

Child Care Services Act 2007

# **Child Care Services Regulations 2007**

As at 17 May 2013

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Western Australia

# **Child Care Services Regulations 2007**

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Child Care Services Act 2007

# **Child Care Services Regulations 2007**

# Part 1 — Preliminary

#### 1. Citation

These regulations are the *Child Care Services Regulations* 2007<sup>1,2</sup>.

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# Part 2 — General provisions

#### 2. Managerial officer of incorporated association: s. 3

- (1) For the purposes of paragraph (a)(ii) of the definition of *managerial officer* in section 3 of the Act, the prescribed class of function is responsibility, as a member of the committee of an incorporated association, for managing the provision of a child care service by the association.
- (2) For the purposes of subregulation (1), it does not matter that the responsibility is exercised with other people.

[Regulation 2 inserted: Gazette 6 Jan 2012 p. 4.]

- [3-5. Deleted: Gazette 5 Mar 2013 p. 1110.]
- [**5***A*. Deleted: Gazette 6 Jan 2012 p. 5.]

#### 6AA. Form of entry warrant

Schedule 1 Form 1 is prescribed for the purposes of section 43K(3) of the Act.

[Regulation 6AA inserted: Gazette 6 Jul 2012 p. 3021.]

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### Part 3A — Supervising officers

[Heading inserted: Gazette 6 Jan 2012 p. 5.]

### **Division 1**—Approvals

[Heading inserted: Gazette 6 Jan 2012 p. 5.]

#### **Terms** used 6A.

In this Division, unless the contrary intention appears —

application means —

- an application made under regulation 6D(1) for an (a) approval to act; or
- an application made under regulation 6D(2) for a (b) supervisor approval;

approval means -

- (a) an approval to act; or
- (b) a supervisor approval;

*approval criteria* has the meaning given in regulation 6C(1);

*approval to act* has the meaning given in regulation 6D(1);

supervisor approval has the meaning given in regulation 6D(2).

[Regulation 6A inserted: Gazette 6 Jan 2012 p. 5-6.]

#### 6B. **Purpose of Division**

The purpose of this Division is to set out provisions relating to approvals for the purposes of section 5A(1)(a)(ii) and (b)(i) of the Act.

[Regulation 6B inserted: Gazette 6 Jan 2012 p. 6.]

#### 6C. **Approval criteria**

- (1)For the purposes of this Division the *approval criteria* are
  - that the applicant has the ability to supervise and control (a) on a day-to-day basis the provision of a child care service; and

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- (b) that the applicant is otherwise a fit and proper person to be involved in the provision of a child care service; and
- (c) that the applicant holds at least one relevant qualification.
- (2) In subregulation (1)(c) —

#### relevant qualification means —

- (a) a degree or diploma in early childhood care or early childhood education from an Australian university or other tertiary institution; or
- (b) a 2 year certificate in child care studies; or
- (c) a diploma or associate diploma in child care; or
- (d) a mothercraft nursing qualification; or
- (e) a degree or diploma in education, social sciences or behavioural sciences; or
- (f) a certificate or other document evidencing the successful completion of an approved first aid training course.

[Regulation 6C inserted: Gazette 6 Jan 2012 p. 6.]

#### 6D. Application for approval

- (1) An individual may apply to the CEO for approval to act in place of an individual who holds a licence (an *approval to act*).
- (2) An individual may apply to the CEO for approval as a person suitable to have responsibility for the day-to-day supervision and control of a child care service (a *supervisor approval*).

[Regulation 6D inserted: Gazette 6 Jan 2012 p. 6.]

### 6E. Form of application

- (1) An application must be
  - (a) in writing; and
  - (b) in the approved form.

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- (2) The approved form must
  - (a) be completed in accordance with any instructions on or attached to the form; and
  - (b) be accompanied by any information or document (including a criminal record check) specified in the form; and
  - (c) in the case of an application for an approval to act be accompanied by the licensee's written consent to the application.
- (3) Despite subregulation (2), the CEO may consider and deal with an application if, in the opinion of the CEO, the requirements of that subregulation have been substantially complied with.

[Regulation 6E inserted: Gazette 6 Jan 2012 p. 7.]

