

Western Australia

Real Estate and Business Agents Act 1978

Real Estate and Business Agents (General) Regulations 1979

Reprint 6: The regulations as at 11 December 2009

Guide for using this reprint

What the reprint includes



Endnotes, Compilation table, and Table of provisions that have not come into operation

- 1. Details about the original regulations and legislation that has amended its text are shown in the Compilation table in endnote 1, at the back of the reprint. The table also shows any previous reprint.
- 2. Transitional, savings, or other provisions identified in the Compilation table may be important. The table may refer to another endnote setting out the text of these provisions in full.
- 3. A table of provisions that have not come into operation, to be found in endnote 1a if it is needed, lists any provisions of the regulations being reprinted that have not come into operation and any amendments that have not come into operation. The full text is set out in another endnote that is referred to in the table.

Notes amongst text (italicised and within square brackets)

1. If the reprint includes a regulation that was inserted, or has been amended, since the regulations being reprinted were made, editorial notes at the foot of the regulation give some history of how the regulation came to be as it is. If the regulation replaced an earlier regulation, no history of the earlier regulation is given (the full history of the regulations is in the Compilation table).

Notes of this kind may also be at the foot of Schedules or headings.

- 2. The other kind of editorial note shows something has been -
 - removed (because it was repealed or deleted from the law); or
 - omitted under the *Reprints Act 1984* s. 7(4) (because, although still technically part of the text, it no longer has any effect).

The text of anything removed or omitted can be found in an earlier reprint (if there is one) or one of the written laws identified in the Compilation table.

Reprint numbering and date

- 1. The reprint number (in the footer of each page of the document) shows how many times the regulations have been reprinted. For example, numbering a reprint as "Reprint 3" would mean that the reprint was the 3rd reprint since the regulations were published. Reprint numbering was implemented as from 1 January 2003.
- The information in the reprint is current on the date shown as the date as at which the regulations are reprinted. That date is not the date when the reprint was published by the State Law Publisher and it is probably not the date when the most recent amendment had effect.

Reprinted under the *Reprints Act 1984* as at 11 December 2009

Western Australia

Real Estate and Business Agents (General) Regulations 1979

CONTENTS

1.	Citation	1
1. 2.	Terms used	1
2. 3.	Common seal	1
3. 3A.		4
3A. 4.	Prescribed duty for purposes of section 4(4)(d) Fees	
••		4
4A.	Holding fee	4
4AA.	CPD activities	5
4AB.	Prescribed educational requirement —	_
	section 31(3)(b)	6
4AC.	Prescribed educational requirement —	
	section $48(5)(b)$	7
4B.	Prescribed periods	8
5.	Notice of application for licence	8
6.	Prescribed examinations	8
6A.	Prescribed qualifications for sales representatives	9
6B.	Grant of certificate of registration	11
6BA.	Requirements for appointment to act as an agent	11
6C.	Prescribed classes of authorised financial	
	institution	13
6D.	Designation of trust accounts	13
6E.	Prescribed requirements for separate accounts	14
6F.	Interest payable on trust accounts	14
6G.	Content of receipts	15
6U. 6H.	Records under section 69(1)(b)	15
7.	Particulars to be included in registers	15
7. 7AA.	÷	10
/ AA.	Prescribed class of lending institution	10

Reprint 6

page i

7A.	Prescribed form of application for assistance from	
	Home Buyers Assistance Account	18
7B.	Prescribed amount for purposes of	
	section 131M(3)	19
8.	Notice of changes in particulars	19
9.	Recovery of fees and costs	19
10.	Refund to unsuccessful applicant	20
11.	Application of Board Interest Account	20
12.	Claims against Fidelity Account	20
13.	Codes of conduct	21
14.	Infringement notices	21
15.	Forms	21
	Schedule 1 — Fees	
	Schedule 1A — Professional development subjects	
	Schedule 2 — Forms	
	Schedule 3 — Prescribed offences and modified penalties	
	Notes	
	Compilation table	34
	Defined Terms	

page ii



Reprinted under the *Reprints Act 1984* as at 11 December 2009

Western Australia

Real Estate and Business Agents Act 1978

Real Estate and Business Agents (General) Regulations 1979

1. Citation

These regulations may be cited as the *Real Estate and Business* Agents (General) Regulations 1979¹.

2. Terms used

In these regulations, unless the contrary intention appears —

calendar year means a period of 12 months beginning on 1 January;

CPD activity means -

- (a) in relation to the calendar year 2009 or any subsequent calendar year, an educational activity approved for that calendar year in accordance with regulation 4AA(1); and
- (b) in relation to either of the calendar years 2007 and 2008, an educational activity approved for that calendar year in accordance with regulations in force at the time of approval;

CPD subject means a subject listed in Schedule 1A;

Reprint 6

dwelling has the same meaning as it has in section 131L of the Act;

- (a) attendance, including by means of audiolink or videolink, at a specific training course provided by a specific body or person and successful completion of any assessment requirements for that course; or
- (b) attendance, including by means of audiolink or videolink, at a specific seminar presented by a specific body or person and successful completion of any assessment requirements for that seminar; or
- (c) viewing of a specific recording, and successful completion of any assessment requirements for that viewing; or
- (d) participation in a specific course of study, or a specific component of a course of study, and successful completion of any assessment requirements for that course or component;

mandatory CPD activity, in relation to the calendar year 2009 or any subsequent calendar year, means a CPD activity for that year which the Board has specified as a mandatory CPD activity for that year in accordance with regulation 4AA(3)(b);

mandatory CPD subject, in relation to either of the calendar years 2007 and 2008, means a CPD subject which is a mandatory subject for that calendar year under or in accordance with regulations in force with respect to that calendar year;

point value means —

- (a) in relation to a CPD activity approved for the calendar year 2009 or any subsequent calendar year, the point value specified under regulation 4AA(1)(b); and
- (b) in relation to a CPD activity approved for either of the calendar years 2007 or 2008, the point value specified or determined in accordance with regulations in force at the time of approval;
- page 2

Reprint 6

r. 2

record means a record under section 69(1)(b) of the Act;

register means the register referred to in regulation 7(b);

registered training provider has the same meaning as in section 5(1) of the *Vocational Education and Training Act 1996*;

regulated person means a licensee to whom regulation 4AB applies or a sales representative to whom regulation 4AC applies;

relevant day, for a calendar year, means —

- (a) for the calendar year 2009, the day which is 14 days after the day on which regulation 5 of the *Real Estate and Business Agents (General) Amendment Regulations 2009* comes into operation¹; and
- (b) for the calendar year 2010 and each subsequent calendar year, 1 January in that calendar year;

working day means a day that is not a Saturday, Sunday, public holiday or public service holiday.

