

Auction Sales Act 1973

# **Auction Sales Regulations 1974**

#### Western Australia

# **Auction Sales Regulations 1974**

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#### Western Australia

#### Auction Sales Act 1973

# **Auction Sales Regulations 1974**

#### 1. Citation

- (1) These regulations may be cited as the *Auction Sales Regulations 1974* <sup>1</sup>.
- (2) In these regulations the *Auction Sales Act 1973* is referred to as the *Act*.

[Regulation 1 amended: Gazette 29 Aug 1986 p. 3205.]

#### 2. Forms

The forms prescribed for the purposes of the Act are set out in the Schedule.

#### 3. Applications

(1) The application forms referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column, and the fee payable for the purpose shall be at the rate specified in the third column.

#### Application forms and fees payable

Form No.	Purpose	Fee
1	General licence for a period of —	
	• 1 year	• \$187.95

Form No.	Purpose	Fee
	• 2 years	• \$375.90
	• 3 years	• \$563.85
2	Restricted licence for a period of —	
	• 1 year	• \$187.95
	• 2 years	• \$375.90
	• 3 years	• \$563.85
3	Occasional licence	\$66.15 per licence
4	Interim licence	\$15.25 per month or part of a month
5	Provisional licence	\$15.25 per month or part of a month
6	Duplicate licence	\$1.70
7	Transfer of licence	\$52.90

- (2) Copies of the application forms required may be obtained, free of charge, from the registrar of the Magistrates Court <sup>2, 3</sup>.
- (3) The forms of licence referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column.

#### Licences

Form No.	Purpose
8	General licence.
9	Restricted licence.
10	Occasional licence.

- 11 Interim licence.
- 12 Provisional licence.
- (4) The form of transfer of a licence shall be in Form 13 and shall be endorsed on the original licence to which it relates.

[Regulation 3 amended: Gazette 28 Oct 1983 p. 4372; 29 Aug 1986 p. 3205; 5 Aug 1988 p. 2629; 30 Jun 1989 p. 1976; 1 Aug 1990 p. 3658; 13 Dec 1991 p. 6156; 14 Aug 1992 p. 4016-7; 27 Jun 2013 p. 2662; 17 Jun 2014 p. 1956; 23 Jun 2015 p. 2161; 3 Jun 2016 p. 1751; 23 Jun 2017 p. 3221; 27 Jun 2017 p. 3408-9; 25 Jun 2018 p. 2329.]

#### 4. Renewals

- (1A) In addition to the fee payable under subregulation (1) on the renewal of a licence, the fee payable for an application for the renewal made after, but within 3 months of, the date on which the licence expires is 25% of the appropriate licence fee.
  - (1) The fee payable on the renewal of a licence shall, subject to apportionment in respect of periods of less than 12 months, be the fee payable on application for that kind of licence as set out in the table to regulation 3(1).
  - (2) A licence shall not be renewable as of right.
  - (3) An application for the renewal of a licence shall be made in the same manner as an application for the grant of a licence, save that the applicant is not required to lodge character testimonials.

[Regulation 4 amended: Gazette 6 Oct 1978 p. 3634; 27 Jun 2017 p. 3409.]

#### 5. Method of application

- [(1) deleted]
- (2) Where the application is for the transfer of a licence the application shall be made in Form 7 by the proposed transferee and shall be endorsed with the consent of the proposed

transferor and on behalf of the firm or corporation (if any) named in the original licence as that for the benefit of which the licence is to be used.

[Regulation 5 amended: Gazette 27 Jun 2017 p. 3409.]

#### [6, 7. Deleted: Gazette 27 Jun 2017 p. 3409.]

#### 8. Police inquiries

On receipt of a copy of the application for the grant, renewal or transfer of a licence from the registrar of the Magistrates Court <sup>2, 3</sup>, the senior police officer stationed within the police district shall —

- (a) inquire as to the character and suitability of the applicant; and
- (b) inquire as to the fitness and repute of the persons responsible for the management of that firm or corporation where the licence is sought for the benefit of a firm or corporation; and
- (c) inquire as to the circumstances and the likelihood of the occasion occurring, where an occasional licence is sought; and
- (d) inquire as to the genuineness of the incapacity by a medical certificate or other evidence, where an interim licence is sought; and
- (e) report in writing to the court the result of his inquiries.

#### 8A. Prescribed period for general or restricted licence

For the purposes of section 19(2A)(b) of the Act, the prescribed period for a general or restricted licence is 3 years.