#### **6F. CEO** may seek additional information

- (1) The CEO may ask an applicant for any additional information or document that the CEO considers is or could be relevant to making a decision on the application.
- (2) Without limiting subregulation (1), for the purpose of deciding whether or not an applicant meets the criteria listed in regulation 6C(1)(a) and (b), the CEO may ask the applicant to do one or more of the following
  - (a) undergo an oral or written assessment as to his or her knowledge and understanding of
    - (i) the operation of this Act; and
    - (ii) the field of child development;
  - (b) provide a reference or report specified by the CEO;
  - (c) undergo a medical, psychiatric or psychological test or examination specified by the CEO.
- (3) If the CEO makes a request under subregulation (1) or (2), the CEO does not have to consider the application, or consider it further, until the request is complied with.

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(4) Any costs incurred in complying with a request under subregulation (1) or (2) are to be paid by the applicant unless the CEO determines otherwise.

[Regulation 6F inserted: Gazette 6 Jan 2012 p. 7.]

#### 6G. Referees

- (1) If an application nominates referees for the applicant, those referees must include
  - (a) a referee who knows the applicant and who has had experience in children's services; and
  - (b) a referee who is a previous employer of the applicant or who has worked with the applicant in a paid or unpaid capacity.
- (2) A person is not eligible to act as a referee for an applicant if the person is
  - (a) an employee of the applicant; or
  - (b) married, or related (including by marriage), to the applicant; or
  - (c) a de facto partner of the applicant; or
  - (d) another applicant.

[Regulation 6G inserted: Gazette 6 Jan 2012 p. 8.]

#### 6H. Decision as to approval

- (1) The CEO may grant or refuse to grant an approval.
- (2) The CEO must not grant an approval unless the CEO is satisfied that the applicant meets the approval criteria.
- (3) The CEO must not grant an approval if the applicant is disqualified under section 29(4)(e)(iii) or 30C(4)(d)(iii) of the Act from being the supervising officer for a child care service.
- (4) The CEO must give written notice of his or her decision under subregulation (1) to the applicant.

[Regulation 6H inserted: Gazette 6 Jan 2012 p. 8.]

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#### 6I. Conditions of approval

- (1) The CEO may grant an approval subject to any conditions that the CEO considers appropriate.
- (2) Without limiting subregulation (1), it is a condition of each approval that the holder of the approval notifies the CEO in writing of a change in his or her name or mailing address.
- (3) A person who contravenes a condition of his or her approval commits an offence.

Penalty: a fine of \$2 000.

[Regulation 6I inserted: Gazette 6 Jan 2012 p. 8.]

#### 6J. Amendment of conditions

(1) In this regulation —

amend a condition, in relation to an approval, means ----

- (a) to impose a new condition on the approval; or
- (b) to change or remove an existing condition of the approval (other than the condition referred to in regulation 6I(2)).
- (2) The CEO may, by written notice given to the holder of an approval, amend a condition.
- (3) The CEO may exercise the power in subregulation (2)
  - (a) on the CEO's own initiative; or
  - (b) on an application made by the holder of the approval in the approved form.
- (4) The CEO may ask an applicant under subregulation (3)(b) for any additional information or document that the CEO considers is or could be relevant to making a decision on the application.
- (5) If the CEO makes a request under subregulation (4), the CEO does not have to consider the application, or consider it further, until the request is complied with.

[Regulation 6J inserted: Gazette 6 Jan 2012 p. 8-9.]

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#### 6K. Reassessment of suitability

- (1) The CEO may at any time reassess whether the holder of an approval meets the approval criteria.
- (2) The CEO may ask the holder of an approval for any information or document that the CEO considers is or could be relevant to a reassessment under subregulation (1).
- (3) Without limiting subregulation (2), the CEO may, for the purposes of a reassessment under subregulation (1), ask the holder of an approval to do one or more of the things listed in regulation 6F(2).

[Regulation 6K inserted: Gazette 6 Jan 2012 p. 9.]

