

Auction Sales Act 1973

# **Auction Sales Regulations 1974**

#### Western Australia

# **Auction Sales Regulations 1974**

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#### Western Australia

#### Auction Sales Act 1973

# **Auction Sales Regulations 1974**

#### 1. Citation

- (1) These regulations may be cited as the *Auction Sales* Regulations 1974 <sup>1</sup>.
- (2) In these regulations the *Auction Sales Act 1973* is referred to as the *Act*.

[Regulation 1 amended: Gazette 29 Aug 1986 p. 3205.]

#### 2. Forms

The forms prescribed for the purposes of the Act are set out in the Schedule.

#### 3. Applications

(1) The application forms referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column, and the fee payable for the purpose shall be at the rate specified in the third column.

#### **Application forms and fees payable**

Form No.	Purpose	Fee
1	General licence for a period of —	
	• 1 year	• \$197.00

Form No.	Purpose	Fee
	• 2 years	• \$395.00
	• 3 years	• \$592.00
2	Restricted licence for a period of —	
	• 1 year	• \$197.00
	• 2 years	• \$395.00
	• 3 years	• \$592.00
3	Occasional licence	\$69.50 per licence
4	Interim licence	\$16.00 per month or part of a month
5	Provisional licence	\$16.00 per month or part of a month
6	Duplicate licence	\$1.70
7	Transfer of licence	\$55.50

- (2) Copies of the application forms required may be obtained, free of charge, from the registrar of the Magistrates Court <sup>2, 3</sup>.
- (3) The forms of licence referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column.

## Licences

Form No.	Purpose
8	General licence.
9	Restricted licence.
10	Occasional licence

- 11 Interim licence.
- 12 Provisional licence.
- (4) The form of transfer of a licence shall be in Form 13 and shall be endorsed on the original licence to which it relates.

[Regulation 3 amended: Gazette 28 Oct 1983 p. 4372; 29 Aug 1986 p. 3205; 5 Aug 1988 p. 2629; 30 Jun 1989 p. 1976; 1 Aug 1990 p. 3658; 13 Dec 1991 p. 6156; 14 Aug 1992 p. 4016-7; 27 Jun 2013 p. 2662; 17 Jun 2014 p. 1956; 23 Jun 2015 p. 2161; 3 Jun 2016 p. 1751; 23 Jun 2017 p. 3221; 27 Jun 2017 p. 3408-9; 25 Jun 2018 p. 2329; 18 Jun 2019 p. 2083.]

#### 4. Renewals

- (1A) In addition to the fee payable under subregulation (1) on the renewal of a licence, the fee payable for an application for the renewal made after, but within 3 months of, the date on which the licence expires is 25% of the appropriate licence fee.
  - (1) The fee payable on the renewal of a licence shall, subject to apportionment in respect of periods of less than 12 months, be the fee payable on application for that kind of licence as set out in the table to regulation 3(1).
  - (2) A licence shall not be renewable as of right.
  - (3) An application for the renewal of a licence shall be made in the same manner as an application for the grant of a licence, save that the applicant is not required to lodge character testimonials.

[Regulation 4 amended: Gazette 6 Oct 1978 p. 3634; 27 Jun 2017 p. 3409.]

#### 5. Method of application

- [(1) deleted]
- (2) Where the application is for the transfer of a licence the application shall be made in Form 7 by the proposed transferee

and shall be endorsed with the consent of the proposed transferor and on behalf of the firm or corporation (if any) named in the original licence as that for the benefit of which the licence is to be used.

[Regulation 5 amended: Gazette 27 Jun 2017 p. 3409.]