[Regulation 8A inserted: Gazette 27 Jun 2017 p. 3409.]

#### 8B. Prescribed period for renewed general or restricted licence

(1) In this regulation —

- *transition period* means the period commencing on the day on which the *Licensing Provisions Amendment Act 2016* section 8 comes into operation and ending 12 months after that day.
- (2) For the purposes of section 19(2B) of the Act, the prescribed period for a general or restricted licence that is renewed is 3 years.
- (3) However, if an application for renewal of a general licence or a restricted licence is made during the transition period, the prescribed period for the renewed licence is as follows
  - (a) for a licence that expires between 1 January and 30 April a period of 3 years;
  - (b) for a licence that expires between 1 May and 31 August —a period of 2 years;
  - (c) for a licence that expires between 1 September and 31 December —a period of 1 year.

[Regulation 8B inserted: Gazette 27 Jun 2017 p. 3409-10.]

#### 8C. Refund of prescribed fee if licence surrendered

(1) In this regulation —

*surrendered licence* means a general licence or a restricted licence that is surrendered under section 21(1) of the Act.

(2) The registrar of the Magistrates Court must, on application by a person who was the holder of a surrendered licensee, refund to the person an amount of the prescribed fee paid for the licence that, in the registrar's opinion, relates to the period between the day the licence is surrendered and the day the licence would have expired.

[Regulation 8C inserted: Gazette 27 Jun 2017 p. 3410.]

### 9. Stock register

(1) The register to be kept for the purposes of section 30 of the Act shall be in Form 15.

- On the same day as a sale of stock is conducted, the auctioneer shall cause to be entered in the register the following particulars as a separate entry for each lot of animals offered for sale
  - the number of the pen where the animals were held when auctioned: and
  - (b) the name and address or identifying code of the vendor of the animals so auctioned; and
  - the quantity of animals auctioned in that lot; and
  - a description of the breed and type of animal auctioned; (d) and
  - (e) the identifier applied to the animals under the Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013; and
  - if sold by auction, the price per head of each animal when knocked down to the purchaser, and the name and address or identifying code of the purchaser of the animal: and
  - if passed in or sold by private treaty, a description of the (g) means of disposal and the name and address of any purchaser.
- (3) The auctioneer conducting the sale shall append his signature in the place provided, signifying that the particulars contained in that sheet are, to the best of his knowledge, accurate particulars of all stock offered for sale by him.
- (4) Where any alteration to particulars entered on the register appears, the auctioneer shall initial each alteration as being correct.

[Regulation 9 amended: Gazette 5 Feb 2013 p. 833.]

#### 10. Waybills

A person who sells by auction or submits for sale by auction any stock, in relation to which a waybill issued under the

Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013 is not furnished, commits an offence.

[Regulation 10 amended: Gazette 5 Feb 2013 p. 833.]

#### 11. Misrepresentation

A person who wilfully makes any representation or statement which is false or misleading in a material particular in relation to any application, objection, register or other matter or proceeding under the Act or these regulations commits an offence and is liable to the penalties provided by section 35 of the Act.

### **Schedule**

### Form 1

# WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A CENERAL LICENCE

AFF	LICATION FOR A GENERAL LICENCE
To the Magistrate 4 at	
I,	
now residing at	
	ess at
and having attained th	e age of 18 years, do hereby apply for the * renewal/grant of a
	as and carry on the business of, an auctioneer throughout the State
	es of business to which the Auction Sales Act 1973 applies * (for
	,
this application).	f which I am an * employee/partner/Director, which consents to
Dated the	day of, 20
	Signature of Applicant.
* Strike out whichever not	required.
† Consents to this	
	Audi aria di arra a far
	Authorised person for firm or corporation.
† Insert name of firm or co	
	be heard before the
	on the day of
	o'clock in the noon.
	Registrar of the Magistrates Court <sup>2</sup> .
above Court and serve	object to this application should lodge with the registrar <sup>2</sup> of the e on the applicant or his authorised representative, at least 7 days need for the hearing, a notice stating the grounds of the objection.
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### Form 2 WESTERN AUSTRALIA Auction Sales Act 1973