[Regulation 2 inserted in Gazette 25 Jun 1996 p. 2918; amended in Gazette 7 Feb 2003 p. 385; 28 Dec 2007 p. 6403-4; 17 Apr 2009 p. 1319-21.]

3. Common seal

- (1) The common seal of the Board shall be in the form of a circular embossment inscribed with the passage "Real Estate and Business Agents Supervisory Board —Western Australia" around its circumference and the coat of arms of Western Australia in its centre.
- (2) The common seal shall be kept in safe custody by the Registrar and shall not be applied to any document except pursuant to a resolution of the Board and upon the common seal being so applied the document shall be signed by the Chairman and either the Registrar or another officer nominated by the Board for that purpose.

Reprint 6

(3) Every resolution to apply the common seal to a document shall be recorded in the minutes of the meetings of the Board.

3A. Prescribed duty for purposes of section 4(4)(d)

For the purposes of section 4(4)(d) of the Act the prescribed duty is collecting a payment on behalf of the owner of premises from a person in respect of the right of that person to occupy the premises.

[Regulation 3A inserted in Gazette 24 Jul 2007 p. 3660.]

4. Fees

- (1) The fees set out in Schedule 1 shall be payable in respect of the matters prescribed in that Schedule.
- (2) If a certificate of registration is renewed in accordance with section 49 of the Act after the day on which the certificate expired, a late fee equal to one quarter of the fee specified in item 7 of Schedule 1 for the renewal of the certificate is payable in addition to that specified fee.

[Regulation 4 amended in Gazette 2 Jul 1982 p. 2334; 25 Jun 1996 p. 2924.]

4A. Holding fee

(1) In this regulation —

holding fee means the fee set out in item 11 of Schedule 1 and referred to in section 30(2a)(b) of the Act.

- (2) The holding fee is payable
 - (a) in the case of a licensee who, on the coming into operation of Part 8 of the *Business Licensing Amendment Act 1995*, holds a licence but does not hold a triennial certificate in respect of the licence, within 60 days after the coming into operation of that Part, and triennially after that payment; or

page 4

- (b) in any other case, within 60 days after a licensee ceases to hold a current triennial certificate in respect of the licence held by the licensee, and triennially after that payment.
- (3) The Board may refund to a licensee
 - (a) two-thirds of the holding fee paid by the licensee if the licensee is granted a triennial certificate less than one year after paying the holding fee; or
 - (b) one-third of the holding fee paid by the licensee if the licensee is granted a triennial certificate one year or more, but less than 2 years, after paying the holding fee.

[Regulation 4A inserted in Gazette 25 Jun 1996 p. 2924; amended in Gazette 17 Jun 2008 p. 2558.]

4AA. CPD activities

- (1) For each calendar year the Board is to approve educational activities in accordance with this regulation.
- (2) In approving an educational activity under subregulation (1)
 - (a) the Board is to specify a point value for the educational activity, and may specify different point values in relation to different regulated persons or classes of regulated persons; and
 - (b) the Board is to specify the CPD subject or CPD subjects in relation to which the educational activity is approved; and
 - (c) the Board may approve the educational activity in relation only to specified regulated persons or a specified class of regulated persons.
- (3) Before the relevant day for each calendar year, in relation to each regulated person
 - (a) the Board is to approve educational activities for that calendar year under subregulation (1) which have an aggregate point value of at least 10; and

(b)	the Board may specify one or more CPD activities for
	that calendar year as mandatory CPD activities for that
	calendar year.

- (4) At any time after the relevant day for each calendar year the Board may approve further educational activities for that calendar year under subregulation (1).
- (5) Throughout each calendar year the Board is to maintain on its website an up-to-date notice setting out
 - (a) sufficient details to identify each CPD activity for that calendar year for each regulated person; and
 - (b) in relation to each CPD activity
 - (i) the CPD subject to which it relates; and
 - (ii) its point value;

and

 (c) if the Board has specified mandatory CPD activities for that year in accordance with subregulation (3)(b), sufficient details to identify the mandatory CPD activities for each regulated person.

[Regulation 4AA inserted in Gazette 17 Apr 2009 p. 1321-2.]

4AB. Prescribed educational requirement — section 31(3)(b)

- (1) This regulation applies to a licensee
 - (a) who is a natural person; and
 - (b) whose triennial certificate is due to expire during the calendar year 2009 or a subsequent calendar year.
- (2) It is an educational requirement for the purposes of section 31(3)(b) that, subject to subregulation (3), during each of the 3 calendar years preceding the calendar year in which a triennial certificate expires the licensee has undertaken CPD activities approved for that calendar year in relation to the licensee —
 - (a) which have an aggregate point value of at least 10; and

page 6

- (b) which
 - (i) in respect of any calendar year before the calendar year 2009, include at least one CPD activity approved in respect of each mandatory CPD subject for that calendar year; and
 - (ii) in respect of the calendar year 2009 or any subsequent calendar year, include any mandatory CPD activities in relation to that licensee for that calendar year.
- (3) Where a triennial certificate
 - (a) expires during the calendar year 2009; or
 - (b) expires for the first time after it was granted,

the requirement to have undertaken CPD activities set out in subregulation (2) applies only in respect of the 2 calendar years preceding the year in which the certificate expires.

[Regulation 4AB inserted in Gazette 17 Apr 2009 p. 1322-3.]

4AC. Prescribed educational requirement — section 48(5)(b)

- (1) This regulation applies to a sales representative whose certificate of registration is due to expire during the calendar year 2010 or a subsequent calendar year.
- (2) It is an educational requirement for the purposes of section 48(5)(b) that, subject to subregulation (3), during each of the 3 calendar years preceding the calendar year in which a certificate of registration expires the sales representative has undertaken CPD activities approved for that calendar year in relation to the sales representative —
 - (a) which have an aggregate point value of at least 10; and
 - (b) which, in respect of the calendar year 2009 or any subsequent calendar year, include any mandatory CPD activities in relation to that sales representative for that calendar year.

(3) Where a certificate of registration expires for the first time after it was granted, the requirement to have undertaken CPD activities set out in subregulation (2) applies only in respect of the 2 calendar years preceding the year in which the certificate expires.

