WESTERN AUSTRALIA.

LAND AGENTS.

12° Geo. V., No. XLIII.

No. 9 of 1922.

[As amended by Acts:

No. 40 of 1931 assented to 3rd December, 1931.

No. 54 of 19481 assented to 21st January, 1949.

No. 13 of 1952 assented to 7th November, 1952.

No. 46 of 19532 assented to 29th December, 1953.

No. 29 of 1959 assented to 15th October, 1959.

No. 94 of 19643 assented to 14th December, 1964.

and reprinted pursuant to the Amendments Incorporation Act,

AN ACT to provide for the Licensing and Super- No. 9 of 1922, vision of Land Agents and to make provision No. 46 of 1953, s. 8; for the regulation of Land Salesmen and for No. 94 of 1964, s. 3. incidental and other purposes.

[Assented to 31st January, 1922.]

BE it enacted by the King's Most Excellent Majesty, by and with the advice and consent of the Legislative Council and the Legislative Assembly of Western Australia, in this present Parliament assembled, and by the authority of the same, as follows:-

1. This Act may be cited as the Land Agents Short title, 1921-1964. Short title, No. 9 of 1922, 8.1; No. 94 of Act, 1921-1964.

¹Came into operation on 1st January, 1949, vide No. 54 of 1948, s. 6 (1).

² Came into operation on 1st January, 1954, vide No. 46 of 1953, s. 2.

³ Came into operation on 29th January, 1965, G.G. 29/1/65 p. 358.

Interpretation. No. 9 of 1922, s. 2, amended by No. 13 of 1952, s. 2; No. 46 of 1953, s. 3; No. 94 of 1964, s. 4. N.Z., 1912, No. 42, s. 2.

2. In this Act-

Added by No. 94 of 1964, s. 4.

"certificate of registration" means a valid and current certificate of registration issued pursuant to section fifteen B of this Act and includes a renewal thereof.

Added by No. 46 of 1953, s. 3,

"Committee" means The Land Agents Supervisory Committee of Western Australia constituted under this Act.

Added by No. 94 of 1964, g. 4. "company" or "registered company" means any body corporate incorporated or registered under the Companies Act, 1961, or any other Act or under any corresponding previous enactment.

Amended by No. 13 of 1952, s. 2 (b). "Land agent" means a person whose business, either alone or as part of or in connection with any other business, is to act as agent for a consideration in money or money's worth, as commission, reward, or remuneration, in respect of a land transaction; but does not include public accountants acting in the discharge of their duties as trustees, liquidators, or receivers.

Added by No. 94 of 1964, s. 4.

- "land salesman" means a person who, for or on behalf of a land agent, whether the land agent is or is not the owner of the land concerned or the estate or interest in land concerned, induces or attempts to induce, or negotiates with a view to inducing, a person to enter into a land transaction, or who holds himself out or represents himself as willing to so induce, or negotiate, and includes—
 - (a) a person who is a member of a firm that is a licensee, except the member who is the holder of the license on behalf of that firm; and

(b) a person who is a director of a company that is a licensee, except a director who is the holder of the license on behalf of that company.

"land transaction" means—

Added by No. 13 of 1952, s. 2 (c).

a sale, exchange or other disposal, and a purchase, exchange or other acquisition—

except by auction, of land and estates and of 1918, s. 4, interests in land including— "Estate" and "Land."

the leasing or letting, and the acquisition under lease or letting-

of tenancy or occupation of the whole or part or parts of houses and other buildings.

"License" means a license granted under this Act.

"Licensee" means the holder of a license, and includes a firm or company on whose behalf a license is held.

"the Secretary" means the person appointed Added by No. 94 of to the office of Secretary of the Committee, 1964, s. 4. and includes the person for the time being duly acting as Secretary of the Committee.

3. (1) From and after the first day of April, nine-to be to be teen hundred and twenty-two, no person shall carry licensed. No. 9 of on the business of a land agent unless he is the 1922, s. 3. holder of a license.

Cf. N.Z., 1912, No. 42, s. 3.

- (2) Where a firm carries on the business of land agents it shall be sufficient if one member of the firm is the holder of a license on behalf of the firm.
- (3) Where a registered company carries on the business of land agents it shall be sufficient if some person appointed in writing by the company is the holder of a license on behalf of the company.

Application for license. No. 9 of 1922, 8.4, amended by No. 40 of 1931, 8.2; No. 54 of 1952, 8.3; No. 13 of 1952, 8.3; No. 46 of 1953, 8.4, No. 94 of 1964, 8.5 (a), Cf. N.Z., 1912, No. 42, 8, 4.

- 4. (1) Every person who desires to obtain a license shall in accordance with the regulations, lodge with the Clerk of a Court of Petty Sessions in the district wherein he resides or carries on his business an application in the form in the First Schedule hereto.
- (2) Such application shall state the principal place of business of the applicant, and shall be accompanied by testimonials as to the character of the applicant, signed by not less than three reputable persons.

Subsec. (2a) added by No. 13 of 1952, 8. 3 (b).

(2a) The Clerk of the Court of Petty Sessions shall in accordance with the regulations serve or cause to be served copies of the application and testimonials and, in the cases of applications for licenses in the first instance and applications for transfers of licenses, the applicant shall in accordance with the regulations, publish notice of the application, but publication of applications for renewals of licenses is not required.

Subsec. (3) repealed and new subsec. (3) substituted by No. 40 of 1931, 8. 2, amended by No. 54 of 1948, s. 6 (3); No. 46 of (1953, s. 4. Subsec. (3) (a) repealed and new subsec. 3 (a) substituted by No. 94 of 1964, s. 5 (b).

- (3) (a) Subject to subsection (4) of this section, if the Court is satisfied that the applicant—
 - (i) has passed the prescribed examinations relating to the carrying on and conduct of the business of a land agent and the duties and liabilities of a land agent;
 - (ii) has within the period of five years immediately preceding his application, held
 - a license, or similar authority under a corresponding enactment of any other State or Territory of the Commonwealth to act as a land agent,

whether on his own behalf or on behalf of a firm or company, not being a license granted to him as being a person of the kind referred to in subparagraph (v) of this paragraph;

- (iii) has during the two years immediately preceding his application, acted as and carried out the functions of a land agent and been a member of a firm that is a licensee;
- (iv) has, where his application is made at any time within one year of the date of the coming into operation of the Land Agents Act Amendment Act, 1964, within the period of four years immediately before that date, been engaged in full time employment as a land salesman for not less than three years or for periods amounting in the aggregate to not less than three years; or
- (v) is an executor, administrator or trustee of a deceased licensee and that his application is for the purpose of performing functions, exercising powers or carrying out duties as such,

the Court shall, on payment of a fee of seven pounds ten shillings, or such other amount as may be prescribed, and on deposit of a fidelity bond in the sum of two thousand pounds, in the form in the Second Schedule to this Act, from an insurance company carrying on insurance business under and in accordance with the Insurance Act, 1932, as amended from time to time, of the Parliament of the Commonwealth, or some other approved surety or sureties, issue to the applicant a license in the form prescribed.

