

Auction Sales Act 1973

Auction Sales Regulations 1974

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Reprinted under the *Reprints Act 1984* as at 7 November 2014

page i

Western Australia

Auction Sales Regulations 1974

Contents

1.	Citation	1
2.	Forms	1
3.	Applications	1
4.	Renewals	3
5.	Method of application	3
6.	Notice of application	4
7.	Notice of objection	4
8.	Police inquiries	4
9.	Stock register	5
10.	Waybills	6
11.	Misrepresentation	6
	Schedule	
	Notes	
	Compilation table	25
	Defined terms	

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information



Reprinted under the *Reprints Act 1984* as at 7 November 2014

Auction Sales Act 1973

Auction Sales Regulations 1974

1. Citation

- (1) These regulations may be cited as the Auction Sales Regulations 1974^{-1} .
- (2) In these regulations the *Auction Sales Act 1973* is referred to as the *Act*.

[Regulation 1 amended in Gazette 29 Aug 1986 p. 3205.]

2. Forms

The forms prescribed for the purposes of the Act are set out in the Schedule.

3. Applications

(1) The application forms referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column, and the fee payable for the purpose shall be at the rate specified in the third column.

Application	forms	and	fees	payable
-------------	-------	-----	------	---------

Form No.	Purpose	Fee
1	General licence	\$230.00 per annum

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Form No.	Purpose	Fee
2	Restricted licence	\$230.00 per annum
3	Occasional licence	\$66.00 per licence
4	Interim licence	\$19.00 per month or part of a month
5	Provisional licence	\$19.00 per month or part of a month
6	Duplicate licence	\$1.50
7	Transfer of licence	\$49.00

- (2) Copies of the application forms required may be obtained, free of charge, from the registrar of the Magistrates Court^{2, 3}.
- (3) The forms of licence referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column.

Licences

Form No. Purpose

- 8 General licence.
- 9 Restricted licence.
- 10 Occasional licence.
- 11 Interim licence.
- 12 Provisional licence.

page 2

(4) The form of transfer of a licence shall be in Form 13 and shall be endorsed on the original licence to which it relates.

[Regulation 3 amended in Gazette 28 Oct 1983 p. 4372; 29 Aug 1986 p. 3205; 5 Aug 1988 p. 2629; 30 Jun 1989 p. 1976; 1 Aug 1990 p. 3658; 13 Dec 1991 p. 6156; 14 Aug 1992 p. 4016-7; 27 Jun 2013 p. 2662; 17 Jun 2014 p. 1956; 23 Jun 2015 p. 2161.]

4. Renewals

- (1) The fee payable on the renewal of a licence shall, subject to apportionment in respect of periods of less than 12 months, be the fee payable on application for that kind of licence as set out in the table to regulation 3(1).
- (2) A licence shall not be renewable as of right.
- (3) An application for the renewal of a licence shall be made in the same manner as an application for the grant of a licence, save that the applicant is not required to comply with section 11(5) of the Act or to lodge character testimonials.

[Regulation 4 amended in Gazette 6 Oct 1978 p. 3634.]

5. Method of application

- (1) An application for the grant, renewal or transfer of a licence shall be made in the manner provided by section 11 of the Act by lodging with the registrar of the Magistrates Court^{2, 3} the prescribed fee together with —
 - (a) where the application is made for a licence to be used for the benefit of a firm or corporation, 4 copies; and
 - (b) in any other case, 3 copies,

of the relevant application form.

(2) Where the application is for the transfer of a licence the application shall be made in Form 7 by the proposed transferee and shall be endorsed with the consent of the proposed transferor and on behalf of the firm or corporation (if any)

r. 4

<u>r. 6</u>

named in the original licence as that for the benefit of which the licence is to be used.

6. Notice of application

For the purposes of section 11(5) of the Act publication of a copy of the application shall be taken of itself to constitute the notice in the prescribed form, no further notice being required to be published.

[Regulation 6 amended in Gazette 22 Nov 1974 p. 5101.]

