc., duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that —

- (a) all electrical installations at the workplace are designed, constructed, installed, protected, maintained and tested so as to minimise the risk of electrical shock or fire; and
- (b) each connection on a flexible cord that is installed or renewed at the workplace after 1 October 1996 is of either the moulded one part non-rewireable or transparent type.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.59 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.59A. Electrical work**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that, before electrical work is carried out on a part of an electrical installation at the workplace, the part —
- (a) is tested by a competent person to ascertain whether or not it is energised; and
  - (b) if it is found to be energised, is de-energised by a competent person.

Penalty for this subregulation: the regulation 1.16 penalty.

- (2) Subregulation (1)(b) does not apply to electrical work carried out under the *Electricity (Licensing) Regulations 1991* regulation 55(2).

*[Regulation 3.59A inserted: Gazette 14 Nov 2017 p. 5603-4.]*

**3.59B. Work in roof spaces**

- (1) In this regulation —

**building** means a Class 1, Class 2 or Class 10a building as classified under the *Building Regulations 2012*;

**roof space**, of a building —

- (a) means the space in the building that is —

- (i) immediately under the roof; or
- (ii) if there is a ceiling under the roof, or a part of the roof, the space between the roof, or that part of the roof, and the ceiling;

but

- (b) does not include a habitable room in the roof space;

**service apparatus** has the meaning given in the *Electricity Act 1945* section 5(1).

- (2) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that, before work is done in a roof space of a building at the workplace, the building's electrical installation is de-energised by a competent person.

Penalty for this subregulation: the regulation 1.16 penalty.

- (3) An employee must not do work in a roof space of a building at a workplace unless the building's electrical installation is de-energised by a competent person.

Penalty for this subregulation: the regulation 1.15 penalty.

- (4) If the roof space of a building to which subregulation (2) or (3) applies is divided into separate parts, such that a person cannot move from 1 part of the roof space to another, and each part relates to a separate dwelling, the requirement to de-energise the building's electrical installation only applies to the dwelling that relates to the part of the roof space in which the work is to be done.
- (5) Subregulations (2) and (3) do not apply to work done by a competent person to test, service or commission an appliance or other equipment in or accessible by means of the roof space (for example, a gas appliance, air conditioner or antenna), to the extent necessary to energise the appliance or equipment, if —
  - (a) it is necessary to energise the appliance or equipment for the purpose of testing, servicing or commissioning the appliance or equipment; and
  - (b) a risk assessment has been undertaken in accordance with regulation 3.1 by a competent person; and
  - (c) the competent person referred to in paragraph (b) is satisfied that —
    - (i) the risks identified by the risk assessment are or can be reduced to as low as reasonably practicable; and
    - (ii) the work can be carried out safely;and
  - (d) if regulation 3.143 does not apply to the work, a safe work method statement for the work has been prepared in accordance with regulation 3.143(4), as if the work were high risk construction work and the place where the work is to be carried out were a construction site.
- (6) Subregulations (2) and (3) do not require the de-energisation of —
  - (a) service apparatus that is part of a building's electrical installation; or

- (b) a supply cable that is part of a building's electrical installation if —
    - (i) the cable is from a solar power system, wind turbine or battery; and
    - (ii) it is not practicable to de-energise the cable;or
  - (c) any other part of a building's electrical installation if it is not practicable to de-energise that part.
- (7) Subregulations (2) and (3) do not apply to electrical work carried out under the *Electricity (Licensing) Regulations 1991* regulation 55(2).

*[Regulation 3.59B inserted: Gazette 14 Nov 2017 p. 5604-5.]*

**3.60. Residual current devices, duties as to**

- (1) This regulation applies to a workplace other than one to which AS/NZS 3012 applies but does not apply to a workplace at which the supply of electricity —
  - (a) does not exceed 32 volts alternating current; or
  - (b) is direct current; or
  - (c) is provided through an isolating transformer complying with AS/NZS 61558.2.23; or
  - (d) is provided from the unearthed outlet of a portable generator.
- (2) In this regulation —

***hand-held equipment*** means portable equipment —

  - (a) of a kind that is intended to be held in the hand during normal use; and
  - (b) the motor, if any, of which forms an integral part of the equipment;



**portable equipment** means equipment that is —

- (a) connected to an electricity supply; and
- (b) intended to be moved when it is in use,

and includes, but is not limited to, hand-held equipment;

**workplace** means a workplace to which this regulation applies.

(3) A person having control of a workplace —

- (a) must ensure that each non-portable residual current device installed at the workplace is kept in a safe working condition and tested on a regular basis to ensure its continued effective operation; and
- (b) must provide, where electricity is supplied to portable equipment through a fixed socket at the workplace, protection against earth leakage current by means of —
  - (i) a non-portable residual current device installed at the switchboard; or
  - (ii) by a non-portable residual current device built into a fixed socket which, having regard to the primary use of the socket and its location, is likely to be used by a person operating portable equipment;

and

- (c) must ensure where a non-portable residual current device has been —
  - (i) installed at a switchboard, that a notice is displayed in a prominent place at or near the switchboard indicating that a non-portable residual current device has been installed at the switchboard; or
  - (ii) built into a fixed socket, that the socket can be identified as providing protection against earth leakage current.

Penalty: the regulation 1.16 penalty.

- (4) A person who is an employer or a self-employed person at a workplace —
- (a) must ensure that each portable residual current device used at the workplace by the person or an employee of the person is kept in a safe working condition and tested on a regular basis to ensure its continued effective operation; and
  - (b) where the employer or a self-employed person is not satisfied that protection against earth leakage current has been provided by means of a non-portable residual current device —
    - (i) must provide a portable residual current device for use with each item of portable equipment used by the person or an employee of the person at the workplace; and
    - (ii) must ensure that a portable residual current device is directly connected to the output side of a fixed socket and that an item of portable equipment being used by the person or an employee of the person is directly connected to the output side of that portable residual current device.

Penalty: the regulation 1.16 penalty.

- (5) An employee who is provided with a portable residual current device for use with an item of portable equipment at a workplace must not use the portable equipment unless the portable residual current device is directly connected to the output side of a fixed socket and the item of portable equipment is directly connected to the output side of that portable residual current device.

Penalty: the regulation 1.15 penalty.

*[Regulation 3.60 inserted: Gazette 12 Sep 1997 p. 5177-8; amended: Gazette 6 Feb 1998 p. 665; 17 Dec 1999 p. 6231-2; 14 Dec 2004 p. 6016-17 and 6018; 5 Jun 2009 p. 1879.]*

**3.61. Electrical installations on construction etc. sites, duties of employer etc. as to**

If work of a type referred to in clause 1.1 of AS/NZS 3012 is to be done then a person who, in relation to the workplace is an employer, the main contractor or a self-employed person must ensure that —

- (a) the requirements of AS/NZS 3012 are complied with in relation to matters within the scope of AS/NZS 3012 except clause 2.3.4 of that Standard; and
- (b) each socket outlet provided on a switchboard for the connection of portable appliances and equipment is individually controlled by a double pole switch or other device that provides the same level of safety as a double pole switch; and
- (c) no aerial cable is fixed onto, or attached to, a scaffold.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.61 amended: Gazette 14 Dec 2004 p. 6018; 28 Nov 2008 p. 5031.]*

**3.62. Tested portable electrical equipment etc., information required on tags on**

A competent person who conducts under clause 3.5, 3.6 or 3.7 of AS/NZS 3012 a test on an item of portable electrical equipment or a portable residual current device that is intended for use at a workplace must ensure that, in addition to the information referred to in clause 3.8.3 of that Standard, the tag bears —

- (a) in the case of a test that is required to be carried out under an electrical worker's licence or permit under the *Electricity (Licensing) Regulations 1991* —
    - (i) the person's name; and
    - (ii) the person's licence or permit number;
- or

- (b) in the case of a test that need not be carried out under an electrical worker's licence or permit under the *Electricity (Licensing) Regulations 1991* —
  - (i) the person's name; and
  - (ii) if the person holds an electrical worker's licence or permit — the person's licence or permit number.

Penalty:

- (a) for a first offence, \$2 000; and
- (b) for a subsequent offence, \$2 500.

*[Regulation 3.62 inserted: Gazette 28 Nov 2008 p. 5041; amended: Gazette 14 Sep 2010 p. 4407.]*

**3.63. Portable electrical equipment etc. brought to workplace, requirements as to**

If a person brings to a workplace to which regulation 3.61 applies an item of portable electrical equipment or a portable residual current device required under AS/NZS 3012 to be tested then that person must, before the thing is used at the workplace —

- (a) provide the main contractor with a record of the relevant testing data under that Standard for the thing; and
- (b) ensure that the tag bears the name of the competent person who conducted the test.

Penalty:

- (a) in the case of an individual —
    - (i) for a first offence, \$2 000; and
    - (ii) for a subsequent offence, \$2 500;
- or

- (b) in the case of a body corporate —
  - (i) for a first offence, \$4 000; and
  - (ii) for a subsequent offence, \$5 000.

*[Regulation 3.63 amended: Gazette 14 Dec 2004 p. 6014;  
28 Nov 2008 p. 5041.]*

**3.64. Overhead power lines, duties of employer etc. as to**

- (1) In this regulation —

***danger zone*** means anywhere that —

- (a) is within 0.5 metres of a live insulated overhead power line or aerial bundled conductor line of a voltage of not more than 1 000 volts; or
- (b) is within 1.0 metre of a live uninsulated overhead power line of a voltage of not more than 1 000 volts; or
- (c) is within 3.0 metres of a live overhead power line, whether insulated or not, of a voltage exceeding 1 000 volts but not more than 33 000 volts; or
- (d) is within 6.0 metres of a live overhead power line, whether insulated or not, of a voltage exceeding 33 000 volts;

***overhead power line*** means an overhead line for the transmission of electrical energy.

- (2) Subject to subregulation (3), without limiting clause 2.5.5 of AS/NZS 3012, a person who, at a workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure that an employee or any plant or material used or controlled by an employee does not enter the danger zone of an overhead power line.

Penalty: the regulation 1.16 penalty.

- (3) A person does not commit an offence under subregulation (2) if, proof of which is on the person —
- (a) the overhead power line has been adequately insulated and effectively cordoned off to protect the safety of persons or otherwise made safe, as the case requires; or
  - (b) the employee is authorised to carry out electrical work under the *Electricity Act 1945*.

*[Regulation 3.64 inserted: Gazette 17 Dec 1999 p. 6232-3; amended: Gazette 14 Dec 2004 p. 6018; 28 Nov 2008 p. 5031.]*

**3.65. When electricity to be connected to construction site**

The main contractor at a construction site must ensure, if it is practicable to do so, that by the time when work on the site has reached plate height or the equivalent, electricity has been supplied to the site from a network operator's service line or service cable by way of a temporary or permanent connection.

Penalty:

- (a) in the case of an individual —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$20 000; and
  - (ii) for a subsequent offence, \$25 000.

*[Regulation 3.65 amended: Gazette 14 Dec 2004 p. 6016; 14 Nov 2017 p. 5606.]*

## **Division 7 — Scaffolds, gantries, hoardings and barricades and formwork**

### **3.66. Terms used**

In this Division —

**barricade** means a temporary fence consisting of rigid vertical and horizontal members;

**cantilevered scaffold** means a scaffold which is supported by cantilevered load-bearing members, but does not include a scaffold the platform of which is carried on frames attached to or supported by permanent or temporary construction;

**gantry** means a structure that is used —

- (a) for the overhead protection of persons; and
- (b) for the support of materials and persons;

**hoarding** means a substantial and fully sheeted fence or screen;

**hung scaffold** means a scaffold which is hung from another structure and which is not capable of being raised or lowered when in use;

**scaffold** means a temporary structure, stage or platform specifically erected to support access or working platforms, persons, plant or other material but does not include a gantry;

**scaffolding equipment** means any component, assembly or machine used or intended to be used in the construction of a scaffold;

**spur scaffold** means a scaffold which is partially supported by inclined load bearing members;

**suspended scaffold** means a scaffold incorporating a suspended platform which is capable of being raised or lowered when in use and includes a boatswain's chair.

**3.67. Scaffold etc. to be erected, designed etc. in accordance with standard**

- (1) A person who erects or dismantles a scaffold at a workplace must ensure that the erection or dismantling, as the case may be, is done in accordance with the relevant requirements of AS/NZS 1576 Parts 1 to 6.
- (2) A person who designs, manufactures, imports or supplies scaffolding equipment for use at a workplace must ensure that it complies with the relevant requirements of AS/NZS 1576 Parts 1 to 6.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 3.67 amended: Gazette 10 Jan 2003 p. 64; 14 Dec 2004 p. 6017.]*

**3.68. Area for scaffold to be clear of rubbish etc.**

A person who is the main contractor at a workplace, and a person who causes a scaffold to be erected at a workplace, must ensure that an area where a scaffold is to be erected is clear of rubbish and any material and equipment not required for immediate use.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.68 amended: Gazette 14 Dec 2004 p. 6017; 24 Aug 2007 p. 4258.]*



**3.69. Lugs or saddle piece to support scaffold, welder's duties as to**

- (1) A person who welds a lug or saddle piece to a steel structure or tank which supports, or is to support, a scaffold at a workplace must not depart for any purpose whatever leaving the lug or saddle piece partially and incompletely welded.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case:

- (a) for a first offence, \$25 000; and
  - (b) for a subsequent offence, \$31 250.
- (2) A person who welds a lug or saddle piece to a steel structure or tank which supports, or is to support, a scaffold at a workplace must endorse his or her initials, with a durable crayon, on the structure or tank, immediately adjacent to every lug or saddle piece that has been finally and completely welded.

Penalty:

- (a) for a first offence, \$2 000; and
- (b) for a subsequent offence, \$2 500.

*[Regulation 3.69 amended: Gazette 14 Dec 2004 p. 6014 and 6017.]*

**3.70. Incomplete scaffold, duty of employer etc. as to**

If a scaffold is being erected at a workplace but is still incomplete then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that danger tags, warning signs or other appropriate measures are used to prevent unauthorised access to the scaffold during the times when it is left unattended.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.70 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.71. Incomplete etc. scaffold, duty of employer etc. to prevent use of**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that no person is required to use a scaffold that is incomplete or in relation to which there is a danger tag, warning sign or other measure for the prevention of unauthorised access to the scaffold.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.71 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.72. Certain scaffolds to be inspected and tagged**

- (1) In this regulation —

**licensed person**, in relation to a scaffold, means a person who holds a high risk work licence authorising the person to do scaffolding work involving that type of scaffold.

- (1a) If, at a workplace, there is erected a scaffold from which a person or object could fall more than 4 metres, the person who is the main contractor and the person who caused the scaffold to be erected must ensure that the scaffold is inspected by a licensed person and tagged during the inspection in accordance with subregulation (2) —

- (a) before the scaffold is used; and
- (b) after the scaffold is altered or repaired; and
- (c) at least every 30 days.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (1b) A person does not commit an offence under subregulation (1a) in relation to a scaffold if the scaffold, instead of being inspected by a licensed person, is inspected by a person who may, under Part 7 Division 2, do scaffolding work involving that type of scaffold without holding a high risk work licence.

- (1c) Nothing in subregulation (1b) is to be taken to affect any other requirement under subregulation (1a).
- (2) The scaffold is to be tagged in a prominent position at every access to the scaffold with a durable tag that is integrated into the scaffold and on which is legibly recorded in durable material —
  - (a) the date that the scaffold was erected, altered or repaired, as the case may be; and
  - (b) the name and signature of the person doing the inspection; and
  - (c) whether the scaffold is to be used for —
    - (i) light duty; or
    - (ii) medium duty; or
    - (iii) heavy duty,as defined by AS/NZS 1576.1.

*[Regulation 3.72 amended: Gazette 14 Dec 2004 p. 6017;  
24 Aug 2007 p. 4258-9.]*

**3.73. Scaffold not to be removed etc. without authority**

A person must not remove or alter a scaffold or any part of a scaffold at a construction site without the authority of the main contractor.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.73 amended: Gazette 14 Dec 2004 p. 6017.]*

**3.74. Lowering scaffolding equipment, duties of person engaged in**

A person working on a construction site who lowers any scaffolding equipment from any building or structure must do so

carefully, without throwing or dropping the scaffolding equipment.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case:

- (a) for a first offence, \$25 000; and
- (b) for a subsequent offence, \$31 250.

*[Regulation 3.74 amended: Gazette 14 Dec 2004 p. 6017.]*

**3.75. Hoardings and barricades, when required**

The main contractor at a construction site must ensure, for the purposes of reducing the risk of injury or harm to persons who are or who are likely to be in the vicinity of, but not on the construction site, that —

- (a) until a hoarding (or, if a barricade could provide adequate protection against the risk, a barricade) has been erected, no other construction work is done at the site; and
- (b) the hoarding or barricade remains in place until the completion of all the other construction work, unless, in the case of a barricade, it is replaced by a hoarding.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.75 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.76. Gantries, when required**

If there is a risk of an object or material used in construction work falling, whether from a crane or otherwise, onto persons who are likely to be in an area that is in the vicinity of, but not on the construction site, the main contractor at the construction site must ensure that —

- (a) a gantry is erected in the area; and

- (b) no construction work other than the erection of the gantry in the area is done at any place on the site adjacent to the area until the gantry is erected; and
- (c) the gantry remains in place until the completion of all the other construction work or until there is no longer the risk that required the presence of the gantry.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.76 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.77. Hoardings, barricades and gantries, design etc. of**

The main contractor at a construction site must ensure that the design, erection, use and maintenance of a hoarding, barricade or gantry at the site is such that, having regard to the construction work to be done, the hoarding, barricade or gantry —

- (a) is self-supporting; and
- (b) can withstand the loading, if any, to be placed on it.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.77 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.78. Barricades, hoardings and gantries not to be removed etc. without authority**

A person must not remove or make any alteration to a barricade, hoarding or gantry on a construction site without the authority of the main contractor.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.78 amended: Gazette 14 Dec 2004 p. 6017.]*

**3.79. Formwork, duties of employer etc. as to**

A person who, at a workplace is an employer, the main contractor or a self-employed person must ensure that formwork

is not constructed or used at the workplace unless the formwork is so designed, erected, supported, braced and maintained that it supports safely all vertical or lateral loads and withstands any force that may be applied to the loads until the loads are supported by a concrete structure.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.79 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.80. Formwork to be contained within workplace**

The main contractor at a workplace must ensure that if formwork is used at the workplace then material is placed around the formwork so that in the event that the formwork fails, no component of the formwork goes outside the workplace area.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.80 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.81. Formwork, duties of person stripping or lowering**

- (1) A person who strips formwork must —
  - (a) do the work in a systematic manner and so as to minimise damage to the components; and
  - (b) remove nails and sharp fixings before stacking the components; and
  - (c) upon completion of the work, stack the components of the formwork so that there is no obstruction either to access ways or to work areas.
- (2) A person who lowers any formwork from any building or structure must do so carefully, without throwing or dropping the formwork.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case:

- (a) for a first offence, \$25 000; and
- (b) for a subsequent offence, \$31 250.

*[Regulation 3.81 amended: Gazette 14 Dec 2004 p. 6017.]*

### **Division 8 — Work in confined spaces**

#### **3.82. Terms used**

In this Division —

***confined space*** means an enclosed or partially enclosed space which —

- (a) is not intended or designed primarily as a workplace; and
- (b) is at atmospheric pressure during occupancy; and
- (c) has restricted means for entry and exit,

and which either —

- (d) has an atmosphere containing or likely to contain potentially harmful levels of contaminant; or
- (e) has or is likely to have an unsafe oxygen level; or
- (f) is of a nature or is likely to be of a nature that could contribute to a person in the space being overwhelmed by an unsafe atmosphere or a contaminant;

***contaminant*** means any substance, the presence of which may be harmful to safety or health.

*[Regulation 3.82 amended: Gazette 17 Dec 1999 p. 6233.]*

#### **3.83. Thing with confined space, duties of designers etc. of**

- (1) A person who designs, manufactures or supplies, for use at a workplace, a thing constituting or containing a confined space must ensure, as far as practicable, that the design eliminates the need for a person to enter the confined space.

- (2) If it is not practicable to eliminate the need for a person to enter or work in a confined space at the workplace, then a person who designs, manufactures or supplies the thing constituting or containing the confined space must ensure —
- (a) where practicable, that the thing constituting or containing the confined space is designed to minimise risks of injury or harm to a person who enters or works in the confined space; and
  - (b) that the thing constituting or containing the confined space is provided with safe means of entry and exit.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 3.83 amended: Gazette 14 Dec 2004 p. 6017.]*

**3.84. Modifying thing with confined space**

A person who modifies a thing constituting or containing a confined space at a workplace must ensure that the thing is not modified to the detriment of the safe means of entry and exit.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.84 amended: Gazette 14 Dec 2004 p. 6017.]*

**3.85. Work in confined space, duty of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that the provisions of AS/NZS 2865 are complied with in relation to work done in a confined space at the workplace.

Penalty: the regulation 1.16 penalty.



*[Regulation 3.85 amended: Gazette 10 Jan 2003 p. 64;  
14 Dec 2004 p. 6018.]*

**3.86. When employer etc. to ensure person is present outside confined space**

If, at a workplace, there is a risk of injury or harm to a person who enters or works in a confined space then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that no person enters or works in the confined space unless another person is present in the immediate vicinity outside the confined space.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.86 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.87. Training in relation to work in confined spaces, duties of employer etc. as to**

- (1) If a person is to enter or work in a confined space at a workplace then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that persons referred to in subregulation (2) receive training on the matters referred to in subregulation (3).

Penalty: the regulation 1.16 penalty.

- (2) The persons to receive the training are the persons who —
- (a) work in or near a confined space; and
  - (b) manage or supervise persons working in or near confined spaces; and
  - (c) purchase or maintain equipment used by, or for the rescue or protection of, persons working in or near confined spaces; and
  - (d) are required to be in the immediate vicinity outside the confined space for the purposes of regulation 3.86; and
  - (e) are involved in rescue and first aid procedures in relation to persons working in or near confined spaces.

- (3) The training is to include the following matters —
- (a) the hazards of the confined space; and
  - (b) assessment procedures; and
  - (c) control measures; and
  - (d) emergency procedures; and
  - (e) the selection, use, fit, storage and maintenance of safety equipment.

*[Regulation 3.87 amended: Gazette 14 Dec 2004 p. 6018.]*

**Division 9 — Safety requirements in relation to certain work processes**

**Subdivision 1 — Tilt-up concrete and precast concrete elements**

*[Heading amended: Gazette 22 Oct 2004 p. 4834.]*

**3.88. Terms used**

- (1) In this Subdivision —

***concrete panel*** means a concrete panel that is manufactured as a separate and movable panel for the purpose of being incorporated as a wall once the process by which the panel is manufactured is complete, but does not include a column, beam or paving slab or a panel that is for decorative purposes only;

***tilt-up work*** means any of the following —

- (a) the manufacture, transport, craneage, temporary storage, erection or temporary bracing of a concrete panel;
- (b) the fixing of a concrete panel for the incorporation of the panel as a wall;
- (c) the removal of temporary bracing of a concrete panel;

***wall*** includes a retaining wall.

- (2) For the purposes of this Subdivision, a reference in AS 3850 to a tilt-up panel is to be treated as a reference to a concrete panel as defined in subregulation (1).

*[Regulation 3.88 inserted: Gazette 22 Oct 2004 p. 4835.]*

**3.88A. Commissioner to be notified of proposed manufacture of concrete panel**

- (1) A person who, at a workplace (other than a construction site) where a concrete panel is proposed to be manufactured, is an employer or a self-employed person must ensure that the Commissioner is notified of the proposed work at least 10 working days before the panel is proposed to be cast.
- (2) A person who, at a construction site where a concrete panel is proposed to be manufactured, is the main contractor must ensure that the Commissioner is notified of the proposed work at least 10 working days before the panel is proposed to be cast.
- (3) A notice under subregulation (1) or (2) is to be in an approved form and must specify —
  - (a) the construction site or other workplace at which the proposed manufacturing work is to take place; and
  - (b) the construction site at which the panel is to be incorporated as a wall once the process by which the panel is manufactured is complete.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

- (4) A person referred to in subregulation (1) (other than the Commissioner) must ensure that a copy of the notice is given to the main contractor at the construction site referred to in subregulation (3)(b) within the period referred to in subregulation (1).

Penalty:

- (a) in the case of an individual —
    - (i) for a first offence, \$2 000; and
    - (ii) for a subsequent offence, \$2 500;
- or

(b) in the case of a body corporate —

(i) for a first offence, \$4 000; and

(ii) for a subsequent offence, \$5 000.

*[Regulation 3.88A inserted: Gazette 22 Oct 2004 p. 4835-6;  
amended: Gazette 14 Dec 2004 p. 6014 and 6018.]*

**3.88B. Concrete panels to be designed etc. in accordance with standard**

- (1) A person who, at a workplace (other than a construction site) where a concrete panel is proposed to be manufactured, is an employer or a self-employed person must ensure that —
  - (a) the design and shop drawings of the panel are in accordance with AS 3850 section 3; and
  - (b) the materials, components and equipment used in the manufacture of the panel are in accordance, or used in accordance, with AS 3850 section 2; and
  - (c) the manufacture of the panel is in accordance with AS 3850 section 4; and
  - (d) a competent person who is not involved in the original form set-up conducts an inspection referred to in AS 3850 section 4.10 and provides a written report setting out the inspection results.
- (2) A person who, at a construction site where a concrete panel is proposed to be manufactured, is the main contractor must ensure that —
  - (a) the design and shop drawings of the panel are in accordance with AS 3850 section 3; and
  - (b) the materials, components and equipment used in the manufacture of the panel are in accordance, or used in accordance, with AS 3850 section 2; and
  - (c) the manufacture of the panel is in accordance with AS 3850 section 4; and

- (d) a competent person who is not involved in the original form set-up conducts an inspection referred to in AS 3850 section 4.10 and provides a written report setting out the inspection results.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 3.88B inserted: Gazette 22 Oct 2004 p. 4836; amended: Gazette 14 Dec 2004 p. 6018.]*

**3.88C. Concrete panel at construction site to be transported etc. in accordance with standard**

- (1) A person who, at a construction site, is the main contractor, an employer or a self-employed person must ensure that —
  - (a) the transport of a concrete panel at or adjacent to the construction site is in accordance with AS 3850 section 5; and
  - (b) the craneage, temporary storage and erection of a concrete panel at the construction site is in accordance with AS 3850 section 5.

Penalty: the regulation 1.16 penalty.

- (2) For the purposes of subregulation (1), a reference in AS 3850 —
  - (a) section 5.1 to a delivery vehicle is to be treated as a reference to a vehicle that transports a concrete panel at or adjacent to the construction site; and
  - (b) section 5.1.3 to the specification of particular requirements for the unloading of panels is to be treated as a reference to the specification of such matters by a qualified practising engineer; and
  - (c) section 5.2 to a designated area is to be treated as a reference to an area that is —
    - (i) well-drained and consolidated; and

- (ii) located where there is little chance of damage to the panels to be stored; and
  - (iii) adequate to support the weight of the panels to be stored and any necessary stacking frames; and
  - (iv) unlikely to settle unevenly;
- and

- (d) section 5.4.3 to a suitably qualified person is to be treated as a reference to a qualified practising engineer.

*[Regulation 3.88C inserted: Gazette 22 Oct 2004 p. 4837;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**3.88D. Concrete panel at construction site to be temporarily braced in accordance with standard**

- (1) A person who, at a construction site, is the main contractor, an employer or a self-employed person must ensure that —
  - (a) the design of temporary bracing for a concrete panel at the construction site is in accordance with AS 3850 section 6; and
  - (b) the temporary bracing of a concrete panel at the construction site is in accordance with AS 3850 section 6.

Penalty: the regulation 1.16 penalty.

- (2) For the purposes of subregulation (1), a reference in AS 3850 section 6.2 to written approval for a variation is to be treated as a reference to the written approval of a qualified practising engineer.

*[Regulation 3.88D inserted: Gazette 22 Oct 2004 p. 4837-8;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**3.88E. Concrete panel at construction site to be fixed etc. in accordance with standard**

A person who, at a construction site, is the main contractor, an employer or a self-employed person must ensure that —

- (a) the fixing of a concrete panel for the incorporation of the panel as a wall at the construction site is in accordance with AS 3850 section 7; and
- (b) the removal of temporary bracing of a concrete panel at the construction site is in accordance with AS 3850 section 7.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.88E inserted: Gazette 22 Oct 2004 p. 4838; amended: Gazette 14 Dec 2004 p. 6018.]*

**3.88F. Tilt-up work at construction site not to be done unless Commissioner notified under r. 3.88A**

A person must not do any kind of tilt-up work in relation to a concrete panel (other than work relating to the manufacture of a concrete panel at a stage before the panel is cast) at a construction site unless the Commissioner has been notified of the intention to manufacture the panel under regulation 3.88A(1) or (2), as is relevant to the case.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.88F inserted: Gazette 22 Oct 2004 p. 4838; amended: Gazette 14 Dec 2004 p. 6017.]*

**3.88G. Construction site where tilt-up work done, documents required at**

- (1) The main contractor at a construction site must ensure that at all times when tilt-up work is being done at the site there is kept at the site —
- (a) if a concrete panel that is, or is to be, involved in the work was manufactured at a place other than the construction site, the copy of the notification to the Commissioner given under regulation 3.88A(4) to the main contractor in respect of the panel; and
  - (b) if a concrete panel that is, or is to be, involved in the work was manufactured at the construction site, a copy of the notification under regulation 3.88A(2) given to the Commissioner in respect of the panel; and
  - (c) a copy of any exemption under regulation 2.12 relating to the work; and
  - (d) a copy of the shop drawings of each concrete panel that is, or is to be, involved in the work; and
  - (e) a current plan setting out details of the proposed execution of the work; and
  - (f) a copy of any written or diagrammatic advice, from a qualified practising engineer, received by the main contractor, that sets out the manner in which an aspect of the work should be executed; and
  - (g) in relation to each concrete panel that is, or is to be, involved in the work, a copy of the inspection report for that panel referred to in regulation 3.88B(1)(d) or (2)(d), as is relevant to the case.

Penalty: the regulation 1.16 penalty.

- (2) Nothing in subregulation (1) limits the operation of regulation 3.2.

*[Regulation 3.88G inserted: Gazette 22 Oct 2004 p. 4838-9; amended: Gazette 14 Dec 2004 p. 6018.]*



**3.88H. Construction site area where tilt-up work being done, duty of main contractor etc. to limit entry to**

A person who, at a construction site where tilt-up work is being done, is the main contractor, an employer, a self-employed person, a person having control of the workplace or a person having control of access to the workplace (a **responsible person**) must not allow any person to enter or remain in an area of the site where tilt-up work is being done except —

- (a) a person doing the work; or
- (b) a person who has the written authority of a responsible person to enter the area for a purpose connected with the work; or
- (c) a person authorised under a written law to enter the area.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.88H inserted: Gazette 22 Oct 2004 p. 4839; amended: Gazette 14 Dec 2004 p. 6017.]*

**3.88I. Certain persons to ensure only trained persons manufacture etc. concrete panels**

- (1) A person who, at a workplace where a concrete panel is proposed to be manufactured, is a person having control of the workplace must ensure that —
- (a) the manufacture is directly supervised by a person who has completed an approved course for managers and supervisors in the construction industry concerning the manufacture of concrete panels; and
  - (b) each person involved in the manufacture has completed an approved course for persons involved in the manufacture of concrete panels concerning the aspect of the work in which the person is involved.

Penalty applicable to a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1) if the person's failure to comply with the subregulation occurred before 1 July 2005.

*[Regulation 3.88I inserted: Gazette 22 Oct 2004 p. 4840;  
amended: Gazette 14 Dec 2004 p. 6017.]*

**3.88J. Certain persons to ensure only trained persons do tilt-up work other than manufacturing concrete panels**

- (1) A person who, at a construction site where tilt-up work (other than work relating to the manufacture of a concrete panel) is proposed to be done, is a person having control of the workplace must ensure that —
- (a) the work is directly supervised by a person who has completed an approved course for managers and supervisors in the construction industry concerning tilt-up work; and
  - (b) each person involved in the work has completed an approved course for persons involved in tilt-up work concerning the aspect of the tilt-up work in which the person is involved.

Penalty applicable to a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1) if the person's failure to comply with the subregulation occurred before 1 July 2005.

*[Regulation 3.88J inserted: Gazette 22 Oct 2004 p. 4840;  
amended: Gazette 14 Dec 2004 p. 6017.]*

**Subdivision 2 — Moulding and casting**

**3.89. Moulding and casting metal, duties of employer etc. as to**

- (1) A person who, at a workplace at which moulding or casting of metal is done, is an employer, a main contractor or a

self-employed person must ensure that the moulding or casting is not done within 3 metres of —

- (a) any part of a furnace that is in use; or
  - (b) any part of a receptacle or ladle that is in use or about to be used in the process of tapping hot metal from a furnace.
- (2) A person does not commit an offence under subregulation (1) if, proof of which is on the person, the moulding or casting is of a type that is required to be done in close proximity to, or in direct contact with, a furnace.
- (3) A person who, at a workplace at which moulding or casting of metal is done, is an employer, a main contractor or a self-employed person must ensure —
- (a) that the surface on which moulding or casting is done is level; and
  - (b) if molten metal is to be carried by hand, that the walkway or floor over which it is carried is as even and level as practicable; and
  - (c) that the area within a 3 metre radius of the place where moulding or casting is being done is kept clear of any hazard.

Penalty applicable to subregulations (1) and (3): the regulation 1.16 penalty.

*[Regulation 3.89 amended: Gazette 14 Dec 2004 p. 6018.]*

### **3.90. Pits and deep moulds, duties of employer etc. as to**

A person who, at a workplace at which a pit or deep mould is used for the moulding or casting of metal, is an employer, a main contractor or a self-employed person must ensure —

- (a) that around the pit or deep mould there is a fence at least one metre high with a fender board and that the fence is removed only for the purposes of working the pit or deep mould; and

- (b) in the case of a below ground pit furnace, that the pit is either fenced in accordance with paragraph (a) or that any unfenced areas are covered by a substantial grating that is hinged or otherwise moveable so as to allow the removal of metal from the furnace; and
- (c) in the case of a pit or deep mould that is used frequently, that the internal walls are lined with bricks or concrete or other material that provides adequate reinforcement and keeps the pit or mould dry; and
- (d) in the case of a pouring pit at which a ladle is used for taking molten metal from the furnace, that either —
  - (i) there is permanently fitted an automatic guiding mechanism that prevents spillage; or
  - (ii) there is a clearance of at least 300 mm between the side of the pit and any part of the ladle or attachment to the ladle;and
- (e) in the case of a pit or deep mould where a person is required to stand or work over or near the edge of the pit or deep mould, that the floor or walkway on which the person works is at a uniform level and that the edge is covered by a grating that —
  - (i) is substantial enough to prevent a person falling into the opening; and
  - (ii) as far as practicable, is flush with the surrounding floor and walkways.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.90 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.91. Ladles, duties of employer etc. as to**

A person who, at a workplace at which moulding or casting of metal is done, is an employer, a main contractor or a self-employed person must ensure that —

- (a) each hand-carried ladle is fitted with a suitable shield or guard to protect each person carrying the ladle from the effects of radiant heat; and
  - (b) each handle on a hand-carried ladle has safe gripping points; and
  - (c) when a double-handled ladle is used, there is not carried a mass greater than a mass calculated on the basis of 19 kg for each person operating the ladle; and
  - (d) where practicable, each lip pouring ladle is fitted with a safety device to prevent the accidental tipping of the ladle; and
  - (e) each lip pouring ladle that has a capacity of more than 500 kg is fitted with —
    - (i) a gear-operated device that enables the operator to directly control the tilting of the ladle at all times; and
    - (ii) a locking mechanism which prevents the ladle from tilting until the locking mechanism is released by the operator;
- and
- (f) each bottom pouring ladle is fitted with an opening mechanism that enables the operator to directly control the ladle at all times, even if the ladle strikes another object; and
  - (g) that maintenance work on a ladle is not done in the vicinity of moulding or casting work; and
  - (h) ladles are not heated or dried in a foundry by means of an open coal, coke or wood fire unless the means of extracting fumes generated by the heating or drying process and the means of ventilation are as close as

practicable to the point where the heating or drying is done.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.91 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.92. Foundry, duties of employer etc. as to work at under moulding box etc.**

A person who, at a workplace that is a foundry, is an employer or a self-employed person must ensure that no work is done in the foundry under a moulding box, core or casting unless the box, core or casting, as the case may be, is securely supported either on a trestle or on a support of a similar kind.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.92 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.93. Moulds etc. for spare metal to be available**

A person who, at a workplace at which moulding or casting of metal is done, is an employer, a main contractor or a self-employed person must ensure that an adequate number of moulds or chills into which spare metal may be poured are immediately available to persons doing moulding or casting.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.93 amended: Gazette 14 Dec 2004 p. 6018.]*

**Subdivision 3 — Welding and allied processes**

**3.94. Terms used**

In this Subdivision —

***allied process*** includes cutting, grinding and gouging associated with welding;

***welding*** means the joining of material by means of heat or pressure or both so that the material is united in a homogenous mass.

**3.95. Fume extraction etc. when welding etc., duty of employer etc. as to**

A person who, at a workplace at which welding or an allied process is done, is an employer, a main contractor or a self-employed person must ensure, for the purposes of complying with regulation 3.38 in relation to the workplace, that the means of extracting fumes generated by the welding or the allied process and the means of ventilation are as close as practicable to the point where the welding or allied process is done.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.95 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.96. Welding etc., duties of employer etc. as to**

A person who, at a workplace at which welding or an allied process is done, is an employer, a main contractor or a self-employed person must ensure that the welding or allied process is done in accordance with the provisions of AS 1674 applying to the type of welding or allied process.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.96 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.97. Protective screens when electric welding, duty of employer etc. as to**

A person who, at a workplace at which an electric welding process is done, is an employer, a main contractor or a self-employed person must ensure, where practicable, that suitable screens are provided to protect persons in the vicinity of the welder from harmful rays produced by the process.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.97 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.98. Flashback arresters when gas welding etc., duties of employer etc. as to**

- (1) A person who, at a workplace at which gas welding, heating, cutting or an allied process is done, is an employer, a main contractor or a self-employed person must ensure that a flashback arrester is fitted —
- (a) on the operator's side of each regulator connection or gas discharge of a manifolded cylinder pack; and
  - (b) to the blowpipe.

Penalty: the regulation 1.16 penalty.

- (2) Subregulation (1) does not apply to a gas cylinder that is used with atmospheric air.

*[Regulation 3.98 amended: Gazette 17 Dec 1999 p. 6233; 8 Mar 2002 p. 962; 14 Dec 2004 p. 6018; 9 Sep 2005 p. 4159; 25 Aug 2009 p. 3313.]*

**Subdivision 4 — Spray painting**

**3.99. Terms used**

In this Subdivision —

***flammable paint*** means any —

- (a) paint; or
- (b) other liquid used in a spray painting process,

with a flash point of or below 61°C when determined in accordance with AS/NZS 2106;

***powder paint*** means any paint in solid powder form that is capable of being ignited;

***spray painting*** means the application, either alone or in combination, of flammable paint, toxic paint or powder paint to an object by a spray painting process, whether done manually, mechanically or automatically;



**spray painting process** means spray painting by one of the following methods —

- (a) airless — whereby flammable paint, toxic paint or powder paint, either alone or in combination, is ejected from a spray nozzle under hydraulic pressure;
- (b) compressed air — whereby a mixture of air and flammable paint, toxic paint or powder paint, either alone or in combination, is applied under pressure;
- (c) electrostatic — whereby an object and flammable paint, toxic paint or powder paint, either alone or in combination, are electrically charged at opposite polarities;
- (d) any combination of the processes referred to in paragraphs (a), (b) and (c);

**toxic paint** means a paint, solvent, liquid or other material which contains a substance referred to in an item in Schedule 3.2 in the quantity and, where applicable, calculated in the manner, specified in that item.

*[Regulation 3.99 amended: Gazette 10 Jan 2003 p. 64.]*

**3.100. Spray painting, duty of employer etc. to ensure use of booth for**

- (1) A person who, at a workplace at which spray painting is done, is an employer, a main contractor or a self-employed person must ensure that the spray painting is done inside a booth that is designed, constructed, installed and maintained in accordance with AS/NZS 4114.  
Penalty: the regulation 1.16 penalty.
- (2) A person does not commit an offence under subregulation (1) if, proof of which is on the person —
  - (a) it is not practicable to do the spray painting in a booth; or
  - (b) the work to be done is spotting, touching up, or another minor operation.

*[Regulation 3.100 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.101. Electrostatic spray painting, duties of employer etc. as to**

A person who, at a workplace at which spray painting is done by an electrostatic process, is an employer, a main contractor or a self-employed person must ensure that —

- (a) all electrical equipment complies with AS 2268 and AS/NZS 3000; and
- (b) the equipment has automatic controls that operate without delay —
  - (i) to give audible warning; and
  - (ii) to disconnect the power supply to any high voltage transformer being used,in the event of a failure of the ventilation system or stoppage of any conveyor carrying objects through the high voltage field used in the process; and
- (c) objects being painted are maintained in effective metallic contact with the conveyor or other earthed support and all hooks are kept clean to ensure maintenance of this contact; and
- (d) where practicable, sharp points or knife edges and points of contact are shielded from random spray or are so located as not to collect spray material during normal operations.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.101 amended: Gazette 10 Jan 2003 p. 64; 14 Dec 2004 p. 6018.]*

**Subdivision 5 — Abrasive blasting**

**3.102. Terms used**

In this Subdivision —

***abrasive blasting*** means propelling a stream of abrasive material at high speed against a surface by means of compressed air, liquid, steam, centrifugal wheels or paddles for the purpose

of cleaning, abrading, etching or otherwise changing the original appearance or condition of the surface;

**abrasive material** means any substance, including metal shot, metal grit or slag (whether or not incorporated in water or other liquid or steam) used or intended to be used as an abrasive for abrasive blasting;

**blasting cabinet** means a structure used for abrasive blasting and which is designed so that when operating, the presence of a person inside the cabinet is not required;

**blasting chamber** means a structure used for abrasive blasting and which is designed so that when operating, the presence of a person inside the chamber is required;

**compressed air wet abrasive blast cleaning** means abrasive blasting where liquid is added to the abrasive material at least 3 metres before the nozzle exit of the propellant (or 100 mm before the nozzle exit when a venturi is used);

**dry abrasive blasting** means abrasive blasting conducted without the addition of water or other liquid to the abrasive material or its propellant;

**pressurised liquid blast cleaning** means the cleaning of an object using liquid that is compressed or pressurised;

**wet abrasive blasting** means compressed air wet abrasive blast cleaning or pressurised liquid blast cleaning.

**3.103. Abrasive blasting equipment, duties of employer etc. as to**

- (1) A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that equipment used for the purposes of abrasive blasting at the workplace —
  - (a) is designed so that the person operating the nozzle can control the flow of abrasive material through the nozzle; and
  - (b) has hose whip checks or hose coupling safety locks or both; and

- (c) in the case of equipment used for dry abrasive blasting, has an efficient means for the discharge of static electrical charge from the abrasive blasting nozzle; and
  - (d) in the case of equipment used for wet abrasive blasting, has a water flow rate sufficient to prevent the generation of dust.
- (2) A person who, at a workplace at which equipment is used for the purposes of abrasive blasting, is an employer, the main contractor, or a self-employed person must ensure that —
  - (a) the equipment includes a device designed to cut off automatically the flow of abrasive material through the nozzle if the person operating the nozzle becomes unable to do so; or
  - (b) procedures are in place which enable a person other than the person operating the nozzle to cut off the flow of abrasive material if the person operating the nozzle becomes unable to do so.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 3.103 amended: Gazette 17 Dec 1999 p. 6233-4; 14 Dec 2004 p. 6018.]*

**3.104. Blasting cabinet or chamber, duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that each blasting cabinet or blasting chamber at the workplace —

- (a) is constructed of hard wearing non-combustible materials; and
  - (b) is designed and maintained —
    - (i) to prevent the escape of dust; and
    - (ii) to minimise internal projections on which dust may settle;
- and

- (c) which has any window or inspection port —
  - (i) has each such window or inspection port fixed in a metal sash; and
  - (ii) has each such window or inspection port maintained so as to permit effective inspection of operations; and
  - (iii) that is glazed, has glass that is toughened safety glass, laminated safety glass or safety wired glass manufactured to the requirements of AS/NZS 2208 for each such glazed window or inspection port;and
- (d) has a mechanical exhaust system that effectively extracts the dust from the blasting cabinet or the blasting chamber and which is arranged so as to prevent re-entry of the extracted dust into the blasting cabinet or blasting chamber and the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.104 amended: Gazette 10 Jan 2003 p. 64;  
14 Dec 2004 p. 6018.]*

**3.105. Blasting chamber, duties of employer etc. as to lighting and exits for**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that each blasting chamber at the workplace —

- (a) has illumination to at least 200 lux, measured on a horizontal plane situated one metre above the floor; and
- (b) has 2 exits —
  - (i) located as far from each other as is practicable; and
  - (ii) both of which are fitted with a quick release system.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.105 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.106. Abrasive blasting, protective equipment required for person doing**

If, at a workplace, a person does abrasive blasting of a kind other than abrasive blasting done in a blasting cabinet, then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that —

- (a) the first-mentioned person is provided with a supplied air respirator which is fitted with an inner bib; and
- (b) the first-mentioned person wears a shoulder cape, jacket or protective suit.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.106 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.107. Radioactive substances not to be used in abrasive blasting**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that no material containing any radioactive substance as defined in the *Radiation Safety Act 1975* is used in any abrasive blasting at the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.107 amended: Gazette 14 Dec 2004 p. 6018.]*

**Subdivision 6 — Excavations and earthworks**

**3.108. Excavation work, employer etc. to assess means of reducing risks from**

A person who, at a workplace where excavation work is to be done, is an employer, the main contractor or a self-employed person must consider, as part of the assessment process referred to in regulation 3.1, whether any identified risk of injury or harm to persons doing the work, persons in an excavated area or persons otherwise in the vicinity of the work may be reduced by any of the following means —

- (a) temporary support systems;

- (b) battering;
  - (ba) benching;
  - (c) other forms of retaining structures whether of a temporary or permanent nature;
  - (d) de-watering systems,
- for use or application during and after the work.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.108 amended: Gazette 14 Dec 2004 p. 6018;  
6 Jan 2006 p. 11.]*

**3.109. Excavation work, employer etc. to reduce risk from**

- (1) If, at a workplace where excavation work is to be done, any person is at risk of injury because of the excavation work then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that —
  - (a) suitable barriers are erected between the person at risk and the likely cause of the danger; and
  - (b) suitable signs that warn of the risk are erected at the place where the excavation work is to be done.
- (2) If, at a workplace, there is an excavated area in, around, or across the top of, which persons can move or plant can be moved then a person who, at the workplace, is an employer, the main contractor, a self-employed person or a person having control of the workplace must ensure, as far as is practicable, that —
  - (a) persons can move safely in, around, and across the top of, the area; and
  - (b) plant can be moved safely in, around, and across the top of, the area.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 3.109 inserted: Gazette 6 Jan 2006 p. 12.]*

**3.110. Excavation work, employer etc. to prevent loads etc. near**

A person who, at a workplace where excavation work is done, is an employer, the main contractor or a self-employed person must ensure that no item of plant, no excavated material and no other load is placed near the excavated area in a position where there is risk that —

- (a) the sides of the excavated area may collapse; or
- (b) the plant, material or other load may fall into the excavated area.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.110 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.111. Excavation work etc., duty of employer etc. as to shoring**

- (1) If, at a workplace —

- (a) any excavation work or earthwork is to be done and there is a risk that the matter forming, or adjacent to, the excavated area or the earthwork may fall or dislodge; or
- (b) a person is required to work in an excavated area or other opening in the ground that is at least 1.5 metres deep,

then a person who, at the workplace is an employer, the main contractor or a self-employed person must ensure that while a person is working in or near the work, area or opening, the work, area or opening is shored in a manner which will prevent it from collapsing or moving.