[Regulation 4AC inserted in Gazette 17 Apr 2009 p. 1323.]

4B. Prescribed periods

- (1) For the purposes of section 48(1) and (2) of the Act, the prescribed period is 3 years.
- (2) For the purposes of section 49(2) of the Act, the prescribed period is 3 years.

[Regulation 4B inserted in Gazette 25 Jun 1996 p. 2924.]

5. Notice of application for licence

- (1) Notice of an application for the grant of a licence to be advertised pursuant to section 24(2) of the Act
 - (a) shall be in a form approved by the Board; and
 - (b) shall be published by the Board in an issue of the newspaper known as "The West Australian" on a Saturday not less than 14 days before the day fixed for the hearing of the application.
- [(2) deleted]

[Regulation 5 amended in Gazette 26 Oct 1990 p. 5370.]

6. Prescribed examinations

- (1) The prescribed examinations for the purposes of clause 1(a) of the Schedule to the Act are
 - (a) the examinations which are required by a registered training provider to be passed for the conferral of a Diploma of Property (Real Estate), together with the

examinations conducted by a registered training provider in the following courses —

- (i) Unit 15826 Rural Sales;
- (ii) Unit 15825 Selling Businesses; and
- (iii) Unit 15892 Real Estate Law;

or

- (ba) the examinations which are required by a registered training provider to be passed for the conferral of a Diploma of Property Services (Agency Management) CPP50307; or
 - (b) the examinations required to be passed for the conferral of a Bachelor of Commerce (Property and Marketing) or a Bachelor of Commerce (Property) by the Curtin University of Technology.
- (2) In respect of a person who was a licensee and ceased to be licensed due to section 30(2a) of the Act the prescribed examinations for the purposes of clause 1(a) of the Schedule also include the examinations which were prescribed under regulation 6 of these regulations immediately before the commencement of the *Real Estate and Business Agents* (*General*) Amendment Regulations 2003¹.

[Regulation 6 inserted in Gazette 7 Feb 2003 p. 385; amended in Gazette 13 Jan 2004 p. 145; 8 May 2009 p. 1491-2.]

6A. Prescribed qualifications for sales representatives

- (1) The prescribed qualifications for the purposes of section 47(2) of the Act are the successful completion of
 - (a) the TAFE Sales Representatives Registration Course provided by a registered training provider; or
 - (b) the REIWA Sales Representatives Registration Course provided by the Real Estate Institute of Western Australia Incorporated; or

(a)	both —
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- (i) Real Estate Business 305; and
- (ii) Property Management 330,
- provided by the Curtin University of Technology; or
- (d) each of the following modules from the CPP07 Property Services Training Package —
 - (i) CPPDSM4003A Appraise property;
 - (ii) CPPDSM4007A Identify legal and ethical requirements of property management to complete agency work;
 - (iii) CPPDSM4008A Identify legal and ethical requirements of property sales to complete agency work;
 - (iv) CPPDSM4012A List property for sale;
 - (v) CPPDSM4014A Market property for sale;
 - (vi) CPPDSM4022A Sell and finalise the sale of property by private treaty;
 - (vii) CPPDSM4080A Work in the real estate industry,

provided by a registered training provider; or

- (e) in the case of an application for registration as a sales representative with a condition restricting the registrant to property management transactions only — each of the following modules from the CPP07 Property Services Training Package —
 - (i) CPPDSM4007A Identify legal and ethical requirements of property management to complete agency work;
 - (ii) CPPDSM4010A Lease property;
 - (iii) CPPDSM4011A List property for lease;

page 10

- (iv) CPPDSM4013A Market property for lease;
- (v) CPPDSM4016A Monitor and manage lease/tenancy agreements;
- (vi) CPPDSM4080A Work in the real estate industry,

provided by a registered training provider.

(2) In this regulation —

CPP07 Property Services Training Package means the CPP07 Property Services Training Package published by the National Training Information Service.

[Regulation 6A inserted in Gazette 7 Feb 2003 p. 386; amended in Gazette 24 Jun 2008 p. 2886-7.]

6B. Grant of certificate of registration

The Board or the Registrar may grant a certificate of registration under section 47 of the Act to an applicant —

- (a) who applies within one year of successfully completing a qualification prescribed in regulation 6A;
- (b) who has held a certificate of registration for at least 3 of the 5 years immediately preceding the making of the application; or
- (c) who complies with regulation 6(1)(a) or (b).

[Regulation 6B inserted in Gazette 8 May 1987 p. 2103; amended in Gazette 30 Oct 1987 p. 4047; 12 Aug 1988 p. 2770; 7 Feb 2003 p. 386-7; 30 Dec 2004 p. 6924; 17 Nov 2006 p. 4760.]

6BA. Requirements for appointment to act as an agent

- (1) If an amount is not fixed under section 61(1) of the Act, an appointment to act as an agent
 - (a) where the commission, reward or other valuable consideration to be received by the agent for the services

Reprint 6

	(b)	where	
		(i)	the appointment is to act as an agent in a transaction as defined in section 61(4a) of the Act; and
		(ii)	the commission, reward or other valuable consideration to be received by the agent for th services rendered is expressed as an hourly, weekly or other periodic rate,
			pecify the maximum amount to be received by the expressed as a monetary amount;
	(c)	the set a pers provid	e the consideration to be received by the agent for rvices rendered by the agent is based on the use on of certain services provided by the agent, is to le a full explanation of the nature of the services ded; and
	(d)	rewar	e any expenses (in addition to the commission, d or other valuable consideration) are to be red by the agent, is to —
		(i) (ii)	specify the nature of those expenses; and clearly set out the method by which the expens will be calculated.
(2)	appoir are to statem consid comm pursua	ntment to be rend lent of t leration ission, a nut to a	is not fixed under section 61(1) of the Act, an to act as an agent by a person for whom services ered is to contain, immediately before the he commission, reward or other valuable to be received by the agent, a statement that the reward or consideration is not to be received scale fixed by law but is to be agreed upon berson and the agent.

(3) An appointment to act as an agent is to include a statement in clear, concise and plain English to the effect that the person for whom the services are to be rendered by the agent (e.g. the vendor or landlord) may seek assistance from the Real Estate and Business Agents Supervisory Board in relation to disputes as to the commission, reward or other valuable consideration to be received by the agent.

[Regulation 6BA inserted in Gazette 16 Oct 1998 p. 5734-5.]