#### APPLICATION FOR A RESTRICTED LICENCE

To the Magistrate <sup>4</sup> at
I,
now residing at
and carrying on business at
and having attained the age of 18 years, do hereby apply for the * grant/renewal of a restricted licence to act as and carry on the business of, an auctioneer in (1)
in relation to the sale of (2)
and during the times stated herein, (3)
* (for the benefit of
of
a * firm/corporation of which I am an * employee/partner/Director and which consents to this application).
Dated the
Signature of Applicant.
(1) Describe Police Dist.
(2) Describe Class of Business.
(3) Describe Time Periods.
* Strike out whichever not required.
† Consents to this Application.
Authorised person for
£
firm or corporation.
† Insert name of firm or corporation.
† Insert name of firm or corporation.  The application will be heard before the
† Insert name of firm or corporation.  The application will be heard before the
† Insert name of firm or corporation.  The application will be heard before the
† Insert name of firm or corporation.  The application will be heard before the
† Insert name of firm or corporation.  The application will be heard before the
† Insert name of firm or corporation.  The application will be heard before the

# Form 3 WESTERN AUSTRALIA

Auction Sales Act 1973

### APPLICATION FOR AN OCCASIONAL LICENCE

now residing atand carrying on business at	o hereby apply for the grant of an occasional
licence in accordance with section 13 of the auctioneer * (for the benefit of	
a * firm/corporation of which I am an * en	mployee/partner/Director and which consents to
District in relation to the sale of (3)	Police
	following (4)
	licence under the Auction Sales
* (granted to be used for the benefit of	
which * have/have not consented to the m	aking of this application).
	, 20
	Signature of Applicant.
* Strike out whichever not required.	
<ol> <li>Insert period of licence.</li> <li>Describe place of sale.</li> <li>Describe class of business.</li> </ol>	
(4) Describe reasons for application.	
(5) Describe kind of licence.	
†	
	A - 41
	Authorised person for firm or corporation.
† Insert name of firm or corporation.	

#### **Auction Sales Regulations 1974 Schedule**

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		day
		o'clock in the
 . noon.	•	
	Registra	ar of the Magistrates Court <sup>2</sup>

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

# Form 4 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR AN INTERIM LICENCE

	e Magistrate <sup>4</sup> at
* I (1)	)
* the	* firm/corporation for the benefit of which the licence was granted
	erson acting on behalf and with the consent of the parties named in the licence
_	-
	y apply for the suspension of the (2)
	e No. granted to (3)
	or the grant of an interim licence in accordance with section 14 of the <i>Auction</i>
	Act 1973 in favour of (4)
	esiding at
	arrying on business at
	e period from the day of to the
	f in relation to the sale of (5) on the
groun	ds that (3)
will b	e incapacitated by reason of (6)
Dated	the, 20,
	G:t
	Signature of Applicant.
	e out whichever not required.
(1) (2)	Name of auctioneer, firm, corporation or proposed licensee making the application.  Describe kind of licence.
(3)	Name of auctioneer.
(4)	Name of proposed licensee.
(5)	Describe class of business.
(6)	Describe reason for incapacity.
†	
1	Consents to this Application.
	• •
	Authorized person for
	Authorised person for
	firm or corporation.
† Inser	t name of firm or corporation.

# Auction Sales Regulations 1974 Schedule

			Form 4
The application will b	e heard before the		
			day of
			o'clock in the
		Registra	of the Magistrates Court <sup>2</sup> .

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

# Form 5 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A PROVISIONAL LICENCE

Γο the Magistrate <sup>4</sup> at* * I (1)	
* the * firm/corporation for the benefit of which the	licence was granted
ofhereby apply for the grant of a provisional licence u  4ct 1973 in favour of (2)	nder section 15 of the Auction Sales
instruction of (3) to act as an auctioneer	under the direct supervision and
for the period from the	
to the day of	
Dated the day of	
	Signature of Applicant.
	_
carrying on business atlicence No	current until the
* (for the benefit of	
a * firm/corporation of which I am an * employee/p to this application am aware that I am personally lia default of the holder of the provisional licence in the extent that I would be liable had such act been done	artner/Director and which consents ble and responsible for any act or e same manner and to the same
Dated the day of	, 20
	Signature of Auctioneer.
* Strike out whichever not required.	
<ol> <li>Name of auctioneer, firm or corporation making the app</li> <li>Name of proposed trainee.</li> <li>Name of auctioneer.</li> <li>Describe class of business.</li> </ol>	lication.
(5) Describe kind of licence.	

#### **Auction Sales Regulations 1974 Schedule**

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†	
consents to this rippirousion.	
	Authorised person for firm or corporation.
† Insert name of firm or corporation.	
The application will be heard before the Court aton the	eday
of, 20 the noon.	, ato'clock in
	Registrar of the Magistrates Court <sup>2</sup> .