Penalty: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1) if, proof of which is on the person, the sides of the work, area or opening have been assessed by a competent person to be self-supporting by virtue of the angle of the slope of the sides or the stability of the matter comprising the sides.

*[Regulation 3.111 amended: Gazette 14 Dec 2004 p. 6018; 6 Jan 2006 p. 12.]*



**3.112. Work in certain excavated areas, employer etc. to ensure another person is nearby**

- (1) If a person is required to work in an excavated area or in another opening in the ground, either of which is at least 1.5 metres deep, then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that the first-mentioned person does not do any work without at least one other person being present in the immediate vicinity of the area where the work is being done.

Penalty: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1) if, proof of which is on the person, the sides of the excavated area or opening have been assessed by a competent person to be self-supporting by virtue of the angle of the slope of the sides or the stability of the matter comprising the sides.

*[Regulation 3.112 amended: Gazette 14 Dec 2004 p. 6018.]*

**3.113. Buildings etc. near excavation work etc., employer etc. to protect stability of**

If any excavation work or earthwork to be done at a workplace is likely to adversely affect the stability of any building or structure or any road then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that the work is not commenced or continued unless the stability of the building or structure or the road is protected by sheet piling, shoring, bracing, guying or other appropriate means.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.113 amended: Gazette 14 Dec 2004 p. 6018.]*

**Subdivision 7 — Demolition**

*[Heading inserted: Gazette 30 Mar 2001 p. 1774.]*

**3.114. Terms used**

In this Subdivision —

**class 1**, in relation to demolition work, means demolition work of any of the following kinds —

- (a) work comprising the total demolition of a building or structure that is 10 metres or more in height when measured from the lowest ground level of the building or structure to the highest part of the building or structure;
- (b) work —
  - (i) comprising the partial demolition of a building or structure that is 10 metres or more in height when measured from the lowest ground level of the building or structure to the highest part of the building or structure; and
  - (ii) affecting the structural integrity of the building or structure;
- (c) work —
  - (i) comprising the total or partial demolition of a building or structure; and
  - (ii) involving the use of load shifting equipment on a suspended floor;
- (d) work comprising the total or partial demolition of pre-tensioned or post-tensioned structural components of a building or structure;
- (e) work comprising the total or partial demolition of a building or structure containing precast concrete elements erected by the tilt-up method of construction;
- (f) work involving the removal of key structural members of a building or structure so that the whole or a part of the building or structure collapses;

- (g) work done to a building or structure involving explosives;
- (h) work comprising the demolition or partial demolition of a building or structure that involves the use of a tower crane or any crane with a safe working load greater than 100 tonnes;
- (i) work involving the removal of an area of brittle or fragile roofing material in excess of 200 m<sup>2</sup> from a building or structure if any part of the area to be removed is 10 metres or more above the lowest ground level of the building or structure;

**class 2**, in relation to demolition work, means demolition work comprising the total or partial demolition of a building or structure that is less than 10 metres in height when measured from the lowest ground level of the building or structure to the highest part of the building or structure but does not include —

- (a) the total or partial demolition of a single storey dwelling; or
- (b) work of a kind referred to in paragraphs (c), (d), (e), (f), (g), or (h) of the definition of **class 1**;

**class 3**, in relation to demolition work, means work comprising the removal of more than 200 m<sup>2</sup> of brittle or fragile roofing material from a building or structure;

**demolition** means the complete or partial dismantling of a building or structure by pre-planned and controlled methods or procedures;

**licence** means a licence issued under regulation 3.116(2);

**licensed person**, in relation to class 1, class 2 or class 3 demolition work, means a person who has been issued with a licence that allows the person to do that class of demolition work.

*[Regulation 3.114 inserted: Gazette 30 Mar 2001 p. 1774-5; amended: Gazette 7 Jun 2002 p. 2734; 22 Dec 2009 p. 5236.]*

**3.115. Application of Subdivision**

- (1) This Subdivision does not apply to the demolition of a building or structure by a person in the metal fabrication or engineering industry in the course of maintaining, refurbishing, upgrading, modifying or decommissioning plant.
- (2) This Subdivision does not apply to the demolition of —
  - (a) a fence or wall less than 1.8 metres in height; or
  - (b) a building or structure less than 2 metres in height.

*[Regulation 3.115 inserted: Gazette 30 Mar 2001 p. 1775-6; amended: Gazette 7 Jun 2002 p. 2734.]*

**3.116. Class 1, 2 or 3 demolition licences, application for etc.**

- (1) A person may, in an approved form, apply to the Commissioner to be licensed to do class 1, class 2 or class 3 demolition work and the application is to be accompanied by the appropriate fee set out in Schedule 6.1A, which is to be refunded if the application is refused.
- (2) On an application under subregulation (1) the Commissioner may issue to the applicant a licence to do class 1, class 2 or class 3 demolition work if the Commissioner is satisfied that the applicant is able to do that class of demolition work in a safe and proper manner.
- (3) A licence may be issued subject to such conditions that the Commissioner sees fit and endorses on the licence.
- (4) A licence has effect for 2 years from its issue unless it is sooner cancelled or suspended under subregulation (5).
- (5) The Commissioner may, by notice in writing, cancel or suspend a licence issued to a person if —
  - (a) the person is convicted of an offence against these regulations or the Act; or
  - (b) in the opinion of the Commissioner, the person —
    - (i) breaches a condition of the licence; or

- (ii) is unable to comply with a condition of the licence or a provision of these regulations or the Act.

*[Regulation 3.116 inserted: Gazette 30 Mar 2001 p. 1776.]*

**3.117. Class 1, 2 or 3 demolition work not to be done without or contrary to licence**

- (1) A person must not do class 1 demolition work unless the person has been issued with a licence to do class 1 demolition work and the work is done in accordance with the conditions of the licence, if any.
- (2) A person must not do class 2 demolition work unless the person has been issued with a licence to do class 1 or class 2 demolition work and the work is done in accordance with the conditions of the licence, if any.
- (3) A person must not do class 3 demolition work unless the person has been issued with a licence to do class 1 or class 3 demolition work and the work is done in accordance with the conditions of the licence, if any.
- (4) A person licensed to do class 2 demolition work must not do class 3 demolition work unless —
  - (a) the building or structure from which the roofing is removed is less than 10 metres in height when measured from the lowest ground level of the building or structure to the highest part of the building or structure; and
  - (b) the work is done in accordance with the conditions of the licence, if any.

Penalty applicable to subregulations (1), (2), (3) and (4) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1), (2), (3) and (4) in any other case: the regulation 1.16 penalty.

*[Regulation 3.117 inserted: Gazette 30 Mar 2001 p. 1776-7; amended: Gazette 7 Jun 2002 p. 2734-5; 14 Dec 2004 p. 6017.]*

**3.118. Class 1, 2 or 3 demolition work, duty of employer etc. to ensure person doing is licensed**

A person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that —

- (a) any class 1 demolition work to be done at the workplace is done by a person who has been issued with a licence to do class 1 demolition work; and
- (b) any class 2 demolition work to be done at the workplace is done by a person who has been issued with a licence to do class 1 or class 2 demolition work; and
- (c) any class 3 demolition work to be done at the workplace on a building or structure that is less than 10 metres in height when measured from the lowest ground level of the building or structure to the highest part of the building or structure is done by a person who has been issued with a licence to do class 1, class 2 or class 3 demolition work; and
- (d) any class 3 demolition work to be done at the workplace on a building or structure that is 10 metres or more in height when measured from the lowest ground level of the building or structure to the highest part of the building or structure is done by a person who has been issued with a licence to do class 1 or class 3 demolition work.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.118 inserted: Gazette 30 Mar 2001 p. 1777; amended: Gazette 7 Jun 2002 p. 2735; 14 Dec 2004 p. 6018.]*

**3.119. Proposed class 1, 2 or 3 demolition work in accordance with standard, Commissioner to be notified of**

- (1) A person who wishes to do class 1, class 2 or class 3 demolition work in a manner that would be in accordance with AS 2601 is to notify the Commissioner at least 5 working days before the work is intended to begin.

- (2) The notification is to be in an approved form and is to be accompanied —
- (a) by the name of the licensed person who will do the demolition work; and
  - (b) subject to subregulation (3), by written confirmation of the licensed person that the demolition work will be done in accordance with AS 2601; and
  - (c) by written confirmation of the licensed person that another person will not be allowed to do the demolition work unless that person has been trained in safe methods of demolition work by a training organisation registered under the Australian National Training Authority framework; and
  - (d) by written confirmation of the licensed person that the demolition work will be directly supervised by a competent person at all times when the demolition work is being done.
- (3) For the purposes of subregulation (2)(b) it is not necessary for the licensed person to give written confirmation that the work plan required to be prepared under AS 2601 will be submitted to the Commissioner for approval.

*[Regulation 3.119 inserted: Gazette 30 Mar 2001 p. 1777-8.]*

**3.120. Proposed class 1, 2 or 3 demolition work not in accordance with standard, approval of Commissioner to be sought**

- (1) A person who wishes to do class 1, class 2 or class 3 demolition work but to do the work in a manner that would not be in accordance with AS 2601 is to apply, at least 10 working days before the work is intended to begin, to the Commissioner for approval to do the work.
- (2) The application is to be in an approved form and is to be accompanied by —
- (a) the work plan referred to in AS 2601 in respect of the demolition work; and

- (b) such other information as the Commissioner requires to consider the application.

*[Regulation 3.120 inserted: Gazette 30 Mar 2001 p. 1778.]*

**3.121. Application under r. 3.120, Commissioner's functions as to**

- (1) The Commissioner is to acknowledge the receipt of an application under regulation 3.120 within 10 days of receiving the application.
- (2) The acknowledgment is to include either —
  - (a) advice to the effect that the demolition work has not been approved by the Commissioner; or
  - (b) advice to the effect that the demolition work has been approved by the Commissioner without conditions; or
  - (c) advice to the effect that the demolition work has been approved by the Commissioner on conditions imposed or to be imposed by the Commissioner.
- (3) The Commissioner may impose any condition that the Commissioner thinks is necessary in relation to any occupational safety and health matter in respect of demolition work that is the subject of an application under regulation 3.120 but if the Commissioner imposes a condition then it must be communicated to the applicant within 50 days from the day of the acknowledgment.

*[Regulation 3.121 inserted: Gazette 30 Mar 2001 p. 1778.]*

**3.122. Class 1, 2 or 3 demolition work not to be done without notification or approval or until conditions set**

A person must not do class 1, class 2 or class 3 demolition work unless —

- (a) in the case of work to be done in accordance with AS 2601, the Commissioner has been notified in accordance with regulation 3.119; or



- (b) in the case of work that is not to be done in accordance with AS 2601, the Commissioner has approved the work under regulation 3.121 and conditions imposed or to be imposed by the Commissioner have been communicated to the person who applied for the approval.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.122 inserted: Gazette 30 Mar 2001 p. 1779;  
amended: Gazette 14 Dec 2004 p. 6017.]*

**3.123. Demolition work other than class 1, 2 or 3 demolition work to be in accordance with standard**

- (1) A person who, at a workplace where demolition work other than class 1, class 2 or class 3 demolition work is being done, is an employer, the main contractor or a self-employed person must ensure, subject to subregulation (2), that the work is done in accordance with AS 2601.

Penalty: the regulation 1.16 penalty.

- (2) For the purposes of subregulation (1) it is not necessary for a person referred to in that subregulation to ensure that the work plan required to be prepared under AS 2601 is submitted to the Commissioner for approval.

*[Regulation 3.123 inserted: Gazette 30 Mar 2001 p. 1779;  
amended: Gazette 7 Jun 2002 p. 2735; 22 Oct 2004 p. 4841;  
14 Dec 2004 p. 6018.]*

**3.124. Class 1, 2 or 3 demolition work to be in accordance with standard or approval**

- (1) A licensed person must ensure, subject to subregulation (2), that any class 1, class 2 or class 3 demolition work that is to be done in accordance with AS 2601 and which is done by the licensed person is done in accordance with AS 2601.

- (2) For the purposes of subregulation (1) it is not necessary for a person referred to in that subregulation to ensure that the work plan required to be prepared under AS 2601 is submitted to the Commissioner for approval.
- (3) A licensed person must ensure, in relation to any class 1, class 2 or class 3 demolition work that is not to be done in accordance with AS 2601 and which is done by the licensed person that —
  - (a) the work is done in accordance with the Commissioner's approval to do the work under regulation 3.121; and
  - (b) there is compliance with each condition (if any) imposed by the Commissioner in relation to the approval to do the work.

Penalty applicable to subregulations (1) and (3) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (3) in any other case: the regulation 1.16 penalty.

*[Regulation 3.124 inserted: Gazette 30 Mar 2001 p. 1779-80; amended: Gazette 14 Dec 2004 p. 6017.]*

**3.125. Demolition workplace, certain documents to be kept at**

- (1) A licensed person must ensure that at all times when class 1, class 2 or class 3 demolition work is being done by that person at a workplace, there is kept at the workplace —
  - (a) a copy of the notification or approval, as the case requires, and each condition (if any) imposed by the Commissioner, in relation to the work; and
  - (b) a copy of AS 2601; and
  - (c) a copy of the work plan referred to in AS 2601.
- (2) A person who, at a workplace where demolition work other than class 1, class 2 or class 3 demolition work is being done, is an employer, the main contractor or a self-employed person must

ensure that at all times when the work is being done, there is kept at the workplace —

- (a) a copy of AS 2601; and
- (b) a copy of the work plan referred to in AS 2601.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 3.125 inserted: Gazette 30 Mar 2001 p. 1780-1; amended: Gazette 7 Jun 2002 p. 2735; 14 Dec 2004 p. 6017.]*

**3.126. Demolition work involving asbestos, duties of employer etc. as to**

- (1) In this regulation —

***asbestos-containing material*** has the meaning given in regulation 5.42;

***asbestos demolition site*** means a workplace where demolition work is being done that involves the demolition of a building or structure in which there is any asbestos-containing material.

- (2) A person who, at an asbestos demolition site, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that the work —

- (a) does not commence or immediately ceases when the presence of asbestos-containing material is apparent; and
- (b) does not proceed until the material has been removed in accordance with regulation 5.45.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 3.126 inserted: Gazette 22 Dec 2009 p. 5236-7.]*

**3.127. Demolition work area, employer etc. to limit entry to**

- (1) A person who, at a workplace, is an employer, the main contractor or a self-employed person must not allow any person to enter or remain in an area of the workplace where demolition work, other than class 1, class 2 or class 3 demolition work, has commenced other than —
  - (a) a person doing the work; or
  - (b) a person authorised by the employer, main contractor or self-employed person to enter the area for a purpose connected with doing the work; or
  - (c) a person authorised under a written law to enter the area.
- (2) A licensed person must not allow any person to enter or remain in an area of a workplace where class 1, class 2 or class 3 demolition work being done by the person has commenced other than —
  - (a) a person doing the work; or
  - (b) a person authorised by the licensed person to enter the area for a purpose connected with doing the work; or
  - (c) a person authorised under a written law to enter the area.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 3.127 inserted: Gazette 30 Mar 2001 p. 1781-2; amended: Gazette 14 Dec 2004 p. 6017.]*

**3.128. Scaffold used in demolition work, requirements for**

- (1) A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that any scaffold involved in demolition work at the workplace, other than class 1, class 2 or class 3 demolition work —
- (a) is a heavy duty scaffold that meets the requirements of AS/NZS 1576.1; and
  - (b) is erected to the full height of the building or structure; and
  - (c) has a closely boarded platform with a minimum width of one metre that abuts on the face of the building or structure at the working level; and
  - (d) has a fender board not less than 900 mm high fitted on the outer edge and on the ends of the working platform; and
  - (e) has the external face and ends sheathed with a fire retardant material and wire mesh that has wires that are at least 3 mm in diameter and with apertures not greater than 50 mm x 50 mm; and
  - (f) is maintained in position and in an effective state up to the working level of the scaffold for the whole of the period during which the demolition work is being done; and
  - (g) is progressively dismantled so that the unsupported part of the scaffold does not exceed by more than 4 metres the height of the last row of ties that secure the scaffold to the building or structure.
- (2) A licensed person must ensure that any scaffold involved in class 1, class 2 or class 3 demolition work that the person is doing at a workplace complies with subregulation (1)(a) to (g).
- Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.
- Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

- (3) A person does not commit an offence under subregulation (1) or (2) if, proof of which is on the person, the scaffold is otherwise in accordance with any approval of, or a condition imposed by, the Commissioner in relation to the demolition work.

*[Regulation 3.128 inserted: Gazette 30 Mar 2001 p. 1782-3; 14 Dec 2004 p. 6017.]*

### **Division 10 — Driving commercial vehicles**

*[Heading inserted: Gazette 8 Apr 2003 p. 1108.]*

#### **3.129. Application of Division**

This Division does not apply to a commercial goods vehicle, as defined in section 4(1) of the *Transport Co-ordination Act 1966*, that is required to be licensed under that Act.

*[Regulation 3.129 inserted: Gazette 8 Apr 2003 p. 1108.]*

#### **3.130. Terms used**

In this Division —

***commercial vehicle*** means —

- (a) a passenger transport vehicle as defined in the *Transport (Road Passenger Services) Act 2018* section 4(1); or
- (b) a school bus within the meaning of the *Road Traffic (Vehicles) Regulations 2014* regulation 226; or
- (c) any mobile plant or motor vehicle with a GVM over 4.5 tonnes that is designed to carry, or is carrying, a large integrated item of equipment; or
- (d) any other motor vehicle with a GVM over 4.5 tonnes used or intended to be used for the carriage of goods for hire or reward;

**commercial vehicle driver** means a person who drives a commercial vehicle in the course of work and whose work time —

- (a) is more than 60 hours per week; or
- (b) for more than once per week — is more than 10 hours in any 24 hour period; or
- (c) for more than once per week — includes the period from midnight to 5 a.m.;

**driver fatigue management plan**, in relation to commercial vehicle drivers, means a written document setting out requirements and procedures relating to —

- (a) scheduling trips; and
- (b) rostering drivers; and
- (c) establishing a driver's fitness to work; and
- (d) education of drivers in fatigue management; and
- (e) managing incidents on or relating to commercial vehicles; and
- (f) establishing and maintaining appropriate workplace conditions;

**GVM** has the meaning given in the *Road Traffic (Vehicles) Act 2012* section 3(1);

**motor vehicle** has the meaning given in the *Road Traffic (Vehicles) Regulations 2014* regulation 3;

**responsible person at a workplace** means a person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace;

**work time**, in relation to driving a commercial vehicle, includes —

- (a) time spent doing work incidental to the driving; and
- (b) time spent operating the mobile plant, where the commercial vehicle is plant; and

- (c) time spent operating mobile plant transported on the commercial vehicle; and
- (d) a break from driving, mobile plant operation or incidental work lasting less than 30 minutes.

*[Regulation 3.130 inserted: Gazette 8 Apr 2003 p. 1108-10; amended: Gazette 8 Jan 2015 p. 101-2; 18 Jun 2019 p. 2039.]*

**3.131. Commercial vehicle driver, duties of and in relation to**

- (1) A responsible person at a workplace must ensure that a commercial vehicle driver who is required to drive a commercial vehicle that forms the whole or part of the workplace —
  - (a) drives the vehicle in accordance with regulation 3.132; and
  - (b) is certified by a medical practitioner as fit to drive the vehicle.

Penalty: the regulation 1.16 penalty.

- (2) A commercial vehicle driver who is required to drive a commercial vehicle that forms the whole or part of a workplace must —
  - (a) drive the vehicle in accordance with regulation 3.132; and
  - (b) be the holder of a certificate of a medical practitioner confirming his or her fitness to drive the vehicle.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case:

- (a) for a first offence, \$25 000; and
- (b) for a subsequent offence, \$31 250.



- (3) For the purposes of subregulations (1)(b) and (2)(b), the certificate is to state that not more than 5 years before the driving, the medical practitioner examined and passed the commercial vehicle driver in accordance with —
- (a) the document *Assessing Fitness to Drive 2016* published jointly by Austroads Ltd and the National Transport Commission, as revised in 2017; or
  - (b) requirements exceeding or substantially equivalent to the requirements in the document referred to in paragraph (a).

*[Regulation 3.131 inserted: Gazette 8 Apr 2003 p. 1110; amended: Gazette 25 Jun 2004 p. 2292; 14 Dec 2004 p. 6017 and 6018; 14 Jun 2013 p. 2254; 10 May 2019 p. 1401.]*

**3.132. Commercial vehicle driver, hours of work**

- (1) A commercial vehicle driver must, so far as practicable, have —
- (a) for every 5 hours work time — breaks from driving totalling at least 20 minutes including a break from driving of at least 10 consecutive minutes after 5 hours work time; and
  - (b) in any 14 day period — no more than 168 hours of work time.
- (2) In addition to subregulation (1), a commercial vehicle driver who drives without a relief driver must, so far as practicable, have —
- (a) in any 72 hour period — at least 27 hours non-work time, including at least 3 periods of at least 7 consecutive hours non-work time, with each period separated from the next by not more than 17 hours; and
  - (b) either —
    - (i) in any 14 day period — at least 2 periods of 24 consecutive hours non-work time; or

- (ii) in any 28 day period — at least 4 periods of 24 consecutive hours non-work time if, and only if, the driver has no more than 144 hours work time in any 14 day period that is part of the 28 day period.
- (3) In addition to subregulation (1), a commercial vehicle driver who drives with a relief driver must, so far as practicable, have —
  - (a) in any 24 hour period — at least 7 hours of non-work time, whether or not the time is spent in the vehicle while it is moving; and
  - (b) either —
    - (i) in any 48 hour period — at least one period of 7 continuous hours non-work time, which time is not spent in the vehicle while it is moving; or
    - (ii) in any 7 day period — at least 48 hours of non-work time, which time is not spent in the vehicle while it is moving, includes a period of at least 24 consecutive hours non-work time and does not include a period of non-work time of less than 7 consecutive hours.
- (4) In addition to subregulation (1), a commercial vehicle driver who does shiftwork on 5 or more consecutive days must, so far as practicable, have at least 24 continuous hours of non-work time between shift changes.

*[Regulation 3.132 inserted: Gazette 8 Apr 2003 p. 1110-11; amended: Gazette 25 Jun 2004 p. 2292.]*

**3.133. Driver fatigue management plan, requirement for**

A responsible person at a workplace must ensure that a driver fatigue management plan is developed and kept current by a competent person for every commercial vehicle driver who is

required to drive a commercial vehicle that forms the whole or part of the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.133 inserted: Gazette 8 Apr 2003 p. 1111;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**3.134. Record of commercial vehicle drivers' work time etc.**

- (1) A responsible person at a workplace must ensure that a record in accordance with subregulation (2) is established and kept current in respect of the work time, breaks from driving, and non-work time of each commercial vehicle driver who is required to drive a commercial vehicle that forms the whole or part of the workplace.

Penalty: the regulation 1.16 penalty.

- (2) The record is to be —
- (a) set out in a clear and systematic manner; and
  - (b) available for inspection by an inspector at all reasonable times; and
  - (c) kept for at least 3 years from the date of the last entry on the record.

*[Regulation 3.134 inserted: Gazette 8 Apr 2003 p. 1112;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**Division 11 — Construction industry induction training**

*[Heading inserted: Gazette 31 Jul 2009 p. 3032.]*

**3.135. Terms used**

In this Division —

***construction induction training certificate*** means a certificate, card or other document that —

- (a) was issued by the provider of a construction induction training course that is, or was at the time the document

was issued, a recognised construction induction training course; and

- (b) contains information to the effect that the person named in the document satisfactorily completed the course on the date specified in the document;

**construction induction training course** means a course or training programme that includes instruction in —

- (a) the rights and responsibilities under the Act and these regulations of persons who do construction work or employ persons who do such work; and
- (b) the hazards to which a person is likely to be exposed while doing construction work at a workplace; and
- (c) how to apply risk management principles when doing construction work at the workplace;

**employee** includes a person taken to be an employee by operation of section 23D, 23E or 23F of the Act;

**employer** includes a person taken to be an employer by operation of section 23D, 23E or 23F of the Act;

**recognised construction induction training course** means a construction induction training course that —

- (a) is accredited by a body established under a law of a State or a Territory to accredit vocational education and training courses and programmes; and
- (b) is provided by a registered training organisation.

*[Regulation 3.135 inserted: Gazette 31 Jul 2009 p. 3032-3.]*

### **3.136. Construction induction training certificate, when required**

- (1) An employee or self-employed person must not do construction work at a workplace unless he or she holds a construction induction training certificate.

Penalty: the regulation 1.15 penalty.

- (2) A person who is an employer, the main contractor or a person having control of the workplace must not permit an employee or

self-employed person to do construction work at the workplace unless that other employee or self-employed person holds a construction induction training certificate.

Penalty: the regulation 1.16 penalty.

- (3) For the purposes of this regulation, a person who, immediately before this regulation came into operation, held a current safety awareness training certificate (as defined in regulation 3.135 as in force at that time) is to be taken to hold a construction induction training certificate.

*[Regulation 3.136 inserted: Gazette 31 Jul 2009 p. 3033-4.]*

## **Division 12 — Construction industry — consultation on hazards and safety management etc.**

*[Heading inserted: Gazette 2 Oct 2007 p. 4979.]*

### **3.137. Terms used**

In this Division —

***client*** means the person for whose direct benefit all the work done at the construction site exists, upon its completion;

***designer***, in relation to construction work at a construction site, means the person in charge of the, or a part of the, design of the end product of the construction work;

***domestic construction work*** means construction work on or in relation to a building of Class 1, Class 2 or Class 10 as classified in Part A3.2 of the Building Code of Australia under the *Building Regulations 1989*<sup>2</sup>;

***energised***, in relation to an electrical installation or line, means connected to a supply of electricity to the installation or line, whether or not electricity is flowing through any part of the installation or line;

***high-risk construction work*** means any of the following —

- (a) construction work involving a risk of a person falling 2 metres or more;

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- (b) construction work on telecommunications towers;
- (c) construction work involving demolition;
- (d) construction work involving disturbing or removing asbestos;
- (e) construction work involving alteration to a structure that requires the structure to be temporarily supported to prevent its collapse;
- (f) construction work involving a confined space;
- (g) construction work involving excavation to a depth of more than 1.5 metres;
- (h) the construction of tunnels;
- (i) construction work involving the use of explosives;
- (j) construction work on or near pressurised gas pipes (including distribution mains);
- (k) construction work on or near chemical, fuel or refrigerant lines;
- (l) construction work on or near energised electrical installations and lines (whether overhead or underground);
- (m) construction work in an area that may have a contaminated or flammable atmosphere;
- (n) construction work involving tilt-up or precast concrete;
- (o) construction work on or adjacent to roads or railways that are in use;
- (p) work on a construction site where there is movement of powered mobile plant;
- (q) construction work in an area where there are artificial extremes of temperature;
- (r) construction work in, over or adjacent to water or other liquids if there is a risk of drowning;
- (s) construction work involving diving;

*safe work method statement* means a statement prepared under regulation 3.143.

*[Regulation 3.137 inserted: Gazette 2 Oct 2007 p. 4979-80; amended: Gazette 14 Nov 2017 p. 5606.]*

### **3.138. Application of Division**

- (1) This Division applies in relation to construction work taking place, or to take place, at a construction site.
- (2) If no one designer is in charge of the whole of the design, then the provisions of this Division apply to or in relation to each designer who, to some extent, is in charge of the design, to that extent.
- (3) This Division does not apply to domestic construction work until 1 October 2008.

*[Regulation 3.138 inserted: Gazette 2 Oct 2007 p. 4980; amended: Gazette 4 Apr 2008 p. 1315.]*

### **3.139. Commercial client, duties of to consult designer etc.**

- (1) This regulation applies to a client if the work at the construction site was, is being or is to be done for the client as part of the client's trade or business.
- (2) The client must consult with the designer for the purpose of ensuring, as far as practicable, that persons doing the construction work may do so without risk to their health and safety.  
Penalty: the regulation 1.16 penalty.
- (3) If the client is not the main contractor, the client must consult with the main contractor for the purpose of ensuring, as far as practicable, that —
  - (a) persons doing the construction work may do so without risk to their health and safety; and

- (b) persons on or near the construction site are not put at risk from the construction work.

Penalty: the regulation 1.16 penalty.

- (4) The client must, as far as practicable, ensure that information given to the client under the Act that relates to —
  - (a) identifying hazards to which a person at the construction site is likely to be exposed; or
  - (b) assessing the risk of injury or harm to a person resulting from those hazards; or
  - (c) considering the means by which the risk may be reduced,

is given to the main contractor (if the client is not the main contractor) and a person who obtains the end product of the construction work from the client.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.139 inserted: Gazette 2 Oct 2007 p. 4980-1.]*

**3.140. Designer of work for commercial client to give client report**

- (1) This regulation applies in relation to a client if the work at the construction site was, is being or is to be done for the client as part of the client's trade or business.
- (2) The designer must give a written report to the client setting out —
  - (a) the hazards —
    - (i) that the designer has identified as part of the design process; and
    - (ii) that arise from the design of the end product of the construction work; and
    - (iii) to which a person at the construction site is likely to be exposed;and



- (b) the designer's assessment of the risk of injury or harm to a person resulting from those hazards; and
- (c) what things the designer has done to reduce those risks (for example, changes to the design, changes to construction methods); and
- (d) which of those hazards the designer has not done anything in respect of to reduce those risks.

Penalty: the regulation 1.16 penalty.

- (3) The level of detail in the report must be appropriate for the client, the nature of the hazards and the degree of risk.

*[Regulation 3.140 inserted: Gazette 2 Oct 2007 p. 4981-2.]*

**3.141. Main contractor to keep record of certain information**

- (1) The main contractor must, as far as practicable, ensure that the following information is recorded (if not already recorded) and compiled, information that —
  - (a) is in the control of the main contractor;
  - (b) was obtained, created or recorded under the Act;
  - (c) relates to —
    - (i) identifying hazards to which a person at the construction site is likely to be exposed; and
    - (ii) assessing the risk of injury or harm to a person resulting from those hazards; and
    - (iii) considering the means by which the risk may be reduced.

Penalty: the regulation 1.16 penalty.

- (2) The level of detail to be recorded must be appropriate for the nature of the hazards and the degree of risk.

- (3) The main contractor must ensure that the information compiled under subregulation (1) is kept until the construction work is completed.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.141 inserted: Gazette 2 Oct 2007 p. 4982.]*

**3.142. Occupational health and safety management plan for construction site, main contractor's duties as to**

- (1) The main contractor for a construction site where 5 or more persons are, or are likely to be, working at the same time must ensure that —

- (a) an occupational health and safety management plan is prepared for the site before work commences at the site; and
- (b) the plan is kept up-to-date.

Penalty: the regulation 1.16 penalty.

- (2) An occupational health and safety management plan is a plan that, as far as practicable —

- (a) identifies each person on the site who has a specific occupational safety and health responsibility in relation to the site and describes how those responsibilities are coordinated; and
- (b) describes what occupational health and safety induction training will take place in respect of construction work on the site; and
- (c) describes the arrangements for managing occupational safety and health incidents on the site; and
- (d) sets out the site safety rules and describes the arrangements for ensuring that all persons on or visiting the site are informed of the rules; and

- (e) includes information, to the extent to which the main contractor has it, that relates to —
    - (i) the identified hazards to which a person at the construction site is likely to be exposed; and
    - (ii) the risk of injury or harm to a person resulting from those hazards; and
    - (iii) the means by which the risk may be reduced; and
  - (f) includes the safe work method statements (if any) for the site.
- (3) The main contractor must ensure, as far as practicable, that —
- (a) each person doing construction work at the construction site has been given a copy of the plan; and
  - (b) if the plan is amended — each such person is given a copy of the changes that relate to the person's work, as soon as practicable; and
  - (c) a copy of the plan is available for inspection, until the construction work is completed, by —
    - (i) a person doing construction work at the construction site; and
    - (ii) a person about to commence construction work at the construction site; and
    - (iii) a member of a safety and health committee for the construction site who is an employee; and
    - (iv) a safety and health representative for the construction site.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.142 inserted: Gazette 2 Oct 2007 p. 4982-3;  
amended: Gazette 7 Jan 2011 p. 53-4.]*

**3.143. High-risk construction work, safe work method statements required for**

- (1) If high-risk construction work is, or is to be, done at the construction site, the main contractor must, as far as practicable, ensure that —
  - (a) each person identified by the main contractor as having day-to-day, on site control of high-risk construction work at the site gives the main contractor a safe work method statement for the high-risk construction work that the person is in control of before the work commences; and
  - (b) the statements are kept up-to-date.

Penalty: the regulation 1.16 penalty.

- (2) In identifying persons for the purposes of subregulation (1), the main contractor must ensure that all high-risk construction work done, or to be done, at the site will be covered by a safe work method statement.
- (3) If the main contractor is unable to comply with subregulation (2), the main contractor must prepare the necessary safe work method statement or statements and keep it or them up-to-date.

Penalty: the regulation 1.16 penalty.

- (4) The safe work method statement must be in writing and, as far as practicable, set out —
  - (a) each high-risk construction work activity that is or includes a hazard to which a person at the construction site is likely to be exposed; and
  - (b) the risk of injury or harm to a person resulting from any such hazards; and
  - (c) the safety measures to be implemented to reduce the risk, including the control measures to be applied to the activity or hazards; and

- (d) a description of the equipment used in the work activity;  
and
  - (e) the qualifications and training (if any) required for  
persons doing the work to do it safely.
- (5) The main contractor must ensure that there are measures in  
place to ensure, as far as practicable, that —
  - (a) high-risk construction work is carried out in accordance  
with the relevant safe work method statement; and
  - (b) if the work is not carried out in accordance with the  
statement — the work ceases (when safe to do so) and  
does not resume until the safe work method statement is  
complied with.

Penalty: the regulation 1.16 penalty.

- (6) A person referred to in subregulation (1)(a) must, as far as  
practicable, give the main contractor the safe work method  
statement for the high-risk construction work that the person is  
in charge of and keep it up-to-date.

Penalty: the regulation 1.16 penalty.

*[Regulation 3.143 inserted: Gazette 2 Oct 2007 p. 4984-5;  
amended: Gazette 28 Nov 2008 p. 5031.]*

## **Part 4 — Plant**

### **Division 1 — Preliminary**

#### **4.1. Terms used**

In this Part and in Schedules 4.1, 4.2 and 4.3, unless the contrary intention appears —

***alter***, in relation to plant, means to change the design of, add to or take away from the plant where the change may affect safety or health, but does not include routine maintenance, repairs or replacement;

***amusement structure*** means equipment operated for hire or reward which provides entertainment or amusement through movement of the equipment, or part of the equipment, or when passengers travel on, around or along the equipment or move the equipment through self-powered motion;

***boiler*** means a vessel or an arrangement of vessels and their interconnecting parts in which steam or other vapour is generated or in which water or other liquid is heated at a pressure above that of the atmosphere by the application of fire, the products of combustion, electrical power or by similar high temperature means and —

- (a) includes superheaters, reheaters, economisers, boiler piping, supports, mountings, valves, gauges, fittings, controls, the boiler setting and other equipment directly associated with the boiler;
- (b) does not include a fully flooded or pressurised system where water or other liquid is heated to a temperature lower than the normal atmospheric boiling temperature of the liquid;

***boom-type elevating work platform*** means a telescoping device, hinged device, articulated device or any combination of those devices used to support a platform on which personnel, equipment and materials may be elevated;

**bridge crane** means a crane comprising a bridge beam mounted at each end to an end carriage and capable of travelling along elevated runways and having one or more hoisting mechanisms arranged to traverse the bridge;

**building maintenance equipment** means a suspended platform and associated equipment (including a building maintenance unit or a swing stage) which incorporates permanently installed overhead supports to provide access to the faces of a building or structure for maintenance or cleaning, but does not include a suspended scaffold;

**building maintenance unit** means a power operated suspended platform and associated equipment on a building or structure specifically designed to provide permanent access to the faces of the building or structure for maintenance or cleaning;

**commissioning**, in relation to plant, means performing the necessary adjustments, tests and inspections before the plant is used to ensure that the plant is in full working order in accordance with the requirements specified in the design of the plant, and includes recommissioning;

**concrete placing unit** means plant used to place concrete by way of pumping concrete through a pipeline attached to or forming part of a boom and capable of travelling over a supporting surface without the need for fixed runways or railway tracks and relying on gravity for stability, that is, with no vertical restraining connection between itself and the supporting surface and no horizontal restraining connection (other than frictional forces at supporting surface level) which could aid stability;

**conveyor** means an apparatus or equipment worked by any power other than manual power and by which loads can be raised, lowered, transported or continuously driven by —

- (a) an endless belt, rope or chain or other similar means; or
- (b) buckets, trays or other containers or fittings moved by an endless belt, rope, chain or other similar means; or
- (c) a rotating screw; or

- (d) a vibration or walking beam; or
- (e) a powered roller conveyor where the rolls are driven by an endless belt, rope or chain,

and includes the supporting structure, auxiliary equipment and gear used in connection with the conveyor;

**crane** means plant that is used for the raising or lowering of a freely suspended load and moving a load horizontally and —

- (a) includes the supporting structure of the crane and its foundations;
- (b) does not include any industrial lift truck, industrial robot, building maintenance equipment, suspended scaffold or lift;

**design verifier** means a person competent to undertake the tasks set out in regulation 4.3(2)(c);

**earthmoving machinery** means an operator controlled item of plant used to excavate, load, transport, compact or spread earth, overburden, rubble, spoil, aggregate or similar material;

**elevating work platform** means a telescoping device, scissor device or articulating device or any combination of those devices used to position personnel, equipment and materials to and from workplaces located above or below the support surface;

**escalator** includes a moving ramp for use by passengers but does not include a conveyor used only for moving goods;

**explosive powered tool** means a powder actuated hand-held tool which can drive a fastener against, into or through a substance by means of an explosive charge and includes every attachment and accessory for such tool and every device to be used with such tool but does not include a firearm, an LPG or propane gas powered tool or a side-wall coring gun used in exploratory borehole work;



**fired heater** means a pressure vessel in which a liquid is heated below its atmospheric boiling temperature or a process in which fluid is heated in tubes above or below its atmospheric boiling temperature by the application of fire, the products of combustion or electric power or by similar high temperature means;

**gantry crane** means a crane comprising a bridge beam supported at each end by legs mounted on end carriages which is capable of travelling on supporting surfaces or deck levels (whether fixed or not) and which has a crab with one or more hoisting units arranged to travel across the bridge;

**gas cylinder** means a rigid pressure vessel designed for the storage and transport of gas under pressure and to which AS 2030 applies that does not have more than 3 000 litres water capacity and is without openings or integral attachments on the shell other than at the ends;

**hoist** means an appliance intended for raising or lowering a load or persons and —

- (a) includes an elevating work platform, a mast-climbing work platform, a people and materials hoist, a scaffold hoist and a serial hoist;
- (b) does not include a lift or building maintenance equipment;

**industrial lift truck** means powered mobile plant designed to move goods, materials or equipment and which is equipped with an elevating load carriage and which usually has a load-holding attachment but does not include a mobile crane or earthmoving machinery;

**industrial robot** means a multi-functional manipulator that is capable of handling materials, parts, tools or specialized devices through variable programmed motions for the performance of a variety of tasks and includes its controls;

**interlocked** in relation to a plant's guarding device or machine element means the connection between the guarding device or

machine element and the plant's control system or power system by which connection —

- (a) access is allowed to the plant's moving parts when those parts are not operating; and
- (b) moving parts are prevented from starting up or operating when access is available to those parts;

***laser*** means any device that can produce or amplify electromagnetic radiation in the wave length range from 100 nanometres to one millimetre by the process of controlled stimulated emission but does not include any electric light globe, fluorescent light tube, electric radiator used for heating, radio or video communication equipment, domestic cooking appliance using high powered lamps or navigation and search light;

***laser product*** means any product or assembly of components which constitutes, incorporates or is intended to incorporate a laser;

***lift*** means any permanent plant (or plant that is intended to be permanent) which is in, or attached to, a building or structure and by means of which persons, goods or materials may be raised or lowered within or on a car cage or a platform and the movement of which is restricted by a guide or guides and includes an apparatus in the nature of a chair lift, escalator, moving walk or stairway lift and any supporting structure, machinery, equipment, gear, lift well, enclosures and entrances;

***mast climbing work platform*** means a hoist having a working platform for the support or raising of personnel, equipment and materials to the working position by means of a drive system which moves along an extendable mast (which may be tied to a building) but does not include a lift or building maintenance equipment;

***mobile crane*** means a crane capable of travelling over a supporting surface without the need for fixed runways or railway tracks and which relies on gravity only for stability and accordingly, has neither a vertical restraining connection

between itself and the supporting surface nor a horizontal restraining connection (other than frictional forces at the supporting surface level) to aid stability;

***nail gun*** means a tool which by the use of compressed air, is capable of discharging a nail, spike or other fastener into or through material;

***operator protective devices*** includes roll-over protective structures, falling object protective structures, operator restraining devices and seat belts;

***out-of-service tag*** means an accident prevention tag as referred to in section 5 of AS 1319 in the form of a warning sign, within the meaning of that Standard, bearing the words “OUT-OF-SERVICE”;

***presence sensing safeguarding system*** includes —

- (a) a sensing system employing one or more forms of radiation which can be either self-generated or generated by pressure; and
- (b) the interface between the final switching devices of the sensing system and the machine primary control elements; and
- (c) the capacity of a machine to stop when the presence of a person or part of a person within the sensing field will cause the dangerous parts of a machine to be brought to a safe state;

***pressure equipment*** means a boiler, pressure vessel and pressure piping to which AS/NZS 1200 applies and having a hazard level of A, B, C or D according to the criteria set out in AS 4343;

***pressure piping*** means an assembly of pipes, pipe fittings, valves and pipe accessories subject to internal or external pressure and used to contain or convey fluid or to transmit fluid pressure and —

- (a) includes distribution headers, bolting, gaskets, pipe supports and pressure retaining accessories;

- (b) does not include any boiler or pressure vessel or a pipeline to which any other written law applies;

***pressure vessel*** means a vessel subject to internal or external pressure and —

- (a) includes interconnected parts and components, valves, gauges and other fittings up to the first point of connection to connecting piping, fired heaters and gas cylinder;
- (b) does not include any boiler or pressure piping;

***regulatory authority*** means any Commonwealth, State or Territory authority, other than the Commissioner, with responsibility for plant safety;

***repair***, in relation to plant, means to restore plant to an operating condition but does not include replacement, routine maintenance or alteration;

***tower crane*** means a boom or jib crane mounted on a tower structure;

***tractor*** means a motor vehicle, whether wheeled or track mounted, designed principally to be used in agriculture, horticulture or turf management to provide power and movement of any attached trailer, machine or implement;

***use***, in relation to plant, means to work from the plant or to operate, or maintain the plant;

***vehicle hoist*** means a vehicle-hoisting device, the purpose of which is to provide accessibility for convenient under-chassis examination or service;

***work box*** means a personnel carrying device designed to be suspended from a crane for the purpose of providing a working area for persons elevated by and working from the box.

*[Regulation 4.1 amended: Gazette 17 Dec 1999 p. 6234; 8 Mar 2002 p. 963.]*

## **Division 2 — Registration of plant design and items of plant**

### **4.2. Sch. 4.1 plant, design of to be registered etc.**

- (1) A person who manufactures, imports or supplies plant of a kind set out in Schedule 4.1 for erection, installation, commissioning or use at a workplace must ensure —
- (a) that the design of the plant has been registered by the Commissioner or a regulatory authority; and
  - (b) that the registration is current; and
  - (c) that the plant is or has been manufactured in accordance with the current registered design; and
  - (d) while the plant is under the person's control, that the design registration number issued by the Commissioner under regulation 4.10 or by a regulatory authority is within the vicinity of the plant and is readily accessible.