- (b) The sum of two thousand pounds named in a fidelity bond under this section shall not be deemed to be a penalty, but shall be recoverable in full as a debt due by the obligor company or surety to His Majesty, unless the company or surety proves performance of every condition upon which the bond is defeasible.
- (c) Every sum so recovered shall be paid into the consolidated revenue and the balance thereof, after the deduction of costs and other expenses, may with

the approval of the Treasurer and without further appropriation than this Act, be held for a period of six calendar months and be applied—

- (i) in compensating, at the expiration of such period, any person who makes application during such period and proves to the satisfaction of the Treasurer that such person has sustained loss by reason of any breach of any condition of the bond;
- (ii) in refunding to the company or surety at the expiration of such period any balance left after payment of such compensation.
- (d) If at any time during the currency of a license of a land agent the bond filed by him under this Act ceases to be of full force and effect, such land agent shall, until a bond of full force and effect is filed by him, be deemed to be unlicensed.
- (e) A fidelity bond given under this Act may, if so provided therein, enure not only during the term of the license in which it is originally given, but during the term of any license to the same person issued in renewal of a license under this Act.
- (f) If a fidelity bond is so given as to enure in respect of the renewal or further renewal of a license, it shall be lawful for the surety or sureties by notice in writing addressed to the Clerk of Court of Petty Sessions at any time to determine his or their liability under the bond in respect of any act or default that may be done or made after the thirty-first day of December next following the date of such notice, and in any such case the court shall not issue a license in renewal until another approved bond has been lodged by the applicant.

Subsec. (4) added by No. 13 of 1952, s. 3 (d).
Repealed and Re-enacted by No. 94 of 1964, s. 5 (c).
Cf. N.Z. 1921-1922
No. 56, s. 11 (1).

(4) (a) Before granting an application for a license or transfer thereof, the Court shall require the production of sufficient evidence to satisfy it that the character, fitness and financial position of the applicant are such that he is, in the opinion of the Court, having regard to the interests of the public, a proper person to carry on business as a land agent.

- (b) Where application for a license or transfer thereof is made by a person carrying on or proposing to carry on business as a land agent in partnership with any other person, the Court shall require the production of evidence as to the character, fitness and financial position of each of the partners.
- (c) Where application for a license or transfer thereof is made on behalf of a company, the Court shall, unless in the circumstances it otherwise decides, require the production of evidence as to the financial position of the company, and as to the character and fitness of the directors and general manager, and of such other responsible officers of the company, as the Court thinks fit.
- (4a) (a) Upon the hearing of an application for Subsective a license, the applicant or, where the application is No. 94 of 1964, s. 5 (d). for a license on behalf of a company, a director or the secretary thereof or the officer in actual control of its business of a land agent and any other officer, director or member thereof whom the Court may require to attend personally before the Court shall, if so required to attend, so attend and shall answer such questions with respect to the application as the Court may put or allow to be put to him.
- (b) In order to assist the Court in forming the opinion referred to in subsection (4) of this section, the Court may, in respect of an application for a license or a transfer thereof, invite the Committee, if it so desires, to appear on the hearing of the application and to be heard by an officer of the Committee or by its counsel.
- (5) Objection to the granting of an application Subsection may be made at such time and in such manner as No. 13 of 1952, s. 3 (d). are prescribed by the regulations.

(6) Where a license is granted at any time after subsect (6) added by the thirty-first day of March in any year, the No. 94 of 1964, The subsect of the licenses such preparation s. 5 (e). Treasurer may remit to the licensee such proportion of the fee for the license as the number of months, that have elapsed from the last preceding thirtyfirst day of December to the date of the issue of the license, bears to twelve months.

License. No. 9 of 1922, s. 5. Amended by No. 94 of 1964, s. 6.

N.Z., 1912, No. 42, s. 5.

- 5. (1) Such license shall take effect from a date to be therein stated, and shall expire on the thirty-first day of December next following, and shall authorise the licensee to act as a land agent in Western Australia during the currency of the license, unless the same is cancelled as hereinafter provided.
- (2) The issue of every license shall, within ten days after the issue thereof, be notified by the Clerk of the Court to the Secretary.
- (3) A register of licenses issued under this Act shall be kept in the office of the Secretary, and a copy thereof shall be published in the *Gazette* each year.
- (4) The Gazette containing any such copy shall be prima facie evidence in all judicial proceedings of the contents of the register.
- (5) The absence of a person's name from any such copy shall, until the contrary is shown, be evidence that he is not registered.

Provided that in the case of any person whose name does not appear in such copy a certified copy, under the hand of the Secretary, of the entry of the name of that person in the register shall be evidence of the contents of the register with respect to that entry.

Renewals and transfer of licenses. Subsec. 5A added by No. 13 of 1952, s. 4. 5A. Applications for renewals and transfers of licenses may be made and shall be dealt with in accordance with the regulations.

Licensee may tranfer license. No. 9 of 1922, s. 6 amended by No. 13 of 1952, s. 5.

N.Z., 1912, No. 42, s. 6. 6. (1) A license may be transferred in accordance with the regulations to any person approved by a Court of Petty Sessions, and on payment of a fee of ten shillings or such other fee as is prescribed by the regulations that person shall be deemed to be the holder of the license and may act as a land agent during the currency thereof.

- (2) Before giving its approval in any case the Court of Petty Sessions shall be satisfied that the requirements of section four (except as regards the payment of the license fee) hereof and the regulations have been complied with by the proposed transferee in the same manner as in the case of an application for a license.
- 7. (1) The place of business mentioned in the Registered office of the application for a license, or such other place of licensee. business as the holder of a license from time to No. 9 of 1922, time, by notice in writing to the Clerk of the Court $_{No.\,94}^{Amended \,by}$ of Petty Sessions, appoints, shall be the registered $^{6.7}$. office of the licensee; and all writs summonses, notices, or other documents required to be served or given to the licensee shall be deemed to be duly No. 42, s. 7. served or given if left at the said registered office.

- (2) Notice of the change of the address of the registered office shall be given by the Clerk of the Court of Petty Sessions to the Secretary, and such change shall be entered in the register of licensees.
- 8. (1) All moneys received by a land agent in Application of trustrespect of any land transaction, or in respect of moneys. rents or interest on mortgages collected by him, s. 8, amenaed by No. 13 of 1922, s. 8, amenaed by No. 13 of 1952, s. 6.

N.Z., 1912, No. 42, s. 8.

- (a) In payment of such of the expenses, commission, and other charges of or incidental to the land transaction or collection, as are payable by the persons on whose behalf the moneys are received.
- (b) In payment of the balance to the person legally entitled or authorised to receive the same; and until payment such balance shall be paid into a bank to a general or separate trust account.
- (2) For every breach of this section: Penalty: Fifty pounds.

(3) Moneys so paid into any such trust account shall not be available for payment of the debt of any other creditor of the land agent, or be liable to be attached or taken in execution under the order or process of any Court at the instance of any such creditor.