6C. Prescribed classes of authorised financial institution

For the purposes of the definition of *authorised financial institution* in section 67 of the Act, the following classes of bodies are prescribed —

- (a) the class that consists of all banks; and
- (b) the class that consists of all societies.

[Regulation 6C inserted in Gazette 25 Jun 1996 p. 2918.]

6D. Designation of trust accounts

- (1) For the purposes of section 68(1) of the Act, a trust account is to be designated in the manner provided for in this regulation.
- (2) The designation of a trust account, other than a separate account, is to include
 - (a) the description "REBA Trust Account" or "REBA Tenancy Bond Trust Account" as appropriate;
 - (b) the name of the holder of the triennial certificate, and any business name of that holder, recorded in the register; and
 - (c) the letters "TC" followed by the triennial certificate number recorded in the register.
- (3) The designation of a separate account is to include
 - (a) the description "REBA Trust Account IB";

(b)	the name of the holder of the triennial certificate, and
	any business name of that holder, recorded in the
	register;

- (c) the words "in trust for" followed by the name of the person who requested the separate account; and
- (d) the letters "TC" followed by the triennial certificate number recorded in the register.

[Regulation 6D inserted in Gazette 25 Jun 1996 p. 2918-19.]

6E. Prescribed requirements for separate accounts

- (1) For the purposes of section 68A(4) of the Act, where the transaction in respect of which moneys are paid relates to the sale of real estate or a business, an agent shall only comply with the request for a separate account if the agent is satisfied that
 - (a) the amount of moneys paid to the agent exceeds \$20 000; or
 - (b) the transaction is not to be settled within 60 days.
- (2) In subregulation (1) —

business means any commercial undertaking or enterprise in respect of any profession, trade, employment, vocation, or calling.

[Regulation 6E inserted in Gazette 25 Jun 1996 p. 2919.]

6F. Interest payable on trust accounts

- (1) For the purposes of section 68B(1) of the Act, interest on the balance of a trust account is to be paid
 - (a) at the rate that is 70% of the relevant bank accepted bills rate calculated on a daily basis; and
 - (b) within 5 working days of the end of each month.
- (2) In subregulation (1)(a) —

relevant bank accepted bills rate means the 30 day bank accepted bills rate as published in Table F.1 of the "Reserve

page 14

(For example, the relevant bank accepted bills rate for May is the 30 day bank accepted bills rate for March.)

[Regulation 6F inserted in Gazette 25 Jun 1996 p. 2919.]

6G. Content of receipts

A receipt given under section 69(1)(a) of the Act shall contain the following information —

- (aa) the heading "Trust Account Receipt";
- (a) the name of the holder of the triennial certificate, and any business name of that holder, recorded in the register;
- (b) a number or letter, or a combination of both, in consecutive order that allows the receipt to be uniquely identified;
- (c) the date on which the money is received;
- (d) the name of the person paying the money;
- (e) the amount of money received;
- (f) a brief description of the purpose of the payment; and
- (g) if the receipt is hand-written, the name of the person receiving the money evidenced by the signature of that person.

[Regulation 6G inserted in Gazette 25 Jun 1996 p. 2919; amended in Gazette 17 Nov 2006 p. 4760.]

6H. Records under section 69(1)(b)

- (1) A record shall be
 - (a) kept in written form;
 - (b) kept for a period of not less than 6 years from the date on which the money was received; and
 - (c) readily accessible.

Reprint 6

(2)	Where a receipt has been given for money received, a record
	shall contain the information contained in the receipt and may
	take the form of a duplicate copy of the receipt.

(3) Where money has been received by electronic transfer, a record shall contain the information specified in regulation 6G(b), (c), (d), (e) and (f) and, for that purpose, the reference in regulation 6G(b) to "receipt" is to be read as a reference to "record".

[Regulation 6H inserted in Gazette 25 Jun 1996 p. 2919-20.]

7. Particulars to be included in registers

The prescribed particulars to be recorded, pursuant to section 133(2) of the Act, by the Registrar —

- (a) in the register of licensees are, in respect of each licensee
 - (i) the name and address of the licensee;
 - (ii) where the licensee is a firm, the name, address, and, where applicable, licence number of each partner constituting the firm;
 - (iii) where the licensee is a body corporate, the name, address, and, where applicable, licence number of each director of the licensee;
 - (iv) the licence number and the date on which the licence held by the licensee was granted;
 - (v) any special condition to which the licence held by the licensee is subject;
 - (vi) where a claim has been made or sustained against the Fidelity Account in respect of the licensee, a reference to that claim;
- (b) in the register of holders of current triennial certificates are, in respect of each holder
 - (i) the name and address of the holder;

Reprint 6

r. 7

(ii)	any business name under which the holder
	carries on business as an agent;
(iii)	the situation of the registered office of the

- (iii) the situation of the registered office of the holder;
- (iv) where the holder is a firm, the name, address, and, where applicable, licence number of each partner constituting the firm;
- (v) where the holder is a body corporate, the name, address and, where applicable, licence number of each director of the holder;
- (vi) where the holder is a firm or body corporate, the name and address of the person in *bona fide* control of the business of the holder;
- (vii) the licence number, and the date on which the licence held by the holder of the certificate was granted;
- (viii) the certificate number, and the date on which the certificate currently held by the holder was granted or renewed, as the case may be;
- (ix) the name and address of the auditor appointed by the holder;
- (x) the amount of any payment made under section 113 of the Act by the holder;
- (c) in the register of holders of current certificates of registration are, in respect of each holder
 - (i) the name and address of the holder;
 - (ii) the certificate number and the date on which the certificate currently held by the holder was granted or renewed, as the case may be;
 - (iii) any special condition to which the certificate held by the holder is subject;
 - (iv) the amount of any payment made under section 113 of the Act by the holder;

Reprint 6

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(v)	the name and address of the licensee or
	developer for and on behalf of whom the holder
	acts or by whom the holder is employed;

- (vi) the date upon which a holder commenced in that capacity to act for and on behalf of, or be employed by, the licensee or developer referred to in subparagraph (v);
- (vii) the name and address of every other licensee or developer for and on behalf of whom the holder has acted, or by whom the holder has been employed in that capacity, and the dates on which the holder commenced and ceased to so act or be employed by each of them, respectively.

[*Regulation 7 amended in Gazette 7 Feb 2003 p. 387; 23 Dec 2008 p. 5467; 10 Nov 2009 p. 4495.*]

7AA. Prescribed class of lending institution

For the purposes of the definition of *lending institution* in section 131A of the Act, the class consisting of bodies, other than bodies referred to in paragraphs (a), (b) and (c) of that definition, that provide loans to persons in order to assist those persons to purchase a dwelling is prescribed.