Penalty: the regulation 1.16 penalty.

- (2) For the purposes of this regulation, a plant design is to be treated as being registered if —
- (a) the Commissioner or a regulatory authority, as the case requires, has registered the design on the basis that there will be a modification; and
  - (b) the modification is in accordance with the specifications of the Commissioner or the regulatory authority.

*[Regulation 4.2 amended: Gazette 8 Mar 2002 p. 964;  
14 Dec 2004 p. 6018.]*

### **4.3. Sch. 4.1 plant, application for registration of design of**

- (1) A person who wishes to apply for the registration of the design of plant of a kind set out in Schedule 4.1 is to apply to the Commissioner in accordance with this regulation.

- (2) An application under subregulation (1) is to be in an approved form and is to be accompanied by the following —
- (a) a statement signed by the person who designed the plant to the effect that the person has complied with the duties that a person who designs plant has under these regulations; and
  - (b) the name, business address and qualifications of each of the design verifiers and where applicable, the employer of each design verifier; and
  - (c) a statement signed by a design verifier to the effect that the plant's design complies with each Standard set out in Schedule 4.3 that is relevant to that kind of plant and in the case of plant that is pressure equipment that, in addition, verification of the relevant Standards has been done in accordance with AS 3920.1; and
  - (d) representational drawings of the plant design; and
  - (e) the fee set out in item 1 of Schedule 6.2.
- (3) The statements and information referred to in subregulation (2) are to be in the English language.

**4.4. Design verifier to be independent of designer**

For the purposes of an application under regulation 4.3 —

- (a) a design verifier must not have had any involvement in the design of the relevant plant; and
- (b) the person who designs an item of plant and the design verifier of the item of plant must not be employed by the same person unless the employer undertakes the design of items of plant using a quality system that has been certified by a body accredited or approved by the Joint Accreditation System of Australia and New Zealand.

**4.5. Design verification statement by Commissioner, request for etc.**

Where a person intends to apply for the registration of the design of plant of a kind set out in Schedule 4.1, the person may

request the Commissioner to provide the design verification statement under regulation 4.3(2)(c) and, where the statement is provided by an officer of the department, the person must pay to the Commissioner a fee calculated at the rate set out in Schedule 6.1 for the time taken to prepare the statement.

**4.6. Commissioner may require r. 4.3 applicant to give other information**

- (1) The Commissioner may require a person who makes an application under regulation 4.3 to provide any of the following —
  - (a) detailed drawings of the plant design;
  - (b) design calculations;
  - (c) details of operating instructions;
  - (d) diagrams of control systems, including the sequence of operating the controls;
  - (e) details of maintenance requirements;
  - (f) a statement of the limitations of the plant's use;
  - (g) any other information that the Commissioner thinks is necessary to make a decision on the application.
- (2) The statements and information referred to in subregulation (1) are to be in the English language.

**4.7. Application under r. 4.3, Commissioner's functions as to**

- (1) On an application under regulation 4.3 and on payment of the fee referred to in regulation 4.8, the Commissioner may —
  - (a) register the plant design without conditions or modifications; or
  - (b) register the plant design on any condition that the Commissioner thinks is appropriate; or
  - (c) register the plant design on the basis that —
    - (i) the design, and any drawings, calculations or instructions that accompanied it, will be

modified to the extent specified by the Commissioner; or

- (ii) an item of plant manufactured in accordance with the design will be tested in a manner specified by the Commissioner,

with or without requiring any further application or the provision of any more information; or

- (d) refuse to register the plant design.

- (2) If the Commissioner refuses to register the plant design, the Commissioner is to provide written reasons for the refusal to the applicant with the notice of the decision.

*[Regulation 4.7 amended: Gazette 8 Mar 2002 p. 964.]*

**4.8. Assessment fee for r. 4.3 application**

A person who makes an application under regulation 4.3 must, on completion of the assessment of the application and in addition to the fee referred to in regulation 4.3(2)(e), pay to the Commissioner a fee for any assessment of the application required by the Commissioner to be undertaken calculated at the rate set out in Schedule 6.1 for the time taken to conduct the assessment.

**4.9. Test required under r. 4.7(1)(c)(ii), procedure for**

If, for the purposes of regulation 4.7(1)(c)(ii), the Commissioner specifies a test that is to be done then the Commissioner may specify that the test be witnessed by an officer of the department or another competent person and if the test is to be witnessed by an officer of the department then the applicant is to —

- (a) allow at least 5 working days for the Commissioner to arrange for an officer to witness the test; and
- (b) pay to the Commissioner a fee for the witnessing of the test calculated at the rate set out in Schedule 6.1 for the time taken to witness the test.



**4.10. Design registration number, issue of etc.**

- (1) If the Commissioner registers a plant design, the Commissioner is to issue a design registration number for the plant design and provide the applicant with evidence of the registration in an approved form.
- (2) A person who sells or otherwise disposes of plant must give the person who acquires the plant the design registration number of the plant.

Penalty: the regulation 1.16 penalty.

*[Regulation 4.10 amended: Gazette 8 Mar 2002 p. 964;  
14 Dec 2004 p. 6018.]*

**4.11. Sch. 4.1 plant with altered design not to be used unless alteration is registered**

If the design of plant of a kind set out in Schedule 4.1 that is used at a workplace is altered then a person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that plant manufactured to the altered design is not used at the workplace unless the alteration to the design of the plant has been registered by the Commissioner or a regulatory authority and the registration is current.

Penalty: the regulation 1.16 penalty.

*[Regulation 4.11 amended: Gazette 8 Mar 2002 p. 964;  
14 Dec 2004 p. 6018.]*

**4.12. Altered design of Sch. 4.1 plant, application for registration of**

A person who wishes to apply for the registration of an alteration to the design of plant of a kind set out in Schedule 4.1 is to apply to the Commissioner under regulation 4.3, and that regulation and regulations 4.6, 4.7, 4.8 and 4.10 apply as if the application were for a new design.

**4.13. Confidentiality of design information**

- (1) The Commissioner is to ensure that any information provided with an application under regulation 4.3 is treated as confidential information.
- (2) Despite subregulation (1), the Commissioner may —
  - (a) if requested by a regulatory authority or by a coroner of this or any other State or Territory, allow that regulatory authority or coroner to have access to the design information;
  - (b) if a person has the applicant's authority, allow that person to have access to such of the information as is authorised;
  - (c) provide any design verification statement referred to in regulation 4.3(2) to employees or their safety and health representatives at a workplace where the relevant plant is installed or used.
- (3) If —
  - (a) the person who initially supplied the information accompanying an application under regulation 4.3 no longer exists or cannot be located; and
  - (b) the plant that was the subject of the application has been installed or is used at a workplace,

then the Commissioner may release the information to a person who, at the workplace, is an employer, the main contractor, a self-employed person or a person in control of the workplace but only to the extent that the Commissioner thinks balances the requirements for the safe operation of that plant and for the information to be treated as confidential information.

**4.14. Sch. 4.2 plant not to be used unless registered etc.**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the

workplace must ensure that an individual item of plant of a kind set out in Schedule 4.2 is not used at the workplace unless —

- (a) the individual item has been registered by the Commissioner or a regulatory authority and the registration is current; and
  - (b) the person has a copy of the statement referred to in regulation 4.15(2)(c).
- (2) If an individual item of plant has been registered by the Commissioner or a regulatory authority and —
- (a) the plant is altered; or
  - (b) in the case of plant which is fixed plant, the plant is relocated; or
  - (c) there is a change in the ownership of the plant,

then a person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that the plant is not used at the workplace unless the plant is re-registered.

- (2a) The vesting of an individual item of plant in an entity under Part 9 Division 3 of the *Electricity Corporations Act 2005* is not to be regarded as a change in the ownership of the plant for the purposes of subregulation (2)(c).
- (3) A person does not commit an offence against subregulation (1) if the person's failure to comply with the subregulation occurred within 21 days of the day on which the competent person signed the statement referred to in subregulation (1)(b).

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 4.14 amended: Gazette 8 Mar 2002 p. 965-6; 14 Dec 2004 p. 6018; 31 Mar 2006 p. 1351.]*

**4.15. Sch. 4.2 plant, application for registration etc.**

- (1) A person who wishes to apply for the registration or the re-registration of an individual item of plant of a kind set out in Schedule 4.2 is to apply to the Commissioner in accordance with this regulation.
- (2) An application under subregulation (1) is to be in an approved form and is to be accompanied by the following —
  - (a) sufficient information to identify the individual item of plant and any relevant matter referred to in regulation 4.14(2); and
  - (b) if the design of the kind of plant is required under this Division to be registered —
    - (i) whether the Commissioner or a regulatory authority registered the design (or any alteration of the design) and the name of any relevant regulatory authority; and
    - (ii) the plant's design registration number;and
  - (c) a copy of a signed statement by a competent person to the effect that —
    - (i) the individual item of plant has been inspected by that competent person, and that the plant is safe to operate; and
    - (ii) the information referred to in regulation 4.30(1)(c) for the individual item of plant is at the workplace;and
  - (d) the fee set out in item 2 of Schedule 6.2.
- (3) The statements and information referred to in subregulation (2) are to be in the English language.

*[Regulation 4.15 amended: Gazette 8 Mar 2002 p. 966.]*

**4.16.      Commissioner may require r. 4.15 applicant to give other information**

The Commissioner may require a person who makes an application under regulation 4.15 to provide any other information that the Commissioner thinks is necessary to make a decision on the application.

**4.17.      Application under r. 4.15, Commissioner's functions as to**

- (1) On an application under regulation 4.15 and on payment of the fee referred to in regulation 4.18, the Commissioner may —
  - (a) register or re-register the individual item of plant without conditions; or
  - (b) register or re-register the individual item of plant on any condition that the Commissioner thinks is appropriate; or
  - (c) refuse to register the individual item of plant.
- (2) If the Commissioner refuses to register or re-register an individual item of plant, the Commissioner is to provide written reasons for the refusal to the applicant with the notice of the decision.

**4.18.      Assessment fee for r. 4.15 application**

A person who makes an application under regulation 4.15 must, on completion of the assessment of the application and in addition to the fee referred to in regulation 4.15(2)(d), pay to the Commissioner a fee for any assessment of the application required by the Commissioner to be undertaken calculated at the rate set out in Schedule 6.1 for the time taken to conduct the assessment.

**4.19.      Registration number of Sch. 4.2 item of plant, issue of etc.**

If the Commissioner —

- (a) registers an individual item of plant, the Commissioner is to issue a registration number for that item of plant; or

- (b) re-registers an individual item of plant, the Commissioner is to use the existing registration number for that item of plant,

and provide the applicant with evidence of the registration in an approved form.

**4.19A. Classified plant (r. 7.7) or designated plant (r. 7.8),  
Commissioner to provide evidence of registration number of**

If —

- (a) an item of classified plant is treated under regulation 7.7(2)(b) as having a registration number issued under regulation 4.19; or
- (b) an item of designated plant is treated under regulation 7.8(2) as having a registration number issued under regulation 4.19,

the Commissioner, on the application of a person who is required to stamp or mark the item of plant with the current registration number of the item, is to provide the applicant with evidence of the registration number in the approved form.

*[Regulation 4.19A inserted: Gazette 8 Mar 2002 p. 966.]*

**4.20. Item of plant to bear registration number etc.**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that an individual item of plant that has been registered as required by this Division is not used at the workplace unless —
  - (a) the registration number of the item of plant is legibly stamped on the plant or, if stamping is impractical, is legibly marked on the plant; and
  - (b) a copy of the evidence of the registration is displayed on or near the item of plant.

Penalty: the regulation 1.16 penalty.

- (1a) A person does not commit an offence under subregulation (1) in relation to an individual item of plant if the person fails to comply with the subregulation within 21 days of the day on which an application is made for the registration or re-registration of the item of plant.
- (2) A person who, by way of hiring or leasing, supplies for installation or use at a workplace an individual item of plant which has been registered as required by this Division must ensure that before the plant is hired or leased the current registration number of the item of plant is legibly stamped on the plant or, if stamping is impractical, is legibly marked on the plant.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 4.20 amended: Gazette 8 Mar 2002 p. 967;  
14 Dec 2004 p. 6017 and 6018.]*

#### **4.21. Commissioner may deregister items of plant**

- (1) If the Commissioner has registered an individual item of plant under this Division but is of the opinion that the item of plant should no longer be registered having regard to the requirements of this Part in relation to the item of plant then the Commissioner may deregister the item of plant.
- (2) If the Commissioner deregisters an item of plant under subregulation (1) then the Commissioner is to inform the following persons that the item of plant has been deregistered —
- (a) the person who applied to have the item of plant registered (if available); and
  - (b) each person who is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the

workplace at the workplace where the item of plant was being used at the time of the deregistration,

and the Commissioner is to give each person so informed written reasons for the deregistration.

*[Regulation 4.21 amended: Gazette 8 Mar 2002 p. 967.]*

**4.21A. Permanent withdrawal from service of registered plant, Commissioner to be notified of**

A person who has applied for and obtained the registration or re-registration of an individual item of plant must when the item of plant is permanently withdrawn from service advise the Commissioner of the withdrawal.

Penalty:

- (a) in the case of an individual —
  - (i) for a first offence, \$5 000; and
  - (ii) for a subsequent offence, \$6 250;
- or
- (b) in the case of a body corporate —
  - (i) for a first offence, \$10 000; and
  - (ii) for a subsequent offence, \$12 500.

*[Regulation 4.21A inserted: Gazette 8 Mar 2002 p. 968; amended: Gazette 14 Dec 2004 p. 6015.]*

**Division 3 — General duties applying to plant**

**Subdivision 1 — Kinds of plant to which this Division applies**

**4.22. Term used: plant**

In this Division, a reference to plant is to be treated as a reference to plant —

- (a) which is pressure equipment; or
- (b) which, in order to work, requires the supply of energy of a kind other than, or in addition to, the energy supplied by the exertion of the body of a human or an animal.



**Subdivision 2 — Identification of hazards and assessing and addressing risks in relation to plant**

**4.23. Designer of plant, duties of**

- (1) A person who designs plant must, during the design process —
- (a) as far as practicable, identify any hazard in the design of the plant to which persons who install, erect, dismantle or use the plant at a workplace may be exposed; and
  - (b) assess, in accordance with subregulation (2), the risk of injury or harm to a person resulting from each identified hazard; and
  - (c) consider whether the risk may be reduced by the means referred to in subregulation (3).

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (2) An assessment under subregulation (1)(b) must include assessment of each of the following —
- (a) the impact of the plant on the work environment in which the plant is designed to be used; and
  - (b) the range of environmental and operational conditions in which the plant is intended to be manufactured, transported, installed and used; and
  - (c) the relationship between the functioning of the plant and the physical capacities and requirements of persons who may use the plant; and
  - (d) the need for safe access and egress for persons who install, erect, inspect, use and dismantle the plant.
- (3) The means referred to in subregulation (1)(c) are —
- (a) the means referred to in regulation 4.29;
  - (b) ensuring the plant is designed according to each Standard set out in Schedule 4.3 that is relevant to that kind of plant;

- (c) in the case of powered mobile plant —
  - (i) ensuring that the plant is designed to reduce, as far as is practicable, the risk of the plant overturning, or of an object coming into contact with the operator; and
  - (ii) where, despite reduction measures, there is a risk that —
    - (I) the plant may overturn; or
    - (II) an object may come into contact with the operator of the plant; or
    - (III) the operator of the plant may be ejected from the seat,ensuring that the risk is limited by the provision of an appropriate combination of operator protective devices; and
  - (iii) where, despite reduction measures, there is a risk that an object may come into contact with the operator of the plant from the front, side or rear of the plant, ensuring that the risk is limited by the provision of an appropriate structure that protects the operator.

*[Regulation 4.23 amended: Gazette 8 Mar 2002 p. 968;  
14 Dec 2004 p. 6017.]*

**4.24. Manufacturer of plant, duties of**

- (1) If the person who designed plant is not within the jurisdiction of the State, the person who manufactures the plant must carry out the duties under regulation 4.23 of the person who designed the plant.
- (2) A person who manufactures plant must as far as practicable, during the manufacturing process, identify any hazard arising from the manufacture of the plant to which persons who install, erect, dismantle or use the plant at a workplace may be exposed.

- (3) If, under subregulation (1) or (2), a person who manufactures plant identifies a hazard, the person must assess, in accordance with subregulation (4), the risk of injury or harm to a person resulting from each identified hazard.
- (4) An assessment under subregulation (3) must, as far as practicable, adequately address each identified hazard by way of —
- (a) a visual inspection of the plant and its associated environment; and
  - (b) auditing; and
  - (c) testing; and
  - (d) a technical or scientific evaluation; and
  - (e) an analysis of injury and near-miss data; and
  - (f) discussions with designers, suppliers, importers, employers, employees or any other relevant person; and
  - (g) a quantitative hazard analysis,
- as the case requires.
- (5) If, under subregulation (1) or (2) a person identifies a hazard and then assesses the risk of injury or harm to a person resulting from each identified hazard then the person must —
- (a) consider whether the risk may be reduced by the means referred to in regulation 4.29; or
  - (b) arrange with the person who designed the plant to alter the design to reduce the risk as far as is practicable.

Penalty applicable to subregulations (1), (2), (3) and (5) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1), (2), (3) and (5) in any other case: the regulation 1.16 penalty.

*[Regulation 4.24 amended: Gazette 8 Mar 2002 p. 969; 14 Dec 2004 p. 6017.]*

**4.25. Importer of plant, duties of**

- (1) If neither the person who designed plant nor the person who manufactured the plant is within the jurisdiction of the State, the person who imports the plant must carry out —
  - (a) the duties under regulation 4.23 of the person who designed the plant; and
  - (b) the duties under regulation 4.24 of the person who manufactured the plant.
- (2) A person who imports plant that is to be used for spare parts for plant at a workplace or for scrap must, before the plant is delivered or taken to a workplace, advise the purchaser of the plant in writing or by a mark on the plant that the plant cannot be put into service and that it can be used only for spare parts or scrap, as the case may be.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 4.25 amended: Gazette 8 Mar 2002 p. 969; 14 Dec 2004 p. 6017.]*

**4.26. Supplier of plant, duties of**

- (1) A person who supplies plant for installation or use at a workplace must, before the plant leaves his or her control, ensure that —
  - (a) the duties under regulation 4.23 of the person who designed the plant; and
  - (b) the duties under regulation 4.24 of the person who manufactured the plant; and
  - (c) the duties under regulation 4.25 of the person who imported the plant,have been carried out.

- (2) To the extent that it is not practicable for a person who supplies plant to comply with subregulation (1), the person must, before the plant leaves his or her control —
- (a) as far as practicable, identify any hazard in the design and manufacture of the plant to which persons who install, erect or use the plant at a workplace may be exposed; and
  - (b) assess the risk of injury or harm to a person resulting from each hazard, if any, identified under paragraph (a); and
  - (c) consider whether the risk may be reduced by the means referred to in regulation 4.29.
- (3) A person who supplies plant does not commit an offence under subregulation (1) or (2) if, proof of which is on the person, the plant is intended for use as scrap material.
- (4) A person who supplies plant that is to be used for spare parts for plant at a workplace or for scrap must, before the plant is delivered or taken to a workplace, advise the purchaser of the plant in writing or by a mark on the plant that the plant cannot be put into service and that it can be used only for spare parts or scrap, as the case may be.

Penalty applicable to subregulations (1), (2) and (4) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1), (2) and (4) in any other case: the regulation 1.16 penalty.

*[Regulation 4.26 amended: Gazette 8 Mar 2002 p. 969-70; 14 Dec 2004 p. 6017.]*

#### **4.27. Erector and installer of plant, duties of**

- (1) Without limiting regulation 3.1, a person who erects or installs plant at a workplace must, before and during the erection or installation process, so far as practicable, identify each hazard arising from the erection or installation of the plant to which

persons who use the plant at the workplace are likely to be exposed.

- (2) If, under subregulation (1) a person who erects or installs plant identifies a hazard then the person must assess, in accordance with subregulations (3) and (4), the risk of injury or harm to a person resulting from each identified hazard.
- (3) An assessment under subregulation (2) must include assessment of each of the following —
  - (a) the impact of the erection or installation process on the work environment during the erection or installation of the plant; and
  - (b) the need for safe access and egress for persons who install, erect, inspect or subsequently use the plant.
- (4) An assessment under subregulation (2) must, as far as practicable, adequately address each identified hazard by way of —
  - (a) a visual inspection of the plant and its associated environment; and
  - (b) auditing; and
  - (c) testing; and
  - (d) a technical or scientific evaluation; and
  - (e) an analysis of injury and near-miss data; and
  - (f) discussions with designers, manufacturers, suppliers, importers, employers, employees or any other relevant person; and
  - (g) a quantitative hazard analysis,as the case requires.
- (5) For the purposes of subregulation (2), an assessment may be done either on individual items of plant or, where multiple items of plant of the same design are erected or installed and are intended for use under conditions that are the same for all

practical purposes, the assessment may be done in relation to a representative sample, but if the risk associated with the plant could vary from operator to operator, a separate assessment of the risk to each operator of the particular plant is to be done in relation to each item of plant.

- (6) If, under subregulation (1), a person identifies a hazard and then assesses the risk of injury or harm to a person resulting from each identified hazard then the person must consider whether the risk may be reduced —
- (a) by the means referred to in regulation 4.29; or
  - (b) in the case of plant to which AS/NZS 3000 applies, by complying with the relevant requirements of that Standard in relation to electrical installations.
- (7) A person who erects or installs plant at a workplace must ensure that the plant —
- (a) is erected or installed having regard to the instructions of the designer or manufacturer of the plant or, if those instructions are not available, any instructions developed in relation to the plant by a competent person; and
  - (b) if the plant is designed to be operated in a fixed position, the plant is positioned on and if necessary fixed to a secure base to prevent inadvertent movement when the plant is energised or operating.

Penalty applicable to subregulations (1), (2), (6) and (7) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1), (2), (6) and (7) in any other case: the regulation 1.16 penalty.

*[Regulation 4.27 amended: Gazette 8 Mar 2002 p. 970-1; 10 Jan 2003 p. 65; 14 Dec 2004 p. 6017.]*

#### **4.28. Duties of employer etc. as to plant**

- (1) Without limiting regulation 3.1, a person who, at a workplace, is an employer, the main contractor, a self-employed person, a

person having control of the workplace or a person having control of access to the workplace must, so far as practicable, identify each hazard arising from the design, manufacture, erection, installation or use of plant to which persons who install, erect, use or dismantle the plant at the workplace are likely to be exposed.

- (2) Without limiting subregulation (1), a person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must, before and during the introduction of the plant to the workplace, identify each hazard referred to in that subregulation to which a person at the workplace is likely to be exposed in the event of —
  - (a) any alteration to the plant; or
  - (b) any change in the way, or the location in which, the plant is used; or
  - (c) any change in a system of work associated with the plant; or
  - (d) the first-mentioned person becoming aware of the existence of the hazard through new or further safety or health information relating to the plant or its associated systems of work.
- (3) If, under subregulation (1) or (2), a person identifies a hazard then the person must assess, in accordance with subregulation (4), the risk of injury or harm to a person resulting from each identified hazard.
- (4) An assessment under subregulation (3) must, as far as practicable, adequately address each identified hazard by way of —
  - (a) a visual inspection of the plant and its associated environment; and
  - (b) auditing; and
  - (c) testing; and



- (d) a technical or scientific evaluation; and
  - (e) an analysis of injury and near-miss data; and
  - (f) discussions with designers, manufacturers, importers, suppliers, installers or erectors of plant, employees or any other relevant person; and
  - (g) a quantitative hazard analysis,
- as the case requires.
- (5) For the purposes of subregulation (3), an assessment may be done either on individual items of plant or, where multiple items of plant of the same design are installed or erected and are intended for use under conditions that are the same for all practical purposes, the assessment may be done in relation to a representative sample, but if the risk associated with the plant could vary from operator to operator, a separate assessment of the risk to each operator of the particular plant is to be done in relation to each item of plant.
- (6) If, under subregulation (1) or (2), a person identifies a hazard and then assesses the risk of injury or harm to a person then the person must consider whether the risk may be reduced by the means referred to in regulation 4.29.

Penalty applicable to subregulations (1), (2), (3) and (6): the regulation 1.16 penalty.

*[Regulation 4.28 amended: Gazette 8 Mar 2002 p. 971-2; 14 Dec 2004 p. 6018.]*

#### **4.29. Means of reducing risks in relation to plant**

The means referred to in regulations 4.23(3)(a), 4.24(5)(a), 4.26(2)(c), 4.27(6)(a), 4.28(6) and 4.37(1)(f) are —

- (a) one or a combination of the following means —
  - (i) substitution of the plant by less hazardous plant;
  - (ii) modification of the design of the plant;
  - (iii) isolation of the plant;

- (iv) using engineering methods to change physical characteristics of the plant;
    - (v) implementing, maintaining and supervising control measures and systems of work so as to reduce the risk as far as is practicable;
  - and
  - (b) to the extent that it is not practicable to reduce the risk by the means referred to in paragraph (a), the means of administrative controls and personal protective clothing and equipment; and
  - (c) ensuring that the plant is manufactured, inspected and, where required, tested —
    - (i) according to each Standard set out in Schedule 4.3 that is relevant to that kind of plant; and
    - (ii) having regard to the designer's specifications;
  - and
  - (d) ensuring that if, after supply to a workplace, any plant is found to have a fault that may affect safety or health, then as far as is practicable, the person to whom the plant was supplied is advised of the fault and what is required to rectify it; and
  - (e) ensuring that there is an optimum relationship between the functioning of the plant and the physical capacities and requirements of persons who may use the plant; and
  - (f) ensuring that there is sufficient access to and egress from —
    - (i) the parts of the plant that require cleaning, maintenance, adjustment or repair; and
    - (ii) the operator's work station for normal and emergency situations,
- so as to reduce the risk as far as is practicable; and

- (g) the provision of emergency lighting, safety doors and alarm systems where access to the plant is required as part of its normal operation and persons could become entrapped and at risk because of heat, cold or lack of air suitable for breathing; and
- (h) the reduction, as far as is practicable, of exposure to dangerous parts during operation, lubrication, adjustment or maintenance of the plant; and
- (i) ensuring, in the case where guarding should be provided for the plant, that the guarding comprises —
  - (i) a permanently fixed physical barrier for cases in which, during normal operation, maintenance or cleaning of the plant, no person would need either complete or partial access to the dangerous area; or
  - (ii) an interlocked physical barrier for cases in which during normal operation, maintenance or cleaning of the plant, a person may require complete or partial access to the dangerous area; or
  - (iii) a physical barrier securely fixed in position by means of fasteners or other suitable devices sufficient to ensure that the guard cannot be altered or removed without the aid of a tool or key for cases where neither a permanently fixed physical barrier nor an interlocked physical barrier is practicable,but, if none of the guards described in subparagraphs (i), (ii) or (iii) is practicable, the provision of a presence sensing safeguard system; and
- (j) ensuring, in the cases where guarding of any moving part of the plant does not eliminate the risk of entanglement or where it is not practicable to guard a moving part of the plant, that persons do not operate, or pass in close proximity to, the moving part unless a safe

system of work is in place to reduce the risk as far as is practicable; and

- (k) ensuring that the plant's operating controls are —
  - (i) suitably identified so as to indicate their nature and function; and
  - (ii) located so as to be readily and conveniently operated by each person using the plant; and
  - (iii) located, guarded or of a double action type to prevent unintentional activation; and
  - (iv) able to be locked into the “off” position to enable the disconnection of all motive power and forces;and

- (l) ensuring that plant —
  - (i) designed to be operated or attended by more than one person; and
  - (ii) having more than one control fitted,has multiple controls of the “stop and lock-off” type so that the plant cannot be restarted after a stop control has been used unless each stop control has been reset; and

- (m) ensuring that each of the plant's emergency stop devices —
  - (i) is prominent, clearly and durably marked and immediately accessible to each operator of the plant; and
  - (ii) has handles, bars or push buttons that are coloured red; and
  - (iii) will not be affected by electrical or electronic circuit malfunction,

as may be appropriate to the particular case.

*[Regulation 4.29 amended: Gazette 8 Mar 2002 p. 972.]*

**Subdivision 3 — Information and general matters in relation to plant**

**4.30. Designer of plant to give manufacturer information**

- (1) A person who designs plant for use at a workplace must ensure that the person who manufactures the plant is provided with sufficient information to enable the plant to be manufactured in accordance with the design specifications and, as far as practicable, provide information relating to —
- (a) the purpose for which the plant is designed; and
  - (b) testing or inspections to be conducted in relation to the plant; and
  - (c) installation, commissioning, operation, maintenance, cleaning, transport, storage and (where plant is capable of being dismantled) dismantling of the plant; and
  - (d) systems of work necessary for the safe use of the plant; and
  - (e) knowledge, training or skill necessary for persons undertaking inspection and testing of the plant; and
  - (f) the current design registration number (if any) issued by the Commissioner under regulation 4.10 or by a regulatory authority for that kind of plant; and
  - (g) emergency procedures relating to the plant,

to accompany the plant when it is manufactured, supplied, installed or erected, inspected and used at a workplace.

- (2) A person who designs plant for use at a workplace must ensure that the information referred to in subregulation (1) is in, or has been translated into, the English language before providing the information.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 4.30 amended: Gazette 14 Dec 2004 p. 6017.]*

**4.30A. Manufacturer of plant to obtain information from designer**

A person who manufactures plant for use at a workplace must obtain from the designer of the plant —

- (a) sufficient information to enable the plant to be manufactured in accordance with the design specifications for the plant; and
- (b) the other information referred to in regulation 4.30(1).

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 4.30A inserted: Gazette 8 Mar 2002 p. 972-3; amended: Gazette 14 Dec 2004 p. 6017.]*

**4.31. Manufacturer of plant to give supplier information**

- (1) A person who manufactures plant for use at a workplace must ensure that when the plant is delivered to a person who will supply the plant, that the person who will supply the plant is provided with —
  - (a) the information about the plant that has been provided under regulation 4.30(1) or obtained under regulation 4.30A; and
  - (b) the design registration number issued by the Commissioner under regulation 4.10 or by a regulatory authority for that kind of plant.
- (2) A person who manufactures plant for use at a workplace must ensure that the information referred to in subregulation (1) is in, or has been translated into, the English language before providing the information.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 4.31 amended: Gazette 8 Mar 2002 p. 973; 14 Dec 2004 p. 6017.]*

**4.31A. Importer of new plant to obtain information from manufacturer etc.**

A person who imports new plant for use at a workplace must obtain the information referred to in regulation 4.30(1) —

- (a) from the manufacturer of the plant; or
- (b) if it is impracticable to obtain it from the manufacturer of the plant, from a competent person.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 4.31A inserted: Gazette 8 Mar 2002 p. 973; amended: Gazette 14 Dec 2004 p. 6017.]*

**4.32. Importer of plant to give supplier etc. information**

- (1) A person who imports new plant for use at a workplace must ensure that when the plant is delivered to a person who will supply or use the plant, that the person who will supply or use the plant is provided with the information about the plant that has been provided under regulation 4.30 or 4.31 or obtained under regulation 4.30A or 4.31A and the design registration number of that kind of plant.
- (2) A person who imports used plant for use at a workplace where the plant is not intended for use as scrap material or for spare parts for other plant must provide the person to whom delivery is intended with —
  - (a) all available and relevant safety and health information provided by the persons who designed or manufactured the plant; and

- (b) any additional available information required to enable the plant to be used safely.
- (3) A person who imports plant for use at a workplace must ensure that the information referred to in subregulation (1) or (2), as the case requires, is in, or has been translated into, the English language before providing the information.
- (4) A person who imports plant for use at a workplace where the plant is intended for use as scrap material or for spare parts for other plant must advise the person to whom delivery is intended, either in writing or by marking the plant before its delivery —
  - (a) of the intended purpose for the plant; and
  - (b) that the plant in its current form is not to be placed in service but is to be used only as scrap material or as spare parts.

Penalty applicable to subregulations (1), (2), (3) and (4) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1), (2), (3) and (4) in any other case: the regulation 1.16 penalty.

*[Regulation 4.32 amended: Gazette 8 Mar 2002 p. 974;  
14 Dec 2004 p. 6017.]*

**4.33. Supplier of plant (not by hire or lease) to give recipient information**

- (1) This regulation does not apply to plant supplied by way of hire or lease.
- (2) A person who supplies new plant for use at a workplace must ensure when the plant is supplied to a person, that the person is provided with —
  - (a) the information about the plant that has been provided under regulation 4.30, 4.31 or 4.32 and the design registration number of that kind of plant; and



- (b) any available information, data or certificate specified for that plant by each Standard set out in Schedule 4.3 that is relevant to that kind of plant.
- (3) A person who supplies used plant for use at a workplace must ensure when the plant is supplied to a person that the person is provided with —
  - (a) all available, relevant safety and health information provided by the persons who designed or manufactured the plant, and any additional available information required to enable the plant to be used safely; and
  - (b) where available, any record kept by a previous owner of the plant in accordance with these regulations.
- (4) A person who supplies plant for use at a workplace must ensure that the information referred to in subregulation (2) or (3), as the case requires, is in, or has been translated into, the English language before providing the information.

*[(5) deleted]*

- (6) A person who supplies plant for use at a workplace through an intermediary must ensure before the plant is supplied to a person that that person is advised in writing of each fault (if any) in the plant and, where applicable, that the plant is not to be used until a specified fault is rectified.

Penalty applicable to subregulations (2), (3), (4) and (6) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (2), (3), (4) and (6) in any other case: the regulation 1.16 penalty.

*[Regulation 4.33 amended: Gazette 8 Mar 2002 p. 974; 7 Jun 2002 p. 2737; 14 Dec 2004 p. 6017.]*

#### **4.34. Certain plant, duty of employer etc. to keep records of etc.**

- (1) If plant of a kind specified in subregulation (2) is used at a workplace then a person who, at the workplace, is an employer,

a main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that a record is made and kept of any maintenance, inspection, commissioning, alteration of the plant or test results while it is at the workplace or otherwise under the control of that person.

- (2) The following plant is specified for the purposes of subregulation (1) —
- (a) an individual item of plant of a kind set out in Schedule 4.2;
  - (b) any of the following items of plant —
    - (i) an industrial lift truck;
    - (ii) a mobile crane;
    - (iii) a hoist with a platform movement in excess of 2.4 metres and designed to lift people;
    - (iv) a boom-type elevating work platform;
    - (v) a presence-sensing safeguarding system;
    - (vi) a vehicle hoist;
    - (vii) a gantry crane with a safe working load greater than 5 tonnes, or which is designed to handle molten metal or dangerous goods;
    - (viii) a bridge crane with a safe working load greater than 10 tonnes, or which is designed to handle molten metal or dangerous goods;
    - (ix) a mast climbing work platform;
    - (x) a concrete placing unit.
- (3) A person who, at a workplace, is an employer, a main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that —
- (a) any record kept by the person under subregulation (1); and

- (b) any information that has been provided under regulation 4.33 about plant at the workplace,

is accessible at all reasonable times to any person working at the workplace and to any safety and health representative at the workplace.

- (4) A person who, at a workplace, is an employer, a main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure, in relation to plant used at the workplace or otherwise under the control of that person, that any record relating to safety and health in respect of the plant is transferred on sale of the plant, unless the plant is sold as scrap material or as spare parts for other plant.

Penalty applicable to subregulations (1), (3) and (4): the regulation 1.16 penalty.

*[Regulation 4.34 amended: Gazette 8 Mar 2002 p. 974-5; 14 Dec 2004 p. 6018.]*

#### **4.35. Supplier of plant by hire or lease, duties of**

- (1) A person who supplies plant for use at a workplace by way of hiring or leasing the plant must —
  - (a) ensure that the plant is inspected between periods of hire or lease so as to reduce, as far as is practicable, any risk of injury or harm occurring to persons installing or erecting, commissioning or using the plant at a workplace; and
  - (b) ensure that an assessment is done to determine whether the plant requires testing, in order to check so far as practicable whether new or increased risks of injury or harm occurring to persons installing or erecting, commissioning or using the plant at the workplace have developed and to determine the frequency of any required testing; and

- (c) ensure that any testing determined by the assessment to be necessary is done and recorded and that the records are maintained for the operating life of the plant.
- (2) A person who supplies plant for use at a workplace by way of hiring or leasing the plant must ensure —
  - (a) when the plant is supplied to a person that the person is provided with all available, relevant safety and health information provided by the persons who designed or manufactured the plant, and any additional available information required to enable the plant to be used safely; and
  - (b) that the information referred to in paragraph (a) is in, or has been translated into, the English language before providing the information.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 4.35 amended: Gazette 8 Mar 2002 p. 975; 14 Dec 2004 p. 6017.]*

**4.36. Installation etc. of plant, duties of employer etc. as to**

A person, who at a workplace, is an employer, a main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure —

- (a) that a competent person undertakes the installation or erection and commissioning of plant at the workplace and is provided with the information necessary to enable the plant to be installed or erected and commissioned so as to reduce, as far as is practicable, the risk of injury or harm occurring as a result of the installation or erection and commissioning of the plant; and

- (b) that plant is installed or erected in a location of the workplace that is suitable for the operation being undertaken, and for the type of plant; and
- (c) that there is sufficient space around plant at the workplace to allow the plant to be used and repaired so as to reduce, as far as is practicable, the risk of injury or harm occurring as the result of the use or repair of that plant; and
- (d) during testing and start-up of plant at the workplace, if the final means of safeguarding is not in place, that interim safeguards are used; and
- (e) that plant is not put into active service at the workplace unless the adjustments, inspections and testing undertaken during the commissioning process indicate that the plant is in full working order and is appropriate for the intended service.

Penalty: the regulation 1.16 penalty.

*[Regulation 4.36 amended: Gazette 8 Mar 2002 p. 976;  
14 Dec 2004 p. 6018.]*

**4.37. Inspection etc. of plant, duties of employer etc. as to**

- (1) A person, who at a workplace, is an employer, a main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure —
  - (a) that plant at the workplace is subject to appropriate checks, tests and inspections necessary to reduce the risk of injury or harm occurring to a person at the workplace; and
  - (b) that inspection, repair, maintenance, alteration and cleaning of the plant at the workplace is carried out having regard to procedures recommended by the designer or manufacturer or, if those recommendations are not available, procedures developed by a competent person; and

- (c) where the function or condition of plant at the workplace is impaired to the extent that it presents an immediate risk to safety or health, that the plant is withdrawn from use until the plant is assessed and repaired under regulation 4.38(1); and
- (d) that plant at the workplace is used only for the purpose for which it was designed, unless the person has determined, and a competent person has assessed, that a proposed change in use does not increase the risk of injury or harm occurring; and
- (e) that measures are provided to prevent, as far as practicable, interference with plant or the alteration or use of plant in a manner that could render the plant a hazard to any person at the workplace; and
- (f) that every dangerous part of a fixed, mobile or hand held powered plant is, as far as practicable, securely fenced or guarded in accordance with regulation 4.29 unless the plant is so positioned or constructed that it is as safe as it would be if securely fenced or guarded; and
- (g) that a fence or guard provided for the purposes of this regulation is constantly maintained and of substantial construction taking into account its intended purpose; and
- (h) as far as practicable, that any fence or guard provided for the purpose of this regulation is kept in position while the plant is operated; and
- (i) in the cases where guarding of any moving part of the plant does not eliminate the risk of entanglement or where it is not practicable to guard a moving part of the plant, that persons do not operate, or pass in close proximity to, the moving part unless a safe system of work is in place to reduce the risk as far as is practicable.

Penalty: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1)(e) if the measures are designed to allow interference with the plant or the alteration or use of the plant for the purposes of dealing with an accident or emergency.

*[Regulation 4.37 inserted: Gazette 8 Mar 2002 p. 976-8;  
amended: Gazette 7 Jun 2002 p. 2737; 14 Dec 2004 p. 6018.]*

**4.37A. Access to plant for r. 4.37(1)(b) or (c), duties of employer etc. as to**

- (1) In this regulation —  
**energy source** means anything with the capacity for doing work and includes springs under tension or compression, accumulators, capacitors and other energy storing devices.
- (2) If access to plant at a workplace is required for the purposes of regulation 4.37(1)(b) or (c), then a person who, at the workplace, is an employer, a main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must —
- (a) ensure that all hazards associated with and all energy sources of the plant are identified; and
  - (b) authorise a person (an **authorised person**) to carry out the matters referred to in subregulation (3), (4) or (5)(a).
- (3) An authorised person must, if it is practicable to do so, stop the plant and ensure that any risk associated with any identified hazard is reduced as far as is practicable.
- (4) An authorised person must, if it is practicable to do so, stop the plant and ensure that —
- (a) all energy sources are de-energised, and isolated using an isolation device and locked-out using a lock-out device; and
  - (b) an out of service tag is fixed to the plant and danger tags are fixed at the energy sources and the operating controls of the plant; and

- (c) the measures taken in paragraph (a) are tested to ensure that the plant cannot be energised inadvertently; and
  - (d) anything to be carried out under regulation 4.37(1)(b) or (c) is not carried out before the tests are carried out; and
  - (e) after anything to be carried out under regulation 4.37(1)(b) or (c) is carried out, the plant is returned to operational status.
- (5) If it is not practicable to carry out all of the matters referred to in subregulation (4)(a) to (d) —
  - (a) the authorised person must ensure that such of those matters as it is practicable to carry out are carried out; and
  - (b) the person who gave the authorised person authorisation must —
    - (i) ensure that written procedures are developed by a competent person to deal with the hazards and energy sources that it is not practicable to deal with under subregulation (4)(a) to (d); and
    - (ii) provide those written procedures to the authorised person; and
    - (iii) ensure that the written procedures are followed by a person carrying out the matters referred to regulation 4.37(1)(b) or (c).
- (6) If access to plant at a workplace is required for the purposes of regulation 4.37(1)(b) or (c) and it is not practicable to stop the plant, then a person who, at the workplace, is an employer, a main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure —
  - (a) that the plant is fitted with operating controls that allow controlled movement of the plant; and



- (b) that there are written procedures to be followed in relation to anything to be carried out under regulation 4.37(1)(b) or (c) that are designed to prevent injury to persons working on the plant; and
  - (c) that persons working on the plant carry out the work in accordance with the written procedures.
- (7) A person does not commit an offence under subregulation (4)(a) or (5)(a) if the plant is not isolated and locked out in the case of an accident or emergency.

Penalty applicable to subregulations (2), (3), (4), (5) and (6): the regulation 1.16 penalty.

*[Regulation 4.37A inserted: Gazette 8 Mar 2002 p. 978-80;  
amended: Gazette 14 Dec 2004 p. 6018.]*

**4.38. Damaged plant, repairs etc., duties of employer etc. as to**

- (1) A person, who at a workplace, is an employer, a main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure —
- (a) when plant at the workplace has been damaged to the extent that its function or condition is impaired in a manner that increases a risk of injury or harm occurring to a person at the workplace, that a competent person assesses the damage and advises the first-mentioned person —
    - (i) of the nature of the damage; and
    - (ii) whether the plant can be repaired and, if so, what repairs are to be done to reduce, as far as is practicable, any risk of injury or harm occurring;
  - and
  - (b) that any repair, inspection and, where necessary, testing of plant at the workplace is done by a competent person; and

- (c) that repairs to plant at the workplace are done so that the original design limits in relation to the plant are not exceeded.
- (2) If, at a workplace, any plant is damaged and the damage exposes a person to a hazard because of the presence of electricity then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that —
  - (a) the plant is disconnected from the electricity supply and that it is not used until the damaged part is repaired or replaced; and
  - (b) a person authorised by the first-mentioned person fixes an “out-of-service” tag to the plant and all the common isolation points for that plant, and only removes the tags when the electrical hazard is no longer a hazard; and
  - (c) the action to stop the plant and to return the plant to operational status is taken by a person authorised by the first-mentioned person.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 4.38 amended: Gazette 8 Mar 2002 p. 980-1; 14 Dec 2004 p. 6018.]*

**4.39. Alteration of plant design, duties of employer etc. in case of**

Without limiting regulation 4.11, if the design of existing plant at a workplace is altered then a person who, at the workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that —

- (a) the design alteration is assessed for any risk of injury or harm occurring as if it were an original design; and

- (b) the alteration to the plant is done by a competent person; and
- (c) before the plant is returned to service, it is inspected and tested by a competent person having regard to the design specifications for the design alteration.

Penalty: the regulation 1.16 penalty.

*[Regulation 4.39 amended: Gazette 8 Mar 2002 p. 981;  
14 Dec 2004 p. 6018.]*

**4.40. Dismantling, storing or disposing of plant, duties of employer etc. as to**

- (1) If plant at a workplace is dismantled then a person who, at the workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that —
  - (a) the dismantling is done, or supervised, by a competent person; and
  - (b) any relevant information that has been provided by the person who designed or manufactured the plant is made available to the competent person.
- (2) If plant, including plant that is dismantled, is to be stored at a workplace rather than disposed of then a person who, at the workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that both the preparation for storage, and the storage, of that plant is done by a competent person.
- (3) If plant at a workplace is to be disposed of and contains materials presenting a risk to the safety or health of a person then a person who, at the workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the

workplace must ensure that disposal is done by a competent person.

Penalty applicable to subregulations (1), (2) and (3): the regulation 1.16 penalty.