Account of moneys received, and their application. No. 9 of 1922, s. 9 amended by No. 13 of 1952, s. 7. N.Z., 1912, No. 42, s. 9.

- 9. (1) On the receipt of any moneys in respect of a land transaction, or in respect of rents or interest on mortgages by a land agent he shall render to the person on whose behalf the land transaction is effected or the rents or interest is collected an account in writing of all such moneys and of the application thereof.
- (2) For every breach of this section: Penalty: Fifty pounds.

When license may be cancelled. No. 9 of 1922, s. 10, amended by amended b No. 13 of 1952, s. 8. No. 94 of 1964, s. 8. N.Z., 1912, No. 42, ss. 10, 11. Cf. N.Z., 1921-22, No. 56, B. 27.

10. (1) If any land agent is convicted of fraudulently converting to his own use any moneys received by him in respect of any land transaction or rents or interest on mortgages or any part thereof, or of fraudulently rendering an account of any such moneys knowing the same to be false in any material particular, or of a breach of section eight hereof, his license shall be ipso facto cancelled; and if any land agent who has been convicted of any other offence against this Act is within twelve months thereafter convicted of a second or any subsequent offence of any kind against this Act or if a land agent is convicted, whether summarily or on indictment of an offence involving moral turpitude, or dishonouring him in the public estimation, the Court may, if it thinks fit, in addition to any other penalty, cancel his license.

Subsec. (2) added by

(2) The Court before whom a person is convicted No. 13 of 1952, of an offence may of its own motion cancel his license in a case where the conviction is a ground for cancellation of his license.

(3) Application for the cancellation of a license subsec. (3) may be made in accordance with the regulations, No. 13 of 1952, which may proscribe the grounds of aspeculation. which may prescribe the grounds of cancellation and by whom applications for cancellation may be made, to the Court of Petty Sessions nearest the principal place of business of the licensee, which Court is vested by this subsection with jurisdiction to hear the application and to cancel the license.

(4) So soon as he reasonably can do so after the Subsec. (4) cancellation of a license, whether by a Court of its No. 13 of 1952, s. 8. own motion or on application, the Registrar of the Court or the Clerk of Petty Sessions, as the case may be, shall serve written notice of the cancellation and the grounds of the cancellation on the Secretary.

(5) The Secretary shall cause to be removed from Subsection (5) the register mentioned in section five of this Act, No. 13 of 1952, s. 8. the name of every land agent-

- (a) whose license has been cancelled or transferred;
- (b) who dies; or
- (c) who has not renewed his license.
- 11. Nothing in this Act shall affect any civil civil remedies agent or land salesman in respect of any matter.

 solution in the salesman in respect of any matter.

 solution in the salesman in respect of any matter.

 solution in the salesman in respect of any matter.

 solution in the salesman in respect of any matter.

 solution in the salesman in respect of any matter.

 solution in the salesman in respect of any matter.

1964, s. 9.

12. A person shall not be entitled to sue for or Disability of recover any commission, reward, or remuneration agent. for or in respect of a land transaction, made or effected by him in the course of business as a land amended by agent after the thirty-first day of March, nineteen No. 13 of No. 1912. hundred and twenty-two, unless—

No. 9 of 1922, N.Z., 1912, No. 42, s. 13.

- (a) he is the holder of a license under this Act; and
- (b) his engagement or appointment to act as agent in respect of such land transaction is in writing signed by or on behalf of the person to be charged with such commission, reward, or remuneration.

Charging and paying for keys and for information relating to tenancies prohibited. Section 12A added by No. 46 of 1953, s. 5. Cf. No. 50 of 1947, s. 6.

- 12A. (1) It is an offence for a person, whether a principal or agent or otherwise, and if an agent whether he is or is not licensed under this Act, to pay or give or receive, or to offer or promise or agree to pay or give or receive, a sum of money or other consideration—
 - (a) for obtaining or making available a key of a house or other building or part of a house or other building; or
 - (b) for information relating
 - (i) to tenancy occupation or use, however described; or
 - (ii) to the possibility or likelihood of tenancy occupation or use, however described;

of the whole or part of a house or other building.

- (2) Subsection (1) of this section does not
 - (a) apply to a person whose business is or includes the selling or cutting of keys at a reasonable charge; or
 - (b) affect the right of a person who is not precluded by section twelve of this Act from doing so, to sue for and recover commission, reward or remuneration for effecting a land transaction of leasing or letting, or acquiring under lease or letting, tenancy or occupation of the whole or part of a house or other building.

Penalty: Fifty pounds.

Carrying on business without a license. No. 9 of 1922, a. 13. N.Z., 1912, No. 42, s. 14. 13. Every person not being the holder of a license who, after the thirty-first day of March, nineteen hundred and twenty-two, carries on business as a land agent, or holds himself out as a land agent, shall be guilty of an offence.

Penalty: Fifty pounds.

14. In all proceedings against any person for onus of having carried on business as a land agent or for person is licensed. having held himself out as a land agent without a No. 9 of 1922, license such person shall, unless he produces his amended by license or brings other satisfactory proof of his No. 13 of 1952, s. 10. having been licensed at the time when the offence N.Z., 1912, No. 42, S. 15. was alleged to have been committed, be deemed to have been unlicensed.

14A. (1) The Governor shall constitute a body The Comby the name of The Land Agents Supervisory Committee of Western Australia by appointing

> a member who shall be the Chairman of the Committee;

mittee.
Section 14A
added by
No. 13 of 1952,
s. 11;
repealed and
new s. 14A
added by
No. 46 of 1953,

a qualified accountant and auditor who is a practising member of the Institute of Chartered Accountants in Australia or the Australian Society of Accountants, to be a member of the Committee; and

a licensee (who shall be nominated by the Real Estate Institute of Western Australia), to be a member of, and representative on, the Committee of licensees.

- (2) The Governor may appoint deputies for the members of the Committee, to act in their places on the Committee, when they are not available to do so, the deputy for the Chairman to be a Stipendiary, Police, or Resident Magistrate, the deputy for the qualified accountant and auditor, as defined in subsection (1), to be a qualified accountant and auditor, and the deputy for the licensee to be a licensee.
- (3) The decision of the Committee is that of the majority of the members of the Committee, or in case there is not agreement constituting a majority decision, that of the Chairman, or if his deputy is acting in his place, that of the Deputy Chairman.
- (4) The members of the Committee and their deputies
 - (a) are entitled remuneration, to such expenses, and leave of absence as the Governor thinks fit; and

14. In all proceedings against any person for onus of proof that aving carried on business as a land agent or for person is person in licensed. having carried on business as a land agent or for having held himself out as a land agent without a No.9 of 1922, license such person shall, unless he produces his amended by license or brings other satisfactory proof of his No. 13 of 1952, s. 10. having been licensed at the time when the offence N.Z. 1912, No. 42, E. 15. was alleged to have been committed, be deemed to have been unlicensed.

