

Auction Sales Act 1973

## **Auction Sales Regulations 1974**

#### Western Australia

## **Auction Sales Regulations 1974**

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#### Western Australia

#### Auction Sales Act 1973

## **Auction Sales Regulations 1974**

#### 1. Citation

- (1) These regulations may be cited as the *Auction Sales Regulations 1974* <sup>1</sup>.
- (2) In these regulations the *Auction Sales Act 1973* is referred to as the *Act*.

[Regulation 1 amended in Gazette 29 Aug 1986 p. 3205.]

#### 2. Forms

The forms prescribed for the purposes of the Act are set out in the Schedule.

### 3. Applications

(1) The application forms referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column, and the fee payable for the purpose shall be at the rate specified in the third column.

#### Application forms and fees payable

Form No.	Purpose	Fee
1	General licence for a period of —	
	• 1 year	• \$179.00

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Extract from www.slp.wa.gov.au, see that website for further information

Form No.	Purpose	Fee
	• 2 years	• \$358.00
	• 3 years	• \$537.00
2	Restricted licence for a period of —	
	• 1 year	• \$179.00
	• 2 years	• \$358.00
	• 3 years	• \$537.00
3	Occasional licence	\$66.15 per licence
4	Interim licence	\$15.25 per month or part of a month
5	Provisional licence	\$15.25 per month or part of a month
6	Duplicate licence	\$1.70
7	Transfer of licence	\$50.40

- Copies of the application forms required may be obtained, free (2) of charge, from the registrar of the Magistrates Court <sup>2,3</sup>.
- The forms of licence referred to in the first column of the table (3) hereunder shall be used for the purposes respectively specified in relation thereto in the second column.

#### Licences

Form No.	Purpose
8	General licence.
9	Restricted licence.
10	Occasional licence

- 11 Interim licence.
- 12 Provisional licence.
- (4) The form of transfer of a licence shall be in Form 13 and shall be endorsed on the original licence to which it relates.

[Regulation 3 amended in Gazette 28 Oct 1983 p. 4372; 29 Aug 1986 p. 3205; 5 Aug 1988 p. 2629; 30 Jun 1989 p. 1976; 1 Aug 1990 p. 3658; 13 Dec 1991 p. 6156; 14 Aug 1992 p. 4016-7; 27 Jun 2013 p. 2662; 17 Jun 2014 p. 1956; 23 Jun 2015 p. 2161; 3 Jun 2016 p. 1751; 23 Jun 2017 p. 3221; 27 Jun 2017 p. 3408-9.]

#### 4. Renewals

- (1A) In addition to the fee payable under subregulation (1) on the renewal of a licence, the fee payable for an application for the renewal made after, but within 3 months of, the date on which the licence expires is 25% of the appropriate licence fee.
  - (1) The fee payable on the renewal of a licence shall, subject to apportionment in respect of periods of less than 12 months, be the fee payable on application for that kind of licence as set out in the table to regulation 3(1).
  - (2) A licence shall not be renewable as of right.
  - (3) An application for the renewal of a licence shall be made in the same manner as an application for the grant of a licence, save that the applicant is not required to lodge character testimonials.

[Regulation 4 amended in Gazette 6 Oct 1978 p. 3634; 27 Jun 2017 p. 3409.]

#### 5. Method of application

- [(1) deleted]
- (2) Where the application is for the transfer of a licence the application shall be made in Form 7 by the proposed transferee and shall be endorsed with the consent of the proposed

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transferor and on behalf of the firm or corporation (if any) named in the original licence as that for the benefit of which the licence is to be used.

[Regulation 5 amended in Gazette 27 Jun 2017 p. 3409.]

#### [6, 7. Deleted in Gazette 27 Jun 2017 p. 3409.]

#### 8. Police inquiries

On receipt of a copy of the application for the grant, renewal or transfer of a licence from the registrar of the Magistrates Court <sup>2, 3</sup>, the senior police officer stationed within the police district shall —

- (a) inquire as to the character and suitability of the applicant; and
- (b) inquire as to the fitness and repute of the persons responsible for the management of that firm or corporation where the licence is sought for the benefit of a firm or corporation; and
- (c) inquire as to the circumstances and the likelihood of the occasion occurring, where an occasional licence is sought; and
- (d) inquire as to the genuineness of the incapacity by a medical certificate or other evidence, where an interim licence is sought; and
- (e) report in writing to the court the result of his inquiries.