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

# Form 6 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A DUPLICATE LICENCE

To the Magistrate <sup>4</sup> at	
I,	
and carrying on business at	
do hereby apply for a duplicate of my (1)	
	issued to me at the
	, 20
My licence was Los	t/Destroyed under the following circumstances
	, 20
•	·
	Signature of Applicant.

(1) Describe kind of licence.

# Form 7 WESTERN AUSTRALIA

Auction Sales Act 1973

### APPLICATION TO TRANSFER A LICENCE

To the Magistrate <sup>4</sup> at
now residing at
and carrying on business at
18 years, do hereby apply for the transfer to me of the
licence, numberissued at the
, 20 to
(to be used by me for the benefit of
a * firm/corporation of which I am an * employee/partner/Director, which consents to this application).
Dated the, 20,
Signature of Applicant.
* Strike out if not required.
†the proposed transferor of the licence consents to this Application.
Signature of transferor.
† Insert name of transferor.
'
†for the benefit of which the licence was issued, consent to this Application.
Authorised person for
firm or corporation.
† Insert name of firm or corporation
‡
is to be used, consent to this Application.
Authorised person for
firm or corporation.
‡ Insert name of firm or corporation.

As at 01 Jul 2018

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# Auction Sales Regulations 1974 Schedule

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The application will l	be heard before the		
Court at		on the	day
of	, 20	, at	o'clock in the
	noon.		
		Regis	trar of the Magistrates Court <sup>2</sup> .

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

# Form 8 WESTERN AUSTRALIA

Auction Sales Act 1973

Auction	Sales Act 19/3
FEE \$	No
GENER	AL LICENCE
residing at	
a * firm/corporation of whom he is an * of licensed — * subject to the conditions, li act as, and carry on the business of, an acclasses of business to which the <i>Auction</i> until the	employee/partner/Director), is hereby mitations or restrictions endorsed hereon — to actioneer throughout the State in relation to all <i>Sales Act 1973</i> applies, from the date hereof, day of
Conditions, limitations or restrictions (if	• *
	of, 20, urt.
	Magistrate <sup>4</sup>
Fee \$ paid. Date .	/ Receipt No
	Registrar of the Magistrates Court <sup>2</sup>

# Form 9 WESTERN AUSTRALIA

Auction Sales Act 1973
FEE \$ No
RESTRICTED LICENCE
This is to certify thatresiding at
and carrying on business at
a * firm/corporation of whom he is an * employee/partner/Director), is hereby licensed, subject to the conditions, limitations or restrictions endorsed hereon to act as, and carry on the business of, an auctioneer in accordance with the <i>Auction Sales Act 1973</i> , from the date hereof, until the
of
Issued this day of
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court <sup>2</sup>

# Form 10 WESTERN AUSTRALIA

Auction Sales Act 1973
FEE \$ No
OCCASIONAL LICENCE
This is to certify that
a * firm/corporation of whom he is an * employee/partner/Director), is hereby licensed as an auctioneer in accordance with the <i>Auction Sales Act 1973</i> , in relation to the occasion and circumstances specified herein, but subject to such conditions, limitations or restrictions endorsed herein, from the
Occasion and circumstances
Conditions, limitations or restrictions applicable
Issued this day of , 20 , 20 at the Court.
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court <sup>2</sup> .

<sup>\*</sup> Strike out if inapplicable.

# Form 11 WESTERN AUSTRALIA

Auction Sales Act 1973

Auction	Sules Act 19/3
FEE\$	No
INTER	IM LICENCE
residing at	
is hereby authorised under the <i>Auction S</i> and to act as, and carry on the business of	Cales Act 1973 to sell by auction on behalf of,
whose †	licence would otherwise be current until
* (for the benefit of	day of
	f, 20at
† Describe kind of licence. Fee \$	Magistrate <sup>4</sup>
* Strike out if inapplicable.	Registrar of the Magistrates Court <sup>2</sup>

# Form 12 WESTERN AUSTRALIA

Auction Sales Act 1973

Aucii	on butes Act 19/3
FEE \$	No
PROVIS	SIONAL LICENCE
residing at	n Sales Act 1973 to act as an auctioneer under the
of	
	licence issued for the benefit of
until the day of	
The licence applies from the	day of
Issued this	
	Magistrate <sup>4</sup>
† Describe kind of licence.	
Fee \$ paid. Da	te/ Receipt No
	Registrar of the Magistrates Court <sup>2</sup>

Period of this licence not to exceed 2 months.