(1) The Governor shall constitute a body The Committee. 14A. name of The Land Agents Supervisory tee of Western Australia by appointing a member who shall be the Chairman of the Committee;

mittee.

mittee.

added by No. 13 of 1952,
5.11;
repealed and new s. 14A
added by No. 46 of 1953, by the name of The Land Agents Supervisory Committee of Western Australia by appointing

a qualified accountant and auditor who is a practising member of the Institute of Chartered Accountants in Australia or the Australian Society of Accountants, to be a member of the Committee; and

a licensee (who shall be nominated by the Real Estate Institute of Western Australia), to be a member of, and representative on, the Committee of licensees.

- (2) The Governor may appoint deputies for the members of the Committee, to act in their places on the Committee, when they are not available to do so, the deputy for the Chairman to be a Stipendiary, Police, or Resident Magistrate, the deputy for the qualified accountant and auditor, as defined in subsection (1), to be a qualified accountant and auditor, and the deputy for the licensee to be a licensee.
- (3) The decision of the Committee is that of the majority of the members of the Committee, or in case there is not agreement constituting a majority decision, that of the Chairman, or if his deputy is acting in his place, that of the Deputy Chairman.
- (4) The members of the Committee and their deputies
 - such remuneration, (a) are entitled to expenses, and leave of absence as the Governor thinks fit; and

- (b) may hold office as such for such time as the Governor thinks fit.
- (5) The Governor may, on such terms and conditions as he thinks fit, appoint a person to be the Secretary of the Committee and such other persons as he considers necessary to assist the Committee in carrying out its functions under this Act.
- (6) The remuneration of the members and the expenses of the Committee are payable out of such moneys as Parliament votes for the purpose.
- (7) The Committee shall hold its first meeting at such time and place as the Minister directs and shall hold its subsequent meetings at such times and places as the Committee decides.
- (8) The Committee shall keep proper records of its proceedings.

Committee has power to inquire. Section 14B added by No. 46 of 1953, s. 6. Of. Land Agents Act, 1925-1950, or 1950, s. 7. Cf. Gazette, 20th February, 1953, regulations 4, 5 and 8.

- 14B. (1) Where the Committee is informed or has reason to suspect that there are or may be grounds for objecting to the granting of a license or the renewal of a license or for applying for cancellation of a license, the Committee shall obtain such statements of the facts as are available and necessary to enable it to decide whether those grounds do or do not exist.
- (2) In order to obtain satisfactory statements of those facts the Committee may, if it deems it necessary to do so, hold an enquiry at which it may take evidence on oath or affirmation; and for the purpose of the enquiry
 - (a) may by summons signed by the Chairman or Deputy Chairman or Secretary of the Committee, require a person to attend before the Committee;
 - (b) may by summons so signed require a person to produce to the Committee any books, papers or other documents;

- (c) require a person to take an oath or affirmation that he will truly answer questions put to him by the Committee relevant to a matter being inquired into by the Committee, which oath or affirmation may be administered by a member or the Secretary of the Committee;
- (d) require a person appearing before the Committee, whether on summons or otherwise, to answer relevant questions put to him by a member of the Committee or by a person appearing before the Committee.

(3) If a person—

- (a) who has been served with a summons to appear before the Committee does not attend in obedience to the summons;
- (b) who has been served with summons to produce books or documents before the Committee does not comply with the summons;
- (c) when required by the Committee to take an oath or affirmation mentioned in subsection (2) of this section refuses to do so;
- (d) refuses to answer a relevant question put to him by a member of the Committee or by a person appearing before the Committee; or
- (e) misbehaves himself before the Committee, he commits an offence.

Penalty: One hundred pounds.

- (4) A person is not obliged to answer a question put to him at an enquiry conducted by the Committee if the answer to that question would tend to incriminate him.
- (5) Where the Committee decides to hold an enquiry concerning a person, the Committee
 - (a) shall give the person not less than seven days' notice of the time and place at which it intends to hold the enquiry;

- (b) shall afford the person reasonable opportunity of cross-examining witnesses, calling evidence and making submissions to the Committee; and
- (c) may adjourn the enquiry from time to time and place to place.
- (6) If after having been given that notice the person concerned does not attend at the time and place, or does not attend at an adjournment of the enquiry, the Committee may proceed with the enquiry in his absence.
- (7) The procedure at an enquiry held under this section is such as is determined by the Committee.

Enquirles by members of the Police Force.
Section 14C added by No. 46 of 1953, s. 6.
Cf. S.A. Act, s. 29f.

14C. At the request of the Committee the Commissioner of Police shall cause a member or members of the Police Force to make enquiries and reports relevant to any matter being, or about to be, inquired into by the Committee, and shall cause the reports to be sent to the Committee.

Duty of Committee to object to applications and apply for cancellattions. Section 14D added by No. 46 of 1953, s. 6. Cf. S.A. Act, s. 29d. 14D. Where the Committee decides that there is just cause to do so, the Committee shall object to an application for a license, or the renewal of a license, or shall apply for the cancellation of a license or make and prosecute a complaint of an offence under this Act.

Appointment of Committee's representative in proceedings. Section 14E added by No. 46 of 1953, s. 6.

14E. The Committee may appoint an officer of the Committee to represent it generally or in a particular case at the hearing by a Court of Petty Sessions of an objection, application, or complaint, made by the Committee under section fourteen D of this Act, or may engage a legal practitioner so to represent it.

Presumption of proof of certain matters. Section 14F added by No. 46 of 1953, s. 6.

- 14F. Courts and other persons acting judicially, shall in absence of proof to the contrary, presume—
 - (a) that the Committee has been regularly constituted;

- (b) that the decisions of the Committee have been regularly made;
- (c) that proceedings brought by the Committee have been brought pursuant to the decision of the Committee; and
- (d) that a document which purports to have been signed on a specified day by the Chairman, Deputy Chairman, or Secretary of the Committee, has been regularly issued and signed by authority of the Committee on that day.
- 14G. (1) In this section, unless the context Interpretation. otherwise requires—

Section 14G

- "banker" means the manager, or other officer, 1953, s. 6. for the time being in charge of the office of a bank in which any account of a land agent is kept;
- "trust accounts" means accounts relating to moneys received or held by a land agent for or on behalf of any other person;
- "year" means a period of twelve months ending on the thirty-first day of December, subject however to the provisions of subsection (5) of this section.
- (2) (a) A land agent shall—

Duty to keep accounts.

- (i) keep full and accurate accounts of all money received or held by him on account of any other person and of all payments made by him of that money;
- (ii) before the end of the next business day after the day on which the money is received or paid enter in the accounts particulars of the amount so received or paid and the person from whom it was so received or to whom it was so paid;
- (iii) keep the accounts in such manner that they can be conveniently and properly audited;

62764-2

- (iv) correctly balance the accounts at the end of each month.
- (b) In this subsection, "business day" means a day other than Saturday, Sunday, or a public holiday.

Receipts for money to be given and carbon duplicates kept.