### [6, 7. Deleted: Gazette 27 Jun 2017 p. 3409.]

#### 8. Police inquiries

On receipt of a copy of the application for the grant, renewal or transfer of a licence from the registrar of the Magistrates Court <sup>2, 3</sup>, the senior police officer stationed within the police district shall —

- (a) inquire as to the character and suitability of the applicant; and
- (b) inquire as to the fitness and repute of the persons responsible for the management of that firm or corporation where the licence is sought for the benefit of a firm or corporation; and
- (c) inquire as to the circumstances and the likelihood of the occasion occurring, where an occasional licence is sought; and
- (d) inquire as to the genuineness of the incapacity by a medical certificate or other evidence, where an interim licence is sought; and
- (e) report in writing to the court the result of his inquiries.

### 8A. Prescribed period for general or restricted licence

For the purposes of section 19(2A)(b) of the Act, the prescribed period for a general or restricted licence is 3 years.

[Regulation 8A inserted: Gazette 27 Jun 2017 p. 3409.]

#### 8B. Prescribed period for renewed general or restricted licence

(1) In this regulation —

*transition period* means the period commencing on the day on which the *Licensing Provisions Amendment Act 2016* section 8 comes into operation and ending 12 months after that day.

- (2) For the purposes of section 19(2B) of the Act, the prescribed period for a general or restricted licence that is renewed is 3 years.
- (3) However, if an application for renewal of a general licence or a restricted licence is made during the transition period, the prescribed period for the renewed licence is as follows
  - (a) for a licence that expires between 1 January and 30 April a period of 3 years;
  - (b) for a licence that expires between 1 May and 31 August —a period of 2 years;
  - (c) for a licence that expires between 1 September and 31 December —a period of 1 year.

[Regulation 8B inserted: Gazette 27 Jun 2017 p. 3409-10.]

#### 8C. Refund of prescribed fee if licence surrendered

(1) In this regulation —

*surrendered licence* means a general licence or a restricted licence that is surrendered under section 21(1) of the Act.

(2) The registrar of the Magistrates Court must, on application by a person who was the holder of a surrendered licensee, refund to the person an amount of the prescribed fee paid for the licence that, in the registrar's opinion, relates to the period between the day the licence is surrendered and the day the licence would have expired.

[Regulation 8C inserted: Gazette 27 Jun 2017 p. 3410.]

#### 9. Stock register

- (1) The register to be kept for the purposes of section 30 of the Act shall be in Form 15.
- On the same day as a sale of stock is conducted, the auctioneer (2) shall cause to be entered in the register the following particulars as a separate entry for each lot of animals offered for sale
  - the number of the pen where the animals were held when auctioned; and
  - (b) the name and address or identifying code of the vendor of the animals so auctioned; and
  - (c) the quantity of animals auctioned in that lot; and
  - a description of the breed and type of animal auctioned; (d) and
  - the identifier applied to the animals under the (e) Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013; and
  - if sold by auction, the price per head of each animal (f) when knocked down to the purchaser, and the name and address or identifying code of the purchaser of the animal; and
  - if passed in or sold by private treaty, a description of the (g) means of disposal and the name and address of any purchaser.
- The auctioneer conducting the sale shall append his signature in (3) the place provided, signifying that the particulars contained in that sheet are, to the best of his knowledge, accurate particulars of all stock offered for sale by him.
- Where any alteration to particulars entered on the register appears, the auctioneer shall initial each alteration as being correct.

[Regulation 9 amended: Gazette 5 Feb 2013 p. 833.]

#### 10. Waybills

A person who sells by auction or submits for sale by auction any stock, in relation to which a waybill issued under the *Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013* is not furnished, commits an offence.

[Regulation 10 amended: Gazette 5 Feb 2013 p. 833.]

## 11. Misrepresentation

A person who wilfully makes any representation or statement which is false or misleading in a material particular in relation to any application, objection, register or other matter or proceeding under the Act or these regulations commits an offence and is liable to the penalties provided by section 35 of the Act.