#### 8A. Prescribed period for general or restricted licence

For the purposes of section 19(2A)(b) of the Act, the prescribed period for a general or restricted licence is 3 years.

[Regulation 8A inserted in Gazette 27 Jun 2017 p. 3409.]

#### 8B. Prescribed period for renewed general or restricted licence

(1) In this regulation —

- transition period means the period commencing on the day on which the Licensing Provisions Amendment Act 2016 section 8 comes into operation and ending 12 months after that day.
- For the purposes of section 19(2B) of the Act, the prescribed (2) period for a general or restricted licence that is renewed is 3 years.
- (3) However, if an application for renewal of a general licence or a restricted licence is made during the transition period, the prescribed period for the renewed licence is as follows
  - for a licence that expires between 1 January and 30 April — a period of 3 years;
  - for a licence that expires between 1 May and (b) 31 August —a period of 2 years;
  - for a licence that expires between 1 September and 31 December —a period of 1 year.

[Regulation 8B inserted in Gazette 27 Jun 2017 p. 3409-10.]

#### 8C. Refund of prescribed fee if licence surrendered

In this regulation — (1)

> surrendered licence means a general licence or a restricted licence that is surrendered under section 21(1) of the Act.

(2) The registrar of the Magistrates Court must, on application by a person who was the holder of a surrendered licensee, refund to the person an amount of the prescribed fee paid for the licence that, in the registrar's opinion, relates to the period between the day the licence is surrendered and the day the licence would have expired.

[Regulation 8C inserted in Gazette 27 Jun 2017 p. 3410.]

#### 9. Stock register

The register to be kept for the purposes of section 30 of the Act (1) shall be in Form 15.

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- (2) On the same day as a sale of stock is conducted, the auctioneer shall cause to be entered in the register the following particulars as a separate entry for each lot of animals offered for sale
  - (a) the number of the pen where the animals were held when auctioned; and
  - (b) the name and address or identifying code of the vendor of the animals so auctioned; and
  - (c) the quantity of animals auctioned in that lot; and
  - (d) a description of the breed and type of animal auctioned; and
  - (e) the identifier applied to the animals under the *Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013*; and
  - (f) if sold by auction, the price per head of each animal when knocked down to the purchaser, and the name and address or identifying code of the purchaser of the animal; and
  - (g) if passed in or sold by private treaty, a description of the means of disposal and the name and address of any purchaser.
- (3) The auctioneer conducting the sale shall append his signature in the place provided, signifying that the particulars contained in that sheet are, to the best of his knowledge, accurate particulars of all stock offered for sale by him.
- (4) Where any alteration to particulars entered on the register appears, the auctioneer shall initial each alteration as being correct.

[Regulation 9 amended in Gazette 5 Feb 2013 p. 833.]

#### 10. Waybills

A person who sells by auction or submits for sale by auction any stock, in relation to which a waybill issued under the

Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013 is not furnished, commits an offence.

[Regulation 10 amended in Gazette 5 Feb 2013 p. 833.]

### 11. Misrepresentation

A person who wilfully makes any representation or statement which is false or misleading in a material particular in relation to any application, objection, register or other matter or proceeding under the Act or these regulations commits an offence and is liable to the penalties provided by section 35 of the Act.

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### **Schedule**

#### Form 1

### WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A CENERAL LICENCE

AFFLICATION FOR A GENERAL LICENCE
To the Magistrate <sup>4</sup> at
now residing at
and having attained the age of 18 years, do hereby apply for the * renewal/grant of a general licence to act as and carry on the business of, an auctioneer throughout the State in relation to all classes of business to which the <i>Auction Sales Act 1973</i> applies * (for the benefit of
of,
a * firm/corporation of which I am an * employee/partner/Director, which consents to this application).
Dated the, 20
Signature of Applicant.
* Strike out whichever not required.
† Consents to this Application.
Authorised person for
firm or corporation.
† Insert name of firm or corporation.
The application will be heard before the
Registrar of the Magistrates Court <sup>2</sup> .
A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