[Regulation 7AA inserted in Gazette 25 Jun 1996 p. 2920.]

7A. Prescribed form of application for assistance from Home Buyers Assistance Account

For the purposes of section 131L(1) of the Act, the prescribed form of application is in the form of Form 1 set out in Schedule 2.

[Regulation 7A inserted in Gazette 2 Jul 1982 p. 2355.]

7B. Prescribed amount for purposes of section 131M(3)

For the purposes of section 131M(3) the prescribed maximum amount is \$2 000.

[Regulation 7B inserted in Gazette 30 Sep 1994 p. 4969.]

8. Notice of changes in particulars

A licensee shall give to the Registrar notice in writing —

- (a) where the licensee is a body corporate, of any change in the directors of the body corporate;
- (b) where the licensee is a firm and any of the persons by whom or by which it is constituted is a body corporate, of any change in the directors of any such body corporate;
- (c) where the licensee is a firm or body corporate, of any change in the person in *bona fide* control of the business operated under the licence held by the licensee,

within one month of the change. Penalty: \$100.

9. Recovery of fees and costs

- (1) The amount of
 - (a) any fees prescribed by these regulations;
 - [(b) deleted]
 - (c) any costs ordered by the Board to be paid to the Registrar upon the determination of any proceedings,

may be sued for and recovered by the Registrar on behalf of the Crown in any court of competent jurisdiction.

(2) Where, upon the determination of any proceedings, the Board makes an order for costs in favour of a person other than the Registrar, the amount of those costs may be sued for by that

Reprint 6

person and recovered from the person against whom the order is made, in any court of competent jurisdiction.

[Regulation 9 amended in Gazette 30 Dec 2004 p. 6924.]

10. Refund to unsuccessful applicant

An amount paid to the Board under section 113 of the Act in respect of an application referred to in that section shall, where that application does not result in the grant or renewal of a licence, triennial certificate, or certificate of registration, be refunded to the applicant from the Fidelity Account.

[Regulation 10 amended in Gazette 10 Nov 2009 p. 4495.]

11. Application of Board Interest Account

For the purposes of section 127 of the Act —

- (a) moneys standing to the credit of the Board Interest Account are to be applied monthly before the end of each month; and
- (b) the following proportions are prescribed
 - (i) 4.5% to the Fidelity Account; and
 - (ii) 57% to the General Purpose Account; and
 - (iii) 38.5% to the Assistance Account.

[*Regulation 11 inserted in Gazette 25 Jun 1996 p. 2920; amended in Gazette 6 Nov 2001 p. 5837; 10 Nov 2009 p. 4495-6.*]

[11A, 11AA and 11B. Deleted in Gazette 25 Jun 1996 p. 2920.]

12. Claims against Fidelity Account

A claim against the Fidelity Guarantee Account shall be made in writing and be verified by a statutory declaration made by a person who deposes to the facts therein set out of his own knowledge.

[Regulation 12 amended in Gazette 10 Nov 2009 p. 4495-6.]

page 20

r. 10

r. 13

13. Codes of conduct

Any code of conduct that the Board may from time to time prescribe pursuant to section 101 of the Act shall be published in the *Government Gazette*.

14. Infringement notices

- (1) The offences specified in Schedule 3 are offences for which an infringement notice may be issued under Part 2 of the *Criminal Procedure Act 2004*.
- (2) The modified penalty specified opposite an offence in Schedule 3 is the modified penalty for that offence for the purposes of the *Criminal Procedure Act 2004* section 5(3).
- (3) The Board may, in writing, appoint persons or classes of persons to be authorised officers or approved officers for the purposes of the *Criminal Procedure Act 2004* Part 2.
- (4) The Board is to issue to each authorised officer a certificate, badge or identity card identifying the officer as a person authorised to issue infringement notices.

[Regulation 14 inserted in Gazette 28 Aug 2009 p. 3348.]

15. Forms

The forms set out in Schedule 2 are prescribed in relation to the matters specified in those forms.

[Regulation 15 inserted in Gazette 28 Aug 2009 p. 3348.]

Schedule 1 — Fees

[r. 4 and 4A]

Item	Type of fee	Fee
1.	Application for licence	\$62.50
2.	Grant of licence	\$654.00
3.	Grant of licence to firm	\$856.00
4.	Grant of licence to body corporate	\$856.00
5.	Renewal of triennial certificate	\$421.00
6.	Grant of certificate of registration	\$168.80
7.	Renewal of certificate of registration	\$138.00
8.	Inspection of a register	\$10.00
9.	Copy (certified or uncertified) or an extract of an individual registration —	
	first page	\$20.00
	each subsequence page	\$2.00
10.	Copy (certified or uncertified) or an extract of all registrations in a register	\$290.00

[Heading inserted in Gazette 23 Jun 2009 p. 2454.]

page 22

Item	Type of fee	Fee
11.	For the purposes of section 30(2a) (the holding fee)	\$198.00

[Schedule 1 inserted in Gazette 23 Jun 2009 p. 2454.]

Reprint 6

Schedule 1A — Professional development subjects

[r. 4AB]

[Heading inserted in Gazette 28 Dec 2007 p. 6407.]

- 1. Agency agreements
- 2. Auctions
- 3. Business broking
- 4. Business management practices
- 5. Buyer's agents
- 6. Communication
- 7. Conflict of interest and disclosure
- 8. Customer service skills
- 9. Disciplinary proceedings
- 10. Law of contracts
- 11. Managing agency risk
- 12. Legislation regulating the carrying on of business as an agent in Western Australia
- 13. Property management
- 14. Sale and lease of commercial property
- 15. Sale process
- 16. Strata management
- 17. Trust accounting
- 18. Understanding real estate documents
- 19. Valid appointment to act

[Schedule 1A inserted in Gazette 28 Dec 2007 p. 6407.]

page 24

Schedule 2 — Forms

[r. 15]

[Heading inserted in Gazette 28 Aug 2009 p. 3349.]

Form 1 — Application for grant for assistance with incidental expenses under the *Real Estate and Business Agents Act 1978* section 131L

Real Estate and Business Agents Act 1978

To be completed by applicant and submitted to a lending institution with application for a housing loan.

All details in this application form must be supplied.

1. Particulars of Applicant(s)

Applicant 1.