7. Notice of objection

A notice of objection to the grant, renewal or transfer of a licence is not required to be given in a prescribed form.

8. **Police inquiries**

On receipt of a copy of the application for the grant, renewal or transfer of a licence from the registrar of the Magistrates Court ^{2, 3}, the senior police officer stationed within the police district shall —

- (a) inquire as to the character and suitability of the applicant; and
- (b) inquire as to the fitness and repute of the persons responsible for the management of that firm or corporation where the licence is sought for the benefit of a firm or corporation; and
- (c) inquire as to the circumstances and the likelihood of the occasion occurring, where an occasional licence is sought; and
- (d) inquire as to the genuineness of the incapacity by a medical certificate or other evidence, where an interim licence is sought; and
- (e) report in writing to the court the result of his inquiries.

page 4

r. 9

9. Stock register

- (1) The register to be kept for the purposes of section 30 of the Act shall be in Form 15.
- (2) On the same day as a sale of stock is conducted, the auctioneer shall cause to be entered in the register the following particulars as a separate entry for each lot of animals offered for sale
 - (a) the number of the pen where the animals were held when auctioned; and
 - (b) the name and address or identifying code of the vendor of the animals so auctioned; and
 - (c) the quantity of animals auctioned in that lot; and
 - (d) a description of the breed and type of animal auctioned; and
 - (e) the identifier applied to the animals under the *Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013*; and
 - (f) if sold by auction, the price per head of each animal when knocked down to the purchaser, and the name and address or identifying code of the purchaser of the animal; and
 - (g) if passed in or sold by private treaty, a description of the means of disposal and the name and address of any purchaser.
- (3) The auctioneer conducting the sale shall append his signature in the place provided, signifying that the particulars contained in that sheet are, to the best of his knowledge, accurate particulars of all stock offered for sale by him.
- (4) Where any alteration to particulars entered on the register appears, the auctioneer shall initial each alteration as being correct.

[Regulation 9 amended in Gazette 5 Feb 2013 p. 833.]

r. 10

10. Waybills

A person who sells by auction or submits for sale by auction any stock, in relation to which a waybill issued under the Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013 is not furnished, commits an offence.

[Regulation 10 amended in Gazette 5 Feb 2013 p. 833.]

11. **Misrepresentation**

A person who wilfully makes any representation or statement which is false or misleading in a material particular in relation to any application, objection, register or other matter or proceeding under the Act or these regulations commits an offence and is liable to the penalties provided by section 35 of the Act.

page 6

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Schedule

Form 1

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A GENERAL LICENCE

To the Magistrate ⁴ at	
I, now residing at	
and carrying on business at	
and having attained the age of 18 years, do he general licence to act as and carry on the bus in relation to all classes of business to which the benefit of	iness of, an auctioneer throughout the State the <i>Auction Sales Act 1973</i> applies * (for
of	
a * firm/corporation of which I am an * empl this application).	
Dated the day of	, 20
	Signature of Applicant.
* Strike out whichever not required.	
†	
Consents to this Application.	
	Authorised person for
	firm or corporation.
† Insert name of firm or corporation.	
The application will be heard before the	
Court at on the o'clock in the	day of, 20,
	Registrar of the Magistrates Court ² .
	5

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Form 2

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A RESTRICTED LICENCE

o the Magistrate ⁺ at
ow residing at
nd carrying on business at
nd having attained the age of 18 years, do hereby apply for the * grant/renewal of a estricted licence to act as and carry on the business of, an auctioneer in (1)
n relation to the sale of (2) nd during the times stated herein, (3) (for the benefit of f
* firm/corporation of which I am an * employee/partner/Director and which consents o this application).
Dated the, 20, 20
Signature of Applicant.
 Describe Police Dist. Describe Class of Business. Describe Time Periods.
Strike out whichever not required.
Consents to this Application.
Authorised person for
firm or corporation.
Insert name of firm or corporation.
he application will be heard before the
f, 20, at
noon.
Registrar of the Magistrates Court ² .