- (3) (a) When a land agent receives money for or on behalf of any other person he shall forthwith give to the person paying the money a receipt for it complying with this subsection and specifying briefly the subject matter or purpose in respect of which the money was received, and shall retain legible carbon duplicates of the receipt.
- (b) Receipts issued under this subsection shall be taken from bound books containing not less than one hundred receipts and arranged so that a carbon duplicate of each receipt issued shall be retained in the book.
- (c) The land agent shall produce the retained duplicates in the appropriate books to the auditor at every audit, and at such other times as the auditor may reasonably require.
- (d) The receipts and the duplicates thereof shall be so numbered and or lettered or both that every receipt can be identified and so that the receipt and duplicate have the same number or letter.
- (e) This subsection does not apply in the case of a land agent if the auditor for the time being employed by the land agent certifies to the Minister that he is satisfied with the system employed by the land agent and that the receipt books are so kept and entered up as to enable the accounts to be properly and conveniently audited, and the Minister approves of the system employed by the land agent of recording the receipt of moneys.

Duty of land agent to have trust accounts audited.

- (4) (a) Within three months after the end of each year, every person who carries on business as a land agent during the whole or any part of that year—
 - (i) shall cause his trust accounts for that year, or part of a year, as the case may be, to be audited by an accountant duly qualified and approved under this section; and

- (ii) shall forthwith after the completion of the audit obtain from the auditor a report of the result of the audit verified by the statutory declaration of the auditor in the form prescribed by the regulations; and
 - (iii) shall forthwith send or deliver the report, together with the declaration to the Minister.
- (b) The first audit under this section shall be conducted within three months after the thirty-first day of December, one thousand nine hundred and fifty-three, and shall be in respect of the period of six months commencing on the first day of July, one thousand nine hundred and fifty-three, which period of six months is deemed to be a year within the meaning of this section.
- (c) Forthwith after completing an audit the auditor shall deliver his report and a signed copy of it to the land agent concerned.
- (d) The land agent shall retain the signed copy of the report and produce it on demand pursuant to paragraph (b) of subsection (14) of this section.
- (5) (a) Notwithstanding anything in this section, Variation of date of a land agent may apply in writing to the Minister to fix some date other than the thirty-first day of December, as the date up to which his trust accounts are to be audited, and the Minister may, in his discretion, permit the land agent to substitute such other date for the thirty-first day of December.

- (b) The Minister may, upon giving not less than one years' notice to the land agent affected, revoke any permission granted under this subsection.
- (c) When permission is granted under this subsection the Minister shall fix the period in respect of which the first audit shall be made, and the permission may be given upon such conditions, with respect to the time within which the first or any subsequent audit shall be made or otherwise, as the Minister may think fit.

- (d) So long as the permission remains in force, and subject to any conditions which may be imposed, this section shall, in relation to the land agent concerned, be read as if such other date was substituted for the thirty-first day of December.
- (e) When any date has been substituted for the thirty-first day of December under this subsection, the date so substituted shall not be further changed except by permission of the Minister granted in accordance with this subsection.

Qualification and approval of auditors.

- (6) (a) No accountant shall be qualified to act as an auditor under this section unless he is approved by the Minister.
 - (b) Any accountant who-
 - (i) is a member of one or both of the societies or bodies following, that is to say—

The Institute of Chartered Accountants in Australia:

The Australian Society of Accountants; and/or

- (ii) is registered unuer section four hundred and two of the Companies Act, 1943-1953,' as qualified to act as an auditor; and
- (iii) is of good character, shall be approved by the Minister, but the Minister may refuse to approve—

an accountant who has not been continuously engaged, for at least three years, in practice as a public accountant in the State, either as a principal or as an employee in the office of a public accountant, or firm of public accountants; an accountant if it appears to the Minister that he is liable to forfeit, or to be deprived of his membership of any of the societies or bodies aforesaid, or that there is any other sufficient reason for refusing the approval.

¹ See Companies Act, 1961, s. 9.

- (c) When the principal office or place of business of a land agent is situated more than twenty miles from the General Post Office at Perth, the Minister may, if he thinks fit, give his approval to the audit of the accounts of that land agent by any person who is in the opinion of the Minister, competent to make the audit, and so long as that approval remains unrevoked, the person so approved shall be deemed to be an accountant approved by the Minister for the purpose of the audit of that land agent's accounts, but not further or otherwise.
- (d) No person shall audit the accounts of a land agent if he is a clerk, servant, or partner of that land agent, or if he is a clerk or servant of any other land agent actually in practice, or if he is himself a land agent carrying on business as such.
- (7) Subject to the provisions of this section the selection of auditor by whom the audit of, and reports on, a land agent's trust accounts are to be made shall be selected and employed for that purpose by that land agent.

(8) In the event of a land agent carrying on Power to give business at more than one place the Minister may for audit of from time to time give such directions as he thinks carried on at fit for separate audits of the trust accounts in one place respect of the business carried on at each place, or for the acceptance by the auditor of the certificates of some person or persons approved by the Minister with respect to the examination of the trust accounts kept at any branch of the business.

(9) The Minister may, if in his opinion just cause Revocation of approvals, exists for doing so-

directions and authorities.

- (a) revoke any approval granted by him to any person to act as auditor under this section;
- (b) vary or revoke any other approval, direction, permission, or authority granted or given by him under this section.
- (10) (a) In the exercise of the discretions peterminaconferred by this section the Minister may inform his mind as he thinks fit.

- (b) A person aggrieved by any decision or determination of the Minister under this section may apply to the Minister in writing to refer that decision or determination to a Judge of the Supreme Court for review.
- (c) Upon the application, the Minister shall submit the facts to a Judge for his opinion or direction thereon, and shall abide by the decision of the Judge, which shall be final.

Duties of land agents with respect to audit.

- (11) (a) For the purposes of an audit or report under this section every land agent shall, as and when the auditor requires, produce to the auditor his books and all papers, accounts, documents, and securities in his possession, custody or power in any way relating to any moneys received by the land agent for or on behalf of any other person and shall furnish the auditor with all such information and particulars as he reasonably requires.
- (b) The auditor may examine such books, papers, accounts, documents, and securities at any time, either during or after, the end of the period in respect of which the audit is made.

Duty of banker with respect to audit. (12) Every banker of a land agent shall, on request of any auditor engaged in the audit of that land agent's trust accounts under this section, produce to that auditor all such books, papers, accounts, documents and securities as may be reasonably necessary for the purposes of the audit.

Contents of auditor's report.