#### 6L. Suspension or cancellation of approval

- (1) The CEO may suspend or cancel an approval if
  - (a) the CEO is no longer satisfied that the holder of the approval meets the approval criteria; or
  - (b) the holder of the approval is disqualified under section 29(4)(e)(iii) or 30C(4)(d)(iii) of the Act from being the supervising officer for a child care service.
- (2) Before exercising the power in subregulation (1), the CEO must
  - (a) give the holder of the approval a notice (a *show cause notice*) stating the following
    - (i) that the CEO intends to suspend or cancel the approval;
    - (ii) the reasons for the proposed suspension or cancellation;
    - (iii) that the holder of the approval may, within 30 days after the notice is given, give the CEO a written response to the proposed suspension or cancellation;

and

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- (b) consider any written response from the holder of the approval received within the period referred to in paragraph (a)(iii).
- (3) The CEO must give the holder of an approval written notice of a decision to suspend or cancel the approval under subregulation (1) (a *notice of decision*).
- (4) A notice of decision must state
  - (a) the day on which the decision takes effect; and
  - (b) in the case of a decision to suspend the period of suspension.
- (5) A decision to suspend or cancel an approval under subregulation (1) takes effect
  - (a) at the end of 14 days after the giving of the notice of decision; or
  - (b) if another period is specified in the notice of decision at the end of that period.

[Regulation 6L inserted: Gazette 6 Jan 2012 p. 9-10.]

#### 6M. Surrender of approval

- (1) A person who holds an approval may, by written notice given to the CEO, surrender the approval.
- (2) A notice under subregulation (1) must be in the approved form.
- (3) On the surrender of an approval under subregulation (1), the approval ceases to have effect.

[Regulation 6M inserted: Gazette 6 Jan 2012 p. 10.]

#### 6N. Approval document

- (1) If the CEO grants an approval to a person the CEO must issue an approval document to the person.
- (2) An approval document
  - (a) must contain the following information
    - (i) the name of the holder of the approval;

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- (ii) any conditions of the approval; and
- (b) may contain any other information the CEO considers appropriate.

[Regulation 6N inserted: Gazette 6 Jan 2012 p. 10.]

# 60. Return of approval document required in certain circumstances

- (1) If an approval
  - (a) has been suspended or cancelled under regulation 6L; or
  - (b) has been surrendered under regulation 6M,

the person who was the holder of the approval must, as soon as practicable after the suspension, cancellation or surrender, return his or her approval document to the CEO.

Penalty: a fine of \$2 000.

(2) If the CEO amends a condition of an approval under regulation 6J, the holder of the approval must, if required by the CEO to do so, return his or her approval document to the CEO for amendment.

Penalty: a fine of \$2 000.

[Regulation 60 inserted: Gazette 6 Jan 2012 p. 11.]

#### 6P. Review by SAT

(1) In this regulation —

person aggrieved means —

- (a) a person upon whose application a relevant decision is made; or
- (b) the person to whom a relevant decision relates.

relevant decision means a decision of the CEO ----

(a) under regulation 6H to refuse to grant an approval;

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- (b) under regulation 6I to grant an approval subject to a particular condition;
- (c) under regulation 6J to amend or refuse to amend a condition of an approval;
- (d) under regulation 6L to suspend or cancel an approval.
- (2) A person aggrieved by a relevant decision may apply to the State Administrative Tribunal for a review of the decision.

[Regulation 6P inserted: Gazette 6 Jan 2012 p. 11.]

#### 6Q. Offence to pretend to be holder of approval

A person must not hold himself or herself out as being the holder of an approval unless the person holds an approval. Penalty: a fine of \$6 000.

[Regulation 6Q inserted: Gazette 6 Jan 2012 p. 11.]

### **Division 2**—Nominations

[Heading inserted: Gazette 6 Jan 2012 p. 12.]

#### 6R. Terms used

In this Division —

*licensee* means a corporate licensee or a public authority that is the holder of a licence;

*nomination* means a nomination made under regulation 6T(1);

*supervisor approval* has the meaning given in regulation 6D(2).

[Regulation 6R inserted: Gazette 6 Jan 2012 p. 12.]

#### 6S. Purpose of Division

The purpose of this Division is to set out provisions relating to nominations for the purposes of section 5A(1)(b)(ii) of the Act.

[Regulation 6S inserted: Gazette 6 Jan 2012 p. 12.]

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#### **6T.** Nomination

- A licensee for a child care service may nominate an individual who holds a supervisor approval (the *nominee*) as the supervising officer for the service.
- (2) A nomination must be
  - (a) made to the CEO in writing; and
  - (b) in the approved form; and
  - (c) accompanied by the nominee's written consent to the nomination.
- (3) A nomination must specify the times when the nominee is to be the supervising officer for the service concerned.
- (4) For the purposes of subregulation (3) a nomination may specify
  - (a) all times when the service is provided; or
  - (b) particular times when the service is provided.
- (5) If there is more than one nominee for a child care service, the times specified under subregulation (3) in respect of a nominee must not be the same as, or overlap with, the times specified in respect of another nominee.
- (6) A nomination made in accordance with this regulation takes effect
  - (a) on the day on which the nomination is made to the CEO; or
  - (b) if another day is specified in the nomination on that day.

