

Western Australia

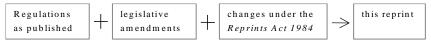
Auction Sales Act 1973

Auction Sales Regulations 1974

Reprint 2: The regulations as at 7 November 2014

Guide for using this reprint

What the reprint includes



Endnotes, Compilation table, and Table of provisions that have not come into operation

- 1. Details about the original regulations and legislation that has amended its text are shown in the Compilation table in endnote 1, at the back of the reprint. The table also shows any previous reprint.
- 2. Transitional, savings, modifying or other provisions identified in the Compilation table may be important. The table may refer to another endnote setting out the text of these provisions in full.
- 3. A table of provisions that have not come into operation, to be found in endnote 1a if it is needed, lists any provisions of the regulations being reprinted that have not come into operation and any amendments that have not come into operation. The full text is set out in another endnote that is referred to in the table.

Notes amongst text (italicised and within square brackets)

1. If the reprint includes a regulation that was inserted, or has been amended, since the regulations being reprinted were made, editorial notes at the foot of the regulation give some history of how the regulation came to be as it is. If the regulation replaced an earlier regulation, no history of the earlier regulation is given (the full history of the regulations is in the Compilation table).

Notes of this kind may also be at the foot of Schedules or headings.

- 2. The other kind of editorial note shows something has been -
 - removed (because it was repealed or deleted from the law); or
 - omitted under the *Reprints Act 1984* s. 7(4) (because, although still technically part of the text, it no longer has any effect).

The text of anything removed or omitted can be found in an earlier reprint (if there is one) or one of the written laws identified in the Compilation table.

Reprint numbering and date

- 1. The reprint number (in the footer of each page of the document) shows how many times the regulations have been reprinted. For example, numbering a reprint as "Reprint 3" would mean that the reprint was the 3rd reprint since the regulations were published. Reprint numbering was implemented as from 1 January 2003.
- 2. The information in the reprint is current on the date shown as the date as at which the regulations are reprinted. That date is not the date when the reprint was published by the State Law Publisher and it is probably not the date when the most recent amendment had effect.

Reprinted under the *Reprints Act 1984* as at 7 November 2014

Western Australia

Auction Sales Regulations 1974

Contents

1.	Citation	1
2.	Forms	1
3.	Applications	1
4.	Renewals	3
5.	Method of application	3
6.	Notice of application	4
7.	Notice of objection	4
8.	Police inquiries	4
9.	Stock register	5
10.	Waybills	6
11.	Misrepresentation	6
	Schedule — Forms	
	Notes	
	Compilation table	25

Defined terms

Reprint 2

page i



Reprinted under the *Reprints Act 1984* as at 7 November 2014

Western Australia

Auction Sales Act 1973

Auction Sales Regulations 1974

1. Citation

- (1) These regulations may be cited as the Auction Sales Regulations 1974¹.
- (2) In these regulations the *Auction Sales Act 1973* is referred to as the *Act*.

[Regulation 1 amended in Gazette 29 Aug 1986 p. 3205.]

2. Forms

The forms prescribed for the purposes of the Act are set out in the Schedule.

3. Applications

(1) The application forms referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column, and the fee payable for the purpose shall be at the rate specified in the third column.

Application forms and fees payable

Form No.	Purpose	Fee
1	General licence	\$225.00 per annum

Reprint 2

Form No.	Purpose	Fee
2	Restricted licence	\$225.00 per annum
3	Occasional licence	\$65.00 per licence
4	Interim licence	\$19.00 per month or part of a month
5	Provisional licence	\$19.00 per month or part of a month
6	Duplicate licence	\$1.50
7	Transfer of licence	\$49.00

- (2) Copies of the application forms required may be obtained, free of charge, from the registrar of the Magistrates Court ^{2, 3}.
- (3) The forms of licence referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column.

Licences

Form No. Purpose

- 8 General licence.
- 9 Restricted licence.
- 10 Occasional licence.
- 11 Interim licence.
- 12 Provisional licence.

r	3

page 2

(4) The form of transfer of a licence shall be in Form 13 and shall be endorsed on the original licence to which it relates.

[Regulation 3 amended in Gazette 28 Oct 1983 p. 4372; 29 Aug 1986 p. 3205; 5 Aug 1988 p. 2629; 30 Jun 1989 p. 1976; 1 Aug 1990 p. 3658; 13 Dec 1991 p. 6156; 14 Aug 1992 p. 4016-7; 27 Jun 2013 p. 2662; 17 Jun 2014 p. 1956.]