### Form 2 WESTERN AUSTRALIA Auction Sales Act 1973

#### APPLICATION FOR A RESTRICTED LICENCE

To the Magistrate <sup>4</sup> at
in relation to the sale of (2)
a * firm/corporation of which I am an * employee/partner/Director and which consents to this application).
Dated the
Signature of Applicant.  (1) Describe Police Dist. (2) Describe Class of Business. (3) Describe Time Periods.  * Strike out whichever not required.
†
Authorised person for firm or corporation.
The application will be heard before the
Registrar of the Magistrates Court <sup>2</sup> .
A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

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## Form 3 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR AN OCCASIONAL LICENCE

To the Magistrate <sup>4</sup> at
of
* I am/am not the holder of a (5)
which * have/have not consented to the making of this application).
Dated the, 20,
Signature of Applicant.
* Strike out whichever not required.
<ol> <li>Insert period of licence.</li> <li>Describe place of sale.</li> <li>Describe class of business.</li> <li>Describe reasons for application.</li> <li>Describe kind of licence.</li> </ol>
†
Consents to this Application.
Authorised person for firm or corporation.
† Insert name of firm or corporation.

#### **Auction Sales Regulations 1974 Schedule**

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Court at	on	the	day
		, at	-
	noon.		
	noon.		

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

### Form 4 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR AN INTERIM LICENCE

To the Magistrate <sup>4</sup> at
* I (1)
* the * firm/corporation for the benefit of which the licence was granted
* a person acting on behalf and with the consent of the parties named in the licence
of
hereby apply for the suspension of the (2)
licence No granted to (3)
and for the grant of an interim licence in accordance with section 14 of the Auction
Sales Act 1973 in favour of (4)
now residing at
and carrying on business at
for the period from the day of to the
day of in relation to the sale of (5) on the
grounds that (3)
will be incapacitated by reason of (6)
Dated the, 20,
Signature of Applicant.
* Strike out whichever not required.
(1) Name of auctioneer, firm, corporation or proposed licensee making the application.
(2) Describe kind of licence.
(3) Name of auctioneer.
(4) Name of proposed licensee.
<ul><li>(5) Describe class of business.</li><li>(6) Describe reason for incapacity.</li></ul>
†
Consents to this Application.
Authorised person for
firm or corporation.
•
† Insert name of firm or corporation.

# Auction Sales Regulations 1974 Schedule

Form 4

			day of
			o'clock in the
no	*	,	
		F	Registrar of the Magistrates Court <sup>2</sup> .

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

## Form 5 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A PROVISIONAL LICENCE

* the * firm/corporation for the	he benefit of which the lie	
hereby apply for the grant of <i>Act 1973</i> in favour of (2)	a provisional licence und	er section 15 of the Auction Sales
		nder the direct supervision and
		£
to the	day of	y of, in relation to the sale
` '	day of	, 20
		Signature of Applicant.
		-
		current until the
of		
a * firm/corporation of which to this application am aware a default of the holder of the pa	n I am an * employee/part that I am personally liable rovisional licence in the s	e and responsible for any act or
		, 20
	•	, 20
		Signature of Auctioneer.
* Strike out whichever not required.		
<ul><li>Name of proposed trainee.</li><li>Name of auctioneer.</li></ul>	r corporation making the application	ation.
<ul><li>(4) Describe class of business.</li><li>(5) Describe kind of licence.</li></ul>		
2) Describe kind of ficelice.		

# Auction Sales Regulations 1974 Schedule

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†	
	Authorised person for firm or corporation.
† Insert name of firm or corporation.	
The application will be heard before the	
	theday
of, 20	, ato'clock in
the noon.	
	Registrar of the Magistrates Court <sup>2</sup> .

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

## Form 6 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A DUPLICATE LICENCE

To the Magistrate 4 a	t	
and carrying on busing	ness at	
do hereby apply for a	a duplicate of my (1)	ued to me at the
		,20
•		estroyed under the following circumstances
		, 20
	•	Signature of Applicant.

(1) Describe kind of licence.

## Form 7 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION TO TRANSFER A LICENCE

To the Magistrate <sup>4</sup> at
now residing at
and having attained the age of 18 years, do hereby apply for the transfer to me of the
Licence, number issued at the Court on the day of
(to be used by me for the benefit of
Dated the
Signature of Applicant.
* Strike out if not required.
†the proposed transferor of the licence consents to this Application.
Signature of transferor.
† Insert name of transferor.
†for the benefit of which the licence was issued, consent to this Application.
Authorised person for firm or corporation.
† Insert name of firm or corporation.
‡ for the benefit of which the transferred licence is to be used, consent to this Application.
Authorised person for firm or corporation.
‡ Insert name of firm or corporation.