[Regulation 6T inserted: Gazette 6 Jan 2012 p. 12.]

#### 6U. Amendment or revocation of nomination

(1) A licensee may, by written notice given to the CEO, amend or revoke a nomination made by the licensee.

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(2) If a person nominated as the supervising officer for a child care service ceases to be employed as a member of the staff of the service, the licensee for the service must, by written notice given to the CEO, revoke the nomination.

Penalty: a fine of \$2 000.

- (3) A notice under subregulation (1) or (2) must be in the approved form.
- (4) If a notice is given under subregulation (1) or (2), the amendment or revocation, as the case requires, takes effect
  - (a) on the day on which the notice is given to the CEO; or
  - (b) if another day is specified in the notice on that day.

[Regulation 6U inserted: Gazette 6 Jan 2012 p. 13.]

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## Part 3 — Transitional matters

Division 1 — Provisions relating to repeal of *Children and Community Services Act 2004* Part 8

[Heading inserted: Gazette 6 Jan 2012 p. 13.]

#### 6. Terms used

(1) In this Division —

*commencement day* means the day on which these regulations come into operation;

*initial licence period*, in relation to a licence, means the period for which the licence has effect after the commencement day excluding any period for which it is renewed under the Act;

*notified service* means a child care service that has been the subject of written notification under the *Children and Community Services Regulations 2006* regulation 28(2)(a);

*Part 8 provisions* has the meaning given in section 54 of the Act.

(2) A reference in this Division to a provision of the *Children and Community Services Regulations 2006* is a reference to that provision as in force before its repeal by the *Children and Community Services Amendment Regulations 2007*.

[Regulation 6 amended: Gazette 6 Jan 2012 p. 13.]

### 7. Supervising officers

If, immediately before the commencement day, a person was taken to be the supervising officer for a child care service because of the operation of the *Children and Community Services Regulations 2006* regulation 25, the person is to be regarded as the supervising officer for that child care service for the purposes of the Act until —

(a) the end of the initial licence period for the licence relating to that child care service; or

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- (b) the appointment of another supervising officer for that child care service,

whichever happens first.

#### 8. Application of s. 18 to certain licences

If, immediately before the commencement day, the *Children* and *Community Services Act 2004* section 212 did not apply to a licence because of the operation of the *Children and Community Services Regulations 2006* regulation 26, section 18 of the Act does not apply to the licence during the initial licence period.

#### 9. Holders of particular types of licence

If, immediately before the commencement day, a person was taken to be the holder of a particular type of licence because of the operation of the *Children and Community Services Regulations 2006* regulation 27A(1), (2), (3) or (4), the person is to be regarded as the holder of that type of licence under and subject to the Act.

#### 10. Notified services

- (1) If, immediately before the commencement day, an application for a licence in respect of a notified service has been made under the Part 8 provisions but has not been determined, the notified service is to be taken to be provided under and in accordance with a licence authorising its provision at the place where it is provided until the day on which a licence in respect of the notified service is granted or refused by the CEO.
- (2) If, immediately before the commencement day, an application for a licence in respect of a notified service has not been made under the Part 8 provisions, the notified service is to be taken to be provided under and in accordance with a licence authorising its provision at the place where it is provided until
  - (a) in the case where an application for a licence in respect of the notified service is made under the Act before
    1 March 2008 — the day on which a licence in respect

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of the notified service is granted or refused by the CEO; and

(b) in any other case — 1 March 2008.

### Division 2 — Provision relating to Child Care Services Amendment Act 2011

[Heading inserted: Gazette 6 Jan 2012 p. 14.]

#### 11. Supervising officers

(1) In this regulation —

*commencement day* means the day on which the *Child Care Services Amendment Act 2011* section 4(2) comes into operation<sup>3</sup>;

*old definition* means the definition of *supervising officer* in section 3 of the Act as in force immediately before the commencement day.