4. Renewals

- (1) The fee payable on the renewal of a licence shall, subject to apportionment in respect of periods of less than 12 months, be the fee payable on application for that kind of licence as set out in the table to regulation 3(1).
- (2) A licence shall not be renewable as of right.
- (3) An application for the renewal of a licence shall be made in the same manner as an application for the grant of a licence, save that the applicant is not required to comply with section 11(5) of the Act or to lodge character testimonials.

[Regulation 4 amended in Gazette 6 Oct 1978 p. 3634.]

5. Method of application

- (1) An application for the grant, renewal or transfer of a licence shall be made in the manner provided by section 11 of the Act by lodging with the registrar of the Magistrates Court^{2, 3} the prescribed fee together with
 - (a) where the application is made for a licence to be used for the benefit of a firm or corporation, 4 copies; and
 - (b) in any other case, 3 copies,

of the relevant application form.

(2) Where the application is for the transfer of a licence the application shall be made in Form 7 by the proposed transferee and shall be endorsed with the consent of the proposed transferor and on behalf of the firm or corporation (if any)

named in the original licence as that for the benefit of which the licence is to be used.

6. Notice of application

For the purposes of section 11(5) of the Act publication of a copy of the application shall be taken of itself to constitute the notice in the prescribed form, no further notice being required to be published.

[Regulation 6 amended in Gazette 22 Nov 1974 p. 5101.]

7. Notice of objection

A notice of objection to the grant, renewal or transfer of a licence is not required to be given in a prescribed form.

8. Police inquiries

On receipt of a copy of the application for the grant, renewal or transfer of a licence from the registrar of the Magistrates Court ^{2, 3}, the senior police officer stationed within the police district shall —

- (a) inquire as to the character and suitability of the applicant; and
- (b) inquire as to the fitness and repute of the persons responsible for the management of that firm or corporation where the licence is sought for the benefit of a firm or corporation; and
- (c) inquire as to the circumstances and the likelihood of the occasion occurring, where an occasional licence is sought; and
- (d) inquire as to the genuineness of the incapacity by a medical certificate or other evidence, where an interim licence is sought; and
- (e) report in writing to the court the result of his inquiries.

Reprint 2

r. 6

9. Stock register

- (1) The register to be kept for the purposes of section 30 of the Act shall be in Form 15.
- (2) On the same day as a sale of stock is conducted, the auctioneer shall cause to be entered in the register the following particulars as a separate entry for each lot of animals offered for sale
 - (a) the number of the pen where the animals were held when auctioned; and
 - (b) the name and address or identifying code of the vendor of the animals so auctioned; and
 - (c) the quantity of animals auctioned in that lot; and
 - (d) a description of the breed and type of animal auctioned; and
 - (e) the identifier applied to the animals under the Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013; and
 - (f) if sold by auction, the price per head of each animal when knocked down to the purchaser, and the name and address or identifying code of the purchaser of the animal; and
 - (g) if passed in or sold by private treaty, a description of the means of disposal and the name and address of any purchaser.
- (3) The auctioneer conducting the sale shall append his signature in the place provided, signifying that the particulars contained in that sheet are, to the best of his knowledge, accurate particulars of all stock offered for sale by him.
- (4) Where any alteration to particulars entered on the register appears, the auctioneer shall initial each alteration as being correct.

[Regulation 9 amended in Gazette 5 Feb 2013 p. 833.]

Reprint 2

r. 10

10. Waybills

A person who sells by auction or submits for sale by auction any stock, in relation to which a waybill issued under the *Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013* is not furnished, commits an offence.

[Regulation 10 amended in Gazette 5 Feb 2013 p. 833.]

11. Misrepresentation

A person who wilfully makes any representation or statement which is false or misleading in a material particular in relation to any application, objection, register or other matter or proceeding under the Act or these regulations commits an offence and is liable to the penalties provided by section 35 of the Act.

page 6

Schedule

Form 1

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A GENERAL LICENCE

To the Magistrate ⁴ at
I,
now residing at
and carrying on business at
and having attained the age of 18 years, do hereby apply for the * renewal/grant of a general licence to act as and carry on the business of, an auctioneer throughout the State in relation to all classes of business to which the <i>Auction Sales Act 1973</i> applies * (for the benefit of
of
a * firm/corporation of which I am an * employee/partner/Director, which consents to this application).
Dated the, 20
Signature of Applicant.
* Strike out whichever not required.
+
Consents to this Application.
Authorised person for
firm or corporation.
† Insert name of firm or corporation.
The application will be heard before the
Court at on the day of
at o'clock in the noon.
Registrar of the Magistrates Court ² .