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#### Auction Sales Regulations 1974 Schedule

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The application will	be heard before the		
Court at		on the	day
of	, 20	, at	o'clock in the
	noon.		
		Registra	r of the Magistrates Court <sup>2</sup> .

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

## Form 8 WESTERN AUSTRALIA

Auction 1	Sales Act 1973
FEE \$	No
GENERA	AL LICENCE
residing at	
* (for the benefit of	employee/partner/Director), is hereby mitations or restrictions endorsed hereon — to actioneer throughout the State in relation to all Sales Act 1973 applies, from the date hereof, day of
	of, 20
Fee \$ noid Data	Magistrate <sup>4</sup> / Receipt No
ree ψpaid. Date	/ Receipt 140.
	Registrar of the Magistrates Court <sup>2</sup> .

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<sup>\*</sup> Strike out if not applicable.

## Form 9 WESTERN AUSTRALIA

Auction Sales Act 1973
FEE \$ No
RESTRICTED LICENCE
This is to certify thatesiding at
ind carrying on business at
a * firm/corporation of whom he is an * employee/partner/Director), is hereby icensed, subject to the conditions, limitations or restrictions endorsed hereon to act as, and carry on the business of, an auctioneer in accordance with the <i>Auction Sales Act 1973</i> , from the date hereof, until the
of
ssued this
Magistrate <sup>4</sup> Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court <sup>2</sup>

<sup>\*</sup> Strike out if not applicable.

Registrar of the Magistrates Court<sup>2</sup>.

## Form 10 WESTERN AUSTRALIA

Auction Sales Act 1973

Tucion 5	ares fice 17/5
FEE \$	No
OCCASION	NAL LICENCE
and carrying on business at	
· ·	
a * firm/corporation of whom he is an * er as an auctioneer in accordance with the Au occasion and circumstances specified here or restrictions endorsed herein, from the	mployee/partner/Director), is hereby licensed uction Sales Act 1973, in relation to the in, but subject to such conditions, limitations day of
Conditions, limitations or restrictions appl	icable
	Magistrate <sup>4</sup> .
Fee \$ paid. Date	// Receipt No

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Extract from www.slp.wa.gov.au, see that website for further information

<sup>\*</sup> Strike out if inapplicable.

## Form 11 WESTERN AUSTRALIA

Auction Sales Act 1973

Писноп	Duies Act 17/3
FEE \$	No
INTER	IM LICENCE
residing at	
is hereby authorised under the <i>Auction S</i> and to act as, and carry on the business of	ales Act 1973 to sell by auction on behalf of,
whose †	licence would otherwise be current until
* (for the benefit of	
This licence applies from the	day of, 20, of, 20, neld by
	onditions, limitations or restrictions
	f, 20at
	Magistrate <sup>4</sup>
† Describe kind of licence. Fee \$ paid. Date .	/ Receipt No
	Registrar of the Magistrates Court <sup>2</sup>
* Strike out if inapplicable	

## Form 12 WESTERN AUSTRALIA

Auction Sales Act 1973

110000000000	
FEE \$	No
PROVISION	AL LICENCE
residing at	s Act 1973 to act as an auctioneer under the
ofthe holder of a †	licence issued for the benefit of
of	day of
Issued this day of	mitations or restrictions, 20
at the	Magistrate <sup>4</sup> .
·	// Receipt No
	Registrar of the Magistrates Court <sup>2</sup> .

Period of this licence not to exceed 2 months.

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#### Form 13

#### WESTERN AUSTRALIA

Auction Sales Act 1973

#### FORM OF ORDER OF TRANSFER TO BE ENDORSED ON LICENCE

	Magistrate <sup>4</sup>
at	, having heard an application
	,
of	, for an order
	day
	to him, and being satisfied that the licensee (or
<b>O</b> 1	we of the licensee) has consented to the application, and roper person to be the holder of a licence under the
1.1	by order the licence to be transferred, and the same is
	eby order the ficence to be transferred, and the same is
	, who shall henceforth exercise the same, subject to the Act.
· ·	•
Dated the	day of, 20
	Magistrate <sup>4</sup> .