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

page 8

Form 3

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR AN OCCASIONAL LICENCE

		firm or corporation.
† Insert name of firm or cor	poration.	
The application will be	heard before the	
		day
		o'clock in the

As at 01 Jul 2015

Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

..... noon.

Registrar of the Magistrates Court².

A person wishing to object to this application should lodge with the registrar² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

page 10

Form 4

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR AN INTERIM LICENCE

To the Magistrate ⁴ at * I (1) * the * firm/corporation for the benefit of which the licence was granted * a person acting on behalf and with the consent of the parties named in the licence
of
hereby apply for the suspension of the (2)
licence No granted to (3)
and for the grant of an interim licence in accordance with section 14 of the Auction
Sales Act 1973 in favour of (4)
now residing at
and carrying on business at
for the period from the day of to the
day of in relation to the sale of (5) on the
grounds that (3)
will be incapacitated by reason of (6)
Dated the, 20

Signature of Applicant.

.....

* Strike out whichever not required.

(1) Name of auctioneer, firm, corporation or proposed licensee making the application.

Describe kind of licence.

(2) (3) (4) Name of proposed licensee.

(5) Describe class of business.

Describe reason for incapacity. (6)

† Consents to this Application.

> Authorised person for firm or corporation.

> > page 11

 $\ensuremath{^{\dagger}}$ Insert name of firm or corporation.

As at 01 Jul 2015	Version 02-b0-01
Extract fro	n www.slp.wa.gov.au, see that website for further information

The application will be heard bef	ore the		
Court at			
,	20	at	o'clock in the
noon.		,	

Registrar of the Magistrates Court².

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

page 12

Form 5

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A PROVISIONAL LICENCE

To the Magistrate ⁴ at
* I (1)
* the * firm/corporation for the benefit of which the licence was granted
of
hereby apply for the grant of a provisional licence under section 15 of the Auction Sales
<i>Act 1973</i> in favour of (2)
to act as an auctioneer under the direct supervision and
instruction of (3)
for the period from theday of,
to the in relation to the sale
of (4)
Dated the, 20
•

Signature of Applicant.

		current until the
		current until the
* (for the benefit of		
a * firm/corporation of which to this application am aware default of the holder of the p	th I am an * employee/ that I am personally liprovisional licence in the	partner/Director and which consents able and responsible for any act or ne same manner and to the same e or such default been made by me.
Dated the	day of	, 20
		Signature of Auctioneer.
		Signature of Auctioneer.

* Strike out whichever not required.

4

Name of auctioneer, firm or corporation making the application. (1)

- Name of proposed trainee.
- (2) (3) (4) Name of auctioneer.
- Describe class of business. Describe kind of licence.
- (5)

As at 01 Jul 2015

Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Auction Sales Regulations 1974 Schedule

Form 5

†Consents to this Application.

		Authorised person for firm or corporation.
† Insert name of firm or corp	oration.	
The application will be	heard before the	
Court at	on the	day
of	, 20, at	o'clock in
the		
		Registrar of the Magistrates Court ² .

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

page 14

Form 6

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A DUPLICATE LICENCE

To the Magistrate ⁺ at	
I,	
now residing at	
do hereby apply for a duplicate of m	y (1)
	n was issued to me at the
	, 20
•	s Lost/Destroyed under the following circumstances
	f, 20
Duied the duy o	
	Signature of Applicant.

(1) Describe kind of licence.

4

As at 01 Jul 2015 Version 02-b0-01 page 15 Extract from www.slp.wa.gov.au, see that website for further information

Form 7

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION TO TRANSFER A LICENCE

To the Magistrate ⁴ at
I,
now residing at
and carrying on business at
and having attained the age of
18 years, do hereby apply for the transfer to me of the
licence, number issued at the
Court on the day of
, 20 to
·
(to be used by me for the benefit of
of
a * firm/corporation of which I am an * employee/partner/Director, which consents to
this application).
Dated the, 20
Signature of Applicant.
* Strike out if not required.
†the proposed transferor of the licence
consents to this Application.
Signature of transferor.
† Insert name of transferor.
†for the benefit of which the licence was issued,
consent to this Application.
Authorised person for
firm or corporation.
† Insert name of firm or corporation.
insert name of min of corporation.
‡ for the benefit of which the transferred licence is to be used, consent to this Application.
is to be used, consent to this Application.
is to be used, consent to this Application.
is to be used, consent to this Application. Authorised person for

page 16

Auction Sales Regulations 1974 Schedule

Form 7

The application will be h	leard before the	
	on the	
of	, 20, at	o'clock in the

Registrar of the Magistrates Court².