*[Regulation 4.40 amended: Gazette 8 Mar 2002 p. 982; 14 Dec 2004 p. 6018.]*

**4.41. Plant not to be used etc. so as to become a hazard**

A person must not interfere with, alter or use plant in a manner which could render the plant a hazard to any person at a workplace unless the interference, alteration or use of the plant occurs by way of dealing with an accident or emergency.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 4.41 amended: Gazette 14 Dec 2004 p. 6017.]*

**4.42. Mandatory markings on plant not to be removed etc.**

A person must not remove, disfigure, destroy or conceal or attempt to remove, disfigure, destroy or conceal, any stamp or mark showing the safe working load of plant at a workplace or a number or marking displayed in accordance with this Part.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 4.42 amended: Gazette 14 Dec 2004 p. 6017.]*

**Division 4 — Safety requirements in relation to certain types of plant**

**4.43 Pressure equipment and gas cylinders, duties of employer etc. as to**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of

the workplace or a person having control of access to the workplace must ensure —

- (a) that any pressure equipment to which AS/NZS 1200 applies (other than a gas cylinder) to be used at the workplace is installed, commissioned, operated, maintained and inspected in accordance with AS 2593, AS 3873, AS/NZS 3788 or AS 3892, as is applicable, or the Australian Miniature Boiler Safety Committee Code, except that the inspection is to be conducted by a competent person rather than an in-service inspector within the meaning of AS/NZS 3788; and
  - (b) that each gas cylinder to be used at the workplace has a current inspection mark in accordance with AS 2030.
- (2) A person who, at a workplace that is a gas cylinder filling station, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that a gas cylinder to be used at a workplace —
- (a) is not filled unless it bears a current inspection mark in accordance with AS 2030; and
  - (b) is filled in accordance with AS 2030; and
  - (c) is filled with a fluid that is compatible with the particular cylinder.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 4.43 inserted: Gazette 8 Mar 2002 p. 982-3; amended: Gazette 14 Dec 2004 p. 6018.]*

**4.44. Powered mobile plant, duties of supplier of and employer etc. as to**

- (1) Subject to regulation 4.45, a person who, at a workplace at which there is any powered mobile plant, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to

the workplace must ensure in relation to each item of powered mobile plant that —

- (a) if there is any risk that —
  - (i) the plant could overturn; or
  - (ii) an object could come into contact with the operator of the plant; or
  - (iii) the operator of the plant could be ejected from the seat,

then, as far as practicable, the risk is limited by the provision of an appropriate combination of operator protective devices, and that those devices are maintained and used appropriately; and

- (b) if there is a risk that an object may come into contact with the operator of the plant from the front, side or rear of the plant then, as far as practicable, the risk is limited by the provision of an appropriate structure that protects the operator.

- (2) Subject to regulation 4.45, a person who supplies powered mobile plant for use at a workplace must ensure that the plant is fitted with suitable and adequate operator protective devices to reduce, as far as is practicable, the risk of injury or harm to the operator.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (3) Nothing in subregulation (1) or (2) or regulation 4.45 prevents a person from lowering or removing a roll-over protective structure for such time as the powered mobile plant is being used under a tree or in a place that would be too low for the plant to work had the roll-over protective structure been in operating position.
- (4) If powered mobile plant at a workplace is fitted with a roll-over protective structure or a falling object protective structure then a

person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that —

- (a) the protective structure complies with AS 1636 or AS 2294; or
  - (b) the protective structure has been designed by a suitably qualified engineer to a standard that provides at least the same level of protection as would be provided if the protective structure complied with AS 1636 or AS 2294.
- (5) If powered mobile plant at a workplace —
- (a) is fitted with a roll-over protective structure or a falling object protective structure; and
  - (b) has seat belt attaching points incorporated into the original design of that plant,

then a person who, at the workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that the plant is fitted with a seat belt at each set of attaching points and that the operator of the plant uses the seat belt.

- (6) A person who, at a workplace at which there is any powered mobile plant, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure in relation to each item of powered mobile plant that if there is any risk that the plant could collide with persons or any other plant or thing, then the risk is reduced as far as practicable.
- (7) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that a person other than the operator (the

*passenger*) does not ride on powered mobile plant at the workplace unless —

- (a) the passenger is seated in a seat specifically designed for carrying a passenger; and
  - (b) the passenger's seat is located within the zone of protection afforded by the framework of an operator protective device if such a device is required under these regulations; and
  - (c) the passenger's seat is fitted with an appropriate seat belt and that the passenger uses the seat belt.
- (8) Nothing in subregulation (7) prevents a person who is training, instructing or assessing the operator of a powered mobile plant in the operation of the plant (the *instructor*) from riding on the plant other than in a seat specifically designed for carrying a person if a person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace ensures that means are used to prevent the instructor from slipping, falling or being thrown from the plant.

Penalty applicable to subregulations (1), (4), (5), (6) and (7): the regulation 1.16 penalty.

*[Regulation 4.44 amended: Gazette 8 Mar 2002 p. 983-5; 7 Jun 2002 p. 2737; 14 Dec 2004 p. 6017 and 6018.]*

**4.45. Certain tractors and earthmoving machinery, protective structures etc. required on**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that —
- (a) a tractor of a mass greater than 800 kg and less than 15 000 kg manufactured, imported or originally purchased after 1 January 1981 is fitted with a roll-over protective structure; and



- (b) hydraulic excavators and earthmoving machinery of a kind to which AS 2294 applies manufactured, imported or originally purchased after 1 January 1989 is fitted with an appropriate combination of operator protective devices.

Penalty: the regulation 1.16 penalty.

- (2) Nothing in this regulation or in regulation 4.44 applies to a tractor that is installed in a fixed position and in a manner that would not enable the tractor to be used as mobile plant.

*[Regulation 4.45 amended: Gazette 8 Mar 2002 p. 985; 14 Dec 2004 p. 6018.]*

**4.46. Plant with hot or cold parts or molten metal, duties of employer etc. as to**

- (1) If any plant at a workplace has a hot or a cold part and a person could be exposed to that hot or cold part then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that the exposure is monitored, and is appropriately managed to reduce, as far as is practicable, any risk of injury or harm occurring to the first-mentioned person.
- (2) If plant containing molten metal is to be moved at a workplace then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that arrangements are made to prevent unnecessary access to any part of the transport route during the transportation.
- (3) If plant at a workplace has pipes or other parts which are hot or cold then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that those pipes or other parts are

adequately guarded or insulated to reduce, as far as is practicable, the risk of injury or harm to a person.

Penalty applicable to subregulations (1), (2) and (3): the regulation 1.16 penalty.

*[Regulation 4.46 amended: Gazette 8 Mar 2002 p. 986; 14 Dec 2004 p. 6018.]*

*[4.47. Deleted: Gazette 8 Mar 2002 p. 986.]*

**4.48 Plant starting etc. automatically etc., duties of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that —

- (a) no person works in the immediate vicinity of plant which could start without warning and cause a hazard unless appropriate controls and systems of work are in place; and
- (b) if an industrial robot can be remotely or automatically energised, access to the immediate area around that robot is restricted and controlled at all times by —
  - (i) positive isolation; or
  - (ii) the provision of interlocked guarding, presence-sensing devices or permit-to-work systems.

Penalty: the regulation 1.16 penalty.

*[Regulation 4.48 inserted: Gazette 8 Mar 2002 p. 986-7; amended: Gazette 14 Dec 2004 p. 6018.]*

**4.49. Laser, duties of employer etc. as to**

A person who, at a workplace is an employer, the main contractor, a self-employed person, a person having control of

the workplace or a person having control of access to the workplace must ensure that —

- (a) a laser or laser product is not used at a workplace unless it has been classified and labelled in accordance with AS/NZS 2211; and
- (b) Class 3B or Class 4 lasers or laser products (as defined in AS/NZS 2211) are not used for construction work; and
- (c) the use of lasers and laser products (other than Class 3B or Class 4 lasers or laser products) in construction work is in accordance with AS 2397; and
- (d) the use of lasers and laser products at a workplace other than a construction site is in accordance with AS/NZS 2211.

Penalty: the regulation 1.16 penalty.

*[Regulation 4.49 amended: Gazette 8 Mar 2002 p. 987; 10 Jan 2003 p. 65; 14 Dec 2004 p. 6018.]*

**4.50. Nail gun, duties of user of, employer etc. as to**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that a nail gun is not brought onto or used at the workplace if it has been modified so that it is not or cannot be used in accordance with its manufacturer's specifications for its safe use.
- (2) Subregulation (1) does not apply to a nail gun that is taken onto a workplace for the purpose of —
  - (a) being repaired; or
  - (b) being modified to ensure that it complies with its manufacturer's specifications for its safe use.
- (3) A person who at a workplace uses a nail gun must ensure that one or more safety warning signs bearing the words "WARNING —NAIL GUN IN USE — KEEP CLEAR" are

displayed so as to be clearly legible to all persons who are at or entering that part of the workplace where the gun is to be used.

Penalty applicable to subregulations (1) and (3) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (3) in any other case: the regulation 1.16 penalty.

*[Regulation 4.50 amended: Gazette 8 Mar 2002 p. 988; 14 Dec 2004 p. 6017.]*

**4.51. Explosive powered tool, duty of employer etc. as to**

A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that an explosive powered tool used at the workplace complies with the requirements of, and is used in accordance with, AS/NZS 1873.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 4.51 inserted: Gazette 8 Mar 2002 p. 989; amended: Gazette 14 Dec 2004 p. 6017.]*

**4.52. Amusement structure, duties of employer etc. as to**

- (1) If, at a workplace, there is an amusement structure then a person who, at the workplace is an employer, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure —
  - (a) that the structure is operated, maintained and inspected —
    - (i) in accordance with AS 3533 or, if applicable, the Australian Association of Live Steamers Code of Practice and maintained in accordance with that Standard or Code, as the case requires; and

- (ii) having regard to the instructions of a person who designed or manufactured the structure or of any competent person who develops instructions for the operation of that structure;
  - and
  - (b) that records are kept in relation to the structure in accordance with AS 3533 or, if applicable, the Australian Association of Live Steamers Code of Practice; and
  - (c) that the structure has such emergency brakes as are necessary to prevent collision between components of the amusement structure in the event of the normal controls failing; and
  - (d) where the structure uses inclined tracks, that it has automatic anti-rollback devices to prevent backward movement of any passenger carrying units upon failure of the propelling mechanism.
- (2) A person who is an employer, a self-employed person, a person having control of the workplace or a person having control of access to the workplace at a workplace at which an amusement structure is operated must ensure that —
- (a) if the operator of the structure does not have a clear view of the point at which passengers are loaded or unloaded then the structure has a signal system for starting and stopping; and
  - (b) any code of signals adopted is printed and kept posted at the stations of the operator and the signaller; and
  - (c) signals for the movement or operation of the structure are given only when all passengers and other persons who may be endangered by such movement or operation are in a position of safety.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 4.52 amended: Gazette 8 Mar 2002 p. 989-90; 14 Dec 2004 p. 6018.]*

**4.53. Plant that lifts, suspends or lowers people or things, duties of employer etc. as to**

- (1) If there is at a workplace any plant that is designed to lift or lower people, equipment or materials then a person who, at the workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure, as far as practicable, that no loads are suspended over, or travel over, a person.
- (2) A person who, at a workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that no person is lifted or suspended by any plant at a workplace or its attachment (other than any plant specifically designed for the lifting or suspending of persons) unless —
  - (a) another method is impracticable; and
  - (b) a suitable and adequate work box or other personnel carrying device, designed for the purpose, is used and securely attached to the plant; and
  - (c) the plant is fitted with a means by which the work box or other personnel carrying device can be safely lowered in the event of an emergency or the failure of the power supply; and
  - (d) the plant is suitably stabilized at all times while the work box or other personnel carrying device is in use; and
  - (e) a suitable means of preventing a person falling from the work box or other personnel carrying device is provided in accordance with Division 5 of Part 3 and worn by all persons in a suspended work box or other personnel carrying device, except where the work box or other personnel carrying device is fully enclosed; and
  - (f) in the case of a crane, it has “drive-up” and “drive-down” controls on both the hoisting and luffing motions and those controls are used.

- (3) A person who, at a workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that a load is not lifted at the workplace simultaneously with 2 or more items of plant other than cranes unless —
- (a) it is not practicable to lift the load with one item of plant only; and
  - (b) the distance between any 2 of the items of plant is such that each plant and its load cannot come into contact with the other items of plant and their loads; and
  - (c) a means of communication is provided for the operator of each item of plant and each operator is trained in the use of the means of communication.
- (4) A system of radio communication is not sufficient for the purposes of subregulation (3) unless it is kept free from interference from any other radio system and enables clear communication at all times.
- (5) A person who, at a workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that if plant is used for lifting or moving a load at the workplace and the load may become unstable, then the load is appropriately restrained.
- (6) A person who, at a workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that plant other than a crane or hoist is not used at the workplace to suspend a load unless —
- (a) the use of a crane or hoist is impracticable; and
  - (b) the lifting arm of the plant is not extended beyond the limit required to lift the load; and
  - (c) the plant does not travel with the load unless the lifting arm is retracted as far as practicable; and

- (d) if necessary, stabilizers are provided and used to achieve stability of the plant; and
  - (e) persons and vehicles are prevented from entering any area in or adjacent to the workplace where there is a risk of injury or damage occurring as a result of the movement of the load —
    - (i) by means of warning signs, flashing lights, barriers, traffic controllers or other means as would be appropriate to the nature of the load; and
    - (ii) with the minimum amount of disruption to persons and traffic in the area;
  - and
  - (f) a lifting point is provided on the plant; and
  - (g) if buckets operated by trip-type catches are used for lifting, the catch is bolted or otherwise positively engaged; and
  - (h) an appropriate load chart is provided and all lifting is done within the safe working load limits of the plant; and
  - (i) the safe working load limits of the plant are actually displayed on the plant; and
  - (j) loads are only lifted using attachments that are suitable for the task being performed.
- (7) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that no winch other than a winch that is part of a crane is used at the workplace for any lifting work unless the winch is a “drive-up/drive-down” type that does not incorporate any form of declutching allowing free fall.

Penalty applicable to subregulations (1), (2), (3), (5), (6) and (7): the regulation 1.16 penalty.

*[Regulation 4.53 amended: Gazette 8 Mar 2002 p. 990-2; 14 Dec 2004 p. 6018.]*



**4.54. Cranes, hoists and building maintenance units, additional duties of employer etc. as to**

- (1) In this regulation —

**dogger** means a person who holds a high risk work licence authorising the person to do dogging work;

**responsible person**, in relation to a workplace, means a person who, at the workplace is an employer, the main contractor, a self-employed person or a person having control of the workplace;

**rigger** means a person who holds a high risk work licence authorising the person to do rigging work involving cranes;

**vehicle loading crane** means a crane mounted on a vehicle for the principal purpose of loading items onto the vehicle and unloading items from the vehicle.

- (2) Before a person rides in a work box suspended from a crane at a workplace, each responsible person must ensure that the rider has been given a written document, signed by each responsible person and the driver of the crane, describing the safety measures that the rider must observe while riding in the box.
- (3) If a crane or hoist is at a workplace, each responsible person at the workplace must ensure that the crane or hoist is not used as an amusement structure, whether or not for payment or reward.
- (4) If there is a crane, hoist or building maintenance unit at a workplace then each responsible person at the workplace must ensure that the crane, hoist or unit is maintained, inspected and operated —
- (a) in accordance with written instructions developed at the time of design or manufacture by the person who designed or manufactured the crane, hoist or unit; or
  - (b) if it is not practicable for that person to obtain the instructions referred to in paragraph (a), in accordance with any written instructions approved by the Commissioner for the purposes of this paragraph; or

- (c) if it is not practicable for that person to obtain instructions referred to in paragraph (a) or (b), in accordance with AS 2550.1 and any other part of AS/NZS 2550 that is relevant to that kind of plant and with AS 1418.1 and any other part of AS/NZS 1418 that is relevant to that kind of plant.
- (5) A person does not commit an offence under subregulation (4) in not complying with item 10.4.1(a), 10.4.2(e), or 10.4.3(d) of AS 2550.10 before 3 October 2005 where the relevant plant is a hoist that —
  - (a) is a boom-type elevating work platform; and
  - (b) is used only in connection with commercial fruit growing; and
  - (c) was owned immediately before 3 October 2003 by a person who has owned it continuously since then.
- (6) Nothing in subregulation (4) or (5) affects the requirement under regulation 4.34 to make and keep records of maintenance and inspection.
- (7) A responsible person at a workplace must ensure that no crane is used at the workplace for multi-crane hoisting unless —
  - (a) the rated capacity of the crane exceeds the crane's share of the load by at least —
    - (i) 20%, if 2 cranes are used; or
    - (ii) 33%, if 3 cranes are used; or
    - (iii) 50%, if more than 3 cranes are used;and
  - (b) the physical dimensions and mass of the load prevent the load from being handled by a single crane that is readily available; and
  - (c) the hoisting is supervised by a person who holds a high risk work licence authorising the person to do rigging work involving multi-crane hoisting and who is not an operator of one of the cranes.

- (8) If a crane with a maximum rated capacity of greater than 60 tonnes, other than a vehicle loading crane, is used at a construction site, a responsible person must ensure that the following persons are involved in the use of the crane —
- (a) at least one crane operator who has experience in the use of such a crane;
  - (b) at least —
    - (i) one dogger and one rigger; or
    - (ii) 2 doggers; or
    - (iii) 2 riggers,each of whom has experience in the use of such a crane.
- (9) If a crane with a maximum rated capacity of equal to or more than 10 tonnes and less than or equal to 60 tonnes, other than a vehicle loading crane, is used at a construction site a responsible person must ensure that the following persons are involved in the use of the crane —
- (a) at least one crane operator who has experience in the use of such a crane;
  - (b) at least —
    - (i) one dogger; or
    - (ii) one rigger,who has experience in the use of such a crane.
- (10) If a vehicle loading crane with a boom of a length greater than 3 metres, or capable of extending to a length of greater than 3 metres, is used at a construction site, a responsible person must ensure that the following persons are involved in the use of the crane —
- (a) at least one crane operator who has experience in the use of such a crane;
  - (b) at least one dogger.

- (10a) A person does not commit an offence under subregulation (10) where —
- (a) the vehicle loading crane is used to lift a load from the ground onto the vehicle on which the crane is mounted or to lift a load from the vehicle onto the ground; and
  - (b) the operator of the crane is a dogger who has experience in the use of such a crane.
- (10b) A person does not commit an offence under subregulation (10) where —
- (a) the vehicle loading crane is used to lift a load from the ground onto the vehicle on which the crane is mounted or to lift a load from the vehicle onto the ground; and
  - (b) part of the load has the purpose of connecting the load to a crane for a lift; and
  - (c) that part of the load is used for that purpose; and
  - (d) there is involved in the use of the crane at least one crane operator who has experience in the use of such a crane; and
  - (e) an operator of the crane knows the mass of the load.
- (10c) A person does not commit an offence under subregulation (10) before 1 January 2005 where the vehicle loading crane is used to lift a load from the ground onto the vehicle on which the crane is mounted or to lift a load from the vehicle onto the ground.
- (11) If a crane with a maximum rated capacity of less than 10 tonnes and a boom of a length greater than 3 metres, or capable of extending to a length of greater than 3 metres, other than a vehicle loading crane, is used at a construction site a responsible person must ensure that the following persons are involved in the use of the crane —
- (a) at least one crane operator who has experience in the use of such a crane;
  - (b) at least one dogger.

- (11a) A person does not commit an offence under subregulation (11) before 1 January 2005 where the crane used is earthmoving machinery.
- (12) If a crane at a workplace is of a kind set out in Schedule 4.1 or 4.2, and there is an event or occurrence that causes, or that at the time of the event or occurrence would reasonably be expected to cause, the crane to be damaged or to malfunction to the extent that risk of injury or harm occurring to a person at the workplace increases, a person having control of the workplace must as soon as practicable after the person becomes aware of the event or occurrence ensure that the Commissioner is notified of the event or occurrence.
- Penalty applicable to subregulations (2), (3), (4), (7), (8), (9), (10), (11) and (12): the regulation 1.16 penalty.
- (13) A person does not commit an offence under subregulation (7) in relation to a crane if the hoisting, instead of being supervised by a person who holds the required licence, is supervised by a person who may, under Part 7 Division 2, do rigging work involving multi-crane hoisting without holding a high risk work licence.
- (14) A person does not commit an offence under subregulation (8), (9), (10) or (11) —
- (a) in relation to a requirement to ensure that one or more doggers are involved — if, instead of a dogger being involved, a person who may, under Part 7 Division 2, do dogging work without holding a high risk work licence is involved; and
  - (b) in relation to a requirement to ensure that one or more riggers are involved — if, instead of a rigger being involved, a person who may, under Part 7 Division 2, do rigging work involving cranes without holding a high risk work licence is involved.

- (15) The requirement under subregulation (10a)(b) is to be taken to have been met if the operator of the crane, instead of being a dogger, is a person who may, under Part 7 Division 2, do dogging work without holding a high risk work licence.
- (16) Nothing in subregulation (13), (14) or (15) is to be taken to affect any other requirement under subregulation (7), (8), (9), (10), (10a) or (11).

*[Regulation 4.54 inserted: Gazette 3 Oct 2003 p. 4359-62; amended: Gazette 25 Jun 2004 p. 2292-3; 14 Dec 2004 p. 6018; 24 Aug 2007 p. 4259-61.]*

**4.55. Pedestrian operated industrial lift truck, duties of employer etc. as to**

*[(1) deleted]*

- (2) If there is a pedestrian operated industrial lift truck at a workplace, then a person who, at the workplace is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that that truck is operated —
  - (a) by a person who is trained or being trained in the operation of that type of truck and who has reached 17 years of age; and
  - (b) having regard to the instructions of a person who designed or manufactured the industrial lift truck or of any competent person who develops instructions for the operation of the industrial lift truck.

Penalty: the regulation 1.16 penalty.

*[Regulation 4.55 inserted: Gazette 8 Mar 2002 p. 994-6; amended: Gazette 14 Dec 2004 p. 6018; 9 Sep 2005 p. 4159; 24 Aug 2007 p. 4261.]*

**4.56. Lift and work in lift well, duties of employer etc. as to**

- (1) If there is a lift at a workplace then a person who, at the workplace, is an employer, the main contractor, a self-employed

person, a person having control of the workplace or a person having control of access to the workplace must ensure that —

- (a) the lift is installed, commissioned, maintained, inspected and tested by a competent person —
  - (i) in accordance with AS 1735.1 and any other Part of AS 1735 that is relevant to that kind of lift; and
  - (ii) having regard to the instructions of the persons who designed and manufactured the lift.

*[(b) deleted]*

- (2) Without limiting regulation 3.49, if a person does work in a lift well at a workplace and there is a risk of the person falling or of objects falling on the person or a risk to the person from movement of the lift or a false car then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that as a minimum, the following protection is provided for the first-mentioned person —
  - (a) a safe working platform or area; and
  - (b) adequate overhead protection; and
  - (c) safe access to the safe working platform or area.
- (3) Without limiting regulation 3.3, if a person does work involving the use of a winch in a lift well at a workplace then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that the first-mentioned person is provided with an efficient auditory signalling system allowing communication between the winch driver and that person.

Penalty applicable to subregulations (1), (2) and (3): the regulation 1.16 penalty.

*[Regulation 4.56 amended: Gazette 8 Mar 2002 p. 996; 14 Dec 2004 p. 6018.]*

**4.57. Lift being constructed or installed, duties of employer etc. as to**

- (1) The requirements of this regulation in relation to the construction and installation of lifts are in addition to the requirements in regulation 4.56 in relation to lifts.
- (2) If a lift is being constructed or installed and a person other than a person involved in the work could have access to the area where the work is being done then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that secure barriers are provided to prevent access to the lift well by anyone other than persons doing the work.
- (3) If —
  - (a) a lift is being installed; or
  - (b) work associated with the installation of a lift is being done in an express lift well,and a false car, permanent car platform or permanent car is in use then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that a false car, permanent car platform or permanent car is provided in an adjacent lift well so as to give access to or egress from the express lift well in case of an emergency.
- (4) If a lift is being constructed in a building or structure then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that an independent power supply is provided to enable the persons to do the work.
- (5) Without limiting regulation 3.49, if a lift is being constructed or installed in a building or structure and there is a risk of a person



falling or of objects falling on the person or a risk to the person from movement of the lift or a false car then a person who, at the workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that there is a solid or mesh enclosure between the lift well and any adjacent lift well that has a lift in operation.

- (6) If a lift is being constructed or installed at a workplace then a person who is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must ensure that —
- (a) the safe working provisions and practices set out in AS/NZS 4431 are followed; and
  - (b) an independent power supply is provided to enable the construction or installation to be carried out.

Penalty applicable to subregulations (2), (3), (4), (5) and (6): the regulation 1.16 penalty.

*[Regulation 4.57 amended: Gazette 8 Mar 2002 p. 996-7; 7 Jun 2002 p. 2737; 14 Dec 2004 p. 6018.]*

## **Part 5 — Hazardous substances**

### **Division 1 — Preliminary**

#### **5.1. Terms used**

- (1) In this Part, unless the contrary intention appears —

***AC classified hazardous substance*** means a substance that is determined to be a hazardous substance under regulation 5.3(3);

***appointed medical practitioner*** means a medical practitioner who is —

- (a) adequately trained to conduct health surveillance in relation to the hazardous substance in question; and
- (b) appointed by the employer, a main contractor or a self-employed person, as the case requires, after consultation with the person in respect of whom the health surveillance is to be conducted and after giving the person a reasonable choice in the selection of the medical practitioner;

***article*** means an item which —

- (a) during production is formed to a specific shape or design, or with a specific surface; and
- (b) is used for a purpose that depends in whole or in part upon its shape, surface or design; and
- (c) undergoes no change in chemical composition or physical state during use except as an intrinsic aspect of that use,

but does not include fluid or a particle;

***asbestos*** means the asbestiform variety of any mineral silicate belonging to the serpentine or amphibole group of rock-forming minerals and includes the asbestiform variety of the following —

- (a) actinolite;

- (b) grunerite or amosite (known as brown asbestos);
- (c) anthophyllite;
- (d) chrysotile (known as white asbestos);
- (e) crocidolite (known as blue asbestos);
- (f) tremolite;

**biological monitoring** means the measurement and evaluation of a hazardous substance or its metabolites in a person's body tissues, fluids or exhaled air;

**chemical name** means the scientifically recognised name given to a compound or substance based on its chemical constitution;

**consumer package** means a package —

- (a) intended by the supplier for retail display and sale; or
- (b) containing a number of packages referred to in paragraph (a);

**container** means any receptacle with a capacity —

- (a) if the receptacle holds a solid — less than 500 kg; or
- (b) if the receptacle holds a liquid — less than 500 litres;

**emergency services** means —

- (a) the Police Force of Western Australia; or
- (b) a brigade within the meaning of the *Fire Brigades Act 1942*; or
- (c) any other department, agency or instrumentality of the Crown that may be required to attend the scene of an emergency involving a hazardous substance;

**exposure standard**, in relation to a substance specified in the *National Exposure Standards* [NOHSC: 1003 (1995)], means —

- (a) the exposure standard specified in those Standards for the substance; or
- (b) in relation to such a substance for which a different exposure standard is determined by the Minister under

subregulation (3) — the exposure standard as so determined;

**generic name** means the name used to describe a category or group of chemicals;

**GHS** means the *Globally Harmonised System of Classification and Labelling of Chemicals* 3<sup>rd</sup> Revised Edition (ISBN 978-92-1-117006-1);

**GHS classified hazardous substance** means a substance that is determined to be a hazardous substance under regulation 5.3(4);

**hazardous substance** means a substance that is —

- (a) an AC classified hazardous substance; or
- (b) a GHS classified hazardous substance;

**Hazardous Substances Information System** means the Hazardous Substances Information System published on the website maintained by Safe Work Australia;

**health surveillance** means the monitoring of a person for the purpose of identifying changes in the person's health status resulting from exposure to a hazardous substance;

**ingredient** means a component of a substance (including an impurity) whether in a mixture or combined with that substance;

**Material Safety Data Sheet** or **MSDS**, in relation to a substance, means a document written in English which contains —

- (a) if the substance is an AC classified hazardous substance, the information that is required by the National Code for the substance; or
- (b) if the substance is a GHS classified hazardous substance, the information that is required by the National Code for the substance, except that the hazard classification, hazard statements and precautionary statements set out in the GHS for the substance are to be used instead of the hazard classification, risk phrases and safety phrases required by the National Code,

whether or not the document is in the form required by the National Code;

**monitoring** means the regular survey of all measures which are used to control hazardous substances at a workplace and includes the monitoring of the atmosphere at the workplace to derive a quantitative estimate of the levels of hazardous substances in the air, but does not include biological monitoring;

**National Code** means the *National Code of Practice for the Preparation of Material Safety Data Sheets* [NOHSC: 2011 (2003)];

**purchaser**, in relation to a substance, means a person who acquires the substance for valuable consideration;

**record** means any form in which information can be stored, whether on a permanent basis or in a form from which information can be reproduced;

**retailer**, in relation to a substance, means a person who sells the substance to members of the public who themselves are not engaged in any further resale of the substance;

**risk phrase**, in relation to a hazardous substance, means —

- (a) if the substance is an AC classified hazardous substance, a risk phrase that applies to the substance under the *Approved Criteria for Classifying Hazardous Substances* [3<sup>rd</sup> Edition; NOHSC: 1008 (2004)]; or
- (b) if the substance is a GHS classified hazardous substance, a hazard statement that applies to the substance under the GHS;

**safety phrase**, in relation to a hazardous substance, means —

- (a) if the substance is an AC classified hazardous substance, a safety phrase that applies to the substance under the *Approved Criteria for Classifying Hazardous Substances* [3<sup>rd</sup> Edition; NOHSC: 1008 (2004)]; or

- (b) if the substance is a GHS classified hazardous substance, a precautionary statement that applies to the substance under the GHS;

**Safe Work Australia** means Safe Work Australia established under the *Safe Work Australia Act 2008* (Commonwealth);

**substance** means any natural or artificial entity, composite material, mixture or formulation, other than an article;

**supplier**, in relation to a hazardous substance, includes —

- (a) a wholesaler, distributor, warehouse operator or other person who supplies the substance; and
- (b) a person who manufactures or imports the substance,

but does not include a retailer;

**type I ingredient** means an ingredient described as a type I ingredient in Schedule 5.1;

**type II ingredient** means an ingredient described as a type II ingredient in Schedule 5.1;

**type III ingredient** means an ingredient described as a type III ingredient in Schedule 5.1;

**use**, in relation to a hazardous substance, or an article containing a Schedule 5.6 substance, includes the production, handling, storage, transport or disposal of the substance or article but does not include —

- (a) the holding of a substance or article in transit for less than 2 working days; or
- (b) transportation of a substance or article in compliance with the *ADG Code*, the *International Maritime Dangerous Goods Code*, the *Technical Instructions for the Safe Transport of Dangerous Goods by Air* or a law of the Commonwealth regulating the transportation of the substance or article;

**warehouse operator** means a person who operates a warehouse where unopened packaged goods intended for supply to a retailer are held on the premises.

- (2) In subregulation (1) a reference to —
- (a) the *ADG Code* is a reference to —
    - (i) before 1 January 2010 — the *Australian Code for the Transport of Dangerous Goods by Road and Rail*, Sixth Edition, 1998, published by the Australian Government Publishing Service, Canberra (ISBN 0 642 25554 7 and 0 642 25560 1); and
    - (ii) on and after 1 January 2010 — the *Australian Code for the Transport of Dangerous Goods by Road and Rail*, Seventh Edition, 2007, published by the Australian Government Publishing Service, Canberra (ISBN 1 921168 57 9);
  - and
  - (b) the *International Maritime Dangerous Goods Code* is a reference to the code prepared by the Inter-Governmental Consultative Organisation, published by the Australian Government Publishing Service, Canberra, 1979, Catalogue Code 7992010; and
  - (c) the *Technical Instructions for the Safe Transport of Dangerous Goods by Air* is a reference to the instructions prepared by the International Civil Aviation Organisation (ICAO).
- (3) The Minister may, on the recommendation of the Commission, determine in writing that an exposure standard other than that specified for a substance in the *National Exposure Standards* [NOHSC: 1003 (1995)] applies to that substance.

*[Regulation 5.1 amended: Gazette 17 Dec 1999 p. 6234; 30 Dec 2003 p. 5737-8; 7 Jan 2005 p. 77; 27 Apr 2007 p. 1776; 3 Jul 2007 p. 3293; 5 Jun 2009 p. 1879-80; 10 Dec 2010 p. 6277-9; 9 Sep 2011 p. 3687; SL 2020/182 r. 5.]*

**5.2. Application of this Part**

This Part does not apply in relation to —

- (a) a radioactive substance; or
- (b) a substance used in or in connection with the prevention, diagnosis, curing or alleviation of a disease, ailment, defect or injury in human beings or animals while it is being administered to a human being or an animal; or
- (c) a substance that may be hazardous only by reason of its containing any disease causing organism.

*[Regulation 5.2 amended: Gazette 17 Dec 1999 p. 6235.]*

**Division 2 — Hazardous substances generally**

**5.3. Whether substance is hazardous substance, manufacturer etc. to determine**

- (1) A person who intends to manufacture or import a substance for use at a workplace must, before doing so, determine if the substance is a hazardous substance.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (2) A person may use either the AC classification system or the GHS classification system to determine if a substance is a hazardous substance.
- (3) A person who uses the AC classification system must —
  - (a) determine if the substance is entered as a hazardous substance in the Hazardous Substances Information System; and
  - (b) if the substance is not entered in the Hazardous Substances Information System, determine in accordance with the *Approved Criteria for Classifying Hazardous Substances* [3<sup>rd</sup> Edition: NOHSC:



1008(2004)] whether the substance is a hazardous substance.

- (4) A person who uses the GHS classification system must determine if the substance is a hazardous substance in accordance with the criteria set out in the GHS.

*[Regulation 5.3 inserted: Gazette 10 Dec 2010 p. 6279.]*

**5.4. Certain unlisted hazardous substances, manufacturer etc. to notify Commissioner of**

A person who intends to manufacture or import an AC classified hazardous substance that is not listed as a hazardous substance in the Hazardous Substances Information System must notify the Commissioner before manufacturing or importing the substance.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 5.4 inserted: Gazette 10 Dec 2010 p. 6279-80.]*

**5.5. MSDS, duties of manufacturer and importer as to**

- (1) A person who manufactures or imports a hazardous substance for use at a workplace must —
- (a) prepare an MSDS for the hazardous substance; and
  - (b) ensure that the MSDS is available before the hazardous substance is supplied to the workplace; and
  - (c) review and revise the MSDS as often as is reasonably necessary to keep it up to date and, in any event, at least every 5 years.
- (2) A person who manufactures or imports a hazardous substance for use at a workplace must disclose in the MSDS for the hazardous substance —
- (a) the chemical name of any type I ingredient of the hazardous substance; and

- (b) the chemical name of any type II ingredient of the hazardous substance or, if the identity of a type II ingredient of the hazardous substance is commercially confidential, the generic name of the ingredient; and
  - (c) subject to subregulation (3), either the chemical name or a generic name of any type III ingredient of the hazardous substance.
- (3) If the disclosure of a generic name of a type III ingredient does not, in the opinion of the person who manufactured or imported the hazardous substance, provide sufficient commercial protection and the type III ingredient —
  - (a) is not itself a hazardous substance; and
  - (b) does not have known synergistic effects with other ingredients in the hazardous substance,

then the phrase “Other ingredients determined not to be hazardous” may be used instead of the chemical name or generic name.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 5.5 amended: Gazette 17 Dec 1999 p. 6235; 14 Dec 2004 p. 6017.]*

#### **5.6. Labelling hazardous substance, supplier’s duties as to**

- (1) A supplier of an AC classified hazardous substance for use in a workplace must ensure that —
  - (a) any container in which the hazardous substance is supplied is labelled in accordance with the relevant requirements of the *National Code of Practice for the Labelling of Workplace Substances* [NOHSC: 2012 (1994)]; and

- (b) the chemical name of any type I ingredient of the hazardous substance is disclosed on a label for the hazardous substance; and
- (c) the chemical name of any type II ingredient of the hazardous substance or, if the identity of a type II ingredient of the hazardous substance is commercially confidential, the generic name of that ingredient, is disclosed on a label for the hazardous substance.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (2) A supplier of a GHS classified hazardous substance for use in a workplace must ensure that any container in which the substance is supplied —
  - (a) is labelled in English in accordance with the relevant requirements set out in the GHS; and
  - (b) has affixed to it a label setting out —
    - (i) the name of the supplier; and
    - (ii) an Australian address and telephone number for the supplier; and
    - (iii) an emergency Australian telephone number for the supplier.

Penalty for a person who commits an offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 5.6 amended: Gazette 14 Dec 2004 p. 6017;  
10 Dec 2010 p. 6280.]*

**5.7. Generic name for type II ingredient, manufacturer etc. to notify Commissioner of use of**

- (1) If a generic name is used or to be used to identify a type II ingredient of a hazardous substance under regulation 5.5(2)(b) or 5.6(1)(c), the person who manufactured or imported the

hazardous substance must notify the Commissioner in the form of Appendix 4 to the *National Code of Practice for the Preparation of Material Safety Data Sheets* [NOHSC: 2011 (2003)].

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

[(2) *deleted*]

[Regulation 5.7 amended: *Gazette 14 Dec 2004 p. 6017*;  
*27 Apr 2007 p. 1776-7*; *10 Dec 2010 p. 6280.*]

**5.8. Information about hazardous substance, supplier's duties to provide**

- (1) A supplier of a hazardous substance for use at a workplace must ensure that a current MSDS for the hazardous substance is provided —
  - (a) to a person (whether or not a retailer) who purchases the hazardous substance from the supplier on the first occasion of the person obtaining the hazardous substance from the supplier; and
  - (b) to a person (whether or not a retailer) —
    - (i) who purchases the hazardous substance from the supplier on a subsequent occasion; or
    - (ii) who is a potential purchaser of the hazardous substance and intends to purchase the hazardous substance from the supplier,at the request of that person; and
  - (c) to a person —
    - (i) who purchases the hazardous substance from a person who obtained the hazardous substance from the supplier; or

- (ii) who is a potential purchaser of the hazardous substance and intends to purchase the hazardous substance from a person who has obtained, or will obtain, the hazardous substance from the supplier,

at the request of that person.

- (2) A person who is a supplier does not commit an offence under subregulation (1)(a) if the person does not provide an MSDS for a hazardous substance to another supplier or to a retailer and —
  - (a) the hazardous substance is in a consumer package which holds less than 30 kg or 30 litres; and
  - (b) proof of which is on the person, the package is not intended to be opened on either the premises of the person, the other supplier or the retailer, as the case requires.
- (3) Despite subregulations (1) and (2), a supplier of a hazardous substance for use in a workplace must, at the request of a person who has obtained, either directly or indirectly, the hazardous substance from the supplier, provide the person as soon as is practicable with —
  - (a) a copy of any summary report in the possession of the supplier that has been prepared under the *Industrial Chemicals (Notification and Assessment) Act 1989* of the Commonwealth in relation to the hazardous substance; and
  - (b) any other information (in addition to an MSDS) in the possession of the supplier in relation to the hazardous substance which may, in the opinion of the supplier, assist in the safe use of the hazardous substance.

Penalty applicable to subregulations (1) and (3) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (3) in any other case: the regulation 1.16 penalty.

*[Regulation 5.8 amended: Gazette 14 Dec 2004 p. 6017.]*

**5.9. Medical emergency, manufacturer etc. to give certain information to doctor in case of**

Despite regulation 5.5(2)(b) and (c) and regulation 5.6(1)(c), a person to whom any of those regulations applies must disclose to a medical practitioner the chemical name of a type II or type III ingredient if the medical practitioner —

- (a) determines that a medical emergency exists and requests the information for the purposes of providing proper emergency or first aid treatment; and
- (b) gives a written undertaking that the information will only be used for the purposes of providing proper emergency or first aid treatment.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 5.9 amended: Gazette 14 Dec 2004 p. 6017; 10 Dec 2010 p. 6280.]*

**5.10. Supplier to give employer etc. certain information to enable protection of person exposed at workplace to hazardous substance**

- (1) If there is a hazardous substance at a workplace then for the purposes of protecting the safety and health of a person who might be exposed to the hazardous substance at the workplace —

- (a) a person who works at the workplace or employs a person who works at the workplace; or
- (b) any emergency services personnel,

may request the supplier of the hazardous substance to disclose the chemical name of any ingredient of the hazardous substance.

- (2) A request under subregulation (1) is to —
- (a) be in writing; and
  - (b) provide details of the purposes for which the information is required; and
  - (c) include a written undertaking that the information will not be used except for the purposes set out in the written request.
- (3) A person who receives a request under subregulation (1) that complies with subregulation (2) must respond in writing within 30 days of receiving the request and must either —
- (a) disclose the chemical name of the ingredient as requested; or
  - (b) refuse the request giving —
    - (i) specific reasons for the refusal; and
    - (ii) such alternative information as can be given to satisfy the purposes set out in the request without disclosing the chemical name of the ingredient.

Penalty applicable to subregulation (3) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulation (3) in any other case: the regulation 1.16 penalty.

*[Regulation 5.10 amended: Gazette 14 Dec 2004 p. 6017.]*

**5.11. Employer etc. to obtain MSDS for hazardous substance etc.**

- (1) If a hazardous substance is to be used at a workplace then a person who, at the workplace, is an employer, the main contractor or a self-employed person must —
- (a) before, or upon, the first occasion on which the hazardous substance is supplied to the workplace —

- (i) obtain from the supplier of the hazardous substance an MSDS for the hazardous substance; and
  - (ii) consult with all persons who might be exposed to the hazardous substance at the workplace about the intention to use the hazardous substance at the workplace and the safest method of using the hazardous substance;
- and
- (b) ensure that the MSDS for the hazardous substance is readily available to any person who might be exposed to the hazardous substance at the workplace; and
- (c) ensure that no alteration is made to an MSDS except where —
  - (i) the person who is the employer, the main contractor or the self-employed person, as the case requires, is also the person who imported the hazardous substance; and
  - (ii) an overseas document described as a material safety data sheet requires alteration in order to conform with the definition of MSDS in these regulations.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

- (2) A person to whom subregulation (1) applies and who is also a retailer or a warehouse operator does not commit an offence under that subregulation —
  - (a) if the hazardous substance is in a consumer package which holds less than 30 kg or 30 litres; and



- (b) if, proof of which is on the person, the package is not intended to be opened on the premises of the person.

*[Regulation 5.11 amended: Gazette 14 Dec 2004 p. 6017;  
27 Apr 2007 p. 1777.]*

**5.12. Labelling hazardous substance, duties of employer etc. as to**

- (1) If a hazardous substance is used at a workplace then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure —
  - (a) if the substance is an AC classified hazardous substance, that any container in which the substance is held in the workplace is labelled in accordance with the relevant requirements of the *National Code of Practice for Labelling of Workplace Substances* [NOHSC: 2012 (1994)]; and
  - (ba) if the substance is a GHS classified hazardous substance, is labelled in English in accordance with the relevant requirements set out in the GHS and has affixed to it a label setting out —
    - (i) the name of the supplier; and
    - (ii) an Australian address and telephone number for the supplier; and
    - (iii) an emergency Australian telephone number for the supplier;and
  - (b) if the hazardous substance is contained in an enclosed system such as a pipe or piping system or a process or reactor vessel, that the presence of the hazardous substance is made known to any person who might be exposed to the hazardous substance at the workplace; and
  - (c) that a correct label or colour code of the hazardous substance or an enclosed system referred to in paragraph (b) is not removed, defaced, modified or

altered, unless the container has been cleaned to the extent that it no longer contains the hazardous substance.

- (2) If a hazardous substance is decanted at a workplace from its original container to another container and all of the hazardous substance is consumed immediately then a person does not commit an offence under subregulation (1) even though the container into which the hazardous substance is decanted is not labelled in accordance with that subregulation.
- (3) If a hazardous substance is decanted at a workplace from its original container to another container and not all of the hazardous substance is consumed immediately then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that the container into which the hazardous substance has been decanted is labelled with —
  - (a) the brand name, trade name, code name or code number specified by the supplier of the hazardous substance; and
  - (b) the risk phrases and safety phrases that apply to the hazardous substance.

Penalty applicable to subregulations (1) and (3): the regulation 1.16 penalty.

*[Regulation 5.12 amended: Gazette 14 Dec 2004 p. 6018; 10 Dec 2010 p. 6281.]*

**5.13. Register of hazardous substances, duties of employer etc. as to**

- (1) A person who, at a workplace, is an employer, a main contractor or a self-employed person must —
  - (a) establish and keep current a register of each hazardous substance used in the workplace; and
  - (b) ensure that the register contains (as a minimum) a list of each hazardous substance used from time to time at the workplace and the MSDS for each hazardous substance; and

- (c) ensure that the register is readily available to all persons who are or who might be exposed to a hazardous substance at the workplace, including emergency services personnel.

Penalty: the regulation 1.16 penalty.

- (2) A person to whom subregulation (1) applies and who is also a retailer or a warehouse operator does not commit an offence under that subregulation —
  - (a) if the hazardous substance is in a consumer package which holds less than 30 kg or 30 litres; and
  - (b) if, proof of which is on the person, the package is not intended to be opened on the premises of the person.

*[Regulation 5.13 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.14. Certain uses of certain hazardous substances prohibited**

A person who, at a workplace, is an employer, a main contractor or a self-employed person must ensure that a hazardous substance of a kind set out in column 1 of Schedule 5.2 is not used or handled at the workplace in the manner set out opposite that hazardous substance in column 2 of that Schedule.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.14 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.15. Risk from exposure to hazardous substance, duties of employer to assess etc.**

- (1) Without limiting regulation 3.1, a person who, at a workplace is an employer, the main contractor or a self-employed person must assess the risk of injury or harm occurring to a person as a result of the person being exposed to a hazardous substance at the workplace.
- (2) A person who, at a workplace is an employer, the main contractor or a self-employed person must ensure, as far as is

practicable, that an assessment referred to in subregulation (1) —

- (a) includes the identification of each hazardous substance used at the workplace; and
  - (b) includes —
    - (i) a review of the MSDS for each hazardous substance used at the workplace; or
    - (ii) where a hazardous substance is included in a consumer package, a review of each label on the package;
- and
- (c) includes the identification of any likelihood of injury or harm occurring as a result of exposure to each hazardous substance used at the workplace.
- (3) If a person is required under subregulation (1) to conduct an assessment in relation to a hazardous substance at one workplace then the person may, for the purposes of the assessment, have regard to an assessment of representative work with the hazardous substance at another workplace or other workplaces.
- (4) A person who is required under subregulation (1) to conduct an assessment in relation to a hazardous substance at a workplace must ensure that whatever the outcome of the assessment, a record is made in the register referred to in regulation 5.13 to indicate that the assessment has been done.