- (2) An individual who, immediately before the commencement day, was the supervising officer for a child care service under paragraph (b)(i) of the old definition is, on and after that day, to be taken to have been nominated by the licensee of the service as the supervising officer for the service at all times when the service is provided.
- (3) Regulation 6U applies to a nomination that has effect because of the operation of subregulation (2) as if the nomination had been made by the licensee of the child care service.

[Regulation 11 inserted: Gazette 6 Jan 2012 p. 14.]

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## Schedule 1 — Forms

[r. 6AA]

[Heading inserted: Gazette 6 Jul 2012 p. 3022.]

#### 1. Entry warrant

Child Care Services Act 2007 s. 43K Entry warrant					
To <sup>1</sup>	All licensing officers under the <i>Child Care Services Act 2007</i> .				
Application	The applicant has applied under the <i>Child Care Services Act 2007</i> s. 43I to me, a Justice of the Peace, for an entry warrant.				
Applicant's details	Name of licensing officer				
Compliance purposes for which entry is required <sup>2</sup>					
Suspected contravention(s) of Act	Provision(s)				
Warrant	This warrant authorises you to enter the place described below and exercise the powers in the <i>Child Care Services Act 2007</i> Pt. 4 Div. 1.				
Place to be entered					
Execution period <sup>3</sup>	This warrant must be executed within day(s) after the date it is issued.				
Issuing details	Name of JP Date		Time	2	

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	Issued by me on the above date and at the above time.					
JP's signature						
	Justice of the Peace					
	Start	Date:	Time:	End	Date:	Time::
Execution details	Occupier present? Yes/No					
	Entry audiovisually recorded? Yes/No					
Person executing	Name	,				
this warrant	Office	e held				

Notes to Form 1:

- 1. An entry warrant may be executed by any licensing officer (s. 43L(3) of the Act).
- 2. "Compliance purposes" is defined in s. 3 of the Act.
- 3. The execution period must not exceed 14 days (s. 43K(2)(d) of the Act).

[Schedule 1 inserted: Gazette 6 Jul 2012 p. 3022-3.]

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#### Notes

This reprint is a compilation as at 17 May 2013 of the *Child Care Services Regulations 2007* and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

**Compilation table** 

Gazettal	Commencement
7 Aug 2007 p. 4059-70	10 Aug 2007 (see endnote 2 and <i>Gazette</i> 9 Aug 2007 p. 4071)
30 Nov 2007 p. 5929-30	r. 1 and 2: 30 Nov 2007 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Dec 2007 (see r. 2(b))
26 Feb 2008 p. 659	r. 1 and 2: 26 Feb 2008 (see r. 2(a)); Regulations other than r. 1 and 2: 27 Feb 2008 (see r. 2(b))
egulations 2007	as at 6 Mar 2009 (includes
6 Jan 2012 p. 4-14	r. 1 and 2: 6 Jan 2012 (see r. 2(a)); Regulations other than r. 1 and 2: 7 Jan 2012 (see r. 2(b) and <i>Gazette</i> 6 Jan 2012 p. 3)
6 Jul 2012 p. 3021-3	r. 1 and 2: 6 Jul 2012 (see r. 2(a)); Regulations other than r. 1 and 2: 7 Jul 2012 (see r. 2(b))
5 Mar 2013 p. 1110	r. 1 and 2: 5 Mar 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 6 Mar 2013 (see r. 2(b) and
	7 Aug 2007 p. 4059-70 30 Nov 2007 p. 5929-30 26 Feb 2008 p. 659 <i>egulations 2007</i> 6 Jan 2012 p. 4-14 6 Jul 2012 p. 3021-3 5 Mar 2013

amendments listed above)

<sup>2</sup> Under the *Interpretation Act 1984* section 25(3), these regulations took effect on the day on which the *Child Care Services Act 2007* section 52 commenced.

<sup>3</sup> 7 January 2012.

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#### Defined terms

## **Defined terms**

[This is a list of terms defined and the provisions where they are defined. The list is not part of the law.]

Defined term	Provision(s)
amend a condition	
application	6A
approval	6A
approval criteria	
approval to act	
commencement day	
initial licence period	
licensee	
nomination	6R
nominee	6T(1)
notice of decision	6L(3)
notified service	
old definition	
Part 8 provisions	
person aggrieved	
relevant decision	
relevant qualification	
show cause notice	
supervisor approval	

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