- (13) Every auditor of a land agent's trust accounts shall include in his report furnished pursuant to subsection (4) of this section a statement as to the following matters—
 - (a) whether the trust accounts of such land agent have in the opinion of the auditor been kept regularly and properly written up;
 - (b) whether the trust accounts of such land agent have been ready for examination at the periods appointed by the auditor;

- (c) whether such land agent has complied with the auditor's requirements;
- (d) whether such land agent's trust accounts are in order or otherwise:
- (e) any matter or thing in relation to such trust accounts which should in the opinion of the auditor be communicated to the Minister.
- (14) (a) Every land agent shall prepare and statement of moneys. certify under his hand and produce to the auditor who audits his trust accounts a statement setting for or on behalf of forth in detail particulars of—

- (i) moneys held, on the last day of the period to which the audit relates, by the land agent for or on behalf of any other person; and
- (ii) negotiable or bearer securities or deposit receipts in the name of the land agent which represent moneys drawn from the land agent's trust accounts and which are held by the land agent on that day.
- (b) The auditor shall examine the statement and endorse on it a certificate as to whether or not it is correct, and deliver it to the land agent.
- (c) The statement so delivered shall be retained by the land agent and be produced on demand to the auditor making the next succeeding audit of the land agent's trust accounts together with a signed copy of the report of the last preceding audit of those accounts.
- (d) Where a land agent's accounts are being audited for the first time or where for any other reason no statement containing the particulars set out in paragraph (a) of this subsection and relating to the previous period of audit, is available for the purpose of audit, the land agent shall in lieu thereof make out and produce to the auditor before the making of his report, a statement containing the like particulars as to moneys and negotiable securities held on the first day of the period to which the audit relates.

(e) Every statement made under this subsection shall be verified by the statutory declaration of the land agent, or, in the case of a firm of land agents, by the statutory declaration of one of the partners, or in the case of a company, by the persons holding a land agent's license on behalf of the company pursuant to subsection (3) of section three of this Act.

Auditor's report where land agent has not complied with rules etc. (15) If an auditor in the course of auditing a land agent's trust accounts discovers that the accounts are not kept in such a manner as to enable them to be properly audited, or discovers any matter which appears to him to involve dishonesty or a breach of the law on the part of the land agent, or discovers loss or deficiency of trust moneys, or failure to pay or account for any such moneys, or to comply with the provisions of this section, he shall fully set out the facts so discovered by him in the report to be delivered to the Minister, and shall furnish signed copies of the report to the land agent concerned.

Nondisclosure

- (16) (a) Except where this section provides otherwise an auditor shall not divulge to any person, or in any proceeding, any information which he has obtained in the course of conducting any audit under this section.
- (b) An auditor is not guilty of a breach of this subsection by disclosing information—
 - (i) by means of, or in a report made pursuant to this section; or
 - (ii) in or for the purpose of any legal proceedings arising out of any such report or instituted in connection with the trust accounts of the land agent to whom the information relates.

Right of persons beneficially interested to obtain information. (17) (a) On request by any person interested in any moneys or securities held or which ought to be held or which have been received by a land agent, the Minister may disclose to such person or his solicitor such portion of any report of an auditor, or of any statutory declaration, statement, or other document delivered to the Minister under this section as affects or may affect such person.

- (b) A report of an auditor under this section or a statutory declaration, statement or other document delivered to the Minister under this section shall be available in the hands of the Minister for inspection by the auditor appointed to audit the accounts of the same land agent for the next succeeding year.
- (18) (a) A person who contravenes or does not Breach of this section. observe any requirement of this section commits an offence.

Penalty: Fifty pounds.

- (b) If an offence against this section is committed by a company, the company itself and every director, manager, secretary or other officer of the company who commits, authorises or permits the act or ommission constituting the offence, commits the offence.
- (19) The fees payable by a land agent to an Remuneraauditor for an audit under this section shall be auditor. such as are agreed on between the land agent and the auditor.

(20) A land agent who, in the course of his Land agents having no business, has in any year neither received nor held accounts to audit. any money for or on behalf of any other person shall be deemed to have complied with this section if within the period of three months after the end of that year he makes a statutory declaration to that effect and delivers the same to the Minister.

(21) Where trust accounts are kept by a firm of Accounts of firm. land agents an audit of those accounts under this section and the certificates and report of the auditor thereof operate as regards those trust accounts as an audit certificate and report in relation to each land agent who is a member of such firm.

Power of restraining dealing with trust accounts or other accounts Added by No. 29 of 1959, s. 2.

- 14H. (1) Where the Committee, on an application made by it to a Judge, shows by evidence on affidavit to the satisfaction of the Judge that—
 - (a) there are reasonable grounds for believing that there is a deficiency in the trust account of any land agent or licensee; or
 - (b) there has been undue or unreasonable refusal, neglect or delay on the part of any land agent or licensee in paying moneys

which are, or may be, or have been payable out of the trust account of the land agent or licensee, or

which were required to be paid into the trust account by the land agent or licensee under the provisions of section eight of this Act,

to a person who is entitled thereto or is authorised to receive the moneys

the Judge may, if he thinks fit, make an order that the manager or other officer for the time being in charge of the bank in which the trust account or any other account in the name of the land agent or licensee is kept, be restrained, until the order is made absolute or discharged, from paying out, transferring or otherwise dealing with any moneys standing to the credit of the trust account or any other account kept at the bank in the name of the land agent or licensee.

- (2) An order made under the provisions of this section may contain such terms and conditions as the Judge in the circumstances thinks fit and the order may relate to all or any one or more of the trust or other accounts, as the Judge determines.
- (3) The order shall be made in the first instance *ex parte*, without any notice to the land agent or licensee and is an order to show cause only.

- (4) Unless the land agent or licensee referred to in the order shows to a Judge within the time specified in the order sufficient cause to the contrary, the order, after proof of service as required by section fourteen I of this Act, shall be made absolute.
- (5) In this section and sections fourteen I to fourteen L both inclusive the expression,
 - (a) "trust account" includes a bank account, whether a general or a separate account, into which account, moneys received or held by a land agent or licensee for or on behalf of any other person are or were required to be paid under section eight of this Act; and
 - (b) "land agent" includes a person who has held a license at any time within a period of twelve months prior to the date of the making of the application under subsection (1) of this section.
- 14I. (1) The Committee shall, as soon as prac-service of ticable after any order is made under the provisions Penalty for of section fourteen H or fourteen L of this Act, compliance therewith, Added by No. 28 of

- (a) on the manager or other officer for the time being in charge of the office of the bank in which any account referred to in the order is kept; and
- (b) on the land agent or licensee in whose name the account is kept.
- (2) The manager or other officer on whom the order is served shall comply with the order.
- (3) A person, in addition to any other penalty or liability he may incur in failing to comply with the order, who omits to do that which he is required under the order to do, or does that which he is prohibited under the order from doing, commits an offence against this Act.

Penalty: Two hundred pounds.

(4) This section is sufficient authority and indemnity for complying with an order so made and served.

Power of land agent or licensee, to apply for discharge or variation of order, Added by No. 29 of 1959, s. 2.

14J. A land agent or licensee whose account is the subject of an order made under the provisions of section fourteen H or fourteen L of this Act may apply to a Judge to discharge or vary the order and to award such costs upon the application as he thinks fit and the Judge is hereby empowered to discharge or vary the order and to make such further order as he thinks fit.

Duty of bank manager to disclose existence of banking accounts of land agent or licensee, Added by No. 29 of 1959, s. 2.

