

CE301\*

Finance Brokers Control Act 1975

## **Finance Brokers Control (General) Amendment Regulations (No. 3) 2007**

Made by the Governor in Executive Council.

### **1. Citation**

These regulations are the *Finance Brokers Control (General) Amendment Regulations (No. 3) 2007*.

### **2. The regulations amended**

The amendments in these regulations are to the *Finance Brokers Control (General) Regulations 2005*\*.

[\* *Published in Gazette 14 October 2005, p. 4585-613.*  
*For amendments to 12 March 2007 see Gazette 10 February, 5 May and 27 June 2006 and 5 January and 2 February 2007.*]

### **3. Regulation 6 amended**

- (1) Regulation 6(3)(f) is amended as follows:
  - (a) after subparagraphs (i) and (ii) by inserting “and”;
  - (b) after subparagraph (iii) by deleting “and”;
  - (c) by deleting subparagraph (iv).
- (2) Regulation 6(3) is amended after paragraph (f) by inserting the following paragraph —
  - “
  - (g) a current copy of the relevant professional indemnity insurance under which the applicant is covered.
  - ”

**4. Regulation 7 amended**

Regulation 7(3)(h)(iv) is amended by inserting after “a” —  
“ current ”.

**5. Regulation 8 amended**

Regulation 8(3)(f)(iv) is amended by inserting after “a” —  
“ current ”.

**6. Regulation 9 amended**

Regulation 9(1)(b) is amended by deleting “28 days” and  
inserting instead —  
“ 3 months ”.

**7. Regulation 17 amended**

Regulation 17(2) is amended in the Table to that subregulation  
in item 2 by inserting after “Diploma of Mortgage Lending” —  
“ or a Diploma of Lending ”.

By Command of the Governor,

G. M. PIKE, Clerk of the Executive Council.

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