Please tick (\checkmark): \Box	Mr 🗖 Mrs 🗖 Miss 🗖 Ms 🗖 Other
Surname	
Given Names	
Previous Name	
Occupation	
Street Address	
Suburb/Town	Post Code
Telephone No.	(Business) (Home)
-	(Mobile)
Date of Birth	

Applicant 2. (If applicable)

Please tick (\checkmark):	Mr 🗆 Mrs 🗆 Miss 🗖 Ms 🗖 Other
Surname	
Given Names	
Previous Name	
Occupation	
Street Address	
Suburb/Town	Post Code
Telephone No.	
	(Mobile)
Date of Birth	

Reprint 6

2. Particulars of any other dwelling owned or partially owned

Do either of the applicants own or partially own, or have either of the applicants ever owned, or partially owned, any dwelling in Western Australia?

Please tick (\checkmark): \Box Yes \Box No

If so, please give particulars of the dwelling(s) and ownership:

.....

3. Particulars of Dwelling to be purchased

Please tick (\checkmark):

Is dwelling comp	lete? 🗖 Yes 🗖 No	Partially erected? 🗖 Yes 🗖 No
Street Address		
Suburb/Town		Post Code
Purchase price		
Amount of loan		
Name of lending	institution(s)	
Name of real esta	te agent	

4. Particulars of Incidental Expenses

Expense	Amount \$	Carried forward	\$
Stamp duty — transfer — mortgage		Lending institution fees for lodging this application	
Registration fees — transfer — mortgage — caveat		Mortgage guarantee fees or mortgage insurance premium (not house and contents insurance or mortgage protection insurance)	
Conveyancing fees		Inspection fees	
Solicitor's fees Valuation fees		Establishment fees	
Sub Total	\$	Total	\$

page 26

Declaration

I do solemnly declare that:

- (a) the information provided by me in this application is true and correct;
- (b) I do not intend to lease the dwelling, or permit it to be leased, for the period of 12 months after settlement on its purchase; and
- (c) the dwelling, unless it is a partly built dwelling, is to be used as the principal place of residence of myself and my immediate family for at least the period referred to in paragraph (b) of this declaration.

And I make this solemn declaration by virtue of section 106 of the *Evidence* Act 1906 2 .

Declared at	
Dated this	day of 2
Declarant 1.	
Declarant 2. (If applicable)	
Before me	[Justice of the Peace, Commissioner for Declarations, or

Authorised Person]

[Form 1 inserted in Gazette 8 Feb 2002 p. 599-602; amended in Gazette 28 Aug 2009 p. 3349.]

Real Estate and Business Agents Act 1978 Infringement		
Infringem	notice no.	
Alleged	Name: Family name	
offender	Given names	
	or Company name	
		ACN
	Address	
		Postcode
Alleged offence	Description of offence	
	Real Estate and Business Agents Act 19 Real Estate and Business Agents (Gene Regulations 1979 r. Date / /20 Time	
	Modified penalty \$	
Officer issuing notice	Name Signature	
	Office	
Date	Date of notice / /20	
Notice to alleged offender	It is alleged that you have committed the above offence. If you do not want to be prosecuted in court for the offence, pay the modified penalty within 28 days after the date of this notice.	

Form 2 — Infringement notice

page 28

How to pay
By post: Send a cheque or money order (payable to 'Approved Officer — <i>Real Estate and Business Agents Act 1978</i> ') to the following address:
Approved Officer
Real Estate and Business Agents Supervisory Board
[Address]
In person: Pay the cashier at:
Real Estate and Business Agents Supervisory Board
[Address]
If you do not pay the modified penalty within 28 days, you may be prosecuted or enforcement action may be taken under the <i>Fines, Penalties and Infringement Notices Enforcement Act 1994.</i> Under that Act your driver's licence and/or vehicle licence may be suspended.
If you need more time to pay the modified penalty, you can apply for an extension of time by writing to the Approved Officer at the above postal address.
If you want this matter to be dealt with by prosecution in court, sign here and post this notice to the Approved Officer at the above postal address within 28 days after the date of this notice.

[Form 2 inserted in Gazette 28 Aug 2009 p. 3349-50.]

$\Gamma \cup \Pi \cup J = V \cap U \cup U$	Form 3 —	Withdrawal	of infringement notice	
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Real Estate and Business Agents Act 1978 Withdrawal no.			
Withdraw	al of infringement notice		
Alleged	Name: Family name		
offender	Given names		
	or Company name		
	ACN		
	Address		
	Postcode		
Infringement Infringement notice no.			
notice	Date of issue / /20		
Alleged offence	Description of offence		
	Real Estate and Business Agents Act 19	978 s.	
	Real Estate and Business Agents (General) Regulations 1979 r.		
	Date / /20 Time	a.m./p.m.	
Officer	Name		
withdrawing notice			
nouce	Office		
Date	Date of withdrawal / /20		
Withdrawal of	The above infringement notice issued against you has been withdrawn.		
infringement notice			
[*delete whichever is not applicable]	* Your refund is enclosed. or		

page 30

* If you have paid the modifie enclosed, to claim your refu to:	· ·	•
Approved Officer — <i>Real E</i> Act 1978	state and	d Business Agents
Real Estate and Business Agents Supervisory Board		
[Address]		
Signature	/	/20

[Form 3 inserted in Gazette 28 Aug 2009 p. 3350-1.]

Reprint 6

Schedule 3 — Prescribed offences and modified penalties

[r. 14]

Offences u	Modified Penalty	
s. 35	Failing to notify Registrar of ceasing or commencing business	\$400
s. 36(1)	Failing to have registered office in the State	\$200
s. 37(1)	Failing to register a branch office	\$200
s. 37(2)	Failing to have qualified manager at branch office	\$200
s. 37(3)	Branch manager acting for more than one licensee or as an agent on own account	\$400
s. 40(1)(a)	Carrying on business under a name not endorsed on triennial certificate	\$200
s. 40(1)(b)	Failing to include surname and initials of licensee on all business correspondence	\$200
s. 40(3)	Failing to notify Registrar of alteration of business name	\$200
s. 41(1)(a)	Failing to display official details at registered office	\$400
s. 41(1)(b)	Failing to display official details at branch office	\$400

[Heading inserted in Gazette 28 Aug 2009 p. 3351.]

page 32

Offences u	Modified Penalty	
s. 41(2)(a)	Failing to display official details on all correspondence and documents from registered office	\$400
s. 41(2)(b)	Failing to display official details on all correspondence and documents from branch office	\$400
s. 51(1)	Failing to notify Registrar of commencing or ceasing employment, or to act, as a sales representative	\$400
s. 70(1)	Failing to cause audit of trust account	\$600
s. 70(3)	Failing to deliver audit report to the Board	\$600
Offence un	Modified Penalty	
r. 8	Failing to notify Registrar of change in particulars	\$20

[Schedule 3 inserted in Gazette 28 Aug 2009 p. 3351-2.]