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Reprint 2

Form 2

WESTERN AUSTRALIA Auction Sales Act 1973

APPLICATION FOR A RESTRICTED LICENCE

To the Magistrate ⁴ at
I, now residing at
and carrying on business at
and having attained the age of 18 years, do hereby apply for the * grant/renewal of a restricted licence to act as and carry on the business of, an auctioneer in (1)
in relation to the sale of (2)
and during the times stated herein, (3)
* (for the benefit of of
a * firm/corporation of which I am an * employee/partner/Director and which consents
to this application).
Dated the, 20
Signature of Applicant.
(1) Describe Police Dist.
 Describe Class of Business. Describe Time Periods.
* Strike out whichever not required.
Consents to this Application.
Authorised person for
firm or corporation.
† Insert name of firm or corporation.
The application will be heard before the
Court at on the day
of
Registrar of the Magistrates Court ² .

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

page 8

Form 3

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR AN OCCASIONAL LICENCE

To the Magistrate ⁴ at I,
now residing at and carrying on business at
and having attained the age of 18 years, do hereby apply for the grant of an occasional
licence in accordance with section 13 of the Auction Sales Act 1973 to act as an
auctioneer * (for the benefit of
of
a * firm/corporation of which I am an * employee/partner/Director and which consents to this application) from (1) to
at (2) in the
District in relation to the sale of (3)
on the occasion and in the circumstances following (4)
* I am/am not the holder of a (5) licence under the Auction Sales
Act 1973 in relation to the sale of (3)
* (granted to be used for the benefit of
of
which * have/have not consented to the making of this application).
Dated the, 20
Signature of Applicant.
* Strike out whichever not required

- Insert period of licence.
- (1) (2) (3) Describe place of sale.
- Describe class of business.
- (4) Describe reasons for application.
- Describe kind of licence. (5)

*

Consents to this Application.

..... Authorised person for firm or corporation.

† Insert name of firm or corporation.

Reprint 2

Auction Sales Regulations 1974 Schedule

Form 3

The application will be hear	d before the	e	
			day
of	, 20	, at	o'clock in the
noon.			

Registrar of the Magistrates Court².

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

page 10

Form 4

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR AN INTERIM LICENCE

..... Signature of Applicant.

* Strike out whichever not required.

(1) Name of auctioneer, firm, corporation or proposed licensee making the application.

(2) Describe kind of licence.

Name of auctioneer.

(3) (4) Name of proposed licensee.

(5) Describe class of business.

Describe reason for incapacity. (6)

* Consents to this Application.

> Authorised person for firm or corporation.

† Insert name of firm or corporation.

Reprint 2

The application will be heard before the			
Court at	on	the	day of
noon.			

Registrar of the Magistrates Court².

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

page 12

Form 5

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A PROVISIONAL LICENCE

To the Magistrate ⁴ at
* I (1)
* the * firm/corporation for the benefit of which the licence was granted
of
hereby apply for the grant of a provisional licence under section 15 of the Auction Sales
Act 1973 in favour of (2)
to act as an auctioneer under the direct supervision and
instruction of (3)
for the period from theday of
to the in relation to the sale
of (4)
Dated the, 20

Signature of Applicant.

under a (5)	licence No	current until the
da	y of	
* (for the benefit of	-	
of		
to this application am aware the default of the holder of the pro-	at I am personally li visional licence in tl	partner/Director and which consents able and responsible for any act or ne same manner and to the same e or such default been made by me.
Dated the	day of	, 20
		Signature of Auctioneer.

* Strike out whichever not required.

(1) Name of auctioneer, firm or corporation making the application.

- Name of proposed trainee.
- (2) (3) (4) Name of auctioneer.
- Describe class of business.
- (5) Describe kind of licence.

Reprint 2

Auction Sales Regulations 1974 Schedule

Form 5	
† Consents to this Application.	
† Insert name of firm or corporation.	firm or corporation.
The application will be heard before the	
Court aton	theday
of, 20	, ato'clock in
the noon.	
	Registrar of the Magistrates Court ² .
A person wishing to object to this applicat	tion should lodge with the registrar ² of the

A person wishing to object to this application should lodge with the registrar⁻ of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

page 14

Form 6

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A DUPLICATE LICENCE

To the Magistrate ⁺ at	
I,	
now residing at	
and carrying on business at	
do hereby apply for a duplicate of my (1)	
	issued to me at the
Court on the day of	, 20
My licence was Los	t/Destroyed under the following circumstances
Dated the day of	, 20
	Signature of Applicant.
	0 11

(1) Describe kind of licence.