Penalty applicable to subregulations (1), (2) and (4): the regulation 1.16 penalty.

*[Regulation 5.15 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.16. Report of r. 5.15 assessment, when required etc.**

If an assessment conducted under regulation 5.15 identifies a significant risk of injury or harm occurring as a result of exposure to a hazardous substance at a workplace then the

person who was required under that regulation to conduct the assessment must ensure, as far as is practicable that —

- (a) a report is prepared on the assessment; and
- (b) the action to be taken in order to achieve compliance with this Part in relation to the hazardous substance is set out in the report.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.16 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.17. Further assessment to r. 5.15 assessment, when required**

A person required under regulation 5.15 to conduct an assessment in relation to a hazardous substance at a workplace must ensure that a further assessment is made —

- (a) if it appears that there is an increase in the risk of injury or harm occurring as a result of exposure to any hazardous substance at the workplace; and
- (b) when 5 years have elapsed since the last assessment referred to in regulation 5.15 was done.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.17 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.18. Assessment report to be accessible at workplace**

A person who is required under regulation 5.15 or 5.17 to conduct an assessment in relation to a hazardous substance must ensure that each assessment report (if any) prepared on behalf of that person in relation to the hazardous substance is accessible at all reasonable times to any person who might be exposed to the hazardous substance at the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.18 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.19. Exposure standard, employer etc. to ensure not exceeded**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that no person is exposed at the workplace to a hazardous substance in excess of the exposure standard for the hazardous substance.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.19 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.20. Risk from exposure to hazardous substance, duties of employer etc. to reduce**

- (1) A person who, at a workplace, is an employer, the main contractor or a self-employed person must, as far as practicable, reduce any risk to a person arising from exposure to a hazardous substance at the workplace —
  - (a) by means of preventing exposure to the hazardous substance; and
  - (b) to the extent that it is not practicable to prevent exposure to the hazardous substance, by means other than the provision of personal protective clothing or equipment; and
  - (c) to the extent that it is not practicable to reduce the risk by the means referred to in paragraph (a) or (b), by requiring the person who may be exposed to the hazardous substance to use personal protective clothing or equipment (in addition to the means referred to in paragraph (b) where practicable).
- (2) A person who, at a workplace is an employer, the main contractor or a self-employed person must ensure that the means referred to in subregulation (1) are effectively maintained.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 5.20 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.21. Employers etc. to provide information and training**

- (1) A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that each person who is likely to be exposed to a hazardous substance at the workplace receives, before commencing the work, relevant and adequate information about and training on —
- (a) the potential health risk and any toxic effects associated with the hazardous substance; and
  - (b) the control measures used to minimise the risk to safety and health; and
  - (c) the correct use of methods used to minimise adverse effects of exposure to the hazardous substance; and
  - (d) without limiting regulation 3.34, the correct care and use of personal protective clothing and equipment; and
  - (e) the need for, and details of, health surveillance.
- (2) A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that records are kept of all induction and training undertaken by a person for the purposes of subregulation (1).

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 5.21 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.22. Monitoring of risk from exposure to hazardous substance, when required etc.**

If an assessment under regulation 5.15 indicates that monitoring should be done at the workplace then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that —

- (a) appropriate monitoring is done; and
- (b) a record is kept of the results of monitoring; and

- (c) each person who is likely to be exposed to a hazardous substance at the workplace is given the results of the monitoring of the hazardous substance as soon as the results are available; and
- (d) the results of monitoring are accessible to all persons referred to in paragraph (c) at all reasonable times.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.22 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.23. Health surveillance, duties of employer etc. as to**

- (1) If the health of a person is at risk as a result of the person's exposure at a workplace to a hazardous substance set out in column 1 of Schedule 5.3 then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that health surveillance of the type set out opposite the substance in column 2 of that Schedule is provided for, and at no cost to, the first-mentioned person and is supervised by an appointed medical practitioner.
- (2) A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that health surveillance supervised by an appointed medical practitioner is provided for, and at no cost to, each person who has been identified in an assessment under regulation 5.15 as being —
  - (a) exposed, or likely to have been exposed, to a hazardous substance in circumstances where —
    - (i) he or she is at risk of suffering an identifiable disease or effect on health as a result of the exposure; and
    - (ii) there is a reasonable likelihood that such a disease or effect on health might occur under the particular conditions of work; and
    - (iii) there is a scientifically recognised technique which can be used for detecting indications of the disease or the effect on health;



or

- (b) exposed, or likely to have been exposed, to a hazardous substance in excess of the exposure standard for that hazardous substance.
- (3) A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that health surveillance under subregulation (2) includes biological monitoring if there is a suitable biological monitoring procedure available in relation to the relevant hazardous substance.
- (4) A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that results of any health surveillance under subregulation (1), (2) or (3) are treated as confidential records.

Penalty applicable to subregulations (1), (2), (3) and (4): the regulation 1.16 penalty.

*[Regulation 5.23 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.24. Appointed medical practitioner providing health surveillance, duties of**

- (1) An appointed medical practitioner who provides health surveillance in relation to a person must ensure, as soon as practicable after the health surveillance is completed, or if the health surveillance comprises a series of tests and examinations (*ongoing surveillance*), as soon as practicable after the results become available, that —
  - (a) the results of the health surveillance or ongoing surveillance are recorded; and
  - (b) the person is notified of the results of the health surveillance or ongoing surveillance and given any necessary explanation of those results; and
  - (c) the Commissioner is notified if the health surveillance or ongoing surveillance yields results which are consistent with exposure to a hazardous substance; and

- (d) the person who was required to arrange the health surveillance is advised —
    - (i) of the outcome of the health surveillance or ongoing surveillance; and
    - (ii) on any need for remedial action; and
    - (iii) of any notification made under paragraph (c) to the Commissioner.
- (2) An appointed medical practitioner —
  - (a) must ensure that the results of any health surveillance are treated as confidential records; and
  - (b) must not provide to any other person the results of any health surveillance that identify the person to whom they apply without first obtaining the informed and written consent of that person.
- (3) Subregulation (2)(b) does not apply to the provision of the results to —
  - (a) the Commissioner under subregulation (1)(c); or
  - (b) a person who, as part of the requirements of the person's profession, is bound to treat the results as confidential records.
- (4) An appointed medical practitioner must ensure that results of health surveillance are clearly identified as being for the purposes of these regulations and not for any other purpose.
- (5) Subject to subregulation (6), an appointed medical practitioner must ensure that the medical records of health surveillance prepared by the medical practitioner for the purposes of these regulations are kept for at least 30 years from the completion of the surveillance.
- (6) If a medical practitioner to whom subregulation (5) applies ceases to practise in this State, the medical practitioner must give the records to the Commissioner.

Penalty applicable to subregulations (1), (2), (4), (5) and (6):

- (a) for a first offence, \$10 000; and
- (b) for a subsequent offence, \$12 500.

*[Regulation 5.24 amended: Gazette 14 Dec 2004 p. 6015.]*

**5.25. Appointed medical practitioner advising remedial action, duties of employer etc. in case of**

If a person who, at a workplace, is an employer, a main contractor or a self-employed person is advised by an appointed medical practitioner of the need for remedial action in relation to the exposure of a person to a hazardous substance at the workplace, the first-mentioned person must, as soon as is practicable, undertake a further assessment of the other person's exposure to the hazardous substance and implement any necessary prevention or control measures.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.25 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.26. Record keeping, duties of employer etc. as to**

- (1) Subject to subregulation (3), a person who, at a workplace, is an employer, a main contractor or a self-employed person must ensure that the following records and reports are kept for at least 30 years from the completion, or last entry, of the record or report —
  - (a) each assessment report prepared under regulation 5.16 which gives rise to monitoring or health surveillance;
  - (b) the results of all monitoring recorded under regulation 5.22;
  - (c) each report of health surveillance received under regulation 5.24(1)(d).
- (2) Subject to subregulation (3), a person who, at a workplace, is an employer, a main contractor or a self-employed person must ensure that the following records and reports are kept for at least

5 years from the completion, or last entry, of the record or report —

- (a) each register established under regulation 5.13;
  - (b) each assessment report prepared under regulation 5.16 which does not give rise to monitoring or health surveillance;
  - (c) each record of induction and training kept under regulation 5.21(2).
- (3) If a person to whom subregulation (1) or (2) applies —
- (a) ceases to operate in the State; or
  - (b) satisfies the Commissioner that keeping, or the maintaining of, a record or report is impractical or inappropriate; or
  - (c) is requested to do so by the Commissioner,

then the person must give the records to the Commissioner.

Penalty applicable to subregulations (1), (2) and (3): the regulation 1.16 penalty.

*[Regulation 5.26 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.27. Commissioner to keep records given under r. 5.24 and 5.26**

If the Commissioner is given a record under regulation 5.24(6) or 5.26(3) then the Commissioner is to ensure that the record is kept for at least 30 years from the completion of the surveillance.

### Division 3 — Certain carcinogenic substances

#### 5.28. Terms used

In this Division, unless the contrary intention appears —

***bona fide research*** means —

- (a) a systematic investigative or experimental activity conducted for the purpose of —
  - (i) acquiring new knowledge whether or not that knowledge will have a specific practical application; or
  - (ii) creating new materials, products, devices, processes or services or improving those things;
- and
- (b) the display in a museum or educational display of any article consisting of or containing a Schedule 5.6 substance and work necessary to prepare and maintain the display;

***carcinogenic substance*** means a substance that is a Schedule 5.4 substance, a Schedule 5.5 substance or a Schedule 5.6 substance;

***Schedule 5.4 substance*** means a substance set out in Schedule 5.4;

***Schedule 5.5 substance*** means a substance set out in Schedule 5.5;

***Schedule 5.6 substance*** means a substance set out in Schedule 5.6.

[Regulation 5.28 amended: Gazette 30 Dec 2003 p. 5738.]

#### 5.29. Concentration of substances for Division 3 to apply

- (1) A reference in this Division to the use of a carcinogenic substance is a reference to the use of the substance or its salts —
  - (a) as a pure substance; or

- (b) in a mixture containing 0.1% or more of that substance determined as a weight/weight (w/w) concentration for solids or liquids, or a volume/volume (v/v) concentration for gases.
- (2) A reference in this Division to the use of an article containing a Schedule 5.6 substance is a reference to the use of an article consisting of or containing a Schedule 5.6 substance —
  - (a) as a pure substance; or
  - (b) in a mixture containing 0.1% or more of that substance determined as a weight/weight (w/w) concentration.

*[Regulation 5.29 inserted: Gazette 30 Dec 2003 p. 5738-9.]*

**5.30. Proposed use of carcinogenic substance at workplace, employer etc. to notify Commissioner of**

- (1) A person who, at a workplace, is an employer or a self-employed person and who intends to use a Schedule 5.5 substance at the workplace must, before using the substance, notify the Commissioner of that intention and give the following information to the Commissioner —
  - (a) the name of the employer or self-employed person and of the main contractor, if any, at the workplace;
  - (b) the business address of the employer or self-employed person;
  - (c) the name of the substance;
  - (d) the name and address of the supplier or proposed supplier of the substance;
  - (e) the address of the workplace at which the substance is intended to be used;
  - (f) details of, and reasons for, the intended use or activity or process involving the substance;
  - (g) the quantity of the substance and of any carcinogenic substance intended to be used on a yearly basis at the workplace;

- (h) the name and business address of the person who is to conduct any assessment;
  - (i) sufficient information to show that it is not practicable to not use, or to substitute, the substance;
  - (j) the number of persons likely to be exposed to the substance at the workplace;
  - (k) a description of the safety measures taken to prevent or reduce the exposure of any person to the substance and to protect the health of persons generally;
  - (l) sufficient information to show that the safety measures and controls in place are the most practicable in the circumstances.
- (2) A person who, at a workplace, is an employer or a self-employed person and who intends to use a Schedule 5.4 substance, a Schedule 5.6 substance or an article consisting of or containing a Schedule 5.6 substance for analysis or bona fide research at the workplace must, before using the substance or article, notify the Commissioner of that intention and give to the Commissioner —
- (a) with any necessary changes being made, the information referred to in subregulation (1) paragraphs (a) to (l) inclusive; and
  - (b) a statement that the substance or article will only be used for analysis or bona fide research.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 5.30 amended: Gazette 30 Dec 2003 p. 5739; 14 Dec 2004 p. 6018.]*

**5.31. Sch. 5.4 and 5.6 substances not to be used at workplace without Commissioner's approval**

- (1) Subject to subregulation (2) a person who, at a workplace, is an employer or a self-employed person must ensure that a Schedule 5.4 substance or a Schedule 5.6 substance is not used

at the workplace, other than to remove and dispose of the substance, unless —

- (a) the Commissioner has given approval to use the substance at the workplace; and
- (b) the substance is used only in analysis or bona fide research; and
- (c) the use is in accordance with any condition imposed by the Commissioner.

Penalty: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1) if the substance is a Schedule 5.6 substance that is in its natural state and has not been moved from its natural location.

*[Regulation 5.31 inserted: Gazette 30 Dec 2003 p. 5739-40; amended: Gazette 14 Dec 2004 p. 6018; 28 Nov 2008 p. 5031.]*

**5.32. Sch. 5.5 substance not to be used at workplace without Commissioner's approval**

A person who, at a workplace, is an employer or a self-employed person must ensure that a Schedule 5.5 substance is not used at the workplace unless —

- (a) the Commissioner has given approval to use the substance at the workplace; and
- (b) the substance is used only for a purpose that has been approved by the Commissioner; and
- (c) if the Commissioner has imposed any condition on the use of the substance at the workplace, the use is in accordance with each condition.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.32 amended: Gazette 14 Dec 2004 p. 6018.]*



**5.32A. Article containing Sch. 5.6 substance not to be used at workplace without Commissioner's approval**

- (1) Subject to subregulations (2) to (8), a person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that an article consisting of or containing a Schedule 5.6 substance is not used at the workplace, other than to remove and dispose of the article, unless —
- (a) the Commissioner has given approval to use the article at the workplace; and
  - (b) the article is used only in analysis or bona fide research; and
  - (c) the use is in accordance with any condition imposed by the Commissioner.

Penalty: the regulation 1.16 penalty.

- (2) A person does not commit an offence under subregulation (1) in relation to the use with saturated steam, superheated steam or dangerous goods (as defined in the *Dangerous Goods Safety Act 2004* section 3(1)), of a compressed asbestos fibre gasket consisting of or containing chrysotile (white asbestos) —
- (a) before 1 January 2005, if the Commissioner has given written approval to use the gasket at the workplace;
  - (b) on and after 1 January 2005, if the location of the gasket in the workplace and its use are the same as they were immediately before 1 January 2005.
- (3) A person does not commit an offence under subregulation (1) in relation to the use in a plant in liquid chlorine service with design process conditions of minus 45°C under 1500 kPa pressure, of a compressed asbestos fibre gasket consisting of or containing chrysotile (white asbestos) —
- (a) before 1 January 2007, if the Commissioner has given written approval to use the gasket at the workplace;

- (b) on and after 1 January 2007, if the location of the gasket in the workplace and its use are the same as they were immediately before 1 January 2007.
- (4) A person does not commit an offence under subregulation (1) in relation to the use in an electrolyte cell in an electrolysis plant for chlor-alkali manufacture that existed on 1 January 2004, of a diaphragm consisting of or containing chrysotile (white asbestos) —
  - (a) before 1 January 2007, if the Commissioner has given written approval to use the diaphragm at the workplace;
  - (b) on and after 1 January 2007, if the location of the diaphragm in the workplace and its use are the same as they were immediately before 1 January 2007.
- (5) A person does not commit an offence under subregulation (1) in relation to the use in a rotary vacuum pump or a rotary compressor, of a vane consisting of or containing chrysotile (white asbestos) mixed with a phenol formaldehyde resin or a cresylic formaldehyde resin —
  - (a) before 1 January 2008, if the Commissioner has given written approval to use the vane at the workplace;
  - (b) on and after 1 January 2008, if the location of the vane in the workplace and its use are the same as they were immediately before 1 January 2008.
- (6) A person does not commit an offence under subregulation (1) in relation to the use of a split face seal of at least 150 mm in diameter used to prevent leakage of water from a cooling water pump in a fossil fuel powered electricity generating station, and consisting of or containing chrysotile (white asbestos) mixed with a phenol formaldehyde resin or a cresylic formaldehyde resin —
  - (a) before 1 January 2008, if the Commissioner has given written approval to use the seal at the workplace;

- (b) on and after 1 January 2008, if the location of the seal in the workplace and its use are the same as they were immediately before 1 January 2008.
- (7) A person does not commit an offence under subregulation (1) in relation to the use of an article consisting of or containing chrysotile (white asbestos) that is the subject of an exemption under Schedule 1B of the *Occupational Health and Safety (Safety Standards) Regulations 1994*<sup>3</sup> of the Commonwealth —
  - (a) before 1 January 2011, if the Commissioner has given written approval to use the article at the workplace;
  - (b) on and after 1 January 2011, if the location of the article in the workplace and its use are the same as they were immediately before 1 January 2011.
- (8) A person does not commit an offence under subregulation (1) in relation to the use of an article as part of a thing if the location of the article in the thing and its use are the same as they were immediately before 1 January 2004.

*[Regulation 5.32A inserted: Gazette 30 Dec 2003 p. 5740-2; amended: Gazette 14 Dec 2004 p. 6018; 4 Jan 2008 p. 16; 5 Jun 2009 p. 1880.]*

**5.33. Notice under r. 5.30, Commissioner's functions as to**

- (1) The Commissioner is to acknowledge the receipt of the notification and information provided under regulation 5.30 within 10 days of receiving the notification.
- (2) The acknowledgment is to include either —
  - (a) advice to the effect that use of the carcinogenic substance or article containing a Schedule 5.6 substance at the workplace has not been approved by the Commissioner; or
  - (b) advice to the effect that use of the carcinogenic substance or article containing a Schedule 5.6 substance

at the workplace has been approved by the Commissioner without conditions; or

- (c) advice to the effect that use of the carcinogenic substance or article containing a Schedule 5.6 substance at the workplace has been approved by the Commissioner on conditions imposed or to be imposed by the Commissioner.

- (3) The Commissioner may impose any condition that the Commissioner thinks is necessary in relation to the use of any carcinogenic substance or article containing a Schedule 5.6 substance at a workplace but if the Commissioner imposes a condition then it must be communicated to the employer or self-employed person within 50 days from the day of the acknowledgment.

*[Regulation 5.33 amended: Gazette 30 Dec 2003 p. 5742.]*

**5.34. Carcinogenic substance etc. not to be used until conditions known**

An employer or self-employed person who receives an acknowledgment under regulation 5.33 to the effect that use of a carcinogenic substance or article containing a Schedule 5.6 substance at the workplace has been approved by the Commissioner on conditions imposed or to be imposed by the Commissioner must ensure that the carcinogenic substance or article is not used at the workplace until the conditions have been communicated to the employer or self-employed person.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.34 amended: Gazette 30 Dec 2003 p. 5742; 14 Dec 2004 p. 6018.]*

**5.35. Supplier of carcinogenic substance etc., duties of**

A supplier must not supply a carcinogenic substance or article containing a Schedule 5.6 substance to an employer or a self-employed person for use at a workplace unless the

employer or self-employed person has given the supplier sufficient evidence to the effect that use of the carcinogenic substance or article at the workplace has been approved by the Commissioner.

Penalty for a person who commits the offence as an employee:  
the regulation 1.15 penalty.

Penalty in any other case: the regulation 1.16 penalty.

*[Regulation 5.35 amended: Gazette 30 Dec 2003 p. 5743;  
14 Dec 2004 p. 6017.]*

**5.36. Information given under r. 5.30, person giving to update etc.**

- (1) A person who has given information under regulation 5.30 must, as soon as practicable, inform the Commissioner if —
  - (a) there is evidence to indicate that the information given is no longer valid; or
  - (b) there is or has been a significant change in the use of the substance at the workplace; or
  - (c) the relevant assessment is or has been reviewed.

- (2) A person who has given information under regulation 5.30 must provide the Commissioner with an update of the information, in any event, at least every 5 years.

Penalty applicable to subregulations (1) and (2): the  
regulation 1.16 penalty.

*[Regulation 5.36 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.37. Record keeping, duties of employer etc. as to**

- (1) A person who, at a workplace where a carcinogenic substance is used, is an employer or a self-employed person must establish and keep current for each person who is likely to be exposed to the carcinogenic substance at the workplace, a record including —
  - (a) the full name, address and date of birth of the person likely to be exposed to the carcinogenic substance; and

- (b) the date of each period during which the person referred to in paragraph (a) was, or was likely to have been, exposed to the carcinogenic substance at the workplace,

and, subject to subregulation (3), keep the record for at least 30 years from the date of the last entry.

- (2) Subject to subregulation (3), a person who, at a workplace where a carcinogenic substance is used, is an employer or a self-employed person must ensure that there is kept at the workplace —
  - (a) a copy of all information that the person has given to the Commissioner under regulation 5.30; and
  - (b) a copy of all conditions imposed by the Commissioner in relation to the use of the carcinogenic substance at the workplace,

for at least 30 years from the date of the document.

- (3) If an employer or a self-employed person to whom subregulation (1) or (2) applies —
  - (a) ceases to operate in the State; or
  - (b) satisfies the Commissioner that keeping, or the maintaining of, a record or report is impractical or inappropriate; or
  - (c) is requested to do so by the Commissioner,

then the employer or self-employed person must give the records to the Commissioner.

Penalty applicable to subregulations (1), (2) and (3): the regulation 1.16 penalty.

*[Regulation 5.37 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.38. Record keeping, supplier's duties as to**

- (1) A supplier of a carcinogenic substance for use at a workplace must keep a record of —
  - (a) the name of the carcinogenic substance supplied; and

- (b) the date of the supply; and
- (c) the name of the person to whom the carcinogenic substance was supplied,

and, subject to subregulation (2), keep the record for at least 30 years from the date of the last entry.

- (2) If a person to whom subregulation (1) applies —
  - (a) ceases to operate in the State; or
  - (b) satisfies the Commissioner that keeping, or the maintaining of, a record or report is impractical or inappropriate; or
  - (c) is requested to do so by the Commissioner,

then the person must give the records to the Commissioner.

Penalty applicable to subregulations (1) and (2) for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty applicable to subregulations (1) and (2) in any other case: the regulation 1.16 penalty.

*[Regulation 5.38 amended: Gazette 14 Dec 2004 p. 6017.]*

**5.39. Commissioner to keep records given under r. 5.37 and 5.38**

If the Commissioner is given a record under regulation 5.37(3) or 5.38(2) then the Commissioner is to ensure that the record is kept for at least 30 years from the date of the last entry on the record.

**5.40. Certain exposures of person to carcinogenic substance, employer etc. to notify Commissioner of**

If —

- (a) a person is exposed at a workplace to a carcinogenic substance as a result of a spill or other incident; or

- (b) monitoring or health surveillance results indicate that a person may have had excessive exposure at a workplace to a carcinogenic substance,

then a person who, at the workplace, is an employer, the main contractor or a self-employed person must, as soon as practicable, report the matter to the Commissioner.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.40 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.41. Person who may be exposed to carcinogenic substance to be informed of certain matters**

- (1) If a person is exposed, or is likely to have been exposed, at a workplace to a carcinogenic substance then a person who, at the workplace is an employer, the main contractor or a self-employed person must, as soon as practicable, inform the first-mentioned person of the exposure or likelihood of exposure.
- (2) An employer must ensure, in relation to each employee for whom a record is kept under regulation 5.37(1), that when the employment is terminated, the employee receives a written statement setting out the following —
  - (a) the name of any carcinogenic substance to which the employee was, or might have been, exposed at the workplace; and
  - (b) the date of each period during which the employee was, or was likely to have been, exposed to a carcinogenic substance at the workplace; and
  - (c) details of how and where the employee can obtain access to records kept under this Part; and
  - (d) a recommendation that the employee has periodic health assessments, and the type of health assessments that would be appropriate for the employee.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.



*[Regulation 5.41 amended: Gazette 14 Dec 2004 p. 6018.]*

## **Division 4 — Further requirements in relation to certain hazardous substances**

### **Subdivision 1 — Asbestos**

#### **5.42. Terms used**

- (1) In this Subdivision unless the contrary intention appears —

***asbestos-containing material*** means any material, object, product or debris that contains asbestos;

***asbestos dust*** means airborne dust consisting of or containing a time-weighted average fibre concentration of asbestos that is in excess of the exposure standard when measured in accordance with the *Guidance Note on the Membrane Filter Method for Estimating Airborne Asbestos Fibres* 2<sup>nd</sup> Edition [NOHSC: 3003 (2005)];

***asbestos work*** means work involving the removal of asbestos-containing material;

***asbestos work area*** means the place where asbestos work occurs and the area in the immediate vicinity of that place that is required under the *Code of Practice for the Safe Removal of Asbestos* 2<sup>nd</sup> Edition [NOHSC: 2002 (2005)] to be cordoned off;

***friable asbestos-containing material*** means any asbestos-containing material that, when dry —

- (a) is in a crumbled, pulverised or powder form; or
- (b) can be crumbled, pulverised or reduced to powder by hand pressure;

***licence*** means an unrestricted licence or a restricted licence;

***non-friable asbestos-containing material*** means asbestos-containing material that is not friable asbestos-containing material;

***restricted licence*** means a restricted asbestos licence granted under regulation 5.45B;

*unrestricted licence* means an unrestricted asbestos licence granted under regulation 5.45A.

- (2) In this Subdivision, an application is *finalised* —
- (a) when, in the ordinary course of events, notice of the Commissioner's decision on the application would have been received by the applicant; or
  - (b) when the applicant withdraws or is taken to have withdrawn the application.

*[Regulation 5.42 amended: Gazette 30 Dec 2003 p. 5743; 18 Nov 2005 p. 5661; 22 Dec 2009 p. 5237-8; 25 May 2010 p. 2277.]*

**5.43. Asbestos at workplace etc., employer etc. to locate etc.**

Without limiting regulation 3.1 or 5.15, a person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that —

- (a) the presence and location of asbestos at the workplace is identified; and
- (b) the process of identification referred to in paragraph (a) and the assessment of risks arising from hazards in relation to asbestos at the workplace are conducted in accordance with the *Code of Practice for the Management and Control of Asbestos in Workplaces* [NOHSC: 2018 (2005)].

Penalty: the regulation 1.16 penalty.

*[Regulation 5.43 amended: Gazette 14 Dec 2004 p. 6018; 18 Nov 2005 p. 5661.]*

**5.44. Licence, application for**

- (1) An application for —
- (a) an unrestricted licence to do asbestos work; or

- (b) a restricted licence to do asbestos work involving only non-friable asbestos-containing material,  
  
must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.2A.
- (2) After receiving an application the Commissioner may in writing direct the applicant to provide additional information within the period specified in the direction.
- (3) If an applicant fails to comply with a direction under subregulation (2), the applicant is taken to have withdrawn the application.

*[Regulation 5.44 inserted: Gazette 22 Dec 2009 p. 5238-9.]*

**5.45A. Unrestricted asbestos licence, grant of**

After receiving an application made under regulation 5.44(1)(a) the Commissioner may grant an unrestricted asbestos licence if the Commissioner is satisfied that —

- (a) if the applicant is an individual —
  - (i) the applicant is able to do asbestos work in a safe and proper manner; and
  - (ii) the applicant has the training and experience to properly supervise and manage asbestos work done under the licence;
- or
- (b) if the applicant is not an individual —
  - (i) the applicant has systems of work in place to ensure that asbestos work will be done in a safe and proper manner; and
  - (ii) the applicant has nominated at least one person employed or otherwise engaged by the applicant who has the training and experience to properly supervise and manage asbestos work done under the licence.

*[Regulation 5.45A inserted: Gazette 22 Dec 2009 p. 5239.]*

**5.45B. Restricted asbestos licence, grant of**

After receiving an application under regulation 5.44(1)(b) the Commissioner may grant a restricted asbestos licence if the Commissioner is satisfied that —

- (a) if the applicant is an individual —
  - (i) the applicant is able to do asbestos work involving non-friable asbestos-containing material in a safe and proper manner; and
  - (ii) the applicant has the training and experience to properly supervise and manage asbestos work involving non-friable asbestos-containing material done under the licence;
- or
- (b) if the applicant is not an individual —
  - (i) the applicant has systems of work in place to ensure that asbestos work involving non-friable asbestos-containing material will be done in a safe and proper manner; and
  - (ii) the applicant has nominated at least one person employed or otherwise engaged by the applicant who has the training and experience to properly supervise and manage asbestos work involving non-friable asbestos-containing material done under the licence.

*[Regulation 5.45B inserted: Gazette 22 Dec 2009 p. 5240.]*

**5.45C. Renewal of licence, application for**

- (1) The holder of a licence may, at any time no later than 30 days after the licence expires, apply for the renewal of the licence.

- (2) An application for the renewal of a licence must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.2A.
- (3) After receiving an application the Commissioner may in writing direct the applicant to provide additional information within the period specified in the direction.
- (4) If an applicant fails to comply with a direction under subregulation (3), the applicant is to be taken to have withdrawn the application.
- (5) Subregulation (1) does not apply to a person who —
  - (a) holds an unrestricted licence that is taken to have been granted under regulation 5.53A(2); or
  - (b) holds a restricted licence that is taken to have been granted under regulation 5.53A(3).

*[Regulation 5.45C inserted: Gazette 22 Dec 2009 p. 5240-1.]*

**5.45D. Renewal of licence, grant of**

After receiving an application made under regulation 5.45C, the Commissioner may renew the licence if the Commissioner is satisfied that —

- (a) if the licence is an unrestricted licence — the applicant continues to satisfy the relevant requirements in regulation 5.45A; or
- (b) if the licence is a restricted licence — the applicant continues to satisfy the relevant requirements in regulation 5.45B.

*[Regulation 5.45D inserted: Gazette 22 Dec 2009 p. 5241.]*

**5.45E. Conditions on licence**

A licence may be granted or renewed subject to such conditions, if any, as the Commissioner sees fit and endorses on the licence.

*[Regulation 5.45E inserted: Gazette 22 Dec 2009 p. 5241.]*

**5.45F. Duration of licence**

- (1) A licence —
  - (a) takes effect on the day it is granted; and
  - (b) expires at the end of the period of 3 years beginning on the day it is granted.
- (2) If the holder of a licence applies for the renewal of the licence on or before the day the licence expires (the *expiry date*) the following provisions apply —
  - (a) if the application is not finalised on or before the expiry date, the licence continues to have effect until the application is finalised;
  - (b) if the Commissioner decides to renew the licence (whether the decision is made before, on or after the expiry date), the renewed licence —
    - (i) takes effect, or is deemed to have taken effect, on the day after the expiry date; and
    - (ii) expires at the end of the period of 3 years beginning on the day after the expiry date.
- (3) If the holder of a licence applies for the renewal of the licence after the expiry date, the following provisions apply —
  - (a) the licence has no effect after the expiry date until the holder applies for the renewal and thereafter the licence continues to have effect until the application is finalised;
  - (b) if the Commissioner decides to renew the licence, the renewed licence —
    - (i) is deemed to have taken effect on the day the holder applies for the renewal; and
    - (ii) expires at the end of the period of 3 years beginning on the day after the expiry date.
- (4) Subregulations (2) and (3) also apply to the renewal of a licence renewed under either of those subregulations.

*[Regulation 5.45F inserted: Gazette 22 Dec 2009 p. 5242-3.]*

**5.45G. Suspension or cancellation of licence**

- (1) The Commissioner may suspend or cancel a licence if the holder of the licence —
  - (a) is convicted of an offence against these regulations or the Act; or
  - (b) in the opinion of the Commissioner —
    - (i) breaches a condition of the licence; or
    - (ii) is unable to comply with a condition of the licence or a provision of these regulations or the Act.
- (2) If the Commissioner suspends or cancels a licence under this regulation, the Commissioner is to give to the holder of the licence written notice of the suspension or cancellation.
- (3) Suspension under this regulation has effect —
  - (a) from the time specified in the written notice, which is to be no earlier than when, in the ordinary course of events, the notice would have been received by the holder of the licence; and
  - (b) for the period or until the happening of the event specified in the written notice.
- (4) Cancellation under this regulation has effect from the time specified in the written notice, which is to be no earlier than when, in the ordinary course of events, the notice would have been received by the holder of the licence.

*[Regulation 5.45G inserted: Gazette 22 Dec 2009 p. 5243-4.]*

**5.45H. Change of address, licence holder to notify Commissioner of**

The holder of a licence must notify the Commissioner in writing of any change in the holder's residential or postal address within 14 days of the change.

Penalty: the regulation 1.15 penalty.

[Regulation 5.45H inserted: Gazette 22 Dec 2009 p. 5244.]

**5.45. Asbestos removal work, duties as to**

- (1) A person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that any asbestos work at the workplace involving friable asbestos-containing material —
- (a) is done by —
    - (i) the holder of an unrestricted licence; or
    - (ii) a person employed or otherwise engaged by the holder of an unrestricted licence;
  - and
  - (b) is done in accordance with —
    - (i) the *Code of Practice for the Safe Removal of Asbestos* 2<sup>nd</sup> Edition [NOHSC: 2002 (2005)]; and
    - (ii) the unrestricted licence.

Penalty: the regulation 1.16 penalty.

- (2A) Subject to regulation 5.53A(5), a person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that any asbestos work at the workplace involving more than 10 m<sup>2</sup> of non-friable asbestos-containing material —
- (a) is done by —
    - (i) the holder of an unrestricted licence or a restricted licence; or
    - (ii) a person employed or otherwise engaged by the holder of an unrestricted licence or a restricted licence;
  - and
  - (b) is done in accordance with —
    - (i) Part 9 of the *Code of Practice for the Safe Removal of Asbestos* 2<sup>nd</sup> Edition [NOHSC: 2002 (2005)]; and



- (ii) the unrestricted licence or the restricted licence, as the case requires.

Penalty: the regulation 1.16 penalty.

- (2B) A person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that any asbestos work at the workplace involving 10 m<sup>2</sup> or less of non-friable asbestos-containing material is done in accordance with Part 9 of the *Code of Practice for the Safe Removal of Asbestos* 2<sup>nd</sup> Edition [NOHSC: 2002 (2005)].

Penalty: the regulation 1.16 penalty.

- (2) A person doing asbestos work at a workplace must maintain the standard of personal hygiene and use such protective equipment as is required by Part 9 of the *Code of Practice for the Safe Removal of Asbestos* 2<sup>nd</sup> Edition [NOHSC: 2002 (2005)].

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case:

- (a) for a first offence, \$25 000; and  
(b) for a subsequent offence, \$31 250.

*[Regulation 5.45 amended: Gazette 14 Dec 2004 p. 6017 and 6018; 18 Nov 2005 p. 5661-2; 22 Dec 2009 p. 5244-6.]*

**5.46. Unrestricted licence holder to notify Commissioner of employees; register of information**

- (1) The holder of an unrestricted licence —
- (a) on being granted the licence must, unless the licence is taken to have been granted in accordance with regulation 5.53A(2), notify the Commissioner of the name, address and date of birth of any person employed or otherwise engaged by the licensee to do work involving friable asbestos-containing material; and

- (b) within 7 days after the commencement or termination of the employment or engagement by the licensee of a person to do asbestos work involving friable asbestos-containing material, must notify the Commissioner of the name, address and date of birth of that person.

Penalty: the regulation 1.16 penalty.

- (2) The Commissioner is to establish a register of the details of which the Commissioner is notified under subregulation (1) and is to keep those details for at least 40 years.

*[Regulation 5.46 amended: Gazette 14 Dec 2004 p. 6017; 22 Dec 2009 p. 5246; 25 May 2010 p. 2277.]*

**5.47. Licence and codes of practice, licence holder's duties as to**

The holder of an unrestricted licence or a restricted licence must ensure that a copy of —

- (a) the licence; and
- (b) the *Code of Practice for the Safe Removal of Asbestos* 2<sup>nd</sup> Edition [NOHSC: 2002 (2005)]; and
- (c) the *Code of Practice for the Management and Control of Asbestos in Workplaces* [NOHSC: 2018 (2005)],

is available for inspection at each place at which asbestos work is done under the authority of the licence and is produced upon reasonable request.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.47 amended: Gazette 14 Dec 2004 p. 6017; 18 Nov 2005 p. 5662; 22 Dec 2009 p. 5246-7.]*

**5.48. Commissioner may direct tests for or removal of asbestos at workplace etc.**

- (1) The Commissioner may issue a written notice to a person who, at a workplace, is an employer, the main contractor, a

self-employed person or the person having control of the workplace, directing the person —

- (a) to conduct tests to ascertain if asbestos is present in the workplace in such manner set out in the direction; or
  - (b) to cause any asbestos-containing material at the workplace to be removed in accordance with the *Code of Practice for the Safe Removal of Asbestos* 2<sup>nd</sup> Edition [NOHSC: 2002 (2005)] or in such other manner and within the time set out in the direction.
- (2) A person must comply with each direction issued to the person under subregulation (1).  
Penalty: the regulation 1.16 penalty.
- (3) The Commissioner may give a direction under this regulation whether or not the assessment referred to in regulation 5.43 indicates that the testing or the asbestos work needs to be done and the Commissioner is not liable for any costs in relation to the tests or asbestos work.

*[Regulation 5.48 amended: Gazette 17 Dec 1999 p. 6235; 14 Dec 2004 p. 6018; 18 Nov 2005 p. 5662; 22 Dec 2009 p. 5247.]*

**5.49. Asbestos dust, employer etc. to prevent exposure to etc.**

- (1) A person who, at a workplace that is an asbestos work area, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that, as far as is practicable, no person in the asbestos work area is exposed to asbestos dust.
- (2) Without limiting regulation 5.20, a person to whom subregulation (1) applies must ensure that if it is likely that a person in or entering the asbestos work area may be exposed to asbestos dust then that person is provided with appropriate personal protective clothing or equipment.

- (3) A person who, at a workplace, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that on completion of any asbestos work at the workplace the workplace is left in a clean and safe condition either by washing or vacuuming and that any asbestos-containing material is not left in such a state that asbestos dust may be dispersed into the atmosphere.

Penalty applicable to subregulations (1), (2) and (3): the regulation 1.16 penalty.

*[Regulation 5.49 amended: Gazette 14 Dec 2004 p. 6018; 22 Dec 2009 p. 5248.]*

*[5.50, 5.51. Deleted: Gazette 22 Dec 2009 p. 5248.]*

**5.52. Waste asbestos material, disposal of**

A person who, at a workplace at which there is waste asbestos-containing material, is an employer, the main contractor, a self-employed person or the person having control of the workplace must ensure that the waste material is disposed of in accordance with Part 11 of the *Code of Practice for the Management and Control of Asbestos in Workplaces* [NOHSC: 2018 (2005)].

Penalty: the regulation 1.16 penalty.

*[Regulation 5.52 amended: Gazette 17 Dec 1999 p. 6235; 14 Dec 2004 p. 6018; 18 Nov 2005 p. 5662-3; 22 Dec 2009 p. 5248.]*

**5.53A. Transitional provisions for certain licences in force at 1 Mar 2010 and for r. 5.45(2A)**

- (1) In this regulation —  
***asbestos removalist licence*** means a licence issued under regulation 5.44, as in force immediately before 1 March 2010;  
***demolition licence*** means a licence issued under regulation 3.116(2) to do class 1, class 2 or class 3 demolition work.

- (2) A person holding, immediately before 1 March 2010, an asbestos removalist licence is to be taken to have been granted an unrestricted licence that expires on the day the asbestos removalist licence would have expired, and has effect subject to —
  - (a) these regulations; and
  - (b) such conditions, if any, as the Commissioner sees fit and imposes by written notice to the holder of the asbestos removalist licence.
- (3) A person holding, immediately before 1 March 2010, a demolition licence is to be taken to have been granted a restricted licence that expires on the day the demolition licence expires, and has effect subject to —
  - (a) these regulations; and
  - (b) such conditions, if any, as the Commissioner sees fit and imposes by written notice to the holder of the demolition licence.
- (4) Nothing in subregulation (3) affects a person's authority under a demolition licence.
- (5) A person is not required to comply with regulation 5.45(2A) in respect of asbestos work at a workplace if the asbestos work is done —
  - (a) within the period that begins on 1 March 2010 and ends on 31 May 2010; and
  - (b) in accordance with Part 9 of the *Code of Practice for the Safe Removal of Asbestos* 2<sup>nd</sup> Edition [NOHSC: 2002 (2005)].
- (6) Subregulation (5) does not apply to asbestos work involving more than 200 m<sup>2</sup> of asbestos cement roofing.

*[Regulation 5.53A inserted: Gazette 22 Dec 2009 p. 5249-50.]*

### **Subdivision 2 — Lead**

#### **5.53. Terms used**

In this Subdivision, unless the contrary intention appears —

***atmospheric monitoring*** means determining the concentration of lead in air;

***biological monitoring*** means determining the amount of lead in a person's capillary or venous blood and the measurements incidental to that determination;

***blood lead level*** means the concentration of lead in whole blood expressed in micromoles per litre ( $\mu\text{mol/L}$ ) or micrograms per decilitre ( $\mu\text{g/dL}$ );

***confirmed blood lead level*** means the concentration of lead in venous whole blood;

***damp*** means sufficiently moist to prevent the escape of dust;

***health surveillance*** has the meaning that it has in regulation 5.1 in relation to the hazardous substance of lead and includes biological monitoring and medical examination, but not atmospheric monitoring;

***inorganic lead*** means lead metal, inorganic lead compounds and lead salts of organic acids;

***lead material*** means material containing metallic lead or an inorganic lead compound in an amount such that the lead content of the anhydrous material is in excess of 5% by weight;

***lead process*** means any process involving —

- (a) the use or handling of lead material; and
- (b) any work which exposes a person to lead dust in air or lead fumes arising from the manufacture or handling of dry lead compounds; and
- (c) any work in connection with the manufacture, assembly, handling or repair of, or parts of, electric accumulators which involves the manipulation of dry lead compounds, pasting or casting of lead; and

- (d) the breaking up or dismantling of lead accumulators and the sorting, packing and handling of plates or other parts containing the lead removed or recovered from accumulators; and
- (e) spraying with molten lead or alloys containing greater than 5% by weight of lead; and
- (f) spray painting with paint containing greater than 1% by dry weight of lead; and
- (g) the storage, manipulation, movement, or other treatment of lead material whether by means of any furnace, melting pot, retort, condensing chamber, flue, or other container; and
- (h) melting or casting of lead alloys containing greater than 5% by weight of lead; and
- (i) the cleaning or demolition of any furnace, melting pot, retort, condensing chamber, flue, or container in which lead material has been processed or contained; and
- (j) recovery of lead from its ores, oxides or other compounds by a thermal reduction process; and
- (k) using any power tool to dry machine grind, buff or cut lead or alloy containing greater than 5% by weight of lead; and
- (l) hand grinding and finishing of lead or alloy containing greater than 50% by weight of lead; and
- (m) using a machine to sand or buff a surface coated with paint containing greater than 1% by dry weight of lead; and
- (n) the application, for the purposes of welding, cutting or cleaning, of electric arc, oxyacetylene, oxygas, plasma arc or a flame to the surface of any metal which is coated with lead or paint containing greater than 1% by dry weight of lead; and
- (o) radiator repairs where exposure to lead dust or fumes may occur; and

- (p) fire assay where lead is used; and
- (q) any other process determined by the Commissioner to be a lead process,

where the lead is inorganic lead;

**lead-risk job** means a work activity or a series of activities involving inorganic lead, in which the blood lead level of a person might reasonably be expected to be, or is —

- (a) in the case of females of reproductive capacity —
  - (i) before 1 October 2019, at least 20 micrograms per decilitre ( $\mu\text{g/dL}$ ); or
  - (ii) on and after 1 October 2019, at least 5 micrograms per decilitre ( $\mu\text{g/dL}$ );

or

- (b) in any other case —
  - (i) before 1 October 2019, at least 30 micrograms per decilitre ( $\mu\text{g/dL}$ ); or
  - (ii) on and after 1 October 2019, at least 20 micrograms per decilitre ( $\mu\text{g/dL}$ );

**removal level** means a confirmed blood lead level concentration at which, under regulation 5.63, a person is to be transferred from a lead-risk job to a job which is not a lead-risk job.

*[Regulation 5.53 amended: Gazette 19 Oct 2018 p. 4136.]*

**5.54. Lead-risk job, employer etc. to assess if work is**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that before a person commences work at the workplace, it has been ascertained whether or not the proposed work is a lead-risk job.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.54 amended: Gazette 14 Dec 2004 p. 6018.]*



**5.55. Prospective employee in lead process, duty to inform**

An employer must provide each prospective employee who, if employed, would work in a lead process, information about —

- (a) the health risks and toxic effects associated with lead exposure; and
- (b) the need for, and content of, health surveillance.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.55 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.56. Health surveillance and counselling, employer etc. to provide**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that individual counselling and health surveillance supervised by an appointed medical practitioner is provided for, and at no cost to, each person who —

- (a) is about to commence work at the workplace in a lead-risk job; or
- (b) works at the workplace in a lead-risk job.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.56 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.57. Suitability of person for lead-risk job, employer etc. to assess**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must consider a person's suitability for work in a particular lead-risk job at the workplace before the person commences the work and during the consideration must take into account, after consultation with the person —

- (a) the person's medical history and medical condition in relation to health effects associated with exposure to lead; and

- (b) the removal level applicable to the person; and
- (c) the blood lead level which might reasonably be expected if a person works in a particular lead-risk job.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.57 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.58. Employer to inform and train employee etc.**

- (1) Before each employee who is likely to be exposed to lead commences work in a lead process, and at least annually after that, the employer must provide to the employee information about and training on —
  - (a) the potential health risk and toxic effects associated with lead exposure; and
  - (b) the control measures used to minimise the risk to health and safety; and
  - (c) the correct use of methods used to minimise lead contamination of the workplace and persons at the workplace; and
  - (d) without limiting regulation 3.34, the correct care and use of personal protective equipment; and
  - (e) the need for, and details of, health surveillance,

with particular attention to the induction and training of any employee to whom regulation 5.63(1)(a), (b) or (c) applies or could apply and to the increased risks to females of reproductive capacity.