A person wishing to object to this application should lodge with the registrar 2 of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

As at 01 Jul 2015 Version 02-b0-01 page 17 Extract from www.slp.wa.gov.au, see that website for further information

Form 8

WESTERN AUSTRALIA Auction Sales Act 1973

FEE \$

No.

GENERAL LICENCE

This is to certify that
residing at
and carrying on business at
* (for the benefit of
a * firm/corporation of whom he is an * employee/partner/Director), is hereby
licensed — * subject to the conditions, limitations or restrictions endorsed hereon — to
act as, and carry on the business of, an auctioneer throughout the State in relation to all
classes of business to which the Auction Sales Act 1973 applies, from the date hereof,
until the day of
20
Conditions, limitations or restrictions (if any) —
Issued this, 20,
at the Court.
Magistrate ⁴ .
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court ² .

* Strike out if not applicable.

page 18

No.

Form 9

Form 9 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

RESTRICTED LICENCE

This is to certify that
residing at
and carrying on business at
* (for the benefit of
of
a * firm/corporation of whom he is an * employee/partner/Director), is hereby
licensed, subject to the conditions, limitations or restrictions endorsed hereon to act as, and carry on the business of, an auctioneer in accordance with the <i>Auction Sales</i>
Act 1973, from the date hereof, until the day
of, 20
Conditions, limitations or restrictions applicable
Issued this, 20,
at the Court.
Magistrate ⁴ .
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court ² .

* Strike out if not applicable.

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Form 10

WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

No.

OCCASIONAL LICENCE

* Strike out if inapplicable.

page 20

Form 11 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

No.

INTERIM LICENCE

This is to certify that			••••••	
residing at				
and carrying on business at				
is hereby authorised under the Auc	ction Sales Act	1973 to sell by auction of	on behalf o	f,
and to act as, and carry on the busi				
whose †				
* (for the benefit of				
of			••••••	
a * firm/corporation of whom he is	s an * employe	e/partner/Director).		
This licence applies from the the da				
during which time the licence held is suspended.	l by		•••••	
This licence is subject to the follow	wing conditions	s, limitations or restriction	ons	
	-			
Issued this			., 20	at
			Magistr	ate ⁴ .
† Describe kind of licence.				
Fee \$ paid.				
	••••••	Registrar of the Mag		
* Strike out if inapplicable.				

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Form 12 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE \$

No.

PROVISIONAL LICENCE

This is to certify that	
•	
	es Act 1973 to act as an auctioneer under the
1	
of	
the holder of a †	licence issued for the benefit of
of	
until the day of	, 20
The licence applies from the	. day of, until
	, 20, inclusive,
	limitations or restrictions
Issued this day of	, 20
at the Court	
	Magistrate ⁴ .
	Magistrate.
† Describe kind of licence.	
Fee \$ paid. Date	// Receipt No
	Registrar of the Magistrates Court ² .
Period of this licence not to exceed 2 months.	5 6

page 22

Form 13

WESTERN AUSTRALIA

Auction Sales Act 1973

FORM OF ORDER OF TRANSFER TO BE ENDORSED ON LICENCE

I,Magistrate ⁴
at, having heard an application
by,
of, for an order
transferring licence number
issued at the day of
20 to him, and being satisfied that the licensee (or the
legal personal representative of the licensee) has consented to the application, and that
the applicant is a fit and proper person to be the holder of a licence under the Auction
Sales Act 1973, do hereby order the licence to be transferred, and the same is hereby
transferred to the said,
who shall henceforth have the sole right to use and exercise the same, subject to the Act.
Dated the, 20
•
······································

Magistrate⁴.