Reprint 6

Notes

This reprint is a compilation as at 11 December 2009 of the *Real Estate and Business Agents (General) Regulations 1979* and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

Compil	lation	table	
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Citation	Gazettal	Commencement
Real Estate and Business Agents (General) Regulations 1979	31 Aug 1979 p. 2616-18	1 Sep 1979
Real Estate and Business Agents (General) Amendment Regulations 1980	26 Sep 1980 p. 3312	26 Sep 1980
Real Estate and Business Agents (General) Amendment Regulations 1981	26 Jun 1981 p. 2293	26 Jun 1981
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 1981	6 Nov 1981 p. 4526	1 Dec 1981 (see r. 2)
Real Estate and Business Agents (General) Amendment Regulations 1982	2 Jul 1982 p. 2334-6	2 Jul 1982 ³

Reprint of the *Real Estate and Business Agents (General) Regulations 1979* in *Gazette* 26 Nov 1982 p. 4667-74 (includes amendments listed above)

Real Estate and Business Agents (General) Amendment Regulations 1983	21 Oct 1983 p. 4298	1 Jan 1984 (see r. 2)
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 1983	30 Dec 1983 p. 5121-2	30 Dec 1983
Real Estate and Business Agents (General) Amendment Regulations 1984 ⁴	21 Dec 1984 p. 4191	21 Dec 1984
Real Estate and Business Agents (General) Amendment Regulations 1985	21 Jun 1985 p. 2262	21 Jun 1985
Real Estate and Business Agents (General) Amendment Regulations 1986	28 Feb 1986 p. 668	28 Feb 1986

page 34

1

Reprint 6

Gazettal	Commencement
13 Jun 1986 p. 1997-8	1 Jul 1986 (see r. 2)
8 Aug 1986 p. 2870-1	1 Feb 1987 (see r. 2)
24 Dec 1986 p. 4998	24 Dec 1986
8 May 1987 p. 2103	8 May 1987
4 Sep 1987 p. 3519	4 Sep 1987
30 Oct 1987 p. 4047	30 Oct 1987
18 Dec 1987 p. 4516	18 Dec 1987
12 Aug 1988 p. 2770	12 Aug 1988
2 Sep 1988 p. 3466	2 Sep 1988
20 Jan 1989 p. 132	20 Jan 1989
3 Feb 1989 p. 360	3 Feb 1989
30 Jun 1989 p. 1979	1 Jul 1989 (see r. 2)
15 Jun 1990 p. 2723 (erratum 22 Jun 1990 p. 3034)	15 Jun 1990
	 13 Jun 1986 p. 1997-8 8 Aug 1986 p. 2870-1 24 Dec 1986 p. 4998 8 May 1987 p. 2103 4 Sep 1987 p. 3519 30 Oct 1987 p. 4047 18 Dec 1987 p. 4047 18 Dec 1987 p. 4047 18 Dec 1987 p. 4516 12 Aug 1988 p. 2770 2 Sep 1988 p. 3466 20 Jan 1989 p. 132 3 Feb 1989 p. 360 30 Jun 1989 p. 1979 15 Jun 1990 p. 2723 (erratum 22 Jun 1990

Reprint 6

Citation	Gazettal	Commencement
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 1990	20 Jul 1990 p. 3461	20 Jul 1990
Real Estate and Business Agents (General) Amendment Regulations (No. 3) 1990	1 Aug 1990 p. 3652-3	1 Aug 1990
Real Estate and Business Agents (General) Amendment Regulations (No. 4) 1990	26 Oct 1990 p. 5370	26 Oct 1990
Real Estate and Business Agents (General) Amendment Regulations 1991	28 Jun 1991 p. 3119	28 Jun 1991
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 1991	13 Dec 1991 p. 6160	13 Dec 1991
Real Estate and Business Agents (General) Amendment Regulations 1992	14 Aug 1992 p. 4011-12	14 Aug 1992
Reprint of the <i>Real Estate and Busin</i> 1 Oct 1992 (includes amendments list		eral) Regulations 1979 as at
Real Estate and Business Agents (General) Amendment Regulations 1993	30 Nov 1993 p. 6411-12	30 Nov 1993
Real Estate and Business Agents (General) Amendment Regulations 1994	30 Sep 1994 p. 4969-72	6 Oct 1994 (see r. 2)
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 1994	9 Dec 1994 p. 6661-2	9 Dec 1994
Real Estate and Business Agents (General) Amendment Regulations 1996	7 Jun 1996 p. 2392	7 Jun 1996
Real Estate and Business Agents (General) Amendment Regulations (No. 3) 1996	25 Jun 1996 p. 2917-22	1 Jul 1996 (see r. 2 and <i>Gazette</i> 25 Jun 1996 p. 2902)
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 1996	25 Jun 1996 p. 2923-5	1 Jul 1996 (see r. 2 and <i>Gazette</i> 1 Jul 1996 p. 3179)

page 36

Citation	Gazettal	Commencement
Real Estate and Business Agents (General) Amendment Regulations 1997	27 Jun 1997 p. 3099-101	1 Jul 1997 (see r. 2)
Reprint of the <i>Real Estate and Busin</i> 28 Nov 1997 (includes amendments h		eral) Regulations 1979 as at
Real Estate and Business Agents (General) Amendment Regulations 1998	16 Oct 1998 p. 5733-5	1 Nov 1998 (see r. 2 and <i>Gazette</i> 16 Oct 1998 p. 5729)
Real Estate and Business Agents (General) Amendment Regulations 1999	8 Oct 1999 p. 4782-3	8 Oct 1999
Real Estate and Business Agents (General) Amendment Regulations 2000	18 Feb 2000 p. 913-14	18 Feb 2000
Real Estate and Business Agents (General) Amendment Regulations 2001	6 Nov 2001 p. 5837	6 Nov 2001
Real Estate and Business Agents (General) Amendment Regulations 2002	8 Feb 2002 p. 599-602	8 Feb 2002
Reprint of the <i>Real Estate and Busin</i> 8 Mar 2002 (includes amendments lis	0	eral) Regulations 1979 as at
Real Estate and Business Agents (General) Amendment Regulations 2003 ^{5,6}	7 Feb 2003 p. 384-7 (as amended 13 Jan 2004 p. 145-6)	7 Feb 2003
Real Estate and Business Agents (General) Amendment Regulations 2004	13 Jan 2004 p. 145-6	13 Jan 2004
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 2004	30 Dec 2004 p. 6924	1 Jan 2005 (see r. 2 and <i>Gazette</i> 31 Dec 2004 p. 7130)
Real Estate and Business Agents (General) Amendment Regulations 2006	27 Jun 2006 p. 2269-70	1 Jul 2006 (see r. 2)
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 2006	17 Nov 2006 p. 4759-60	17 Nov 2006