- (2) The employer must ensure that records are kept of all induction and training undertaken by an employee for the purposes of subregulation (1) for the duration of the employee's employment.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 5.58 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.59. Biological monitoring of person doing lead-risk job, duties of employer etc. as to**

If a person works in a lead-risk job at a workplace then a person who, at the workplace, is an employer, the main contractor or a self-employed person must ensure that biological monitoring is conducted in relation to the first-mentioned person at the following times —

- (a) within the first month of the person's commencing the job; and
- (b) 2 months after the initial monitoring; and
- (c) 6 months after the initial monitoring; and
- (d) at such subsequent times as are determined by the appointed medical practitioner who is supervising the person's health surveillance.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.59 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.60. Work involving lead, duties of employer etc. as to**

- (1) A person who, at a workplace other than a foundry, is an employer, the main contractor or a self-employed person must ensure that lead material (other than metallic lead) is not handled or moved at the workplace unless the material is damp.
- (2) A person who, at a workplace in which a lead process is conducted, is an employer, the main contractor or a self-employed person must ensure —
  - (a) that protective clothing which has been provided for a person working in a lead process is washed, cleaned or renewed once a week or more frequently where necessary to protect the health or safety of persons at the workplace; and

- (b) in the case of a workplace other than a construction site, that —
  - (i) any area for eating and drinking is air-locked from any room in which a lead process is conducted and that there is no door or other means of entry directly from such room into the area set aside for eating and drinking; and
  - (ii) basins with hot and cold water are provided in the ratio of one basin to every 5 (or up to 5) persons who work in the lead process; and
  - (iii) showers are provided for the use of persons who work in the lead process;
- and
- (c) in the case of a construction site, that running water is available to enable persons who work in the lead process to wash.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 5.60 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.61. Person working in lead process, duties of**

A person who works in a lead process must —

- (a) not smoke while his or her hands are contaminated with lead material; and
- (b) before eating or drinking and on ceasing the work, wash his or her face, neck, hands and arms in the wash room or facilities provided for that purpose; and
- (c) remove any contaminated clothing or personal protective equipment before entering an area set aside for the consumption of food.

Penalty for a person who commits the offence as an employee: the regulation 1.15 penalty.

Penalty in any other case:

- (a) for a first offence, \$25 000; and
- (b) for a subsequent offence, \$31 250.

*[Regulation 5.61 amended: Gazette 14 Dec 2004 p. 6017.]*

**5.62. Employee to notify employer if pregnant or breast-feeding**

A female employee who works in a lead-risk job and who —

- (a) becomes pregnant; or
- (b) is breast-feeding,

must, as soon as practicable after becoming aware of the pregnancy or commencing the breast-feeding, as the case may be, notify her employer of the pregnancy or of the fact that she is breast-feeding.

Penalty: the regulation 1.15 penalty.

*[Regulation 5.62 amended: Gazette 14 Dec 2004 p. 6016-17.]*

**5.63. When employer to remove employee from lead-risk job**

- (1) An employer must ensure that an employee is removed from a lead-risk job to a job that is not a lead-risk job if —
  - (a) before 1 October 2019, the employee's confirmed blood lead level is at or above —
    - (i) in the case of a female of reproductive capacity, 20 micrograms per decilitre ( $\mu\text{g/dL}$ ); or
    - (ii) in any other case, 50 micrograms per decilitre ( $\mu\text{g/dL}$ );
  - or
  - (aa) on and after 1 October 2019, the employee's confirmed blood lead level is at or above —
    - (i) in the case of a female of reproductive capacity, 10 micrograms per decilitre ( $\mu\text{g/dL}$ ); or

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(ii) in any other case, 30 micrograms per decilitre (µg/dL);

or

(b) the employee is pregnant or breast-feeding; or

(c) the employer or the employee believes that —

(i) the employee has had an excessive exposure to lead; or

(ii) the employee is experiencing adverse health effects related to lead exposure,

and that the removal takes place as soon as practicable after the employer becomes aware of the relevant event.

- (2) An employer who is required to remove an employee from a lead-risk job under subregulation (1)(a) or (c) must also arrange for the employee to be examined by an appointed medical practitioner within 7 days of the removal.

Penalty applicable to subregulations (1) and (2): the regulation 1.16 penalty.

*[Regulation 5.63 amended: Gazette 14 Dec 2004 p. 6018; 28 Nov 2008 p. 5031; 19 Oct 2018 p. 4137.]*

**5.64. Return to lead-risk job after removal under r. 5.63**

If an employee has been removed from a lead-risk job under regulation 5.63(1)(a) or (c), the employer must ensure that the employee does not return to any lead-risk job until the employee has been examined by an appointed medical practitioner (at the cost of the employer) and the medical practitioner has certified the employee as suitable to return to work in a lead-risk job.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.64 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.65. Record keeping, employer's duties as to**

- (1) An employer must establish and maintain for each employee who is removed from, or returned to, a lead-risk job, a record including —
  - (a) the employee's name, sex and date of birth; and
  - (b) the date of each occasion that the employee was removed from a lead-risk job together with the blood lead level reached, and the corresponding date on which the employee was returned to a lead-risk job.
- (2) Subject to subregulation (3), an employer to whom subregulation (1) applies must keep the record for the duration of the employee's employment with the employer and for at least 30 years from the date of the last entry.
- (3) If an employer to whom subregulation (1) applies —
  - (a) ceases to operate in the State; or
  - (b) satisfies the Commissioner that keeping, or the maintaining of, a record or report is impractical or inappropriate; or
  - (c) is requested to do so by the Commissioner,then the employer must give the record to the Commissioner.  
Penalty applicable to subregulations (1), (2) and (3): the regulation 1.16 penalty.

*[Regulation 5.65 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.66. Commissioner to keep records given under r. 5.65**

If the Commissioner is given a record under regulation 5.65(3) then the Commissioner is to ensure that the record is kept for at least 30 years from the date of the last entry on the record.

**5.67. Decision by appointed medical practitioner, review of**

A person who is not satisfied with a decision of an appointed medical practitioner in relation to the exclusion of a person from, the removal from or the return to a lead-risk job may seek

a review by the Commissioner of the decision in accordance with regulation 2.15.

### **Subdivision 3 — Styrene**

**5.68. Term used: lower explosive limit**

In this Subdivision —

***lower explosive limit*** means the minimum concentration of vapour, gas or dust in the atmosphere of a workplace that will propagate a flame.

**5.69. Styrene monomer vapour, employer etc. to minimise**

If styrene monomer vapour is present at a workplace, a person who, at the workplace, is an employer, the main contractor or a self-employed person must reduce, as far as practicable, the amount of styrene monomer vapour that is released into the workplace.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.69 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.70. Lower explosive limit, employer etc. to ensure not reached**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must prevent the lower explosive limit of styrene monomer from being reached in the workplace by ensuring, where it is practicable to do so, that there is in place a mechanical exhaust system arranged so as to —

- (a) prevent re-entry of the extracted air into the workplace; and
- (b) continue to extract air for at least 15 minutes after cessation of any process using styrene monomer.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.70 amended: Gazette 14 Dec 2004 p. 6018.]*



**5.71. Exit sign for workplace where styrene monomer present, duty of employer etc. as to**

A person who, at a workplace at which styrene monomer is present, is an employer, the main contractor, or a self-employed person must ensure that there is, in addition to any requirement in the Building Code in relation to exit signs for the building, a self-illuminating exit sign placed adjacent to the exit door of the workplace at a height of 1.5 metres above the level of the floor.

Penalty: the regulation 1.16 penalty.

[Regulation 5.71 amended: Gazette 14 Dec 2004 p. 6018.]

**Subdivision 4 — Isocyanates**

**5.72. Terms used**

In this Subdivision —

***curing agent*** means a chemical substance or energy source that is added to another substance or a process to change the nature of that other substance or the process and in the course of which the chemical substance or energy source is itself changed in character and wholly or partly incorporated into that other substance or process;

***isocyanate*** includes monomeric toluene diisocyanate (TDI), monomeric hexamethylene diisocyanate (HDI), diphenyl methane diisocyanate (MDI), naphthalene diisocyanate (NDI), polymethylene polyphenyl isocyanate (PAPI), isocyanurate or any chemical commonly known as an isocyanate or as a derivative of an isocyanate;

***polyhydroxy compound*** includes any chemical commonly known as a polyhydroxy compound or polyol that reacts with an isocyanate to form polyurethane;

***polyurethane manufacturing process*** means any manufacturing process involving the reaction of an isocyanate or blend of differing isocyanates with a polyhydroxy compound;

***promoter*** means the chemical cobalt naphthenate or any other chemical that assists a catalyst in a polyurethane manufacturing process;

***resin*** means a solid, semi-solid or liquid organic material which normally has an indefinite and high molecular weight and which is used as a base in a polyurethane manufacturing process.

**5.73. Handling and using isocyanate, duties of employer etc. as to**

Whatever the outcome of the assessment process referred to in regulations 3.1 and 3.32 and without limiting Division 3 of Part 3, a person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure —

- (a) that an isocyanate or any substance containing an isocyanate at the workplace is not —
  - (i) poured, injected, sprayed or otherwise handled or used in such a way that atomization of such a substance could occur; or
  - (ii) handled or used in a form in which the temperature of such a substance is above 60°C; or
  - (iii) handled or used in a place that is a confined space within the meaning of regulation 3.82;
- and
- (b) that no person enters any area of the workplace that is known to be or is suspected of being contaminated with an isocyanate vapour,

unless the person handling or using the isocyanate or substance or entering the area, as the case may be, is wearing or using adequate eye, skin and respiratory protective equipment.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.73 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.74. Decanting isocyanate, duties of employer etc. as to**

Whatever the outcome of the assessment process referred to in regulations 3.1 and 3.32 and without limiting Division 3 of Part 3, a person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that a person who decants an isocyanate at the workplace —

- (a) wears or uses adequate eye, skin and respiratory protective equipment for protection against hazards resulting from a spillage; and
- (b) does not, under any circumstances, apply positive pressure to the isocyanate container; and
- (c) does not siphon by the use of the mouth.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.74 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.75. Container of isocyanate not to be heated in unventilated space**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that no container of isocyanate is heated at the workplace unless the space in which the container is located is vented to the external atmosphere.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.75 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.76. Isocyanate container etc., employer etc. to ensure decontamination before re-use etc.**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that any container or utensil at the workplace that has been used for storing or handling an isocyanate is decontaminated before the container or utensil is re-used or sold.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.76 amended: Gazette 14 Dec 2004 p. 6018.]*

**5.77. Spillage of isocyanate etc., duties of employer etc. as to**

A person who, at a workplace at which is conducted a process in which an isocyanate is used, is an employer, the main contractor or a self-employed person must —

- (a) display in a conspicuous place, a statement in relation to the spillage of an isocyanate, a curing agent, a promoter or resin setting out —
  - (i) the procedures to be followed by persons at the workplace in the event of a spillage; and
  - (ii) the decontaminants present at the workplace which are to be used to clean up a spillage;
- and

- (b) arrange for the contents of every bin containing a mixture of an isocyanate and decontaminant or sawdust or sand obtained after cleaning up a spillage to be disposed of at a sanitary landfill site in accordance with the *Health (Miscellaneous Provisions) Act 1911*.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.77 amended: Gazette 14 Dec 2004 p. 6018; 10 Jan 2017 p. 183.]*

**5.78. Certain polyurethane manufacturing, duties of employer etc. as to workplace for**

A person who, at a workplace, is an employer, the main contractor or a self-employed person must ensure that a polyurethane manufacturing process involving the use of toluene diisocyanate or any blend of isocyanates that has monomeric toluene diisocyanate as a constituent is not conducted at the workplace unless —

- (a) the workplace is divided into separate areas for the following purposes —
  - (i) administration and amenities;
  - (ii) bulk stores for raw materials that are polyhydroxy compounds;

- (iii) bulk stores for raw materials that are isocyanates;
  - (iv) polyurethane manufacture;
  - (v) curing area;
  - (vi) bulk store for manufactured foam;
  - (vii) the making of any product derived from the process;
- and
- (b) the areas of the workplace referred to in paragraph (a) —
- (i) are each situated in a separate building not less than 6 metres from any other such area; or
  - (ii) are separated from each other in the same building by walls having a fire resistance level not less than that required under the Building Code in relation to the class of that building;
- and
- (c) the areas referred to in paragraph (a)(ii) to (vii) are in a building or buildings having not more than one storey; and
- (d) each area referred to in paragraph (a)(ii) to (vii) has not less than 2 exits situated so that there is at least one exit within 20 metres of any part of the area; and
- (e) there is, in addition to any requirement in the Building Code in relation to exit signs for the building, a self-illuminating exit sign placed adjacent to each exit referred to in paragraph (d) at a height of 1.5 metres above the level of the floor; and
- (f) there is a fire hydrant within 60 metres of each part of the workplace; and
- (g) the storage of all isocyanates at the workplace is in accordance with the *Dangerous Goods Safety (Storage and Handling of Non-explosives) Regulations 2007*; and

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- (h) polyurethane foam produced from monomeric toluene diisocyanate is placed in the curing area for not less than 6 hours immediately after it is produced so that there is sufficient ventilation to ensure the dispersal of heat and fumes from the curing area.

Penalty: the regulation 1.16 penalty.

*[Regulation 5.78 amended: Gazette 8 Jun 1999 p. 2525;  
14 Dec 2004 p. 6018; 5 Jun 2009 p. 1880.]*

## Part 6 — Performance of high risk work

[Heading inserted: Gazette 24 Aug 2007 p. 4262.]

### Division 1 — Preliminary

[Heading inserted: Gazette 24 Aug 2007 p. 4262.]

#### 6.1. Terms used

(1) In this Part —

**assessor** means a person registered under Division 3;

**former regulations** means these regulations as in force immediately before the *Occupational Safety and Health Amendment Regulations (No. 3) 2007* regulation 9 came into operation;

**high risk work** means work of a class —

- (a) listed in column 2 of the Table in Schedule 6.3 clause 3, 5, 7, 9 or 11; and
- (b) described in column 3 of the Table;

**high risk work (interstate) licence** means a licence granted under a law of another State or a Territory that the Commissioner recognises as corresponding to the law set out in this Part;

**high risk work (WA) licence** means a licence granted under Division 2;

**high risk work licence** means —

- (a) a high risk work (WA) licence; or
- (b) a high risk work (interstate) licence;

**notice of satisfactory assessment** means a document issued by an assessor containing information to the effect that the person named in the document is competent to do high risk work of the class specified in the document;

**qualification or statement of attainment** means a document issued by a registered training organisation containing

information to the effect that the person named in the document —

- (a) has received training from the organisation in the performance of high risk work of the class specified in the document; and
  - (b) is competent to do that work.
- (2) In this Part, an application is *finalised* —
  - (a) when, in the ordinary course of events, notice of the Commissioner's decision on the application would have been received by the applicant; or
  - (b) when the applicant withdraws or is taken to have withdrawn the application.
- (3) In this Part, a person *holds*, and is a *holder* of, a high risk work licence for a class of high risk work if the person is authorised under the licence to do high risk work of that class.

*[Regulation 6.1 inserted: Gazette 24 Aug 2007 p. 4262-3; amended: Gazette 31 Jul 2009 p. 3034.]*

## **6.2. High risk work licence needed to do high risk work**

- (1) A person must not do high risk work of a particular class unless the person holds a high risk work licence for that class of work.  
Penalty: the regulation 1.15 penalty.
- (2) Subregulation (1) does not apply to a person who does high risk work of a particular class if —
  - (a) the person —
    - (i) is enrolled with a registered training organisation to do training in the work; and
    - (ii) is being supervised by a person who holds a high risk work licence for that class of work;
  - or
  - (b) the person's competency to do the work is being assessed by an assessor; or



- (c) the person has a notice of satisfactory assessment for that class of work which was issued to the person not more than 60 days before; or
  - (d) the person has applied under Division 2 for a licence for that class of work and the application has not been finalised; or
  - (e) the person has applied under Division 2 for variation of a licence seeking authority to do work of that class and the application has not been finalised; or
  - (f) the equipment with which the person is doing the work is being used or operated —
    - (i) in the course of its manufacture, maintenance or repair; and
    - (ii) at the workplace at which it is being manufactured, maintained or repaired; and
    - (iii) without a load.
- (3) A person who, at a workplace, is an employer, the main contractor, a self-employed person, a person having control of the workplace or a person having control of access to the workplace must not direct or allow another person (the **worker**) to do high risk work of a particular class if, by doing the work, the worker would commit an offence under subregulation (1).  
Penalty: the regulation 1.16 penalty.

*[Regulation 6.2 inserted: Gazette 24 Aug 2007 p. 4263-5.]*

**[6.2A.]** *Deleted: Gazette 24 Aug 2007 p. 4262.]*

### **6.3. Certain equipment not to be left unattended while in use**

- (1) In this regulation —  
**licensed person**, in relation to a type of prescribed equipment, means a person who holds a high risk work licence authorising the person to do high risk work of a class that involves the use of that type of prescribed equipment;

*prescribed equipment* means —

- (a) a crane or a hoist of a type (including a vehicle-mounted concrete placing boom but excluding a boom-type elevating work platform) the use of which constitutes, or is included in, high risk work of a class listed in column 3 of the Table in Schedule 6.3 clause 7; or
- (b) a pressure equipment of a type the use of which constitutes, or is included in, high risk work of a class listed in column 3 of the Table in Schedule 6.3 clause 11;

*use* has the same meaning as in Schedule 6.3.

- (2) A licensed person using a type of prescribed equipment must not leave the equipment while it is in use unless another licensed person has taken over control of it.

Penalty for a person who commits the offence as an employee:

- (a) for a first offence, \$5 000; and
- (b) for a subsequent offence, \$6 250.

Penalty in any other case:

- (a) for a first offence, \$25 000; and
- (b) for a subsequent offence, \$31 250.

*[Regulation 6.3 inserted: Gazette 24 Aug 2007 p. 4265-6.]*

## **Division 2 — Licences**

*[Heading inserted: Gazette 24 Aug 2007 p. 4266.]*

### **6.4. Term used: licence**

In this Division, unless the contrary intention appears —

*licence* means a high risk work (WA) licence.

*[Regulation 6.4 inserted: Gazette 24 Aug 2007 p. 4266.]*

**6.5. Application for licence**

- (1) An application for a licence for one or more classes of high risk work must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.4.
- (2) After receiving an application the Commissioner may in writing direct the applicant to provide additional information within the period specified in the direction.
- (3) If an applicant fails to comply with a direction under subregulation (2), the applicant is to be taken to have withdrawn the application.

*[Regulation 6.5 inserted: Gazette 24 Aug 2007 p. 4266.]*

**6.6. Deciding application for licence**

- (1) In this regulation —  
***certificate of competency*** has the meaning that was given in regulation 6.1(1) of the former regulations and includes a certificate of competency treated under regulation 7.10 of those regulations as a certificate of competency for the purposes of Part 6 of those regulations.
- (2) After receiving an application made under regulation 6.5 the Commissioner may grant the licence authorising the applicant to do high risk work of a class to which the application relates if —
  - (a) the applicant has reached 18 years of age; and
  - (b) the Commissioner is satisfied that the applicant is competent to do high risk work of that class.
- (3) Matters to be considered by the Commissioner when determining whether an applicant is competent to do high risk work of a particular class include —
  - (a) whether the applicant has recently been issued a notice of satisfactory assessment for that class of work; and

- (b) whether the applicant has recently been issued a qualification or statement of attainment for that class of work; and
  - (c) whether the applicant holds a high risk work (interstate) licence for that class of work.
- (4) The Commissioner may refuse to grant the licence if —
  - (a) the applicant previously held a high risk work licence, and —
    - (i) the licence was cancelled; or
    - (ii) the authority under the licence to do high risk work of a class was suspended or cancelled;
  - or
  - (b) the applicant previously held a certificate of competency and the certificate was suspended or cancelled, either wholly or in part; or
  - (c) the applicant was previously convicted of an offence relating to the performance of work of a kind included in a class of high risk work.
- (5) If an applicant for a licence for a class of high risk work is authorised under a high risk work (interstate) licence to do work of that class, the Commissioner must ensure that that authority is cancelled by the State or Territory that granted the interstate licence before a licence is granted under this Division for that class of work.

*[Regulation 6.6 inserted: Gazette 24 Aug 2007 p. 4266-8.]*

**6.7. Variation of licence, application for**

- (1) The holder of a licence may apply for variation of the licence seeking authority to do high risk work of one or more additional classes.
- (2) An application for variation of a licence must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.4.

- (3) After receiving an application the Commissioner may in writing direct the applicant to provide additional information within the period specified in the direction.
- (4) If an applicant fails to comply with a direction under subregulation (3), the applicant is to be taken to have withdrawn the application.

*[Regulation 6.7 inserted: Gazette 24 Aug 2007 p. 4268.]*

**6.8. Deciding application to vary licence**

- (1) After receiving an application made under regulation 6.7, the Commissioner may vary the licence authorising the applicant to do high risk work of a class to which the application relates if the Commissioner is satisfied that the applicant is competent to do high risk work of that class.
- (2) Matters to be considered by the Commissioner when determining whether an applicant is competent to do high risk work of a particular class include —
  - (a) whether the applicant has recently been issued a notice of satisfactory assessment for that class of work; and
  - (b) whether the applicant has recently been issued a qualification or statement of attainment for that class of work; and
  - (c) whether the applicant holds a high risk work (interstate) licence for that class of work.
- (3) If an applicant for variation of a licence seeking authority to do high risk work of a particular class is authorised under a high risk work (interstate) licence to do work of that class, the Commissioner must ensure that that authority is cancelled by the State or Territory that granted the interstate licence before the licence is varied under this Division authorising the applicant to do work of that class.

- (4) A variation of a licence under this regulation takes effect on the day the licence is varied.
- (5) Nothing in subregulation (4) is to be taken to affect the period for which the licence is to have effect under regulation 6.11.

*[Regulation 6.8 inserted: Gazette 24 Aug 2007 p. 4268-9.]*

**6.9. Renewal of licence, application for**

- (1) The holder of a licence may, at any time no later than 24 months after the licence expires, apply for renewal of a licence.
- (2) An application for renewal of a licence must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.4.
- (3) After receiving an application the Commissioner may in writing direct the applicant to provide additional information within the period specified in the direction.
- (4) If an applicant fails to comply with a direction under subregulation (3), the applicant is to be taken to have withdrawn the application.

*[Regulation 6.9 inserted: Gazette 24 Aug 2007 p. 4269-70; amended: Gazette 21 Jan 2014 p. 85.]*

**6.10. Deciding application to renew licence**

After receiving an application made under regulation 6.9, the Commissioner may renew the licence authorising the applicant to do high risk work of a class to which the application relates if the Commissioner is satisfied that the applicant remains competent to do high risk work of that class.

*[Regulation 6.10 inserted: Gazette 24 Aug 2007 p. 4270.]*

**6.11. Duration of licence**

- (1) A licence —
  - (a) takes effect on the day it is granted; and

- (b) expires at the end of the period of 5 years beginning on the day it is granted.
- (2) If the holder of a licence applies for renewal of the licence on or before the day the licence expires (the *expiry date*), the following provisions apply —
  - (a) if the application is not finalised on or before the expiry date, the licence continues to have effect until the application is finalised;
  - (b) if the Commissioner decides to renew the licence (whether the decision is made before, on or after the expiry date), the renewed licence —
    - (i) takes effect, or is deemed to have taken effect, on the day after the expiry date; and
    - (ii) expires at the end of the period of 5 years beginning on the day after the expiry date.
- (3) If the holder applies for renewal of the licence after the expiry date, the following provisions apply —
  - (a) the licence has no effect after the expiry date until the holder applies for the renewal and thereafter the licence continues to have effect until the application is finalised;
  - (b) if the Commissioner decides to renew the licence, the renewed licence —
    - (i) is deemed to have taken effect on the day the holder applies for the renewal; and
    - (ii) expires at the end of the period of 5 years beginning on the day after the expiry date.
- (4) Subregulations (2) and (3) also apply to the renewal of a licence renewed under either of those subregulations.

*[Regulation 6.11 inserted: Gazette 24 Aug 2007 p. 4270-1.]*

**6.12. Suspending authority to do high risk work of a particular class, Commissioner's powers as to etc.**

- (1) The Commissioner may suspend a person's authority under a licence to do high risk work of a particular class if the Commissioner is satisfied that —
  - (a) the person is no longer competent to do work of that class; or
  - (b) information contained in or provided in connection with an application made by the person under regulation 6.5, 6.7 or 6.9 was false or misleading in a material respect.
- (2) If the Commissioner suspends a person's authority under this regulation, the Commissioner is to give the person written notice of the suspension.
- (3) Suspension under this regulation has effect —
  - (a) from the time specified in the written notice, which is to be no earlier than when, in the ordinary course of events, the notice would have been received by the person; and
  - (b) for the period or until the happening of the event specified in the written notice.
- (4) If a person's authority to do high risk work of a particular class is suspended under this regulation, the person is to be regarded as not being authorised under the licence to do high risk work of that class during the period of the suspension.
- (5) A person to whom a notice is given under subregulation (2) must return the licence document to the Commissioner within the period specified in the notice unless the person has a reasonable excuse not to do so.

Penalty: the regulation 1.15 penalty.

*[Regulation 6.12 inserted: Gazette 24 Aug 2007 p. 4271-2.]*



**6.13. Cancelling authority to do high risk work of a particular class, Commissioner's powers as to etc.**

- (1) The Commissioner may cancel a person's authority under a licence to do high risk work of a particular class if the Commissioner is satisfied that —
  - (a) the person is no longer competent to do work of that class; or
  - (b) information contained in or provided in connection with an application made by the person under regulation 6.5, 6.7 or 6.9 was false or misleading in a material respect.
- (2) The Commissioner may cancel a person's authority under a licence to do high risk work of a particular class if the Commissioner is requested in writing to do so by the person.
- (3) If the Commissioner cancels a person's authority under a licence to do high risk work of every class that the person would, but for the cancellation or any suspension under regulation 6.12, be authorised under the licence to do, the licence is cancelled.
- (4) If the Commissioner cancels a person's authority under this regulation, the Commissioner is to give the person written notice of the cancellation.
- (5) Cancellation under this regulation has effect from the time specified in the written notice, which is to be no earlier than when, in the ordinary course of events, the notice would have been received by the person.
- (6) A person to whom a notice is given under subregulation (4) must return the licence document to the Commissioner within the period specified in the notice unless the person has a reasonable excuse not to do so.

Penalty: the regulation 1.15 penalty.

*[Regulation 6.13 inserted: Gazette 24 Aug 2007 p. 4272-3.]*

**6.14. Licence document, issue of etc.**

- (1) The Commissioner is to issue a person with a licence document on the grant of a licence to the person under regulation 6.6.
- (2) The Commissioner is to issue a person with a replacement licence document —
  - (a) on the variation of the person's licence under regulation 6.8; and
  - (b) on the renewal of the person's licence under regulation 6.10; and
  - (c) on the suspension of the person's authority to do high risk work of a class under regulation 6.12, if the person has authority under the licence to do high risk work of any other class or classes; and
  - (d) on the cancellation of the person's authority to do high risk work of a class under regulation 6.13, if the person has authority under the licence to do high risk work of any other class or classes.
- (3) If a person's authority to do high risk work of a class is suspended under regulation 6.12 and the person returned to the Commissioner the licence document, the Commissioner is to do either of the following, as appropriate, at the end of that suspension —
  - (a) return to the person the licence document returned to the Commissioner;
  - (b) issue the person with a replacement licence document.
- (4) A licence document or a replacement licence document is to specify —
  - (a) the class or classes of high risk work that the person is authorised to do under the licence; and
  - (b) the day the licence expires under regulation 6.11.

*[Regulation 6.14 inserted: Gazette 24 Aug 2007 p. 4273-4.]*

**6.15. Change of address, licensee to notify Commissioner of**

The holder of a licence must notify the Commissioner in writing of any change to the holder's residential or postal address within 14 days of the change.

Penalty: the regulation 1.15 penalty.

*[Regulation 6.15 inserted: Gazette 24 Aug 2007 p. 4274-5.]*

**6.16. Duplicate licence document, issue of**

If the Commissioner is satisfied that a licence document has been lost, stolen or destroyed, the Commissioner may issue a duplicate licence document to the holder of the licence on payment of the fee set out in Schedule 6.4.

*[Regulation 6.16 inserted: Gazette 24 Aug 2007 p. 4275.]*

**6.17. Commissioner may direct licensee to get competency assessed**

- (1) The Commissioner may in writing direct the holder of a licence to —
  - (a) obtain from an assessor an assessment of the holder's competency to do high risk work of a class that the holder is authorised to do under the licence; and
  - (b) provide the Commissioner with a notice of satisfactory assessment for that class of work from the assessor within the period specified in the direction.
- (2) For the purposes of regulations 6.10, 6.12(1)(a) and 6.13(1)(a), a holder who does not provide a notice as required under subregulation (1)(b) may be regarded as being no longer competent to do high risk work of the class to which the direction relates.

*[Regulation 6.17 inserted: Gazette 24 Aug 2007 p. 4275.]*

**Division 3 — Registration as an assessor**

*[Heading inserted: Gazette 24 Aug 2007 p. 4275.]*

**6.18. Term used: registration**

In this Division, unless the contrary intention appears —  
**registration** means registration as an assessor under this Division.

*[Regulation 6.18 inserted: Gazette 24 Aug 2007 p. 4275.]*

**6.19. Registered assessor, function of**

A person registered as an assessor under this Division is authorised to issue notices of satisfactory assessment for the class or classes of high risk work in respect of which the person is registered.

*[Regulation 6.19 inserted: Gazette 24 Aug 2007 p. 4276.]*

**6.20. Notice of satisfactory assessment, duties of assessor before issuing**

- (1) In this regulation —  
**assessment instrument** for high risk work of a particular class, means a written statement of the steps to be taken, and the assessment methods to be used, by an assessor when conducting an assessment of a person's competency to do high risk work of that class.
- (2) An assessor must not issue a notice of satisfactory assessment in respect of a person's performance of high risk work of a particular class unless the assessor —
  - (a) is authorised to issue notices of satisfactory assessment for that class of work; and
  - (b) has assessed the person's competency in accordance with the approved assessment instrument for work of that class; and

- (c) having regard to the results of the assessment, is satisfied that the person is competent to do work of that class; and
- (d) is satisfied that the person has sufficient knowledge of the English language, both written and oral, to safely do work of that class.

Penalty: the regulation 1.15 penalty.

*[Regulation 6.20 inserted: Gazette 24 Aug 2007 p. 4276.]*

#### **6.21. Application for registration**

- (1) An application for registration in respect of one or more classes of high risk work must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.4.
- (2) After receiving an application the Commissioner may in writing direct the applicant to do any of the following within the period specified in the direction —
  - (a) provide additional information;
  - (b) undertake an oral test;
  - (c) undertake a written test.
- (3) If an applicant fails to comply with a direction under subregulation (2), the applicant is to be taken to have withdrawn the application.

*[Regulation 6.21 inserted: Gazette 24 Aug 2007 p. 4277.]*

#### **6.22. Deciding application for registration**

- (1) In this regulation —

***previously registered as an assessor*** means previously registered as an assessor under —

  - (a) this Division; or
  - (b) Part 6 of the former regulations; or

- (c) a law of another State or a Territory that the Commissioner recognises as being equivalent to the law set out in this Part or in Part 6 of the former regulations.
- (2) After receiving an application made under regulation 6.21, the Commissioner may register the applicant in respect of a class of high risk work to which the application relates if the Commissioner is satisfied that the applicant —
  - (a) holds a high risk work licence for that class of work; and
  - (b) has acquired, through training and work experience, the skills necessary to assess a person's competency to do high risk work of that class.
- (3) The Commissioner may refuse to register the applicant if the applicant was previously registered as an assessor and that registration was suspended or cancelled, either wholly or in part.

*[Regulation 6.22 inserted: Gazette 24 Aug 2007 p. 4277-8.]*

**6.23. Variation of registration, application for**

- (1) An assessor may apply for variation of his or her registration seeking registration in respect of one or more additional classes of high risk work.
- (2) An application for variation of a registration must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.4.
- (3) After receiving an application the Commissioner may in writing direct the applicant to do any of the following within the period specified in the direction —
  - (a) provide additional information;
  - (b) undertake an oral test;
  - (c) undertake a written test.
- (4) If an applicant fails to comply with a direction under subregulation (3), the applicant is to be taken to have withdrawn the application.

*[Regulation 6.23 inserted: Gazette 24 Aug 2007 p. 4278.]*

**6.24. Deciding application to vary registration**

- (1) After receiving an application made under regulation 6.23, the Commissioner may vary the applicant's registration registering the applicant in respect of a class of high risk work to which the application relates if the Commissioner is satisfied that the applicant —
  - (a) holds a high risk work licence for that class of work; and
  - (b) has acquired, through training and work experience, the skills necessary to assess a person's competency to do high risk work of that class.
- (2) A variation of a registration under this regulation takes effect on the day the registration is varied.
- (3) Nothing in subregulation (2) is to be taken to affect the period for which the applicant's registration is to have effect under regulation 6.27.

*[Regulation 6.24 inserted: Gazette 24 Aug 2007 p. 4279.]*

**6.25. Renewal of registration, application for**

- (1) An assessor may, before his or her registration expires, apply for renewal of the registration.
- (2) An application for renewal of registration must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.4.
- (3) After receiving an application the Commissioner may in writing direct the applicant to do any of the following within the period specified in the direction —
  - (a) provide additional information;
  - (b) undertake an oral test;
  - (c) undertake a written test.

- (4) If an applicant fails to comply with a direction under subregulation (3), the applicant is to be taken to have withdrawn the application.

*[Regulation 6.25 inserted: Gazette 24 Aug 2007 p. 4279-80.]*

**6.26. Deciding application to renew registration**

After receiving an application made under regulation 6.25, the Commissioner may renew the applicant's registration registering the applicant in respect of a class of high risk work to which the application relates if the Commissioner is satisfied that the applicant —

- (a) continues to hold a high risk work licence for that class of work; and
- (b) retains the skills necessary to assess a person's competency to do high risk work of that class.

*[Regulation 6.26 inserted: Gazette 24 Aug 2007 p. 4280.]*

**6.27. Duration of registration**

- (1) The registration of an assessor —
- (a) takes effect on the day the assessor is registered; and
  - (b) expires at the end of the period of 3 years beginning on the day the assessor is registered.
- (2) If an assessor applies for renewal of his or her registration, the following provisions apply —
- (a) if the application is not finalised on or before the day the registration expires (the *expiry date*), the registration continues to have effect until the application is finalised;
  - (b) if the Commissioner decides to renew the registration (whether the decision is made before, on or after the expiry date) the renewed registration —
    - (i) takes effect, or is deemed to have taken effect, on the day after the expiry date; and



- (ii) expires at the end of the period of 3 years beginning on the day after the expiry date.

- (3) Subregulation (2) also applies to the renewal of a registration renewed under that subregulation.

*[Regulation 6.27 inserted: Gazette 24 Aug 2007 p. 4280-1.]*

**6.28. Suspending registration as to particular class of high risk work, Commissioner's powers as to etc.**

- (1) The Commissioner may suspend an assessor's registration in respect of a particular class of high risk work if the Commissioner is satisfied that —
  - (a) the assessor has breached regulation 6.20; or
  - (b) the assessor no longer holds a high risk work licence for that class; or
  - (c) the assessor no longer has the skills necessary to assess a person's competency to do work of that class; or
  - (d) information contained in or provided in connection with an application made by the assessor under regulation 6.21, 6.23 or 6.25 was false or misleading in a material respect.
- (2) If the Commissioner suspends an assessor's registration under this regulation, the Commissioner is to give the assessor written notice of the suspension.
- (3) Suspension under this regulation has effect —
  - (a) from the time specified in the written notice, which is to be no earlier than when, in the ordinary course of events, the notice would have been received by the assessor; and
  - (b) for the period or until the happening of the event specified in the written notice.
- (4) If an assessor's registration in respect of a particular class of high risk work is suspended under this regulation, the person is to be regarded as not being registered in respect of that class of high risk work during the period of the suspension.

- (5) An assessor to whom a notice is given under subregulation (2) must return the certificate of registration to the Commissioner within the period specified in the notice unless the assessor has a reasonable excuse not to do so.

Penalty: the regulation 1.15 penalty.

*[Regulation 6.28 inserted: Gazette 24 Aug 2007 p. 4281-2.]*

**6.29. Cancelling registration as to particular class of high risk work, Commissioner's powers as to etc.**

- (1) The Commissioner may cancel an assessor's registration in respect of high risk work of a particular class if the Commissioner is satisfied that —
- (a) the assessor has breached regulation 6.20; or
  - (b) the assessor no longer holds a high risk work licence for that class; or
  - (c) the assessor no longer has the skills necessary to assess a person's competency to do work of that class; or
  - (d) information contained in or provided in connection with an application made by the assessor under regulation 6.21, 6.23 or 6.25 was false or misleading in a material respect.
- (2) The Commissioner may cancel an assessor's registration in respect of high risk work of a particular class if requested in writing to do so by the assessor.
- (3) If the Commissioner cancels an assessor's registration in respect of every class of high risk work in respect of which the assessor would, but for the cancellation or any suspension under regulation 6.28, be registered, the registration is cancelled.
- (4) The Commissioner is to give an assessor written notice of cancellation under this regulation.
- (5) Cancellation under this regulation has effect from the time specified in the written notice, which is to be no earlier than

when, in the ordinary course of events, the notice would have been received by the assessor.

- (6) An assessor to whom a notice is given under subregulation (4) must return the certificate of registration to the Commissioner unless the assessor has a reasonable excuse not to do so.

Penalty: the regulation 1.15 penalty.

*[Regulation 6.29 inserted: Gazette 24 Aug 2007 p. 4282-3.]*

**6.30. Certificate of registration, issue of etc.**

- (1) The Commissioner is to issue a person with a certificate of registration on the registration of the person under regulation 6.22.
- (2) The Commissioner is to issue a person with a replacement certificate of registration —
- (a) on the variation of the person's registration under regulation 6.24; and
  - (b) on the renewal of the person's registration under regulation 6.26; and
  - (c) on the suspension of the person's registration in respect of a class of high risk work under regulation 6.28, if the person is registered in respect of any other class or classes of high risk work; and
  - (d) on the cancellation of the person's registration in respect of a class of high risk work under regulation 6.29, if the person is registered in respect of any other class or classes of high risk work.
- (3) If a person's registration in respect of a class of high risk work is suspended under regulation 6.28 and the person returned to the Commissioner the certificate of registration, the Commissioner is to do either of the following, as appropriate, at the end of that suspension —
- (a) return to the person the certificate of registration returned to the Commissioner;

- (b) issue the person with a replacement certificate of registration.
- (4) A certificate of registration or a replacement certificate of registration is to specify —
  - (a) the class or classes of high risk work in respect of which the person is registered; and
  - (b) the day the registration expires under regulation 6.27.

*[Regulation 6.30 inserted: Gazette 24 Aug 2007 p. 4283-4.]*

**6.31. Duplicate certificate of registration, issue of**

If the Commissioner is satisfied that a certificate of registration has been lost, stolen or destroyed, the Commissioner may issue a duplicate certificate of registration to the assessor on payment of the fee set out in Schedule 6.4.

*[Regulation 6.31 inserted: Gazette 24 Aug 2007 p. 4284.]*

**Division 4 — Miscellaneous**

*[Heading inserted: Gazette 24 Aug 2007 p. 4285.]*

**6.32. Registered training organisation to retain records etc.**

- (1) If a registered training organisation provides training in high risk work to a person and the person's competency to do that work is subsequently assessed by an assessor employed or otherwise engaged by the registered training organisation to make that assessment, the registered training organisation must retain all records relating to the training and assessment of the person for 5 years after the assessment is made.
- (2) At the request of an inspector, a registered training organisation must produce records held under subregulation (1).

Penalty: the regulation 1.16 penalty.

*[Regulation 6.32 inserted: Gazette 24 Aug 2007 p. 4285.]*

## **Part 7 — Repeal, savings and transitional**

### **Division 1 — Original repeal, savings and transitional provisions**

*[Heading inserted: Gazette 24 Aug 2007 p. 4285.]*

#### **7.1. Terms used**

In this Division, unless the contrary appears —

***classified plant*** has the meaning that it has in regulation 103 of the repealed regulations and includes plant which, under those regulations, were deemed to comply with those regulations;

***commencement*** means the commencement of these regulations;

***designated plant*** has the meaning that it has in regulation 103 of the repealed regulations;

***repealed regulations*** means the regulations repealed by regulation 7.3.

*[Regulation 7.1 amended: Gazette 24 Aug 2007 p. 4285.]*

#### **7.2. Interpretation Act 1984 applies**

This Division does not limit the operation of the *Interpretation Act 1984*.

*[Regulation 7.2 amended: Gazette 24 Aug 2007 p. 4286.]*

#### **7.3. Repeal**

The *Occupational Safety and Health Regulations 1988* are repealed.

#### **7.4. Audiograms recorded under certain repealed regulations, disclosure of restricted**

(1) In this regulation —

***audiogram*** includes a copy of an audiogram recorded under the *Noise Abatement (Hearing Conservation in Workplaces) Regulations 1983*<sup>4</sup> and any written details or information

including test results or details of a computer code, relating to, identifying or derived from an audiogram so recorded, other than any statistical summary referred to in regulation 27 of those regulations or a copy of such a statistical summary.

- (2) Except as provided in subregulation (3), a person must not communicate —
- (a) the contents of, or any information on the contents of, an audiogram recorded under the *Noise Abatement (Hearing Conservation in Workplaces) Regulations 1983*<sup>4</sup>; or
  - (b) any information which enables or assists a person to gain access to the contents of or any information on the contents of an audiogram recorded under those regulations.

Penalty:

- (a) in the case of an individual —
    - (i) for a first offence, \$2 000; and
    - (ii) for a subsequent offence, \$2 500;or
  - (b) in the case of a body corporate —
    - (i) for a first offence, \$4 000; and
    - (ii) for a subsequent offence, \$5 000.
- (3) A person may make a communication referred to in subregulation (2) if it is made —
- (a) by, to, or with the written consent of, or at the written request of the person to whom the audiogram relates; or
  - (b) in accordance with the written approval of the Commissioner.

*[Regulation 7.4 amended: Gazette 14 Dec 2004 p. 6016.]*

**7.5. Designated plant of accepted design as at 1 Oct 1996 deemed to be registered for Part 4 Div. 2**

- (1) If —
- (a) immediately before the commencement —
    - (i) designated plant was of a design which had been accepted by the Commissioner under regulation 603 of the repealed regulations and the design of the plant included such modifications (if any) as had been required by the Commissioner for the acceptance of the design; or
    - (ii) under the repealed regulations plant was deemed to comply with those regulations,
  - and
  - (b) the plant referred to in paragraph (a)(i) or (ii) is also of a kind set out in Schedule 4.1,

then, on the commencement, the design for that plant is to be treated as being registered for the purposes of Division 2 of Part 4.

- (2) On the commencement, the identification number which had been assigned to the design for the plant referred to in subregulation (1)(a)(i) or (ii) is to be treated as the design registration number issued under regulation 4.10 for the plant design.

*[7.6. Deleted: Gazette 24 Aug 2007 p. 4286.]*

**7.7. Classified plant with current certificate of inspection as at 1 Oct 1996 deemed to be registered for Part 4 Div. 2**

- (1) If, immediately before the commencement, a certificate of inspection was current in respect of any classified plant and that plant is also an individual item of plant of a kind set out in Schedule 4.2 then, on the commencement, the classified plant is to be treated as being a registered item of plant for the purposes of Division 2 of Part 4.

**Occupational Safety and Health Regulations 1996**

**Part 7** Repeal, savings and transitional

**Division 2** Savings and transitional provisions relating to the Occupational Safety and Health Amendment Regulations (No. 3) 2007

**r. 7.8**

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(2) On the commencement —

- (a) the limitations, restrictions or conditions (if any) which had been endorsed on the certificate of inspection relating to an item of classified plant referred to in subregulation (1) are to be treated as conditions imposed under regulation 4.17(1)(b); and
- (b) the identification number which had been assigned to an item of classified plant referred to in subregulation (1) is to be treated as the registration number issued under regulation 4.19 for that item.

**7.8. Certain designated plant inspected as at 1 Oct 1996 deemed to be registered for Part 4 Div. 2**

- (1) If, immediately before the commencement, designated plant to which Part B of Schedule 4 of the repealed regulations applied had been inspected in accordance with regulation 603A of the repealed regulations and that plant is also an individual item of plant of a kind set out in Schedule 4.2 then, on the commencement, the plant is to be treated as being a registered item of plant for the purposes of Division 2 of Part 4.
- (2) On the commencement the identification number which had been assigned to an item of designated plant referred to in subregulation (1) is to be treated as the registration number issued under regulation 4.19 for that item.