[Form 14 deleted in Gazette 22 Nov 1974 p. 5101.]

							Form 15
			Fo	rm 15			
WESTERN AUSTRALIA							
	•••••	•••••		day of .		• • • • • • • • • • • • • • • • • • • •	, 20
Type of	Stock		Auction Sc	ales Act 197	73		
REGISTER Location			cation				
Pen	Vendor, Address	Quantity	Description	Identifier	Price		Purchaser, Address or How Disposed of
				•••••		•••••	T :
							Licensed Auctioneer.

[Form 15 amended in Gazette 5 Feb 2013 p. 833.]

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#### **Notes**

This is a compilation of the Auction Sales Regulations 1974 and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

### **Compilation table**

Citation	Gazettal	Commencement
Auction Sales Act Regulations 1974 <sup>5</sup>	13 Sep 1974 p. 3426-38	13 Sep 1974
Untitled regulations	22 Nov 1974 p. 5101	22 Nov 1974
Untitled regulations	6 Oct 1978 p. 3633-4	6 Oct 1978
Auction Sales Amendment Regulations 1983	28 Oct 1983 p. 4372	1 Nov 1983 (see r. 2)
Auction Sales Amendment Regulations 1986	29 Aug 1986 p. 3204-5	1 Sep 1986 (see r. 2)
Auction Sales Amendment Regulations 1988	5 Aug 1988 p. 2629	5 Aug 1988
Auction Sales Amendment Regulations 1989	30 Jun 1989 p. 1976	1 Jul 1989 (see r. 2)
Auction Sales Amendment Regulations 1990	1 Aug 1990 p. 3658	1 Aug 1990
Auction Sales Amendment Regulations 1991	13 Dec 1991 p. 6156	13 Dec 1991
Auction Sales Amendment Regulations 1992	14 Aug 1992 p. 4016-17	14 Aug 1992
Reprint of the Auction Sales Regulat.	<i>ions 1974</i> as at 8	Sep 2000 (includes amendments

## listed above)

Auction Sales Amendment Regulations 2013	5 Feb 2013 p. 832-3	r. 1 and 2: 5 Feb 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 May 2013 (see r. 2(b)(i)) and Gazette 5 Feb 2013 p. 823)
Auction Sales Amendment Regulations (No. 2) 2013	27 Jun 2013 p. 2661-2	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2013 (see r. 2(b))

1 Jul 2017 (see r. 2(b))

Citation	Gazettal	Commencement
Auction Sales Amendment Regulations 2014	17 Jun 2014 p. 1956	r. 1 and 2: 17 Jun 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2014 (see r. 2(b))
<b>Reprint 2: The </b> <i>Auction Sales Regulation</i> listed above)	ons 1974 as at 7	Nov 2014 (includes amendments
Auction Sales Amendment Regulations 2015	23 Jun 2015 p. 2160-1	r. 1 and 2: 23 Jun 2015 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2015 (see r. 2(b))
Commerce Regulations Amendment (Fees and Charges) Regulations 2016 Pt. 2	3 Jun 2016 p. 1745-73	1 Jul 2016 (see r. 2(b))
Commerce Regulations Amendment (Fees and Charges) Regulations 2017 Pt. 3	23 Jun 2017 p. 3213-52	1 Jul 2017 (see r. 2(b))

2 Under the Courts Legislation Amendment and Repeal Act 2004 s. 54(2), a reference in a written law to the clerk of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the registrar of the Magistrates Court. The reference was amended under the Reprints Act 1984 s. 7(5)(a).

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p. 3408-16

Licensing Provisions Regulations Amendment Regulations 2017 Pt. 2

- Under the Courts Legislation Amendment and Repeal Act 2004 s. 58, a reference in a written law to the court of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the Magistrates Court. The reference was amended under the Reprints Act 1984 s. 7(5)(a).
- Under the Courts Legislation Amendment and Repeal Act 2004 s. 15(7), a reference in a written law to a stipendiary magistrate is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to a magistrate. The reference was changed under the Reprints Act 1984 s. 7(5)(a).
- Now known as the Auction Sales Regulations 1974; name changed (see note under r. 1).

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## **Defined terms**

[This is a list of terms defined and the provisions where they are defined.

The list is not part of the law.]

Defined term	Provision(s)
Act	
surrendered licence	8C(1)
transition period	8B(1)