4

Reprint 2

Form 7

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION TO TRANSFER A LICENCE

To the Magistrate ⁴ at
I,
now residing at
and carrying on business at
and having attained the age of
18 years, do hereby apply for the transfer to me of the
licence, number issued at the
Court on the day of
, 20 to
(to be used by me for the benefit of
of
a * firm/corporation of which I am an * employee/partner/Director, which consents to this application).
Dated the, 20
Signature of Applicant.
* Strike out if not required.
†the proposed transferor of the licence consents to this Application.
Signature of transferor.
† Insert name of transferor.
 ¹ Insert name of transferor. [†]for the benefit of which the licence was issued, consent to this Application.
Authorised person for
firm or corporation.
† Insert name of firm or corporation.
 insert name of this of corporation. for the benefit of which the transferred licence is to be used, consent to this Application.
Authorised person for
firm or corporation.
‡ Insert name of firm or corporation.

page 16

The application will be	heard before the		
			day
			o'clock in the
	<i>.</i>	,	

Registrar of the Magistrates Court².

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Reprint 2

Form 8 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

No.

GENERAL LICENCE

This is to certify that					
residing at					
and carrying on business at					
* (for the benefit of					
a * firm/corporation of whom he is an * employee/partner/Director), is hereby					
licensed — * subject to the conditions, limitations or restrictions endorsed hereon — to					
act as, and carry on the business of, an auctioneer throughout the State in relation to all					
classes of business to which the Auction Sales Act 1973 applies, from the date hereof,					
until the day of					
20					
Conditions, limitations or restrictions (if any) —					
Issued this, 20,					
at the Court.					
Magistrate ⁴ .					
Fee \$ paid. Date/ Receipt No					
Registrar of the Magistrates Court ² .					

* Strike out if not applicable.

page 18

No.

Form 9

Form 9 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

RESTRICTED LICENCE

This is to certify that
residing at
and carrying on business at
* (for the benefit of
of
a * firm/corporation of whom he is an * employee/partner/Director), is hereby
licensed, subject to the conditions, limitations or restrictions endorsed hereon to act as,
and carry on the business of, an auctioneer in accordance with the Auction Sales
<i>Act 1973</i> , from the date hereof, until the
of
Conditions, limitations or restrictions applicable
Issued this, 20
at the Court.
Magistrate ⁴ .
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court ² .

* Strike out if not applicable.

Reprint 2

Form 10 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

No.

OCCASIONAL LICENCE

This is to certify that
residing at
and carrying on business at
* (for the benefit of
of
a * firm/corporation of whom he is an * employee/partner/Director), is hereby licensed
as an auctioneer in accordance with the Auction Sales Act 1973, in relation to the
occasion and circumstances specified herein, but subject to such conditions, limitations
or restrictions endorsed herein, from the day of
20 day of,
20, inclusive.
Occasion and circumstances
Conditions, limitations or restrictions applicable
· · · · · · · · · · · · · · · · · · ·
Issued this, 20
at the Court.
Magistrate ⁴ .
e e
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court ² .

* Strike out if inapplicable.

page 20

Form 11 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

No.

INTERIM LICENCE

This is to certify that	
residing at	
is hereby authorised under the Auction Sal	es Act 1973 to sell by auction on behalf of,
and to act as, and carry on the business of .	-
of	
	licence would otherwise be current until
	1 (, , , , ,)
a * firm/corporation of whom he is an * en	
	day of, until
	, 20, inclusive
is suspended.	
This licence is subject to the following con	ditions, limitations or restrictions
Issued this day of	, 20at
the Court.	, 20
	Magisuate .
† Describe kind of licence.	
Fee \$ paid. Date	/ Receipt No
	Registrar of the Magistrates Court ² .
* Strike out if inapplicable	

* Strike out if inapplicable.

Reprint 2

Form 12 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

No.