Reprint 6

Citation	Gazettal	Commencement
Real Estate and Business Agents (General) Amendment Regulations 2007	6 Feb 2007 p. 307-10	6 Feb 2007
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 2007	9 Mar 2007 p. 847-8	9 Mar 2007
Reprint 5: The <i>Real Estate and Busin</i> 8 June 2007 (includes amendments lis		eral) Regulations 1979 as at
Real Estate and Business Agents (General) Amendment Regulations (No. 4) 2007	24 Jul 2007 p. 3659-60	r. 1 and 2: 24 Jul 2007 (see r. 2(a)); Regulations other than r. 1 and 2: 25 Jul 2007 (see r. 2(b) and <i>Gazette</i> 25 Jul 2007 p. 3657)
Real Estate and Business Agents (General) Amendment Regulations	28 Dec 2007 p. 6403-7	r. 1 and 2: 28 Dec 2007 (see r. 2(a));
(No. 5) 2007		Regulations other than r. 1 and 2: 29 Dec 2007 (see r. 2(b))
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 2008	17 Jun 2008 p. 2557-8	r. 1 and 2: 17 Jun 2008 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2008 (see r. 2(b))
Real Estate and Business Agents (General) Amendment Regulations 2008	24 Jun 2008 p. 2886-7	r. 1 and 2: 24 Jun 2008 (see r. 2(a)); Regulations other than r. 1 and 2: 25 Jun 2008 (see r. 2(b))
Real Estate and Business Agents (General) Amendment Regulations (No. 3) 2008	23 Dec 2008 p. 5465-7	r. 1 and 2: 23 Dec 2008 (see r. 2(a)); Regulations other than r. 1 and 2: 24 Dec 2008 (see r. 2(b))
Real Estate and Business Agents (General) Amendment Regulations 2009	17 Apr 2009 p. 1319-23	r. 1 and 2: 17 Apr 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 18 Apr 2009 (see r. 2(b))
Real Estate and Business Agents (General) Amendment Regulations (No. 2) 2009	8 May 2009 p. 1491-2	r. 1 and 2: 8 May 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2009 (see r. 2(b))
Real Estate and Business Agents (General) Amendment Regulations (No. 4) 2009	23 Jun 2009 p. 2453-4	r. 1 and 2: 23 Jun 2009 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2009 (see r. 2(b))

page 38

Citation	Gazettal	Commencement
Real Estate and Business Agents (General) Amendment Regulations (No. 3) 2009	28 Aug 2009 p. 3347-52	r. 1 and 2: 28 Aug 2009 (see r. 2(a)); Regulations other than r. 1 and 2 29 Aug 2009 (see r. 2(b))
Real Estate and Business Agents (General) Amendment Regulations (No. 5) 2009	10 Nov 2009 p. 4495-6	r. 1 and 2: 10 Nov 2009 (see r. 2(a)); Regulations other than r. 1 and 2 11 Nov 2009 (see r. 2(b))

Reprint 6: The *Real Estate and Business Agents (General) Regulations 1979* as at 11 Dec 2009 (includes amendments listed above)

- ² Evidence Act 1906 s. 106 repealed by Oaths, Affidavits and Statutory Declarations (Consequential Provisions) Act 2005 s. 51.
- ³ The commencement date in r. 2 was of no effect as it was before the date of gazettal.
- ⁴ The *Miscellaneous Regulations (Validation) Act 1985* applied to these regulations. It deems the regulations not to have ceased to have effect as a result of the failure to comply with section 42(1) of the *Interpretation Act 1984*, subject to their being laid before the Legislative Assembly. The *Interpretation Act 1984* s. 42(2) then applied as if the words "or if any regulations are not laid before both Houses of Parliament in accordance with subsection (1)" had been omitted.
- ⁵ The Real Estate and Business Agents (General) Amendment Regulations 2003 r. 4(2) (as amended in Gazette 13 Jan 2004 p. 146) reads as follows:
 - (2) Despite the amendments effected by subregulation (1), examinations referred to in a provision of the *Real Estate and Business Agents (General) Regulations 1979* referred to in column 1 of the Table to this subregulation, as in force immediately before the commencement of these regulations, continue to be prescribed examinations for the purposes of clause 1(a) of the Schedule to the Act until the date specified in column 2 of that Table opposite the reference to the provision.

Table

r. 6(a)(i)	1 Jul 2003
r. 6(a)(ii), (b) or (c)	1 Jan 2004
r. 6(a)(iii)	1 Jan 2006

[Regulation 4(2) amended in Gazette 13 Jan 2004 p. 146.]

Reprint 6

⁶ The *Real Estate and Business Agents (General) Amendment Regulations 2003* r. 5(2) reads as follows:

(2) Despite the amendment effected by subregulation (1), the qualification referred to in regulation 6A(c) of the *Real Estate and Business Agents (General) Regulations 1979*, as in force immediately before the commencement of these regulations, continues to be a prescribed qualification for the purposes of section 47(2) of the Act in respect of an application for registration as a real estate and business sales representative made under the Act on or before 1 January 2004.

page 40

Defined Terms

[This is a list of terms defined and the provisions where they are defined. The list is not part of the law.]

Defined Term	Provision(s)
authorised financial institution	6C
business	6E(2)
calendar year	
CPD activity	
CPD subject	
CPP07 Property Services Training Package	
dwelling	2
educational activity	
holding fee	
lending institution	
mandatory CPD activity	
mandatory CPD subject	
point value	2
record	
register	2
registered training provider	
regulated person	
relevant bank accepted bills rate	
relevant day	2
working day	2

By Authority: JOHN A. STRIJK, Government Printer