**Division 2 — Savings and transitional provisions relating to the Occupational Safety and Health Amendment Regulations (No. 3) 2007**

*[Heading inserted: Gazette 24 Aug 2007 p. 4286.]*

**Subdivision 1 — Preliminary**

*[Heading inserted: Gazette 24 Aug 2007 p. 4286.]*

**7.9. Terms used**

- (1) In this Division —  
*certificate of competency* has the meaning that was given in regulation 6.1(1) of the former regulations and includes a



certificate of competency treated under regulation 7.10 of those regulations as a certificate of competency for the purposes of Part 6 of those regulations;

**commencement day** means the day on which the *Occupational Safety and Health Amendment Regulations (No. 3) 2007* regulation 9 came into operation;

**documentary evidence of forklift competency**, in relation to a person, means documentary evidence that the person satisfies the competency requirements of the *National Guidelines for Occupational Health and Safety Competency Standards for the Operation of Loadshifting Equipment and Other Types of Specified Equipment* [NOHSC: 7019 (1992)] for loadshifting equipment;

**forklift truck** has the meaning given in Schedule 6.3 clause 8;

**forklift work** means high risk work of a class —

- (a) listed in column 2 of the Table in Schedule 6.3 clause 9;  
and
- (b) described in column 3 of the Table;

**former regulations** means these regulations as in force immediately before the commencement day;

**high risk work** has the meaning given in regulation 6.1(1);

**high risk work (interstate) licence** has the meaning given in regulation 6.1(1);

**high risk work (WA) licence** has the meaning given in regulation 6.1(1);

**industrial equipment** means equipment to which the national standard applies;

**national standard** means the *National Occupational Health and Safety Certification Standard for Users and Operators of Industrial Equipment* [NOHSC: 1006 (2001)];

**notice of satisfactory assessment** has the meaning given in regulation 6.1(1);

***prescribed work*** means work to which the national standard applies;

***transition period***, in relation to a certificate of competency, or documentary evidence of forklift competency, means the period applying under regulation 7.11;

***work that the person was authorised to do*** —

(a) in relation to a certificate of competency, means —

(i) the prescribed work specified in the certificate;  
or

(ii) use or operation of industrial equipment specified in the certificate;

and

(b) in relation to documentary evidence of forklift competency, means operation of a forklift truck of a type specified in the documentary evidence.

(2) In this Division, an application is ***finalised*** —

(a) when, in the ordinary course of events, notice of the Commissioner's decision on the application would have been received by the applicant; or

(b) when the applicant withdraws or is taken to have withdrawn the application.

(3) In this Division, a person ***holds*** a high risk work licence for a class of high risk work if the person is authorised under the licence to do high risk work of that class.

*[Regulation 7.9 inserted: Gazette 24 Aug 2007 p. 4286-8.]*

#### **7.10. Interpretation Act 1984, application of**

The provisions of this Division do not prejudice or affect the application of the *Interpretation Act 1984* to and in relation to the repeals effected by the *Occupational Safety and Health Amendment Regulations (No. 3) 2007*.

*[Regulation 7.10 inserted: Gazette 24 Aug 2007 p. 4288.]*

**Subdivision 2 — Conversion to high risk work licence**

*[Heading inserted: Gazette 24 Aug 2007 p. 4289.]*

**7.11. Evidence of forklift competency, transition period for**

- (1) The transition period for a certificate of competency, or for documentary evidence of forklift competency, issued —
  - (a) before 1 January 1996 is the period beginning on the commencement day and ending on 30 September 2008;
  - (b) between 1 January 1996 and 31 December 1998 is the period beginning on the commencement day and ending on 30 June 2009;
  - (c) between 1 January 1999 and 31 December 2001 is the period beginning on the commencement day and ending on 30 June 2010;
  - (d) between 1 January 2002 and 31 December 2004 is the period beginning on the commencement day and ending on 30 June 2011;
  - (e) after 31 December 2004 and before the commencement day is the period beginning on the commencement day and ending on 30 June 2012.
- (2) The Commissioner may, by notice in the *Gazette*, extend a transition period for certificates of competency issued —
  - (a) in another State or a Territory; and
  - (b) during a particular period.

*[Regulation 7.11 inserted: Gazette 24 Aug 2007 p. 4289.]*

**7.12. Certificate of competency, effect of in transition period**

- (1) During the transition period for a certificate of competency —
  - (a) the holder of the certificate may do the work that the holder was authorised to do under the certificate; and
  - (b) regulation 6.2(1) does not apply to the holder's performance of the work.

- (2) If the holder applies for a high risk work (WA) licence under regulation 7.16(1) and the application is not finalised, regulation 6.2(1) does not apply to the holder's performance of the work that the holder was authorised to do under the certificate.

*[Regulation 7.12 inserted: Gazette 24 Aug 2007 p. 4290.]*

**7.13. Forklift, operating in transition period**

- (1) During the transition period for documentary evidence of forklift competency —
- (a) the person who has the documentary evidence may do the work that the person was authorised to do under the documentary evidence; and
  - (b) regulation 6.2(1) does not apply to the person's performance of the work.
- (2) If the person applies for a high risk work (WA) licence under regulation 7.16(2) and the application is not finalised, regulation 6.2(1) does not apply to the person's performance of the work that the person was authorised to do under the documentary evidence.

*[Regulation 7.13 inserted: Gazette 24 Aug 2007 p. 4290.]*

**7.14. Suspending and cancelling certificate of competency in transition period, Commissioner's powers as to**

- (1) During the transition period for a certificate of competency issued in this State, the Commissioner may suspend or cancel the certificate, either wholly or in part —
- (a) in accordance with the national standard; or
  - (b) if the Commissioner is requested in writing to do so by the holder of the certificate.
- (2) If the Commissioner suspends or cancels a certificate of competency under this regulation, the Commissioner is to give the holder of the certificate written notice of the suspension or cancellation, as the case requires.

- (3) Suspension under this regulation has effect —
- (a) from the time specified in the written notice, which is to be no earlier than when, in the ordinary course of events, the notice would have been received by the holder; and
  - (b) for the period or until the happening of the event specified in the written notice.
- (4) Cancellation under this regulation has effect from the time specified in the written notice, which is to be no earlier than when, in the ordinary course of events, the notice would have been received by the holder.

*[Regulation 7.14 inserted: Gazette 24 Aug 2007 p. 4290-1;  
amended: Gazette 15 May 2009 p. 1644.]*

**7.15. Forklift, Commissioner may stop person operating in transition period**

- (1) During the transition period for documentary evidence of forklift competency, the Commissioner may direct that regulation 7.13(1) does not apply to the performance of the work that the person was authorised to do under the documentary evidence if the Commissioner is satisfied that the person no longer satisfies the competency requirements of the *National Guidelines for Occupational Health and Safety Competency Standards for the Operation of Loadshifting Equipment and Other Types of Specified Equipment* [NOHSC: 7019 (1992)] for loadshifting equipment.
- (2) A direction under this regulation has effect —
- (a) from the time specified by the Commissioner, which is to be no earlier than when, in the ordinary course of events, notice of the direction would have been received by the person; and
  - (b) if so directed by the Commissioner — for the period, or until the happening of the event, specified by the Commissioner.

*[Regulation 7.15 inserted: Gazette 24 Aug 2007 p. 4291-2.]*

**7.16. Licence, application for in transition period**

- (1) During the transition period for a certificate of competency, the holder of the certificate may apply for a licence for the class or classes of high risk work corresponding to the work that the holder was authorised to do under the certificate.
- (2) During the transition period for documentary evidence of forklift competency, the person who has the documentary evidence may apply for a licence for the class or classes of forklift work corresponding to the work that the person was authorised to do under the documentary evidence.
- (3) An application under subregulation (1) or (2) must be made to the Commissioner in an approved form and be accompanied by the application fee set out in Schedule 6.4.
- (4) After receiving an application the Commissioner may in writing direct the applicant to provide additional information within the period specified in the direction.
- (5) If an applicant fails to comply with a direction under subregulation (4), the applicant is to be taken to have withdrawn the application.

*[Regulation 7.16 inserted: Gazette 24 Aug 2007 p. 4292.]*

**7.17. Deciding r. 7.16(1) application for licence etc.**

- (1) After receiving an application made under regulation 7.16(1), the Commissioner may grant a licence authorising the applicant to do high risk work of a class to which the application relates if the Commissioner is satisfied that the applicant —
  - (a) does not hold a high risk work (interstate) licence for that class of work; and
  - (b) is competent to do high risk work of that class.

- (2) For the purposes of subregulation (1)(b) —
- (a) an applicant is to be regarded as being competent to do high risk work of a particular class (other than the class listed and described in item 2 of the Table in Schedule 6.3 clause 7) if the applicant has maintained the competency required, under the national standard, of certificate holders for work corresponding to work of that class; and
  - (b) an applicant is to be regarded as being competent to do high risk work of the class listed and described in item 2 of the Table in Schedule 6.3 clause 7 only if —
    - (i) the applicant has a notice of satisfactory assessment for that class of work; and
    - (ii) the notice was issued to the applicant not more than 60 days before the applicant applies under regulation 7.16(1).
- (3) Despite regulation 7.12(1), if the Commissioner grants or refuses to grant a licence to the applicant under this regulation during the transition period for the certificate, regulation 7.12(1) ceases to apply, when the application is finalised, to the applicant's performance of the work that the applicant was authorised to do under the certificate.
- (4) A licence granted under this regulation is to be treated as a licence granted under regulation 6.6.
- (5) For the purposes of applying Part 6 to or in relation to a licence granted under this regulation, a reference in regulation 6.12(1)(b) or 6.13(1)(b) to an application made under regulation 6.5 is to be taken as a reference to an application made under regulation 7.16(1).

*[Regulation 7.17 inserted: Gazette 24 Aug 2007 p. 4293-4.]*

**7.18. Forklift licence, deciding r. 7.16(2) application for etc.**

- (1) After receiving an application made under regulation 7.16(2), the Commissioner may grant a licence authorising the applicant to do forklift work of a class to which the application relates if the Commissioner is satisfied that the applicant —
  - (a) does not hold a high risk work (interstate) licence for that class of work; and
  - (b) is competent to do forklift work of that class.
- (2) For the purposes of subregulation (1)(b) —
  - (a) an applicant is to be regarded as being competent to do forklift work of a particular class if —
    - (i) the applicant's documentary evidence of forklift competency was issued by a person who was authorised by the Commissioner to issue the documentary evidence; and
    - (ii) the Commissioner is satisfied that the applicant continues to satisfy the competency requirements of the *National Guidelines for Occupational Health and Safety Competency Standards for the Operation of Loadshifting Equipment and Other Types of Specified Equipment* [NOHSC: 7019 (1992)] for loadshifting equipment;and
  - (b) an applicant is not to be regarded as being competent to do forklift work of a particular class if the applicant's documentary evidence of forklift competency was not issued by a person who was authorised by the Commissioner to issue the documentary evidence, unless —
    - (i) the applicant has a notice of satisfactory assessment for that class of work; and



- (ii) the notice was issued to the person not more than 60 days before the person applies under regulation 7.16(2).
- (3) Despite regulation 7.13(1), if the Commissioner grants or refuses to grant a licence to the applicant under this regulation during the transition period, regulation 7.13(1) ceases to apply, when the application is finalised, to the applicant's performance of the work that the applicant was authorised to do under the documentary evidence.
- (4) A licence granted under this regulation is to be treated as a licence granted under regulation 6.6.
- (5) For the purposes of applying Part 6 to or in relation to a licence granted under this regulation, a reference in regulation 6.12(1)(b) or 6.13(1)(b) to an application made under regulation 6.5 is to be taken as a reference to an application made under regulation 7.16(2).

*[Regulation 7.18 inserted: Gazette 24 Aug 2007 p. 4294-5.]*

**7.19. Unfinished application as at 1 Oct 2007 for certificate of competency**

- (1) This regulation applies to an application for a certificate of competency that was made under Part 6 of the former regulations but was not decided on before the commencement day.
- (2) An application to which this regulation applies is to be dealt with under regulation 6.6 as if it were an application, made under regulation 6.5, for a licence for the class or classes of high risk work corresponding to the work for which the applicant sought the certificate of competency.

*[Regulation 7.19 inserted: Gazette 24 Aug 2007 p. 4296.]*

**Subdivision 3 — Assessors**

*[Heading inserted: Gazette 24 Aug 2007 p. 4296.]*

**7.20. Assessor as at 1 Oct 2007, status of etc.**

- (1) In this regulation —

**existing assessor** means a person who, immediately before the commencement day, was an assessor as defined in regulation 6.1(1) of the former regulations and includes a person treated under regulation 7.11 of those regulations as an assessor.

- (2) On and from the commencement day, an existing assessor is to be treated as an assessor registered under regulation 6.22 in respect of the class or classes of high risk work corresponding to the work in relation to which, immediately before the commencement day, the assessor had authority to make assessments.
- (3) For the purposes of applying Part 6 to or in relation to an existing assessor, the following modifications apply —
- (a) the requirement under regulation 6.26(a) to continue to hold a high risk work licence for a class of high risk work is satisfied if, before the renewal, the assessor is granted a licence for that class of work under regulation 7.17;
  - (b) regulation 6.27(1) does not apply and instead the registration of the assessor —
    - (i) takes effect on the commencement day; and
    - (ii) expires on the day on which the assessor's registration under the former regulations would have expired had the *Occupational Safety and Health Amendment Regulations (No. 3) 2007* regulation 9 not come into operation;
  - (c) until the assessor is granted a high risk work licence under regulation 7.17 —
    - (i) regulations 6.28(1)(b) and 6.29(1)(b) do not apply; and

- (ii) instead the Commissioner may suspend or cancel the assessor's registration in respect of a particular class of high risk work if the Commissioner is satisfied that the assessor no longer holds a certificate of competency for the work corresponding to high risk work of that class;
- (d) a reference in regulation 6.28(1)(d) or 6.29(1)(d) to an application made under regulation 6.21 is to be taken as a reference to an application made under Part 6 of the former regulations in connection with the assessor's registration.

*[Regulation 7.20 inserted: Gazette 24 Aug 2007 p. 4296-7.]*

**7.21. Assessor of forklift competency as at 1 Oct 2007, status of etc.**

- (1) In this regulation —  
*existing assessor of forklift competency* means a person who, immediately before the commencement day, was authorised by the Commissioner to issue documentary evidence of forklift competency.
- (2) On and from the commencement day, an existing assessor of forklift competency is to be treated as an assessor registered under regulation 6.22 in respect of the class or classes of forklift work corresponding to the work in relation to which, immediately before the commencement day, the assessor was authorised to issue documentary evidence of forklift competency.
- (3) For the purposes of applying Part 6 to or in relation to an existing assessor of forklift competency, the following modifications apply —
  - (a) the requirement under regulation 6.26(a) to continue to hold a high risk work licence for a class of high risk work is satisfied if, before the renewal, the assessor is

granted a licence for that class of work under regulation 7.18;

- (b) regulation 6.27(1) does not apply and instead the registration of the assessor —
  - (i) takes effect on the commencement day; and
  - (ii) expires on the day specified by the Commissioner, when the assessor was last authorised before the commencement day to issue documentary evidence of forklift competency, as the day on which the authority was to expire;
- (c) until the assessor is granted a high risk work licence under regulation 7.18 —
  - (i) regulations 6.28(1)(b) and 6.29(1)(b) do not apply; and
  - (ii) instead the Commissioner may suspend or cancel the assessor's registration in respect of a particular class of forklift work if the Commissioner is satisfied that the assessor is no longer competent to do forklift work of that class;
- (d) a reference in regulation 6.28(1)(d) or 6.29(1)(d) to an application made under regulation 6.21 is to be taken as a reference to an application made to the Commissioner in connection with the assessor's authority to issue documentary evidence of forklift competency.

*[Regulation 7.21 inserted: Gazette 24 Aug 2007 p. 4298-9.]*

**7.22. Unfinished application as at 1 Oct 2007 for registration as an assessor**

- (1) This regulation applies to an application for registration as an assessor that was made under Part 6 of the former regulations but was not decided on before the commencement day.

- (2) An application to which this regulation applies is to be dealt with under regulation 6.22 as if it were an application, made under regulation 6.21, for registration as an assessor in respect of the class or classes of high risk work corresponding to the work in relation to which the applicant sought registration under the former regulations.
- (3) For the purposes of deciding on an application to which this regulation applies, the requirement under regulation 6.22(2)(a) to hold a high risk work licence is satisfied if the applicant holds a certificate of competency for the work in relation to which the applicant sought registration under the former regulations.
- (4) For the purposes of applying Part 6 to or in relation to an assessor registered in accordance with this regulation, the following modifications apply —
  - (a) the requirement under regulation 6.26(a) to continue to hold a high risk work licence for a class of high risk work is satisfied if, before the renewal, the assessor is granted a licence for that class of work under regulation 7.17;
  - (b) until the assessor is granted a high risk work licence under regulation 7.17 —
    - (i) regulations 6.28(1)(b) and 6.29(1)(b) do not apply; and
    - (ii) instead the Commissioner may suspend or cancel the assessor's registration in respect of a particular class of high risk work if the Commissioner is satisfied that the assessor no longer holds a certificate of competency for the work corresponding to high risk work of that class.

*[Regulation 7.22 inserted: Gazette 24 Aug 2007 p. 4299-300.]*

## Schedule 1 — Australian Standards and Australian/New Zealand Standards

[r. 1.3]

*[Heading inserted: Gazette 28 Nov 2008 p. 5032.]*

Item	AS or AS/NZS Number	Title	Regulation Reference
1	AS/NZS 1200:2000	Pressure equipment	4.1, 4.43, Sch. 4.3
2	AS/NZS 1269	Occupational noise management	3.45, 3.47
	AS/NZS 1269.1:2005	Occupational noise management — Measurement and assessment of noise immission and exposure	
	AS/NZS 1269.3:2005	Occupational noise management — Hearing protector program	
3	AS 1319-1994	Safety signs for the occupational environment	1.3, 3.11, 3.34, 3.36, 4.1
4	AS/NZS 1337:1992	Eye protectors for industrial applications	3.33
5	AS/NZS 1338:1992	Filters for eye protectors	3.33
6	AS 1418	Cranes (including hoists and winches)	4.54, Sch. 4.3
	AS 1418.1-2002	Cranes, hoists and winches — General requirements	
	AS 1418.2-1997	Serial hoists and winches	
	AS 1418.3-1997	Bridge, gantry, portal (including container cranes) and jib cranes	
	AS 1418.4-2004	Cranes, hoists and winches — Tower cranes	

<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS 1418.5-2002	Mobile cranes	
	AS 1418.6-2004	Cranes, hoists and winches — Guided storing and retrieving appliances	
	AS 1418.7-1999	Builders' hoists and associated equipment	
	AS 1418.8-2008	Special purpose appliances	
	AS/NZS 1418.9:1996	Vehicle hoists	
	AS 1418.10(Int)-2004	Cranes, hoists and winches — Elevating work platforms	
	AS 1418.11-2007	Cranes, hoists and winches — Vehicle-loading cranes	
	AS 1418.12-1991	Crane collector systems	
	AS 1418.13-1996	Building maintenance units	
	AS 1418.14-1996	Requirements for cranes subject to arduous working conditions	
	AS 1418.15-1994	Concrete placing equipment	
	AS 1418.16-1997	Mast climbing work platforms	
	AS 1418.17-1996	Design and construction of workboxes	
	AS 1418.18-2001	Crane runways and monorails	
7	AS/NZS 1576	Scaffolding	3.67, 3.72, 3.128, Sch. 4.3
	AS/NZS 1576.1:1995	General requirements	
	AS 1576.2-1991	Couplers and accessories	

<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS/NZS 1576.3:1995	Prefabricated and tube-and-coupler scaffolding	
	AS 1576.4-1991	Suspended scaffolding	
	AS/NZS 1576.5:1995	Prefabricated splitheads and trestles	
	AS/NZS 1576.6:2000	Metal tube-and-coupler scaffolding — Deemed to comply with AS/NZS 1576.3	
8	AS 1636-1996	Agricultural wheeled tractors — Roll-over protective structures — Criteria and tests	4.44
9	AS 1674	Safety in welding and allied processes	3.96
	AS 1674.1-1997	Fire precautions	
	AS 1674.2-2007	Safety in welding and allied processes — Electrical	
10	AS/NZS 1715:2009	Selection, use and maintenance of respiratory protective devices	3.37, 3.40, 3.42
11	AS/NZS 1716:2003	Respiratory protective devices	3.40, 3.42
12	AS 1735	Lifts, escalators, and moving walks (known as the SAA Lift Code)	4.56, Sch. 4.3
	AS 1735.1-2003	Lifts, escalators and moving walks — General requirements	
	AS 1735.2-2001	Passenger and goods lifts — Electric	



<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS 1735.3-2002	Lifts, escalators and moving walks — Passenger and goods lifts — Electrohydraulic	
	AS 1735.4-1986	Service lifts — Power-operated	
	AS 1735.5-2003	Lifts, escalators and moving walks — Escalators and moving walks (BS EN 115:1995, MOD)	
	AS 1735.7-1998	Stairway lifts	
	AS 1735.8-1986	Inclined lifts	
	AS 1735.9-1994	Special purpose industrial lifts	
	AS 1735.10(Int)-1998	Tests	
	AS 1735.11-1986	Fire-rated landing doors	
	AS 1735.12-1999	Facilities for persons with disabilities	
	AS 1735.13-1986	Lifts for persons with limited mobility — Manually powered	
	AS 1735.14-1998	Low-rise platforms for passengers	
	AS 1735.15-2002	Lifts for people with limited mobility — Restricted use — Non-automatically controlled	
	AS 1735.16-1993	Lifts for persons with limited mobility — Restricted use — Automatically controlled	

<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS 1735.17-1995	Lifts for people with limited mobility — Restricted use — Water-drive	
	AS/NZS 1735.18:2002	Passenger lifts for private residence — Automatically controlled	
13	AS/NZS 1801:1997	Occupational protective helmets	3.33, 3.36
14	AS/NZS 1873	Powder-actuated (PA) hand-held fastening tools	4.51
	AS/NZS 1873.1:2003	Powder-actuated (PA) hand-held fastening tools — Selection, operation and maintenance	
	AS/NZS 1873.2:2003	Powder-actuated (PA) hand-held fastening tools — Design and construction	
	AS/NZS 1873.3:2003	Powder-actuated (PA) hand-held fastening tools — Charges	
	AS/NZS 1873.4:2003	Powder-actuated (PA) hand-held fastening tools — Fasteners	
15	AS/NZS 1892	Portable ladders	3.26
	AS/NZS 1892.1:1996	Metal	
	AS 1892.2-1992	Timber	
16	AS 2030	The verification, filling, inspection, testing and maintenance of cylinders for storage and transport of compressed gases	4.1, 4.43, Sch. 4.2, Sch. 4.3

Item	AS or AS/NZS Number	Title	Regulation Reference
	AS 2030.1-2009	Cylinders for compressed gases other than acetylene	
	AS 2030.2-1996	Cylinders for dissolved acetylene	
	AS 2030.4-1985	Welded cylinders — Insulated	
	AS 2030 Supplement 1-1986	Foreign gas cylinder specifications	
17	AS 2106.0-2005	Methods for the determination of the flash point of flammable liquids (closed cup) — General	3.99
18	AS/NZS 2161	Occupational protective gloves	3.33
	AS/NZS 2161.1:2000	Selection, use and maintenance	
	AS/NZS 2161.2:2005	Occupational protective gloves — General requirements	
	AS/NZS 2161.3:2005	Occupational protective gloves — Protection against mechanical risks	
	AS/NZS 2161.4:1999	Protection against thermal risks (heat and fire)	
	AS/NZS 2161.5:1998	Protection against cold	
	AS 2161.6-2003	Occupational protective gloves — Protective gloves for firefighters — Laboratory test methods and performance requirements	

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<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS/NZS 2161.7.1:1998	Protection against cuts and stabs by hand knives — Chainmail gloves and arm guards	
	AS/NZS 2161.8:2002	Protection against ionizing radiation and radioactive contamination	
	AS/NZS 2161.9:2002	Method of measurement and evaluation of the vibration transmissibility of gloves at the palm of the hand	
	AS/NZS 2161.10.1:2005	Occupational protective gloves — Protective gloves against chemicals and micro-organisms — Terminology and performance requirements	
	AS/NZS 2161.10.2:2005	Occupational protective gloves — Protective gloves against chemicals and micro-organisms — Determination of resistance to penetration	
	AS/NZS 2161.10.3:2005	Occupational protective gloves — Protective gloves against chemicals and micro-organisms — Determination of resistance to permeation by chemicals	
19	AS/NZS 2208:1996	Safety glazing materials in buildings	3.104
20	AS/NZS 2210	Occupational protective footwear	3.33

<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS/NZS 2210.1:1994	Guide to selection care and use	
	AS/NZS 2210.2:2000	Requirements and test methods	
	AS/NZS 2210.3:2000	Specification for safety footwear	
	AS/NZS 2210.4:2000	Specification for protective footwear	
	AS/NZS 2210.5:2000	Specification for occupational footwear	
	AS/NZS 2210.6:2001	Additional requirements and test methods	
	AS/NZS 2210.7:2001	Additional specifications for safety footwear	
	AS/NZS 2210.8:2001	Additional specifications for protective footwear	
	AS/NZS 2210.9:2001	Additional specifications for occupational footwear	
21	AS/NZS 2211	Laser safety	4.49
	AS/NZS 2211.1:2004	Safety of laser products — Equipment classification, requirements and user's guide (IEC 60825-1:2001, MOD)	
	AS/NZS 2211.10:2004	Safety of laser products — Application guidelines and explanatory notes to AS/NZS 2211.1 (IEC TR 60825-10:2002, MOD)	
22	AS 2268-1979	Electrostatic paint and powder spray guns for explosive atmospheres	3.101

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<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
23	AS 2294	Earth-moving machinery — Protective structures	4.44, 4.45
	AS 2294.1-1997	Earth-moving machinery — Protective structures — General	
	AS 2294.1 Supplement 1-2003	Earth-moving machinery — Protective structures — General — Operator protective structures fitted to plant used in timber industry (forest operations) (Supplement to AS 2294.1-1997)	
	AS 2294.2-1997	Earth-moving machinery — Protective structures — Laboratory tests and performance requirements for roll-over protective structures	
	AS 2294.3-1997	Earth-moving machinery — Protective structures — Laboratory tests and performance requirements for falling-object protective structures	
	AS 2294.4-1997	Earth-moving machinery — Protective structures — Specifications for deflection-limiting volume	
24	AS/NZS 2299	Occupational diving operations	3.29

<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS/NZS 2299.1:1999	Occupational diving operations — Standard operational practice	
	AS/NZS 2299.1 Supplement 1:1999	Standard operational practice — AS/NZS 2299 Diving Medical Examination Forms	
25	AS/NZS ISO 2801:2008	Clothing for protection against heat and flame — General recommendations for selection, use, care and maintenance	3.33
26A	AS/NZS 4501.1:2008	Occupational protective clothing — Guidelines on the selection, use, care and maintenance of protective clothing	3.33
26	AS 2397-1993	Safe use of lasers in the building and construction industry	4.49
27	AS 2444-2001	Portable fire extinguishers and fire blankets — Selection and location	3.9
28	AS 2550	Cranes — Safe use	4.54
	AS 2550.1-2002	General requirements	
	AS 2550.3-2002	Bridge, gantry, portal (including container cranes), jib and monorail cranes	
	AS 2550.4-2004	Cranes, hoists and winches — Safe use — Tower cranes	
	AS 2550.5-2002	Mobile cranes	
	AS 2550.6-1995	Guided storing and retrieving appliances	

<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS 2550.7-1996	Builders' hoists and associated equipment	
	AS/NZS 2550.9:1996	Vehicle hoists	
	AS/NZS 2550.10-2006	Cranes, hoists and winches — Safe use — Mobile elevating work platforms	
	AS 2550.11-2004	Cranes, hoists and winches — Safe use — Vehicle-loading cranes	
	AS 2550.13-1997	Building maintenance units	
	AS 2550.15-1994	Concrete placing equipment	
	AS 2550.16-1997	Mast climbing work platforms	
29	AS 2593-2004	Boilers — Safety management and supervision systems	4.43
30	AS 2601-2001	Demolition of structures	3.119, 3.120, 3.122, 3.123, 3.124, 3.125
31	AS/NZS 2604:1998	Sunscreen products — Evaluation and classification	3.33
32	AS/NZS 2865:2001	Safe working in a confined space	3.85
33	AS 2971-2007	Serially produced pressure vessels	Sch. 4.2
34	AS 2985-2004	Workplace atmospheres — Method for sampling and gravimetric determination of respirable dust	3.37



<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
35	AS/NZS 3000:2007	Electrical installations (known as the Australian/New Zealand Wiring Rules)	3.101, 4.27
36	AS/NZS 3012:2003	Electrical installations — Construction and demolition sites	3.58, 3.60, 3.61, 3.62, 3.63, 3.64
37	AS/NZS 61558.2.23:2001	Safety of power transformers, power supply units and similar devices — Particular requirements for transformers for construction sites (IEC 61558-2-23:2000, MOD)	3.60
38	AS/NZS 3509:2009	LP gas fuel vessels for automotive use	Sch. 4.2, Sch. 4.3
39	AS 3533	Amusement rides and devices	4.52, Sch. 4.1, Sch. 4.2, Sch. 4.3
	AS 3533.1-1997	Amusement rides and devices — Design and construction	
	AS 3533.1 Supplement 1-2003	Amusement rides and devices — Design and construction — Intrinsic safety (Supplement to AS 3533.1-1997)	
	AS 3533.2-1997	Amusement rides and devices — Operation and maintenance	

<b>Item</b>	<b>AS or AS/NZS Number</b>	<b>Title</b>	<b>Regulation Reference</b>
	AS 3533.2 Supplement 1-1997	Amusement rides and devices — Operation and maintenance — Logbook (Supplement to AS 3533.2-1997)	
	AS 3533.3-2003	Amusement rides and devices — In-service inspection	
	AS 3533.4.1-2005	Amusement rides and devices — Specific requirements — Land-borne inflatable devices	
40	AS 3640-2004	Workplace atmospheres — Method for sampling and gravimetric determination of inhalable dust	3.37
41	AS 3765-1990	Clothing for protection against hazardous chemicals	3.33
42	AS/NZS 3788:2006	Pressure equipment — In-service inspection	4.43
43	AS 3850-2003	Tilt-up concrete construction	3.88, 3.88B, 3.88C, 3.88D, 3.88E
44	AS 3873-2001	Pressure equipment — Operation and maintenance	4.43
45	AS 3892-2001	Pressure equipment — Installation	4.43
46	AS 3920.1-1993	Assurance of product quality — Pressure equipment manufacture	4.3, Sch. 4.3
47	AS/NZS 4114	Spray painting booths	3.100

Item	AS or AS/NZS Number	Title	Regulation Reference
	AS/NZS 4114.1:2003	Spray painting booths, designated spray painting areas and paint mixing rooms — Design, construction and testing	
	AS/NZS 4114.2:2003	Spray painting booths, designated spray painting areas and paint mixing rooms — Installation and maintenance	
48	AS 4343-2005	Pressure equipment — Hazard levels	4.1, Sch. 4.1, Sch. 4.2, Sch. 4.3
49	AS/NZS 4431:1996	Guidelines for safe working on new lift installations in new constructions	4.57
50	AS/NZS 4576:1995	Guidelines for scaffolding	3.26

*[Schedule 1 inserted: Gazette 28 Nov 2008 p. 5032-41; amended: Gazette 5 Jun 2009 p. 1880-1; 15 Jan 2010 p. 75; 2 Nov 2010 p. 5499-500.]*

## Schedule 2 — Forms relating to general provisions

### Form 1 — Notification of injury

[Regulation 2.4(2)]

#### *Occupational Safety and Health Act 1984*

WorkSafe Western Australia Commissioner  
PO Box 294  
WEST PERTH WA 6872  
Phone: (08) 9327 8777 Fax: (08) 9321 8973

INJURY REPORTING TELEPHONES:  
(08) 9327 8800  
1800 198118

#### Section 1: Employer Details

Employer Name:

Workplace Name:

Address:

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-----
-----
Suburb/Town:
Postcode:
Phone Number:
Fax Number:
WorkCover Number:

Date of Injury:

-- / -- / --

Time of injury:

-- : -- am

-- : -- pm

Address of workplace  
where injury occurred:

Phone Number:

Fax Number:

Type of workplace

where injury occurred:

(eg. construction site, panel  
beating shop, etc)

-----	
-----	
Suburb/Town:	Postcode:
-----	-----
-----	-----
-----	
-----	

#### Section 2: Details of injured person

Surname:

Given Names:

Occupation:

-----
-----
-----
Date of Birth: -- / -- / --      Age: --
Sex:    Male: <input type="checkbox"/> Female: <input type="checkbox"/>

Estimated time

person is unable to

work: -- days

**Section 3: Injury Details**

Nature of injury:

Brief description of how injury occurred:


Place injured person removed to:

Name of person reporting accident:

Position:

Phone Number:


Person for liaison:

Phone Number:


OFFICE USE ONLY:

Person receiving report: .....

Date: \_\_/\_\_/\_\_

Time: .....

- ☐ Nat.
- ☐ Loc.
- ☐ Ag.
- ☐ Type

*[Form 1 amended: Gazette 7 Jun 2002 p. 2735-6.]*

**Form 2** Notification of Disease

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**Form 2 — Notification of Disease**

[Regulation 2.5(2)]

***Occupational Safety and Health Act 1984***

WorkSafe Western Australia Commissioner  
PO Box 294  
WEST PERTH WA 6872  
Phone: (08) 9327 8777 Fax: (08) 9321 8973

DISEASE REPORTING TELEPHONES:  
(08) 9327 8800  
1800 198118

**Section 1: Employer Details**

Employer Name:		
Workplace Name:		
Address:		
	Suburb/Town:	Postcode:
Phone Number:		WorkCover Number:
Fax Number:		

**Section 2: Details of person affected**

Surname:		
Given Names:		
Occupation:		
	Date of Birth: __ / __ / __	Age: __
Sex:	Male: <input type="checkbox"/>	Female: <input type="checkbox"/>

**Section 3: Diagnosis Details**

Name of Disease:		
Date of Diagnosis:		
Name of Medical Practitioner:		
Address:		
	Suburb/Town:	Postcode:
Phone Number:		
Fax Number:		

**Section 4: Description of work done by affected person.**


**Section 5:**

Name of person reporting disease:

Position:

Phone Number:


Person for liaison:

Phone Number:


*[Form 2 amended: Gazette 7 Jun 2002 p. 2736.]*

*[Form 3 deleted: Gazette 6 Jan 2006 p. 12.]*

**Form 4 — Reference of improvement notice for review**

[Regulation 2.8(1)]

***Occupational Safety and Health Act 1984 section 51***

WorkSafe Western Australia Commissioner  
PO Box 294  
WEST PERTH WA 6872  
Phone: (08) 9327 8777  
Fax: (08) 9321 8973

Take notice that I, .....  
(print name of person referring notice for review)

refer improvement notice number ☐ ☐ ☐ ☐ ☐ ☐

issued by .....  
(inspector)

on ..... to you for review.  
(date notice was issued)

The improvement notice relates to the workplace at:

.....  
(address)

of .....  
(employer)

The notice is to be complied with before .....  
(compliance date on improvement notice)

I request the review on the following grounds:

.....  
.....  
.....  
.....

Signature of person referring notice  
for review:

Date:

NOTE: A reference of an **improvement notice** for review must be made to the Commissioner within the time specified in the notice as the time before which the notice is required to be complied with [section 51(2)(a) of the Act].

*[Form 4 amended: Gazette 7 Jun 2002 p. 2736.]*



**Form 5 — Reference of prohibition notice for review**

[Regulation 2.8(2)]

***Occupational Safety and Health Act 1984 section 51***

WorkSafe Western Australia Commissioner  
PO Box 294  
WEST PERTH WA 6872  
Phone: (08) 9327 8777  
Fax: (08) 9321 8973

Take notice that I, .....  
(print name of person referring notice for review)  
refer prohibition notice number    ☐ ☐ ☐ ☐ ☐ ☐  
issued by .....  
(inspector)  
on ..... to you for review.  
(date notice was issued)

The prohibition notice relates to the workplace at:  
.....  
(address)  
of .....  
(employer)

Activity prohibited: .....

I request the review on the following grounds:  
.....  
.....  
.....  
.....

Signature of person referring notice  
for review:

Date:

NOTE: A reference of a **prohibition notice** for review must be made to the Commissioner within 7 days of the issue of the notice or such further time as may be allowed by the Commissioner [section 51(2)(b) of the Act].

*[Form 5 amended: Gazette 7 Jun 2002 p. 2736.]*

*[Form 6 deleted: Gazette 9 Jul 2010 p. 3247.]*

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**Schedule 3.1 — Guidelines and forms of guidance to be available for access by persons working at workplaces**

[Regulation 3.2(e)]

<b>Date of publication by Gazette</b>	<b>Title</b>
30 July 1996	The General Duty of Care in Western Australian Workplaces
30 July 1996	Election of Safety and Health Representatives, Representatives and Committees and Resolution of Issues
30 July 1996	Guidance Note for the Assessment of Health Risks Arising from the Use of Hazardous Substances in the Workplace [NOHSC: 3017 (1994)]
30 July 1996	Guidance Note for the Control of Workplace Hazardous Substances in the Retail Sector [NOHSC: 3018 (1994)]
30 July 1996	Guidance Note on the Interpretation of Exposure Standards for Atmospheric Contaminants in the Occupational Environment [NOHSC: 3008 (1995)]
30 July 1996	National Guidelines for Occupational Health and Safety Competency Standards for the Operation of Loadshifting Equipment and Other Types of Specified Equipment [NOHSC: 7019 (1992)]
30 July 1996	Plant Design: A Guide to Risk Management for Designers, Manufacturers, Importers, Suppliers and Installers of Plant (National Occupational Health and Safety Commission)
30 July 1996	Plant in the Workplace: A Guide to Managing Risks from Plant in the Workplace for Employers and Employees (National Occupational Health and Safety Commission)

## **Schedule 3.2 — Toxic paint substances**

[Regulation 3.99]

### **Division 1 — Solid components**

1. All chromates and dichromates contained in such quantity that chromium in these forms, calculated as a percentage of the dried material, exceeds 0.1% by weight.
2. Antimony and compounds of antimony contained in such quantity that antimony, calculated as a percentage of the dried material, exceeds 5% by weight.
3. Arsenic and compounds of arsenic contained in such quantity that arsenic, calculated as a percentage of the dried material, exceeds 0.1% by weight.
4. Barium and compounds of barium (except barium sulphate and barium metaborate) contained in such quantity that barium, calculated as a percentage of the dried material, exceeds 5% by weight.
5. Cadmium and compounds of cadmium contained in such quantity that cadmium, calculated as a percentage of the dried material, exceeds 0.1% by weight.
6. Lead and compounds of lead contained in such quantity that lead, calculated as a percentage of the dried material, exceeds 1% by weight.
7. Mercury and compounds of mercury contained in such quantity that mercury, calculated as a percentage of the dried material, exceeds 0.1% by weight.
8. Selenium and compounds of selenium contained in such quantity that selenium, calculated as a percentage of the dried material, exceeds 0.1% by weight.
9. Crystalline silica contained in such quantity that silicon dioxide, calculated as a percentage of the dried material, exceeds 2% by weight.

**Division 2 — Solvent components**

1. Benzene in a quantity that, calculated as a percentage of the material in which it is contained, exceeds 1.5% by volume.
2. Chlorinated hydrocarbons in a quantity that, calculated as a percentage of the material in which they are contained, exceeds 5% by weight.
3. Methanol in a quantity that, calculated as a percentage of the material in which it is contained, exceeds 1% by weight.
4. Nitrobenzene in a quantity that, calculated as a percentage of the material in which it is contained, exceeds 1% by weight.
5. Pyridine in a quantity that, calculated as a percentage of the material in which it is contained, exceeds 2% by weight.

**Division 3 — Curing agents**

1. Accelerators, promoters and catalysts (excluding driers).
2. Formaldehyde in a quantity that, calculated as a percentage of the material in which it is contained, exceeds 0.2% by weight.
3. Oxalic acid in a quantity that, calculated as a percentage of the material in which it is contained, exceeds 5% by weight.
4. Raw epoxide resins.
5. Uncombined organic isocyanates (as NCO) in a quantity that, calculated as a percentage of the material in which they are contained, exceeds, or may exceed upon normal storage, 0.5% by weight.

## **Schedule 4.1 — Kinds of plant requiring registration of the design and alterations to design**

[Regulations 4.2, 4.3(1) and 4.12]

Amusement structures within the scope of AS 3533 other than Class 1 devices

Boom-type elevating work platforms

Bridge cranes with a safe working load greater than 10 tonnes, or which are designed to handle molten metal or dangerous goods

Building maintenance units

Gantry cranes with a safe working load greater than 5 tonnes, or which are designed to handle molten metal or dangerous goods

Gas cylinders

Hoists, other than elevating work platforms, that have a platform movement in excess of 2.4 metres and which are designed to lift people

Lifts

Mast climbing work platforms

Mobile cranes, other than tow trucks, with a safe working load greater than 10 tonnes

Pre-fabricated scaffolding systems

Pressure equipment categorized as hazard level A, B, C or D according to the criteria set out in AS 4343, but not pressure piping

Tower cranes

Vehicle hoists which, in order to work, require the supply of energy of a kind other than, or in addition to, the energy supplied by the exertion of the body of a human or an animal

Work boxes

*[Schedule 4.1 amended: Gazette 17 Dec 1999 p. 6244; 8 Mar 2002 p. 1002; 7 Jun 2002 p. 2738.]*

## **Schedule 4.2 — Individual items of plant to be registered**

[Regulations 4.14, 4.15 and 4.34(2)]

Amusement structures within the scope of AS 3533 other than Class 1 devices

Boilers categorized as hazard level A, B or C according to the criteria set out in AS 4343

Building maintenance units

Lifts

Mobile cranes, other than tow trucks, with a safe working load greater than 10 tonnes

Pressure vessels categorized as hazard level A, B or C according to the criteria set out in AS 4343, but not —

- (a) gas cylinders to which AS 2030 applies; and
- (b) LP gas fuel vessels for automotive use to which AS/NZS 3509 applies; and
- (c) serially produced vessels to which AS 2971 applies

Tower cranes

Truck-mounted concrete placing units with booms

*[Schedule 4.2 amended: Gazette 17 Dec 1999 p. 6244; 8 Mar 2002 p. 1003; 7 Jun 2002 p. 2738; 10 Jan 2003 p. 75.]*

**Schedule 4.3 — Standards relating to design and other requirements in relation to certain plant**

[Regulations 4.3(2)(c), 4.23(3)(b), 4.29(c)(i) and 4.33(2)(b)]

*[Heading amended: Gazette 3 Jul 2007 p. 3294.]*

<b>Standard</b>	<b>Plant</b>
AS/NZS 1200	Pressure equipment (known as SAA Boiler Code)
AS 1418	Cranes (including hoists and winches) (known as SAA Crane Code)
AS/NZS 1576	Scaffolding
AS 1735	Lifts, escalators and moving walks (known as SAA Lift Code)
AS 2030	The approval, filling, inspection, testing and maintenance of cylinders for the storage and transport of compressed gases (known as SAA Gas Cylinders Code)
AS/NZS 3509	LP gas fuel vessels for automotive use
AS 3533	Amusement rides and devices
AS 3920.1	Assurance of product quality — Pressure equipment manufacture
AS 4343	Pressure equipment — Hazard levels

*[Schedule 4.3 amended: Gazette 17 Dec 1999 p. 6244; 10 Jan 2003 p. 75; 3 Jul 2007 p. 3294.]*

## **Schedule 5.1 — Description of ingredients**

[Regulation 5.1]

### **Division 1 — Ingredients of substances that are AC classified hazardous substances**

[Heading inserted: Gazette 10 Dec 2010 p. 6281.]

#### **Type I ingredients**

A **type I ingredient**, of an AC classified hazardous substance, is an ingredient which is present in a quantity which exceeds the lowest relevant concentration cut-off level specified for the hazards classification in the *Approved Criteria for Classifying Hazardous Substances* [3<sup>rd</sup> Edition: NOHSC: 1008 (2004)] and —

- (a) is described in the *Approved Criteria for Classifying Hazardous Substances* as carcinogenic, mutagenic, teratogenic, a skin or respiratory sensitiser, corrosive or very corrosive, toxic or very toxic, a harmful substance which can cause irreversible effects after acute exposure, or a harmful substance which can cause serious damage to health after repeated or prolonged exposure; or
- (b) has an exposure standard listed in the *National Exposure Standards* [NOHSC: 1003 (1995)].

#### **Type II ingredients**

A **type II ingredient**, of an AC classified hazardous substance, is an ingredient which is present in a quantity which exceeds the lowest relevant concentration cut-off level specified for the hazard classification in the *Approved Criteria for Classifying Hazardous Substances* [3<sup>rd</sup> Edition: NOHSC: 1008 (2004)] and is described in the *Approved Criteria for Classifying Hazardous Substances* as a harmful substance, but which does not meet the criteria in this Division for a type I ingredient.



### **Type III ingredients**

A *type III ingredient*, of an AC classified hazardous substance, is an ingredient which does not meet the criteria in this Division for either a type I ingredient or a type II ingredient.

*[Division 1 amended: Gazette 7 Jan 2005 p. 77; 10 Dec 2010 p. 6281-2.]*

### **Division 2 — Ingredients of GHS classified hazardous substances**

*[Heading inserted: Gazette 10 Dec 2010 p. 6282.]*

#### **Term used: hazard class**

In this Division —

*hazard class* means a hazard class described in the GHS.

### **Type I ingredients**

A *type I ingredient*, of a GHS classified hazardous substance, is an ingredient in a hazard class set out in the Table which is present in a quantity that exceeds the cut-off values/concentration limit set out in the Table for that hazard class.