#### Form 13

#### WESTERN AUSTRALIA

Auction Sales Act 1973

#### FORM OF ORDER OF TRANSFER TO BE ENDORSED ON LICENCE

I,at	Magistrate <sup>4</sup> , having heard an application
	, navnig neard an appnearon
	for an order
	······································
of	f the licensee) has consented to the application, and er person to be the holder of a licence under the order the licence to be transferred, and the same is
	who shall henceforth
have the sole right to use and exer	cise the same, subject to the Act.
Dated the da	y of, 20
	Magistrate <sup>4</sup> .

[Form 14 deleted: Gazette 22 Nov 1974 p. 5101.]

[Form 15 amended: Gazette 5 Feb 2013 p. 833.]

#### **Notes**

This is a compilation of the *Auction Sales Regulations 1974* and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

### **Compilation table**

Citation	Gazettal	Commencement	
Auction Sales Act Regulations 1974 <sup>5</sup>	13 Sep 1974 p. 3426-38	13 Sep 1974	
Untitled regulations	22 Nov 1974 p. 5101	22 Nov 1974	
Untitled regulations	6 Oct 1978 p. 3633-4	6 Oct 1978	
Auction Sales Amendment Regulations 1983	28 Oct 1983 p. 4372	1 Nov 1983 (see r. 2)	
Auction Sales Amendment Regulations 1986	29 Aug 1986 p. 3204-5	1 Sep 1986 (see r. 2)	
Auction Sales Amendment Regulations 1988	5 Aug 1988 p. 2629	5 Aug 1988	
Auction Sales Amendment Regulations 1989	30 Jun 1989 p. 1976	1 Jul 1989 (see r. 2)	
Auction Sales Amendment Regulations 1990	1 Aug 1990 p. 3658	1 Aug 1990	
Auction Sales Amendment Regulations 1991	13 Dec 1991 p. 6156	13 Dec 1991	
Auction Sales Amendment Regulations 1992	14 Aug 1992 p. 4016-17	14 Aug 1992	
Reprint of the Auction Sales Regulate listed above)	<i>ions 1974</i> as at 8	Sep 2000 (includes amendments	
Auction Sales Amendment Regulations 2013	5 Feb 2013 p. 832-3	r. 1 and 2: 5 Feb 2013 (see r. 2(a));	

Auction Sales Amendment Regulations 2013	5 Feb 2013 p. 832-3	r. 1 and 2: 5 Feb 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 May 2013 (see r. 2(b)(i)) and Gazette 5 Feb 2013 p. 823)
Auction Sales Amendment Regulations (No. 2) 2013	27 Jun 2013 p. 2661-2	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2013 (see r. 2(b))

Citation	Gazettal	Commencement
Auction Sales Amendment Regulations 2014	17 Jun 2014 p. 1956	r. 1 and 2: 17 Jun 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2014 (see r. 2(b))
<b>Reprint 2: The </b> <i>Auction Sales Regulation</i> listed above)	ons 1974 as at 7	Nov 2014 (includes amendments
Auction Sales Amendment Regulations 2015	23 Jun 2015 p. 2160-1	r. 1 and 2: 23 Jun 2015 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2015 (see r. 2(b))
Commerce Regulations Amendment (Fees and Charges) Regulations 2016 Pt. 2	3 Jun 2016 p. 1745-73	1 Jul 2016 (see r. 2(b))
Commerce Regulations Amendment (Fees and Charges) Regulations 2017 Pt. 3	23 Jun 2017 p. 3213-52	1 Jul 2017 (see r. 2(b))
Licensing Provisions Regulations Amendment Regulations 2017 Pt. 2	27 Jun 2017 p. 3408-16	1 Jul 2017 (see r. 2(b))
Commerce and Industrial Relations	25 Jun 2018	1 Jul 2018 (see r. 2(b))

Under the *Courts Legislation Amendment and Repeal Act 2004* s. 54(2), a reference in a written law to the clerk of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the registrar of the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).

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Regulations Amendment (Fees and

Charges) Regulations 2018 Pt. 3

- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 58, a reference in a written law to the court of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 15(7), a reference in a written law to a stipendiary magistrate is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to a magistrate. The reference was changed under the *Reprints Act 1984* s. 7(5)(a).
- Now known as the *Auction Sales Regulations 1974*; name changed (see note under r. 1).

# **Defined terms**

[This is a list of terms defined and the provisions where they are defined.

The list is not part of the law.]

Defined term	Provision(s)
Act	
surrendered licence	8C(1)
transition period	8B(1)