- 14K. Where the manager or other officer for the time being in charge of the office of a bank is served with a copy of an order made under the provisions of section fourteen H of this Act, he shall—
 - (a) disclose in writing to the Committee each and every account kept at the bank in the name of the land agent or licensee referred to in the order, including any account which he reasonably suspects is held or kept at the bank for the benefit of the land agent or licensee; and
 - (b) permit the Committee or any person authorised in writing by it to inspect and make and take away with him a copy of or an extract from the account or of or from any book, account, document or writing relating to the account.

Power of Judge to make further orders and give directions Added by No. 29 of 1959, s. 2.

- 14L. (1) A Judge may, on the application of the Committee, the Treasurer or the land agent or licensee referred to in an order made under the provisions of section fourteen H or fourteen J of this Act, make further orders—
 - (a) discharging or varying any order so made;

- (b) directing that any moneys in any account affected by an order so made shall be paid to the Treasurer by the bank on such terms and conditions as the Judge thinks fit.
- (2) The Treasurer, on receiving moneys paid pursuant to an order made under paragraph (b) of subsection (1) of this section-
 - (a) shall cause the moneys to be paid into a special separate account to be kept at the Treasury. Treasury:

(b) may prepare a scheme for distributing the Power of Treasurer moneys as compensation to each person to prepare who claims compensation at any time distribution. within six months after the Treasurer receives the moneys, and proves to the satisfaction of the Treasurer that the person has, in respect of any land transaction, sustained loss through any act or omission of the person who deposited the moneys in the account;

- (c) may, if the moneys are not sufficient to pay all proved claims, apportion the moneys among claimants in proportion to their proved claims and show in the scheme for distribution how the moneys apportioned.
- (3) (a) Where the Treasurer prepares a scheme for distribution he shall apply to a Judge for approval of the scheme and for directions in respect thereof.
- (b) The Judge may give such directions in respect of the separate account at the Treasury, the moneys therein, the persons to whom and in what amounts the whole or any portion of the moneys standing to the credit of the account shall be paid by the Treasurer, and as to the payment of the balance of the moneys then remaining in the account, if any, as he thinks fit.

Apportionment of rates, taxes, and outgoings. No. 9 of 1922, s. 15, amended by No. 13 of 1952, s. 12. 15. Whenever on the sale or exchange of land or any estate or interest therein, a land agent receives payment of the purchase money on behalf of the vendor, it shall be such agent's duty to the purchaser to ascertain that all rates, taxes, and outgoings then payable, which are by statute a charge on the land, and which, as between the vendor and the purchaser, are payable by the vendor are paid by him, and that all such rates, taxes, and outgoings then accruing are duly apportioned between the vendor and purchaser.

Land salesmen. Added by No. 94 of 1964, s. 10.

15A. (1) A person not being a licensee, shall not act as, or carry out any of the functions of, a land salesman, unless he is the holder of a certificate of registration and he so acts, or carries out those functions for and on behalf of a licensee.

Penalty: Fifty pounds.

(2) A person not being a licensee, shall not, unless he is the holder of a certificate of registration, represent, expressly or impliedly, that he is a land salesman, or that, he is in the employment of, or is acting for or on behalf of, a licensee as a land salesman.

Penalty: Fifty pounds.

(3) A land salesman who is the holder of a certificate of registration shall not represent expressly or impliedly, that he is in the employment of, or is acting for and on behalf of, a licensee as a land salesman, unless that licensee is his employer, principal or partner.

Penalty: Fifty pounds.

- (4) This section does not apply to any land salesman who is a director or employee of—
 - (a) a pastoral company in respect of which an exemption granted under section eleven of the Banking Act, 1959, of the Parliament of the Commonwealth, or that Act as

- amended from time to time, is in force, if the director or employee is acting for and on behalf of the pastoral company; and
- (b) a company whose business relating to that of a land agent, is in the opinion of the Committee, a minor part of the business generally carried on by the company and is declared by the Committee by notice published in the Gazette, to be such a company for the purposes of this section.
- (5) This section shall come into operation three months after the date of the coming into operation of the Land Agents Act Amendment Act, 1964.
- 15B. (1) Application for registration or renewal Registration of registration as a land salesman shall be made to salesmen. the Committee and shall be made in the form No. 94 of prescribed accompanied by the fee prescribed 1964, 8. 11. prescribed accompanied by the fee prescribed.

- (2) Subject to subsection (3) of this section, the Committee on the receipt of an application for registration or renewal thereof, shall-
 - (a) in the case of registration, cause the name of the applicant to be registered by entering his name together with the particulars prescribed in the register kept pursuant. to section fifteen F of this Act; and
 - (b) in the case of a renewal of registration, cause the registration to be renewed by entering in the register particulars showing that the registration has been renewed,

and issue to the applicant a certificate of registration or renewal thereof in the form prescribed.

(3) The Committee shall not issue a certificate of registration or renewal thereof, unless the fidelity bond referred to in subsection (4) of this section has been lodged with the Committee and is in force.

- (4) The fidelity bond shall be a bond-
 - (a) from an insurance company of the kind referred to in subsection (3) of section four of this Act;
 - (b) in the form prescribed; and
 - (c) in the sum of five hundred pounds or such other sum as may be prescribed,

and the provisions of subsection (3) of section four of this Act, with such modifications as circumstances require, apply to the fidelity bond.

(5) An application for registration as a land salesman in the first instance shall, in the case of a person to whom paragraph (b) of the interpretation, "land salesman" refers, be made, where the company of which he is a director becomes a licensee after the coming into operation of the Land Agents Act Amendment Act, 1964, within twenty-eight days after the company becomes a licensee.

Duration of certificate of registration and renewal thereof. Added by No. 94 of 1964, s. 12.

- 15C. (1) Subject to this Act, a certificate of registration remains in force for a period of twelve months from the date specified therein as the day on which the certificate was issued.
- (2) A certificate of registration may be renewed at any time within a period of thirty days before it is due to expire, and on each renewal thereof shall, subject to this Act, be in force for a further period of twelve months.

Certificate of registration personal and not transferable. Added by No. 94 of 1964, s. 13.

- 15D. (1) A certificate of registration may not be granted otherwise than to a single individual.
- (2) A certificate of registration is not transferable.

Power of Committee to investigate applicant. Added by No. 94 of 1964, s. 14. 15E. The Committee may if it thinks fit, take such steps as it thinks necessary for the purpose of satisfying itself as to the identity of the person making an application under the Act for registration as a land salesman.

15F. (1) The Committee shall cause a register Register of land he kept containing the name and address of each Balesmen. to be kept containing the name and address of each person registered under this Act, as a land salesman No. 94 of 1964, 8.15. and such other particulars as the Committee may direct.

- (2) The Secretary shall enter in the register the cancellation of each certificate of registration and particulars of all convictions of a salesman registered under this Act, for offences against this Act.
- (3) Any member of the public may search the register without fee during the usual office hours of the Secretary.
- 15G. (1) A land salesman who is the holder of Power of Committee a certificate of registration may be summoned in writing by the Committee to appear before it to show cause why his certificate of registration should not be cancelled on the ground that—

registration. Added by No. 94 of 1964, s. 16.