PROVISIONAL LICENCE

This is to certify that				
residing atis hereby authorised under the <i>Auction Sales Act 1973</i> to act as an auctioneer under the				
direct supervision and instruction of				
of				
the holder of a †	licence issued for the benefit of			
of				
until the day of				
The licence applies from the day of .				
the day of and is subject to the following conditions, limitation	ns or restrictions			
Issued this day of at the Court.	, 20			
	Magistrate ⁴ .			
[†] Describe kind of licence.	C			
Fee \$ paid. Date/	Receipt No			
	Registrar of the Magistrates Court ² .			
Period of this licence not to exceed 2 months.				

page 22

Form 13

WESTERN AUSTRALIA

Auction Sales Act 1973

FORM OF ORDER OF TRANSFER TO BE ENDORSED ON LICENCE

I,Magistrate ⁴
at, having heard an application
by,
of, for an order
transferring licence number
issued at the day of
to him, and being satisfied that the licensee (or the
legal personal representative of the licensee) has consented to the application, and that
the applicant is a fit and proper person to be the holder of a licence under the Auction
Sales Act 1973, do hereby order the licence to be transferred, and the same is hereby
transferred to the said
who shall henceforth have the sole right to use and exercise the same, subject to the Act.
Dated the, 20
-
4

Magistrate⁴.

[Form 14 deleted in Gazette 22 Nov 1974 p. 5101.]

Reprint 2

WESTERN AUSTRALIA							
Туре с	of Stock	Auction Sales Act 1973					
			REGISTER			Lo	ocation
Pen	Vendor, Address	Quantity	Description	Identifier	Price	-	Purchaser, Address or How Disposed of

Form 15

.....

Licensed Auctioneer.

[Form 15 amended in Gazette 5 Feb 2013 p. 833.]

page 24

Notes

This reprint is a compilation as at 7 November 2014 of the *Auction Sales Regulations 1974* and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

Citation	Gazettal	Commencement
Auction Sales Act Regulations 1974 ⁵	13 Sep 1974 p. 3426-38	13 Sep 1974
Untitled regulations	22 Nov 1974 p. 5101	22 Nov 1974
Untitled regulations	6 Oct 1978 p. 3633-4	6 Oct 1978
Auction Sales Amendment Regulations 1983	28 Oct 1983 p. 4372	1 Nov 1983 (see r. 2)
Auction Sales Amendment Regulations 1986	29 Aug 1986 p. 3204-5	1 Sep 1986 (see r. 2)
Auction Sales Amendment Regulations 1988	5 Aug 1988 p. 2629	5 Aug 1988
Auction Sales Amendment Regulations 1989	30 Jun 1989 p. 1976	1 Jul 1989 (see r. 2)
Auction Sales Amendment Regulations 1990	1 Aug 1990 p. 3658	1 Aug 1990
Auction Sales Amendment Regulations 1991	13 Dec 1991 p. 6156	13 Dec 1991
Auction Sales Amendment Regulations 1992	14 Aug 1992 p. 4016-17	14 Aug 1992
Reprint of the <i>Auction Sales Regulati</i> listed above)	<i>ions 1974</i> as at 8	Sep 2000 (includes amendments
ction Sales Amendment5 Feb 2013gulations 2013p. 832-3		r. 1 and 2: 5 Feb 2013 (see r. 2(a)); Regulations other than r. 1 and 2 1 May 2013 (see r. 2(b)(i)) and <i>Gazette</i> 5 Feb 2013 p. 823)
Auction Sales Amendment Regulations (No. 2) 2013	27 Jun 2013 p. 2661-2	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2 1 Jul 2013 (see r. 2(b))

Compilation table

Reprint 2

1

Citation	Gazettal	Commencement
Auction Sales Amendment Regulations 2014	17 Jun 2014 p. 1956	r. 1 and 2: 17 Jun 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2014 (see r. 2(b))

Reprint 2: The *Auction Sales Regulations 1974* as at 7 Nov 2014 (includes amendments listed above)

- ² Under the *Courts Legislation Amendment and Repeal Act 2004* s. 54(2), a reference in a written law to the clerk of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the registrar of the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- ³ Under the *Courts Legislation Amendment and Repeal Act 2004* s. 58, a reference in a written law to the court of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- ⁴ Under the *Courts Legislation Amendment and Repeal Act 2004* s. 15(7), a reference in a written law to a stipendiary magistrate is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to a magistrate. The reference was changed under the *Reprints Act 1984* s. 7(5)(a).
- ⁵ Now known as the *Auction Sales Regulations 1974*; name changed (see note under r. 1).

Defined terms

Defined terms

[This is a list of terms defined and the provisions where they are defined.		
The list is not part of the la	w.]	
Defined term	Provision (s)	
Act		