[Form 14 deleted in Gazette 22 Nov 1974 p. 5101.]

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Form 15							
	WESTERN AUSTRALIA						
day of							
- J F · · · · · · · · · · · · · · · · · · ·				ocation			
Pen	Vendor, Address	Quantity	Description	Identifier	Price		Purchaser, Address or How Disposed of

Licensed Auctioneer.

[Form 15 amended in Gazette 5 Feb 2013 p. 833.]

page 24

Notes

1

This reprint is a compilation as at 7 November 2014 of the *Auction Sales Regulations 1974* and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

Citation	Gazettal	Commencement
Auction Sales Act Regulations 1974 ⁵	13 Sep 1974 p. 3426-38	13 Sep 1974
Untitled regulations	22 Nov 1974 p. 5101	22 Nov 1974
Untitled regulations	6 Oct 1978 p. 3633-4	6 Oct 1978
Auction Sales Amendment Regulations 1983	28 Oct 1983 p. 4372	1 Nov 1983 (see r. 2)
Auction Sales Amendment Regulations 1986	29 Aug 1986 p. 3204-5	1 Sep 1986 (see r. 2)
Auction Sales Amendment Regulations 1988	5 Aug 1988 p. 2629	5 Aug 1988
Auction Sales Amendment Regulations 1989	30 Jun 1989 p. 1976	1 Jul 1989 (see r. 2)
Auction Sales Amendment Regulations 1990	1 Aug 1990 p. 3658	1 Aug 1990
Auction Sales Amendment Regulations 1991	13 Dec 1991 p. 6156	13 Dec 1991
Auction Sales Amendment Regulations 1992	14 Aug 1992 p. 4016-17	14 Aug 1992
Reprint of the <i>Auction Sales Regulati</i> listed above)	<i>ions 1974</i> as at 8	Sep 2000 (includes amendments
Auction Sales Amendment Regulations 2013	5 Feb 2013 p. 832-3	r. 1 and 2: 5 Feb 2013 (see r. 2(a)); Regulations other than r. 1 and 2 1 May 2013 (see r. 2(b)(i)) and <i>Gazette</i> 5 Feb 2013 p. 823)
Auction Sales Amendment Regulations (No. 2) 2013	27 Jun 2013 p. 2661-2	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2 1 Jul 2013 (see r. 2(b))

Compilation table

As at 01 Jul 2015 Version 02-b0-01 Extract from www.slp.wa.gov.au, see that website for further information

Citation	Gazettal	Commencement
Auction Sales Amendment Regulations 2014	17 Jun 2014 p. 1956	r. 1 and 2: 17 Jun 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2014 (see r. 2(b))
Reprint 2: The <i>Auction Sales Reg</i> listed above)	<i>gulations 1974</i> as at 7	Nov 2014 (includes amendments
Auction Sales Amendment Regulations 2015	23 Jun 2015 p. 2160-1	r. 1 and 2: 23 Jun 2015 (see r. 2(a)); Regulations other than r. 1 and 2:

reference in a written law to the clerk of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the registrar of the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
 ³ Under the Courte Legislation Amendment and Repeal Act 2004 s. 58 a reference

³ Under the *Courts Legislation Amendment and Repeal Act 2004* s. 58, a reference in a written law to the court of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).

⁴ Under the *Courts Legislation Amendment and Repeal Act 2004* s. 15(7), a reference in a written law to a stipendiary magistrate is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to a magistrate. The reference was changed under the *Reprints Act 1984* s. 7(5)(a).

⁵ Now known as the *Auction Sales Regulations 1974*; name changed (see note under r. 1).

page 26

Defined terms

Defined terms

[This is a list of terms defined and the provisions where they are defined. The list is not part of the law.]

Defined term	Provision(s)
Act	1(2)

As at 01 Jul 2015 Version 02-b0-01 page 27 Extract from www.slp.wa.gov.au, see that website for further information