- (a) he improperly obtained his certificate of registration;
- (b) he has been convicted of an offence against "This Act" includes this Act, or any offence involving dishonesty or fraud, whether the conviction No. 30 or took place before or after the commence. took place before or after the commencement of this section;

- (c) he has been guilty, whether before or after the commencement of this section, of any dishonest or fraudulent conduct;
- (d) he is not a person of good fame and character; or
- (e) he has been guilty of such conduct as a land salesman as renders him not a fit and proper person to be the holder of a certificate of registration.
- (2) Where the Committee is satisfied that any of the grounds referred to in subsection (1) of this section is proved the Committee-
 - (a) may order that the certificate of registration be delivered to the Secretary and cancelled and that the land salesman be

- disqualified, either permanently or for such period as the Committee specifies in the order from being registered under this Act as a land salesman; or
- (b) may impose on the land salesman a fine not exceeding ten pounds.
- (3) Sections fourteen B, fourteen C and fourteen E, of this Act with such modifications as circumstances require, apply in relation to any proceedings under this section or section fifteen H of this Act.
- (4) Where a fine is imposed pursuant to paragraph (b) of subsection (2) of this section, it may be recovered by the Committee in a court of competent jurisdiction as a debt due to the Crown.

Offence.

(5) A person who fails to deliver up his certificate of registration to the Secretary in accordance with the terms of an order made in that behalf by the Committee, commits an offence against this Act.

Appeal against cancellation. Added by No. 94 of 1964, s. 17.

- 15H. (1) Where the Committee makes an order cancelling a certificate of registration, the person to whom the certificate was granted may, within twenty-eight days after the date the order is made, appeal to a Court of Petty Sessions in Perth against the making of the order.
- (2) An appeal under this section shall be in the nature of a rehearing and the Court of Petty Sessions may, on the hearing of the appeal, confirm, vary or cancel the order appealed against or make such order, including an order as to costs, as it thinks fit.
- (3) The decision of the Court of Petty Sessions hearing the appeal is final and conclusive.

Offence. Added by No. 94 of 1964, s. 18.

- **15I.** (1) A person who—
 - (a) has had his license cancelled under section ten of this Act;

- (b) has had his certificate of registration cancelled under this Act on two occasions:
- (c) has been convicted of an offence against this Act on two occasions,

commits an offence against this Act, if without the written permission of the Committee, he becomes or remains a director of any company, that is a licensee, or is the employer, employee or partner of a licensee, as such.

Penalty: Fifty pounds.

- (2) For the purposes of this section, a person who is remunerated by a licensee by way of commission or by a share of profits, shall be deemed an employee of the licensee.
- 15J. In any proceedings for an offence against Evidentiary provisions. this Act by a land salesman, a certificate purporting Added by to be signed by the Chairman of the Committee 1964, s. 19. and stating that any person described in the certificate was or was not at the date specified in the certificate, the holder of a certificate of registration, is evidence of the matter stated in the certificate.

15K. (1) A person who—

(a) does that which by or under this Act he is Added by forbidden to do:

Added by No. 95 or 1964, s. 20.

Penalty for generally.

- (b) does not do that which by or under this Act he is required to do; or
- (c) otherwise contravenes or fails to comply with any provision of this Act.

is guilty of an offence against this Act.

(2) A person who is guilty of an offence against this Act is liable on conviction to a penalty or punishment not exceeding the penalty or punishment expressly provided as the penalty or punishment for the offence, or if a penalty or punishment is not so provided, to a penalty, not exceeding fifty pounds.

Limitation of time for complaint. See Act No. 11 of 1902, s. 51. Added by No. 94 of 1964, s. 21. 15L. Notwithstanding anything in any other Act, the time limited for making complaint of an offence against section eight, nine or fourteen G of this Act, is two years from the time when the matter of the complaint arose, and in respect of any offence against any other section of this Act, is one year from that time.

Regulations. No. 9 of 1922, 8. 18, amended by No. 13 of 1952, 8. 13. No. 94 of 1964, 8. 22. N.Z., 1912, No. 42, 8. 17.

- 16. (1) The Governor may make regulations for any purpose necessary for giving effect to the provisions of this Act.
- (2) The provisions of the following subsection do not derogate from the generality of the authority conferred by subsection (1) of this section.
 - (3) The regulations—
 - (a) may prescribe forms of applications, objections, notices and other documents for use in pursuance of this Act;
 - (b) may prescribe fees payable in respect of applications for and transfers of licenses and in respect of lodging, issuing and serving documents and processes in pursuance of this Act;
 - (c) may prescribe the persons or classes of persons who may make applications and objections pursuant to this Act and the grounds of the applications and objections and the times within which they may be made:
 - (d) may prescribe the procedure relating to applications and objections seriatim or by reference to the provisions of the Justices Act, 1902, or any other Act;
 - (e) may provide for the rectification of defects and extension of time in respect of anything done or required to be done by or in pursuance of this Act; and
 - (f) may prescribe penalties not exceeding fifty pounds for any breach of the regulations.

$Land\ Agents.$

FIRST SCHEDULE.

Land Agents Act, 1921-1953.

Sec. 4 (1). No. 13 of 1952, s. 14. amended by No. 46 of 1953, s. 1 (3).

APPLICATION LICENSE IN	THE FIRST I	NSTANCE*
RENEWAL C	OF A LICENSE	K
To the Court of Petty Sess I (name in full)		
of (address)		
occupation the age of twenty-one years (on behalf	the Company 1 Limite carry on the buts Act, 1921-195	registered by the d)* for a license usiness of a land 3. The principal
*(Strike out i	f not applicabl	e.) .
DATED the	day of	19
	Signature	of Applicant.
Appointme	nt of Hearing.	
I hereby appoint the	day	of,
19ato'clock in the hearing of the foregoi Petty Sessions at	ng application	at the Court of
DATED the	day of	19
		etty Sessions.
Objection to the grant served on the applicant and any time prior to seven day the hearing.	d the Clerk of	Petty Sessions at

Land Agents.

Sec. 4 (3) No. 40 of 1931, s. 3, amended by No. 46 of 1933, ss. 1 (3) and 7.

SECOND SCHEDULE.

Land Agents Act, 1921-1953.

BOND

KNOW all persons by these presents that		
hereby binds itself (or		
of		
andof		
and severally) to Her Majesty the Queen for the payment to Her Majesty of the sum of two thousand pounds.		
Sealed with the seal of the said this		
day of One thousand		
nine hundred and		
WHEREAS a land agent's license for the year ending the 31st day of December, one thousand nine hundred and		
, is about to be issued to		
(or to on behalf of the firm or company		
registered as above written bond or obligation is conditioned to be void in case the said intended licensee shall duly and punctually carry out all duties and perform all obligations binding on him as a land agent under the provisions of the Land Agents Act, 1921-1953, during the currency of the said license, and during the currency of every license granted to him in renewal of such license.		
Signed, sealed, and delivered by the abovenamed		
in the presence of		