**Table**

<b>Hazard class</b>	<b>Cut-off value/concentration limit</b>
Acute toxicity (Categories 1, 2 and 3)	≥ 1.0%
Skin corrosion (Categories 1, 1A, 1B and 1C)	≥ 1.0%
Serious eye damage (Category 1)	≥ 1.0%
Respiratory/skin sensitisation (Categories 1, 1A and 1B)	≥ 0.1%

<b>Hazard class</b>	<b>Cut-off value/concentration limit</b>
Germ cell mutagenicity (Categories 1, 1A and 1B)	$\geq 0.1\%$
Germ cell mutagenicity (Category 2)	$\geq 1.0\%$
Carcinogenicity (Categories 1, 1A, 1B and 2)	$\geq 0.1\%$
Reproductive toxicity (Categories 1, 1A, 1B and 2)	$\geq 0.1\%$
Specific target organ toxicity (single exposure) (Categories 1 and 2)	$\geq 1.0\%$
Specific target organ toxicity (repeated exposure) (Categories 1 and 2)	$\geq 1.0\%$
Aspiration hazard (Category 1)	$\geq 10\%$ of Category 1 ingredient(s) and kinematic viscosity $\leq 20.5 \text{ mm}^2/\text{s}$ at $40^\circ\text{C}$

**Type II ingredients**

A *type II ingredient*, of a GHS classified hazardous substance, is an ingredient in a hazard class set out in the Table which is present in a quantity that exceeds the cut-off values/concentration limit set out in the Table for that hazard class.

**Table**

<b>Hazard class</b>	<b>Cut-off value/concentration limit</b>
Acute toxicity (Category 4)	$\geq 1.0\%$
Skin irritation (Category 2)	$\geq 1.0\%$
Serious eye irritation (Category 2A)	$\geq 1.0\%$
Specific target organ toxicity (single exposure) (Category 3)	$\geq 1.0\%$

**Type III ingredients**

A *type III ingredient*, of a GHS classified hazardous substance, is an ingredient which does not meet the criteria in this Division for either a type I ingredient or a type II ingredient.

*[Division 2 inserted: Gazette 10 Dec 2010 p. 6282-3.]*

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**Schedule 5.2 — Hazardous substances prohibited for specified uses or methods of handling**

[Regulation 5.14]

<b>Hazardous substance Column 1</b>	<b>Prohibited use or handling Column 2</b>
Any substance that consists of or contains asbestos	Application by spraying or installation as insulation
Any material that consists of or contains asbestos	High pressure cleaning of any such material
Installed insulation that consists of or contains asbestos	Sealing of such insulation
A substance that consists of or contains crystalline silicon dioxide	As an abrasive material in abrasive blasting except where less than 2% dry weight of crystalline silicon dioxide is present as a contaminant
A recycled material that has not been treated to remove respirable dust	As an abrasive material in dry abrasive blasting
A substance capable of causing harm to the upper respiratory tract of a person	As an abrasive material in dry abrasive blasting

<b>Hazardous substance</b> <b>Column 1</b>	<b>Prohibited use or handling</b> <b>Column 2</b>
Any substance that contains more than — 0.1% antimony 0.1% arsenic 0.1% beryllium 0.1% cadmium 0.5% chromium 0.5% cobalt 0.1% lead 0.5% nickel 1.0% tin	As an abrasive material in abrasive blasting
Any substance that contains chromate, nitrate or nitrite	As a wet abrasive blasting inhibitor in wet abrasive blasting
Polychlorinated biphenyls (PCBs)	All uses and handling except for bona fide research or analysis, handling for storage awaiting disposal, handling for removal and disposal, handling for repairs*, and when contained in existing electrical equipment and construction materials

\* **Repairs** in relation to PCBs includes —

- (a) the controlled removal of PCBs from a piece of equipment to undertake repairs followed by the replacement of PCBs with non-PCB fluid and then the separate disposal of the PCBs;
  - (b) the clean-up and disposal of PCBs that have spilled, leaked or otherwise escaped from the containment;
  - (c) the clean-up and disposal of materials contaminated with PCBs during a process referred to in paragraph (a) or (b),
- but does not include the return of spilled PCBs back into the container from which there was an uncontrolled loss of containment, or into any other container except if placed in that container for disposal.

**Schedule 5.3 — Hazardous substances for which health surveillance is required**

[Regulation 5.23(1)]

<b>Hazardous substance</b>	<b>Type of health surveillance</b>
acrylonitrile	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination if indicated.</li><li>• Records of personal exposure.</li></ul>
inorganic arsenic	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination with emphasis on the peripheral nervous system and skin.</li><li>• Urinary total arsenic.</li><li>• Records of personal exposure.</li></ul>
asbestos	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination if indicated.</li><li>• Records of personal exposure.</li></ul>
benzene	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Baseline blood sample for haematological profile.</li><li>• Records of personal exposure.</li></ul>

<b>Hazardous substance</b>	<b>Type of health surveillance</b>
cadmium	<ul style="list-style-type: none"><li>• Demography, occupational and medical history.</li><li>• Health advice, including counselling on additional cadmium burden from smoking.</li><li>• Physical examination with emphasis on the respiratory system.</li><li>• Completion of a standardized respiratory questionnaire.</li><li>• Standardized respiratory function tests such as FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC.</li><li>• Urinary cadmium and <math>\beta_2</math>-microglobulin.</li><li>• Records of personal exposure.</li></ul>
inorganic chromium	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination with emphasis on the respiratory system and skin.</li><li>• Weekly skin inspection of hands and forearms by a responsible person.</li></ul>
creosote	<ul style="list-style-type: none"><li>• Demography, occupational and medical history.</li><li>• Health advice, including recognition of photosensitivity and skin changes.</li><li>• Physical examination with emphasis on the neurological system and skin, noting any abnormal lesions, and evidence of skin sensitisation.</li><li>• Records of personal exposure, including photosensitivity.</li></ul>

<b>Hazardous substance</b>	<b>Type of health surveillance</b>
isocyanates	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Completion of a standardized respiratory questionnaire.</li><li>• Physical examination of the respiratory system and skin.</li><li>• Standardized respiratory function tests such as FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC.</li></ul>
inorganic mercury	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination with emphasis on neurological, renal and gastrointestinal systems and skin.</li><li>• Urinary inorganic mercury.</li></ul>
4,4'-methylene bis 2-chloroaniline (MOCA)	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Urinary total MOCA.</li><li>• Dipstick analysis of urine for haematuria.</li><li>• Urine cytology.</li></ul>
organophosphate pesticides	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination.</li><li>• Baseline estimation of red cell and plasma cholinesterase activity levels by the Ellman method. Estimation of red cell and plasma cholinesterase activity towards the end of the working day.</li></ul>



<b>Hazardous substance</b>	<b>Type of health surveillance</b>
pentachlorophenol (PCP)	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination with emphasis on skin, noting any abnormal lesions or effects of irritancy.</li><li>• Urinary total pentachlorophenol.</li><li>• Dipstick urinalysis for haematuria and proteinuria.</li><li>• Records of personal exposure.</li></ul>
polycyclic aromatic hydrocarbons (PAH)	<ul style="list-style-type: none"><li>• Demography, occupational and medical history.</li><li>• Health advice, including recognition of photosensitivity and skin changes.</li><li>• Physical examination if indicated.</li><li>• Records of personal exposure, including photosensitivity.</li></ul>
crystalline silica	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Completion of a standardized respiratory questionnaire.</li><li>• Standardized respiratory function tests such as FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC.</li><li>• Low dose high resolution computed tomography of the chest at less than 1 millisievert equivalent dose for the entire study. The study must image the whole of each lung on inspiration at 1.5 mm slice thickness or less, without an interslice gap, and must include expiratory imaging. The images must be of adequate quality to detect subtle abnormalities, including groundglass opacities and small nodules.</li><li>• Records of personal exposure.</li></ul>

<b>Hazardous substance</b>	<b>Type of health surveillance</b>
thallium	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination if indicated.</li><li>• Urinary thallium.</li></ul>
vinyl chloride	<ul style="list-style-type: none"><li>• Demography, occupational and medical history and health advice.</li><li>• Physical examination if indicated.</li><li>• Records of personal exposure.</li></ul>

*[Schedule 5.3 amended: SL 2021/3 r. 4.]*

## **Schedule 5.4 — Carcinogenic substances to be used only for bona fide research**

[Regulation 5.28]

**Note:** The number in square brackets is the substance's chemical abstract number.

2-Acetylaminofluorene [53-96-3]

Aflatoxins

4-Aminodiphenyl [92-67-1]

Benzidine [92-87-5] and its salts (including benzidine dihydrochloride [531-85-1])

bis(chloromethyl) ether [542-88-1]

Chloromethyl methyl ether [107-30-2] (technical grade containing bis(chloromethyl) ether)

4-Dimethylaminoazobenzene [60-11-7]

2-Naphthylamine [91-59-8] and its salts

4-Nitrodiphenyl [92-93-3]

*[Schedule 5.4 amended: Gazette 30 Dec 2003 p. 5743.]*

**Schedule 5.5 — Carcinogenic substances to be used only for purposes approved by the Commissioner**

[Regulation 5.28]

**Note:** The substance's chemical abstract number appears in square brackets.

Acrylonitrile [107-13-1]

Benzene [71-43-2] when used as a feedstock and containing more than 50% of benzene by volume

Cyclophosphamide [50-18-0] (cytotoxic drug) when used in preparation for therapeutic use in hospitals and oncological treatment facilities and in manufacturing operations

3,3-Dichlorobenzidine [91-94-1] and its salts (including 3,3-dichlorobenzidine dihydrochloride [612-83-9])

Diethyl sulfate [64-67-5]

Dimethyl sulfate [77-78-1]

Ethylene dibromide [106-93-4] when used as a fumigant

4,4'-Methylene bis(2-chloroaniline) [101-14-4]-MOCA

Beta-Propiolactone [57-57-8] (2-propiolactone)

o-Toluidine [95-53-4] and o-Toluidine hydrochloride [636-21-5]

Vinyl chloride monomer [75-01-4]

*[Schedule 5.5 amended: Gazette 30 Dec 2003 p. 5743.]*

## **Schedule 5.6 — Carcinogenic substances — asbestos**

[Regulation 5.28]

**Note:** The substance's chemical abstract number appears in square brackets.

Actinolite asbestos [77536-66-5]

Amosite [12172-73-5] (brown asbestos)

Anthophyllite asbestos [77536-67-5]

Crocidolite [12001-28-4] (blue asbestos)

Chrysotile [12001-29-5] (white asbestos)

Tremolite asbestos [77536-68-6]

*[Schedule 5.6 inserted: Gazette 30 Dec 2003 p. 5743.]*

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**Schedule 6.1 — Rate payable for assessments and tests**

[r. 2.14A, 4.5, 4.8, 4.9(b) and 4.18]

[Heading inserted: SL 2020/198 r. 6.]

Item	Column 1 Matter	Column 2 Rate during designated period \$	Column 3 Rate after designated period \$
1.	Preparing a design verification statement (r. 4.5)	—	32.80 per quarter hour or part of a quarter hour on or after 1 April 2021 spent preparing the statement
2.	Conducting an assessment for the purposes of r. 4.8 or 4.18	—	32.80 per quarter hour or part of a quarter hour on or after 1 April 2021 in which the assessment is conducted
3.	Witnessing a test specified under r. 4.7(1)(c)(ii) (r. 4.9(b))	—	32.80 per quarter hour or part of a quarter hour on or after 1 April 2021 in which the test is witnessed

[Schedule 6.1 inserted: SL 2020/198 r. 6.]

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**Schedule 6.1A — Fees under Part 3 Division 9**

[r. 2.14A and 3.116]

*[Heading inserted: SL 2020/198 r. 6.]*

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<b>Item</b>	<b>Column 1 Application</b>	<b>Column 2 Fee during designated period \$</b>	<b>Column 3 Fee after designated period \$</b>
1.	Application for class 1 demolition work licence (r. 3.116(1))	3 425.00	6 850.00
2.	Application for class 2 demolition work licence (r. 3.116(1))	2 228.00	4 455.00
3.	Application for class 3 demolition work licence (r. 3.116(1))	1 682.00	3 364.00

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*[Schedule 6.1A inserted: SL 2020/198 r. 6.]*

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**Schedule 6.2 — Fees under Part 4 Division 2**

[r. 2.14A, 4.3(2)(e) and 4.15(2)(d)]

*[Heading inserted: SL 2020/198 r. 6.]*

<b>Item</b>	<b>Column 1 Application</b>	<b>Column 2 Fee during designated period \$</b>	<b>Column 3 Fee after designated period \$</b>
1.	Application for registration of plant design (r. 4.3(2)(e))	—	413.00
2.	Application for registration or re-registration of an individual item of plant (r. 4.15(2)(d))	—	113.40

*[Schedule 6.2 inserted: SL 2020/198 r. 6.]*



**Schedule 6.2A — Fees under Part 5 Division 4**

[r. 2.14A, 5.44 and 5.45C]

*[Heading inserted: SL 2020/198 r. 6.]*

Item	Column 1 Application	Column 2 Fee during designated period \$	Column 3 Fee after designated period \$
1.	Application for unrestricted asbestos licence (r. 5.44(1)(a))	9 940.00	14 910.00
2.	Application for restricted asbestos licence (r. 5.44(1)(b))	583.00	875.00
3.	Application for renewal of unrestricted asbestos licence (r. 5.45C)	7 787.00	11 680.00
4.	Application for renewal of restricted asbestos licence (r. 5.45C)	540.00	810.00

*[Schedule 6.2A inserted: SL 2020/198 r. 6.]*

## **Schedule 6.3 — High risk work**

[r. 6.1, 6.3, 7.9 and 7.17]

[Heading inserted: Gazette 24 Aug 2007 p. 4301.]

### **Division 1 — Preliminary**

[Heading inserted: Gazette 24 Aug 2007 p. 4301.]

#### **1. Terms used**

In this Schedule —

**hung scaffold** has the meaning given in regulation 3.66;

**mast climbing work platform** has the meaning given in regulation 4.1;

**materials hoist** means a hoist —

- (a) made up of a car, bucket or platform that is cantilevered from and travels up and down the external face of a building or structure; and
- (b) that carries goods or materials but not people;

**suspended scaffold** has the meaning given in regulation 3.66;

**use**, in relation to plant, means to work from the plant or to operate the plant.

[Clause 1 inserted: Gazette 24 Aug 2007 p. 4301.]

### **Division 2 — Scaffolding work**

[Heading inserted: Gazette 24 Aug 2007 p. 4301.]

#### **2. Terms used**

In this Division —

**cantilevered scaffold** has the meaning given in regulation 3.66;

**gantry** has the meaning given in regulation 3.66;

**scaffolding work** means erecting, altering or dismantling a temporary structure that is or has been erected to support a platform and from which a person or object could fall more than 4 metres;

*spur scaffold* has the meaning given in regulation 3.66.

[Clause 2 inserted: Gazette 24 Aug 2007 p. 4301-2.]

### 3. Scaffolding work, classes of high risk work

**Table**

<b>Item</b>	<b>Class of high risk work</b>	<b>Description of class</b>
1.	Scaffolding work, basic	<p>Scaffolding work involving —</p> <ul style="list-style-type: none"> <li>(a) pre-fabricated scaffolds; or</li> <li>(b) cantilevered materials hoists with a maximum working load of 500 kg; or</li> <li>(c) ropes; or</li> <li>(d) gin wheels; or</li> <li>(e) safety nets and static lines; or</li> <li>(f) bracket scaffolds (tank and formwork).</li> </ul>
2.	Scaffolding work, intermediate	<ul style="list-style-type: none"> <li>(1) Scaffolding work included in the class of <i>scaffolding work, basic</i>.</li> <li>(2) Scaffolding work involving —               <ul style="list-style-type: none"> <li>(a) cantilevered crane loading platforms; or</li> <li>(b) cantilevered scaffolds; or</li> <li>(c) spur scaffolds; or</li> <li>(d) barrow ramps and sloping platforms; or</li> <li>(e) scaffolding associated with perimeter safety screens and shutters; or</li> </ul> </li> </ul>

Item	Class of high risk work	Description of class
		(f) mast climbing work platforms; or
		(g) tube and coupler scaffolds (including tube and coupler covered ways and gantries).
3.	Scaffolding work, advanced	(1) Scaffolding work included in the class of <i>scaffolding work</i> , <i>intermediate</i> .  (2) Scaffolding work involving —  (a) hung scaffolds, including scaffolds hung from tubes, wire ropes or chains; or  (b) suspended scaffolds.

[Clause 3 inserted: Gazette 24 Aug 2007 p. 4302-3.]

### **Division 3 — Dogging work and rigging work**

[Heading inserted: Gazette 24 Aug 2007 p. 4303.]

#### **4. Terms used**

In this Division —

***dogging work*** means —

- (a) applying slinging techniques for the purposes of lifting a load, including selecting the method of lifting (by consideration of the nature of the load, its mass and its centre of gravity) and inspecting lifting gear (for suitability and condition); or
- (b) directing the operator of a crane or hoist in the movement of a load when the load is out of the view of the operator;

***rigging work*** means —

- (a) moving, placing or securing a load (such as plant, equipment or members of a building or structure) using mechanical load

shifting equipment but does not include operation of the mechanical load shifting equipment; or

- (b) erecting or dismantling cranes or hoists.

[Clause 4 inserted: Gazette 24 Aug 2007 p. 4303.]

**5. Dogging work and rigging work, classes of high risk work**

**Table**

Item	Class of high risk work	Description of class
1.	Dogging work	Dogging work.
2.	Dogging work and rigging work, basic	<p>(1) Work included in the class of <i>Dogging work</i>.</p> <p>(2) Rigging work involving —</p> <ul style="list-style-type: none"> <li>(a) steel members of a building or structure, or steel plant; or</li> <li>(b) hoists other than hoists with jibs and self-climbing hoists; or</li> <li>(c) pre-cast concrete members of a building or structure; or</li> <li>(d) safety nets and static lines; or</li> <li>(e) mast climbing work platforms; or</li> <li>(f) perimeter safety screens and shutters; or</li> <li>(g) cantilevered crane loading platforms,</li> </ul> <p>but excluding rigging work involving equipment, loads or tasks listed in item 3(2)(a) to (e) and item 4(2)(a) to (d).</p>

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**Schedule 6.3** High risk work

**Division 3** Dogging work and rigging work

**cl. 5**

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<b>Item</b>	<b>Class of high risk work</b>	<b>Description of class</b>
3.	Dogging work and rigging work, intermediate	<p>(1) Work included in the class of <i>Dogging work and rigging work, basic</i>.</p> <p>(2) Rigging work involving —</p> <p>(a) hoists with jibs and self-climbing hoists; or</p> <p>(b) cranes, conveyors, dredges and excavators; or</p> <p>(c) tilt slabs; or</p> <p>(d) demolition of buildings, structures or plant; or</p> <p>(e) multi-crane hoisting,</p> <p>but excluding rigging work involving equipment listed in item 4(2)(a) to (d).</p>
4.	Dogging and rigging work, advanced	<p>(1) Work included in the class of <i>Dogging work and rigging work, intermediate</i>.</p> <p>(2) Rigging work involving —</p> <p>(a) gin poles and shear legs; or</p> <p>(b) flying foxes and cable ways; or</p> <p>(c) guyed derricks and structures; or</p> <p>(d) suspended scaffolds and fabricated hung scaffolds.</p>

*[Clause 5 inserted: Gazette 24 Aug 2007 p. 4304-5.]*

## Division 4 — Crane and hoist operation

[Heading inserted: Gazette 24 Aug 2007 p. 4305.]

### 6. Terms used

In this Division —

**boom-type elevating work platform** has the meaning given in regulation 4.1;

**bridge crane** has the meaning given in regulation 4.1;

**derrick crane** means a slewing strut-boom crane with its boom pivoted at the base of a mast that is —

- (a) guyed (guy-derrick) or held by backstays (stiff-legged derrick); and
- (b) capable of luffing under load;

**gantry crane** has the meaning given in regulation 4.1;

**mobile crane** has the meaning given in regulation 4.1;

**non-slewing mobile crane** means a mobile crane incorporating a boom or jib that cannot be slewed, and includes —

- (a) an articulated mobile crane; or
- (b) a locomotive crane,

but does not include vehicle tow trucks;

**personnel and materials hoist** means a hoist —

- (a) that is a cantilever hoist, a tower hoist or several winches configured to operate as a hoist; and
- (b) that is intended to carry goods, materials or people;

**portal boom crane** means a boom crane or a jib crane that is mounted on a portal frame that, in turn, is supported on runways along which the crane travels;

**self-erecting tower crane** means a crane —

- (a) that cannot be disassembled into a tower element and a boom or jib element; and
- (b) that is transported between sites as a complete unit; and
- (c) where the erection and dismantling processes are an inherent part of the crane's function;

***slewing mobile crane*** means a mobile crane incorporating a boom or jib that can be slewed, but does not include —

- (a) a front-end loader; or
- (b) a backhoe; or
- (c) an excavator; or
- (d) other earth moving equipment,

when configured for crane operation;

***tower crane*** means a boom crane or a jib crane mounted on a tower structure and —

- (a) the crane, if a jib crane, may be a horizontal or luffing jib type; and
- (b) the tower structure may be demountable or permanent,

but does not include a self-erecting tower crane;

***vehicle loading crane*** has the meaning given in regulation 4.54(1);

***vehicle-mounted concrete placing boom*** means a boom —

- (a) with 2 or more stages; and
- (b) mounted on a vehicle; and
- (c) able to be slewed or luffed; and
- (d) along which concrete is pumped by means of a pipe attached to, or incorporated within, the boom.

*[Clause 6 inserted: Gazette 24 Aug 2007 p. 4305-7.]*

**7. Crane and hoist operation, classes of high risk work**

- (1) For the purposes of the Table item 5, the raising and lowering of a hoist is a single powered operation.
- (2) For the purposes of the Table item 14, the length of a boom is the greater of the following —
  - (a) the vertical distance from the surface supporting the boom-type elevating work platform to the floor of the platform, with the platform extended to its maximum height;
  - (b) the horizontal distance from the centre point of the boom's rotation to the outer edge of the platform, with the platform extended to its maximum distance.



**Table**

<b>Item</b>	<b>Class of high risk work</b>	<b>Description of class</b>
1.	Crane and hoist operation, tower crane	The use of a tower crane.
2.	Crane and hoist operation, self-erecting tower crane	The use of a self-erecting tower crane.
3.	Crane and hoist operation, derrick crane	The use of a derrick crane.
4.	Crane and hoist operation, portal boom crane	The use of a portal boom crane.
5.	Crane and hoist operation, bridge crane/gantry crane	<p>The use of a bridge crane or gantry crane that is —</p> <p>(a) controlled from a permanent cabin or control station on the crane; or</p> <p>(b) remotely controlled and having more than 3 powered operations.</p>
6.	Crane and hoist operation, vehicle loading crane	The use of a vehicle loading crane with a capacity of 10 metre tonnes or more.
7.	Crane and hoist operation, non-slewing mobile crane	The use of a non-slewing mobile crane with a capacity of more than 3 tonnes.

<b>Item</b>	<b>Class of high risk work</b>	<b>Description of class</b>
8.	Crane and hoist operation, mobile crane, basic	The use of — (a) a vehicle loading crane with a capacity of 10 metre tonnes or more; or (b) a non-slewing mobile crane with a capacity of more than 3 tonnes; or (c) a slewing mobile crane with a capacity of 20 tonnes or less.
9.	Crane and hoist operation, mobile crane, intermediate	The use of — (a) a vehicle loading crane with a capacity of 10 metre tonnes or more; or (b) a non-slewing mobile crane with a capacity of more than 3 tonnes; or (c) a slewing mobile crane with a capacity of 60 tonnes or less.
10.	Crane and hoist operation, mobile crane, advanced	The use of — (a) a vehicle loading crane with a capacity of 10 metre tonnes or more; or (b) a non-slewing mobile crane with a capacity of more than 3 tonnes; or (c) a slewing mobile crane with a capacity of 100 tonnes or less.
11.	Crane and hoist operation, mobile crane, open class	The use of — (a) a vehicle loading crane with a capacity of 10 metre tonnes or more; or

Item	Class of high risk work	Description of class
		(b) a non-slewing mobile crane with a capacity of more than 3 tonnes; or
		(c) a slewing mobile crane.
12.	Crane and hoist operation, materials hoist	The use of a materials hoist where the vertical movement of the hoist's car, bucket or platform is more than 11 metres.
13.	Crane and hoist operation, personnel and materials hoist	The use of a personnel and materials hoist.
14.	Crane and hoist operation, boom-type elevating work platform	The use of a boom-type elevating work platform where the length of the boom is 11 metres or more.
15.	Crane and hoist operation, vehicle-mounted concrete placing boom	The use of a vehicle-mounted concrete placing boom.

[Clause 7 inserted: Gazette 24 Aug 2007 p. 4307-10.]

### Division 5 — Forklift operation

[Heading inserted: Gazette 24 Aug 2007 p. 4310.]

#### 8. Terms used

In this Division —

***forklift truck*** means a powered industrial truck equipped with lifting media made up of a mast and an elevating load carriage to which is attached a pair of forkarms;

**order-picking forklift truck** means a forklift truck where the operator's controls are incorporated with the lifting media and elevate with the lifting media.

*[Clause 8 inserted: Gazette 24 Aug 2007 p. 4310-11.]*

**9. Forklift operation, classes of high risk work**

**Table**

<b>Item</b>	<b>Class of high risk work</b>	<b>Description of class</b>
1.	Forklift operation, forklift truck	The use of a forklift truck other than an order-picking forklift truck.
2.	Forklift operation, order-picking forklift truck	The use of an order-picking forklift truck.

*[Clause 9 inserted: Gazette 24 Aug 2007 p. 4311.]*

**Division 6 — Pressure equipment operation**

*[Heading inserted: Gazette 24 Aug 2007 p. 4311.]*

**10. Terms used**

In this Division —

**boiler** means —

- (a) a vessel, or an arrangement of vessels and interconnecting parts, in which steam or liquid is heated, at a pressure above that of the atmosphere, by the application of fire, the products of combustion, electrical power or similar means; and
- (b) the valves, gauges, fittings, controls, boiler setting and other equipment directly associated with those vessels,

but does not include —

- (c) a vessel that is able to operate deprived of all liquid and vapour that is intended to be heated; or
- (d) a direct fired process heater;

**direct fired process heater** means an arrangement of coiled tubes, located inside the radiant zone or convection zone of a combustion chamber, through which process material (in the form of liquid, gas or a liquid/gas combination) moves and is heated, bringing about a petrochemical reaction in the process material;

**reciprocating steam engine** means equipment that is driven by steam acting on a piston causing the piston to move, and includes an expanding (steam) reciprocating engine;

**turbine** means equipment that is driven by steam acting on a turbine or rotor to cause a rotary motion.

[Clause 10 inserted: Gazette 24 Aug 2007 p. 4311-12.]

#### 11. Pressure equipment operation, classes of high risk work

For the purposes of the Table item 2, a boiler is not to be taken as being capable of being fired by multiple fuels simultaneously merely because —

- (a) it changes fuel types during start sequences; or
- (b) it is fired by different grades of the same fuel simultaneously.

**Table**

Item	Class of high risk work	Description of class
1.	Pressure equipment operation, basic	<p>The use of a boiler that has an output of more than 500 kW other than a boiler with —</p> <ul style="list-style-type: none"> <li>(a) modulating combustion air supply; or</li> <li>(b) modulating heat source; or</li> <li>(c) superheaters; or</li> <li>(d) economisers.</li> </ul>

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**Division 6** Pressure equipment operation

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Item	Class of high risk work	Description of class
2.	Pressure equipment operation, intermediate	The use of a boiler that has an output of more than 500 kW other than a boiler with — (a) modulating combustion air supply; or (b) modulating heat source; or (c) superheaters; or (d) economisers, that is capable of being fired by multiple fuels simultaneously.
3.	Pressure equipment operation, advanced	The use of a boiler that has an output of more than 500 kW.
4.	Pressure equipment operation, turbine	The use of a turbine that has an output of 500 kW or more and — (a) is multi-wheeled; or (b) is capable of a speed greater than 3600 revolutions per minute; or (c) has attached condensers; or (d) has a multi-staged heat exchange extraction process.
5.	Pressure equipment operation, reciprocating steam engine	The use of a reciprocating steam engine where the diameter of any piston is more than 250 mm.

*[Clause 11 inserted: Gazette 24 Aug 2007 p. 4312-13.]*

**Schedule 6.4 — Fees under Part 6**

[r. 2.14A, 6.5, 6.7, 6.9, 6.16, 6.21, 6.23, 6.25 and 6.31]

*[Heading inserted: SL 2020/198 r. 7.]*

<b>Item</b>	<b>Column 1 Matter</b>	<b>Column 2 Fee during designated period \$</b>	<b>Column 3 Fee after designated period \$</b>
1.	Application for licence (r. 6.5)	80.00 per class of high risk work to which the application relates	100.00 per class of high risk work to which the application relates
2.	Application for variation of licence (r. 6.7)	—	78.50 per class of high risk work to which the application relates
3.	Application for renewal of licence (r. 6.9)	42.50	53.00
4.	Issue of duplicate licence document (r. 6.16)	—	66.00
5.	Application for registration (r. 6.21)	1 394.00	2 091.00
6.	Application for variation of registration (r. 6.23)	—	343.00
7.	Application for renewal of registration (r. 6.25)	368.00	552.25
8.	Issue of duplicate certificate of registration (r. 6.31)	—	117.00

*[Schedule 6.4 inserted: SL 2020/198 r. 7.]*

## Notes

This is a compilation of the *Occupational Safety and Health Regulations 1996* and includes amendments made by other written laws. For provisions that have come into operation, and for information about any reprints, see the compilation table. For provisions that have not yet come into operation see the uncommenced provisions table.

### Compilation table

Citation	Published	Commencement
<i>Occupational Safety and Health Regulations 1996</i>	27 Sep 1996 p. 4837-5080	1 Oct 1996 (see r. 1.2)
<i>Occupational Safety and Health Amendment Regulations 1997</i>	10 Jun 1997 p. 2670-1	1 Jul 1997 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 2) 1997</i>	22 Jul 1997 p. 3839-41	29 Mar 1999 (see r. 2 and <i>Gazette</i> 17 Jul 1998 p. 3804 and 31 Dec 1998 p. 7405)
<i>Occupational Safety and Health Amendment Regulations (No. 3) 1997</i>	12 Sep 1997 p. 5176-8	12 Sep 1997
<i>Occupational Safety and Health Amendment Regulations 1998</i>	6 Feb 1998 p. 665	6 Feb 1998
<i>Occupational Safety and Health Amendment Regulations (No. 3) 1998</i>	9 Jun 1998 p. 3144-5	1 Jul 1998 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 2) 1999</i>	26 Mar 1999 p. 1281-4	29 Mar 1999 (see r. 2 and <i>Gazette</i> 31 Dec 1998 p. 7405)
<i>Occupational Safety and Health Amendment Regulations 1999</i>	8 Jun 1999 p. 2525-6	9 Jun 1999 (see r. 2 and <i>Gazette</i> 8 Jun 1999 p. 2469)
<b>Reprint of the <i>Occupational Safety and Health Regulations 1996</i> as at 15 Oct 1999</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 3) 1999</i>	17 Dec 1999 p. 6228-44	1 Jan 2000 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations 2000</i>	2 Jun 2000 p. 2676-7	1 Jul 2000 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations 2001</i>	30 Mar 2001 p. 1767-83	1 Jul 2001 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2001</i>	13 Jul 2001 p. 3476-7	13 Jul 2001
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2002</i>	8 Mar 2002 p. 959-1003	1 Jul 2002 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2002</i>	21 May 2002 p. 2595-6	1 Jul 2002 (see r. 2)



Citation	Published	Commencement
<i>Occupational Safety and Health Amendment Regulations 2002</i>	7 Jun 2002 p. 2733-6	7 Jun 2002
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2002</i>	7 Jun 2002 p. 2736-8	1 Jul 2002 (see r. 2 and <i>Gazette</i> 8 Mar 2002 p. 961)
<i>Occupational Safety and Health Amendment Regulations (No. 5) 2002</i>	28 Jun 2002 p. 3121	1 Jul 2002 (see r. 2 and <i>Gazette</i> 8 Mar 2002 p. 961)
<b>Reprint of the Occupational Safety and Health Regulations 1996 as at 19 Jul 2002</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 7) 2002</i>	10 Jan 2003 p. 61-75	10 Jan 2003
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2003</i>	8 Apr 2003 p. 1108-12	1 Jul 2003 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2003</i>	27 Jun 2003 p. 2432-4	1 Jul 2003 (see r. 2)
<i>Labour Relations Reform (Consequential Amendments) Regulations 2003</i> r. 10	15 Aug 2003 p. 3685-92	15 Sep 2003 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations 2003</i>	3 Oct 2003 p. 4356-8	3 Apr 2004 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2003</i>	3 Oct 2003 p. 4358-63	3 Oct 2003 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 6) 2003</i>	30 Dec 2003 p. 5737-43	1 Jan 2004 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations 2004</i>	25 Jun 2004 p. 2291-3	25 Jun 2004
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2004</i>	25 Jun 2004 p. 2294-5	1 Jul 2004 (see r. 2)
<b>Reprint 3: The Occupational Safety and Health Regulations 1996 as at 9 Jul 2004</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2004</i>	22 Oct 2004 p. 4834-41	1 Jan 2005 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 6) 2004<sup>5</sup></i>	14 Dec 2004 p. 6009-18	1 Jan 2005 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations 2005</i>	7 Jan 2005 p. 76-7	7 Jan 2005
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2005</i>	4 Mar 2005 p. 881-3	4 Mar 2005

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Citation	Published	Commencement
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2005</i>	1 Apr 2005 p. 1066-7	4 Apr 2005 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 8) 2005</i>	28 Jun 2005 p. 2912-13	1 Jul 2005 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2005</i>	26 Jul 2005 p. 3403-5	26 Jul 2005
<b>Reprint 4: The Occupational Safety and Health Regulations 1996 as at 2 Sep 2005</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 5) 2005</i>	9 Sep 2005 p. 4158-9	9 Sep 2005
<i>Occupational Safety and Health Amendment Regulations (No. 10) 2005</i>	18 Nov 2005 p. 5660-3	1 Dec 2005 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 11) 2005</i>	9 Dec 2005 p. 5897-8	9 Dec 2005
<i>Occupational Safety and Health Amendment Regulations (No. 12) 2005</i>	23 Dec 2005 p. 6294-5	23 Dec 2005
<i>Occupational Safety and Health Amendment Regulations (No. 6) 2005</i>	6 Jan 2006 p. 11-12	6 Jan 2006
<i>Electricity Corporations (Consequential Amendments) Regulations 2006 r. 83</i>	31 Mar 2006 p. 1299-357	1 Apr 2006 (see r. 2)
<b>Reprint 5: The Occupational Safety and Health Regulations 1996 as at 2 Jun 2006</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2006</i>	27 Jun 2006 p. 2280-2	1 Jul 2006 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2007</i>	27 Apr 2007 p. 1775-7	27 Apr 2007
<i>Occupational Safety and Health Amendment Regulations (No. 5) 2007</i>	15 Jun 2007 p. 2792-4	r. 1 and 2: 15 Jun 2007 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2007 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2007</i>	3 Jul 2007 p. 3293-4	3 Jul 2007
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2007</i>	24 Aug 2007 p. 4255-314	r. 1 and 2: 24 Aug 2007 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Oct 2007 (see r. 2(b))

Citation	Published	Commencement
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2007</i>	2 Oct 2007 p. 4978-85	r. 1 and 2: 2 Oct 2007 (see r. 2(a)); Regulations other than r. 1 and 2: 3 Jan 2008 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 9) 2007</i>	4 Jan 2008 p. 15-16	r. 1 and 2: 4 Jan 2008 (see r. 2(a)); Regulations other than r. 1 and 2: 5 Jan 2008 (see r. 2(b))
<b>Reprint 6: The Occupational Safety and Health Regulations 1996 as at 22 Feb 2008</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 6) 2008</i>	4 Apr 2008 p. 1314-15	r. 1 and 2: 4 Apr 2008 (see r. 2(a)); Regulations other than r. 1 and 2: 5 Apr 2008 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 7) 2008</i>	17 Jun 2008 p. 2573-5	r. 1 and 2: 17 Jun 2008 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2008 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2008</i>	7 Nov 2008 p. 4822-5	r. 1 and 2: 7 Nov 2008 (see r. 2(a)); Regulations other than r. 1 and 2: 8 Nov 2008 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2008</i>	28 Nov 2008 p. 5030-41	Pt. 1: 28 Nov 2008 (see r. 2(a)); Pt. 2: 29 Nov 2008 (see r. 2(b)); Pt. 3: 1 Jan 2009 (see r. 2(c))
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2009</i>	15 May 2009 p. 1643-4	r. 1 and 2: 15 May 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 16 May 2009 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2009</i>	5 Jun 2009 p. 1878-81	r. 1 and 2: 5 Jun 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 6 Jun 2009 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 6) 2009</i>	23 Jun 2009 p. 2449-51	r. 1 and 2: 23 Jun 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2009 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2009</i>	31 Jul 2009 p. 3032-4	r. 1 and 2: 31 Jul 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Aug 2009 (see r. 2(b))

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## Compilation table

Citation	Published	Commencement
<b>Reprint 7: The Occupational Safety and Health Regulations 1996 as at 3 Aug 2009</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 5) 2009</i>	25 Aug 2009 p. 3312-13	r. 1 and 2: 25 Aug 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 26 Aug 2009 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2009</i> <sup>6</sup>	22 Dec 2009 p. 5233-50	r. 1 and 2: 22 Dec 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Mar 2010 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 7) 2009</i>	15 Jan 2010 p. 74-5	r. 1 and 2: 15 Jan 2010 (see r. 2(a)); Regulations other than r. 1 and 2: 16 Jan 2010 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2010</i>	25 May 2010 p. 2276-7	r. 1 and 2: 25 May 2010 (see r. 2(a)); Regulations other than r. 1 and 2: 26 May 2010 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2010</i>	25 Jun 2010 p. 2885-6	r. 1 and 2: 25 Jun 2010 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2010 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2010</i>	9 Jul 2010 p. 3246-7	r. 1 and 2: 9 Jul 2010 (see r. 2(a)); Regulations other than r. 1 and 2: 14 Jul 2010 (see r. 2(b) and <i>Gazette</i> 13 Jul 2010 p. 3291)
<i>Occupational Safety and Health Amendment Regulations (No. 5) 2010</i>	14 Sep 2010 p. 4406-7	r. 1 and 2: 14 Sep 2010 (see r. 2(a)); Regulations other than r. 1 and 2: 15 Sep 2010 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2010</i>	2 Nov 2010 p. 5499-500	r. 1 and 2: 2 Nov 2010 (see r. 2(a)); Regulations other than r. 1 and 2: 3 Nov 2010 (see r. 2(b))
<b>Reprint 8: The Occupational Safety and Health Regulations 1996 as at 19 Nov 2010</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 6) 2010</i>	10 Dec 2010 p. 6277-83	r. 1 and 2: 10 Dec 2010 (see r. 2(a)); Regulations other than r. 1 and 2: 11 Dec 2010 (see r. 2(b))

Citation	Published	Commencement
<i>Occupational Safety and Health Amendment Regulations (No. 7) 2010</i>	7 Jan 2011 p. 53-4	r. 1 and 2: 7 Jan 2011 (see r. 2(a)); Regulations other than r. 1 and 2: 8 Jan 2011 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2011</i>	22 Jun 2011 p. 2317-20	r. 1 and 2: 22 Jun 2011 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2011 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2011</i>	9 Sep 2011 p. 3686-7	r. 1 and 2: 9 Sep 2011 (see r. 2(a)); Regulations other than r. 1 and 2: 10 Sep 2011 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2012</i>	15 Jun 2012 p. 2625-8	r. 1 and 2: 15 Jun 2012 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2012 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2012</i>	27 Jul 2012 p. 3666-7	r. 1 and 2: 27 Jul 2012 (see r. 2(a)); Regulations other than r. 1 and 2: 28 Jul 2012 (see r. 2(b))
<b>Reprint 9: The Occupational Safety and Health Regulations 1996 as at 4 Jan 2013</b> (includes amendments listed above)		
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2013</i>	14 Jun 2013 p. 2253-4	r. 1 and 2: 14 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 15 Jun 2013 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2013</i>	27 Jun 2013 p. 2693-6	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2013 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 4) 2013</i>	21 Jan 2014 p. 84-5	r. 1 and 2: 21 Jan 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 22 Jan 2014 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2014</i>	17 Jun 2014 p. 1973-5	r. 1 and 2: 17 Jun 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2014 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2014</i>	8 Jan 2015 p. 101-2	r. 1 and 2: 8 Jan 2015 (see r. 2(a)); Regulations other than r. 1 and 2: 27 Apr 2015 (see r. 2(b) and <i>Gazette</i> 17 Apr 2015 p. 1371)

**Occupational Safety and Health Regulations 1996****Notes**

## Compilation table

<b>Citation</b>	<b>Published</b>	<b>Commencement</b>
<i>Occupational Safety and Health Amendment Regulations 2015</i>	23 Jun 2015 p. 2181-2	r. 1 and 2: 23 Jun 2015 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2015 (see r. 2(b))
<i>Commerce Regulations Amendment (Fees and Charges) Regulations 2016</i> Pt. 14	3 Jun 2016 p. 1745-73	1 Jul 2016 (see r. 2(b))
<i>Commerce Regulations Amendment (Public Health) Regulations 2016</i> Pt. 3	10 Jan 2017 p. 181-4	24 Jan 2017 (see r. 2(b) and <i>Gazette</i> 10 Jan 2017 p. 165)
<b>Reprint 10: The Occupational Safety and Health Regulations 1996 as at 17 Feb 2017</b> (includes amendments listed above)		
<i>Commerce Regulations Amendment (Fees and Charges) Regulations 2017</i> Pt. 16	23 Jun 2017 p. 3213-52	1 Jul 2017 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2017</i>	14 Nov 2017 p. 5602-6	r. 1 and 2: 14 Nov 2017 (see r. 2(a)); Regulations other than r. 1 and 2: 14 May 2018 (see r. 2(b))
<i>Commerce and Industrial Relations Regulations Amendment (Fees and Charges) Regulations 2018</i> Pt. 16	25 Jun 2018 p. 2325-53	1 Jul 2018 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2018</i>	19 Oct 2018 p. 4136-7	r. 1 and 2: 19 Oct 2018 (see r. 2(a)); Regulations other than r. 1 and 2: 20 Oct 2018 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2019</i>	10 May 2019 p. 1401	r. 1 and 2: 10 May 2019 (see r. 2(a)); Regulations other than r. 1 and 2: 11 May 2019 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations (No. 2) 2019</i>	18 Jun 2019 p. 2039	r. 1 and 2: 18 Jun 2019 (see r. 2(a)); Regulations other than r. 1 and 2: 2 Jul 2019 (see r. 2(b) and <i>Gazette</i> 28 Jun 2019 p. 2473)
<i>Occupational Safety and Health Amendment Regulations (No. 3) 2019</i>	21 Jun 2019 p. 2136-7	r. 1 and 2: 21 Jun 2019 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2019 (see r. 2(b))

Citation	Published	Commencement
<i>Occupational Safety and Health Amendment (COVID-19 Response) Regulations 2020</i>	SL 2020/105 29 Jun 2020	Repealed by SL 2020/106 r. 3 prior to commencement
<i>Occupational Safety and Health Amendment (COVID-19 Response) Repeal Regulations 2020</i>	SL 2020/106 29 Jun 2020	29 Jun 2020 (see r. 2)
<i>Occupational Safety and Health Amendment Regulations 2020</i>	SL 2020/182 25 Sep 2020	r. 1 and 2: 25 Sep 2020 (see r. 2(a)); Regulations other than r. 1 and 2: 26 Sep 2020 (see r. 2(b))
<i>Occupational Safety and Health Amendment (COVID-19 Response) Regulations (No. 2) 2020</i>	SL 2020/198 27 Oct 2020	r. 1 and 2: 27 Oct 2020 (see r. 2(a)); Regulations other than r. 1 and 2: 28 Oct 2020 (see r. 2(b))
<i>Occupational Safety and Health Amendment Regulations 2021</i>	SL 2021/3 15 Jan 2021	r. 1 and 2: 15 Jan 2021 (see r. 2(a)); Regulations other than r. 1 and 2: 15 Apr 2021 (see r. 2(b))

### Uncommenced provisions table

To view the text of the uncommenced provisions see *Acts as passed* [and Subsidiary legislation as made](#) on the WA Legislation website.

Citation	Published	Commencement
<i>Work Health and Safety Act 2020</i> s. 279 assented to 10 Nov 2020		To be proclaimed (see s. 2(1)(c))
<a href="#">Occupational Safety and Health Amendment Regulations (No 2) 2021</a> r. 3-7	<a href="#">SL 2021/84</a> <a href="#">21 Jun 2021</a>	<a href="#">1 Jul 2021 (see r. 2(b))</a>

### Other notes

- <sup>1</sup> The *Industrial Relations Act 1988* (Cwlth) was renamed the *Workplace Relations Act 1996* by the *Workplace and Other Legislation Amendment Act 1996* Sch. 19. The *Workplace Relations Act 1996* was then repealed by the *Fair Work (Transitional Provisions and Consequential Amendments) Act 2009*.
- <sup>2</sup> Repealed by the *Building Act 2011*.
- <sup>3</sup> Repealed by the *Work Health and Safety (Transitional and Consequential Provisions) Act 2011* (Cwlth).

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- <sup>4</sup> The regulations ceased to have effect on the commencement of the *Acts Amendment (Occupational Health, Safety and Welfare) Act 1987*, see s. 33(1) which repealed the *Noise Abatement Act 1972* under which the regulations were made.
- <sup>5</sup> The amendment to r. 3.88 in the *Occupational Safety and Health Amendment Regulations (No. 6) 2004* r. 24(5) is not included because it would conflict with the amendment in the *Occupational Safety and Health Amendment Regulations (No. 3) 2004* r. 7.
- <sup>6</sup> The amendments to r. 5.46(1)(a) in the *Occupational Safety and Health Amendment Regulations (No. 3) 2009* r. 10(b) is not included because it was unclear where the amendment was intended to be made. Regulation 5.46(1)(a) was replaced by the *Occupational Safety and Health Amendment Regulations 2010* r. 5.