## **Schedule**

## Form 1

## WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A GENERAL LICENCE

ATTEICATIO	IN FOR A GENERAL I	MCERCE
To the Magistrate <sup>4</sup> at		
I,		
now residing at		
and carrying on business at		
and having attained the age of 18 general licence to act as and carry in relation to all classes of busines the benefit of	on the business of, an auct ss to which the <i>Auction Sal</i>	tioneer throughout the State es Act 1973 applies * (for
of		
a * firm/corporation of which I an this application).	n an * employee/partner/Di	irector, which consents to
Dated the day		
		G' G A 1'
		Signature of Applicant.
* Strike out whichever not required.		
†Consents to this Application.		
		Authorised person for
† Insert name of firm or corporation.		firm or corporation.
·		
The application will be heard before Court at	ne day of k in the noon.	, 20,
		or of the Magistrates Court <sup>2</sup> .
A person wishing to object to this above Court and serve on the appl before the date appointed for the h	licant or his authorised repr	resentative, at least 7 days
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## Form 2

# WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A RESTRICTED LICENCE

To the Magistrate <sup>4</sup> at
now residing at
and having attained the age of 18 years, do hereby apply for the * grant/renewal of a restricted licence to act as and carry on the business of, an auctioneer in (1)
in relation to the sale of (2)
a * firm/corporation of which I am an * employee/partner/Director and which consents to this application).
Dated the
Signature of Applicant.
<ol> <li>Describe Police Dist.</li> <li>Describe Class of Business.</li> <li>Describe Time Periods.</li> </ol>
* Strike out whichever not required.
†
Authorised person for firm or corporation.
† Insert name of firm or corporation.
The application will be heard before the
Court at
noon.
Registrar of the Magistrates Court <sup>2</sup> .
A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

## Form 3 WESTERN AUSTRALIA

Auction Sales Act 1973

## APPLICATION FOR AN OCCASIONAL LICENCE

To the Magistrate <sup>4</sup> at	
now residing atand carrying on business at	
and having attained the age of 18 years, do hereby apply for the grant of an occalicence in accordance with section 13 of the <i>Auction Sales Act 1973</i> to act as an auctioneer * (for the benefit of	
of	
a * firm/corporation of which I am an * employee/partner/Director and which co to this application) from (1) to	
at (2)in the	Police
on the occasion and in the circumstances following (4)	
* I am/am not the holder of a (5)	
Dated theday of, 20	
Signature of A	
* Strike out whichever not required.	
<ol> <li>Insert period of licence.</li> <li>Describe place of sale.</li> <li>Describe class of business.</li> <li>Describe reasons for application.</li> <li>Describe kind of licence.</li> </ol>	
† Consents to this Application.	
Authorised po	erson for
firm or corp	
† Insert name of firm or corporation.	

# Auction Sales Regulations 1974 Schedule

F	'n	r	m	3

The application wi	ll be heard before the		
			dav
of	, 20	, at	o'clock in the
	noon.		
			•••••
		Registr	rar of the Magistrates Court <sup>2</sup>

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

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## Form 4 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR AN INTERIM LICENCE

† Insert name of firm or corporation.
firm or corporation.
Authorised person for
Consents to this Application.
<del>†</del>
(6) Describe reason for incapacity.
(5) Describe class of business.
(4) Name of proposed licensee.
<ul><li>(2) Describe kind of licence.</li><li>(3) Name of auctioneer.</li></ul>
(1) Name of auctioneer, firm, corporation or proposed licensee making the application.
* Strike out whichever not required.
Signature of Applicant.
,
Dated the, 20,
will be incapacitated by reason of (6)
grounds that (3)
day of in relation to the sale of (5) on the
for the period from the day of to the
and carrying on business at
now residing at
Sales Act 1973 in favour of (4)
and for the grant of an interim licence in accordance with section 14 of the Auction
licence No granted to (3)
hereby apply for the suspension of the (2)
of
* a person acting on behalf and with the consent of the parties named in the licence
* the * firm/corporation for the benefit of which the licence was granted
* I (1)
To the Magistrate <sup>4</sup> at

# Auction Sales Regulations 1974 Schedule

Form 4

day of o'clock in the		
	,	
of the Magistrates Court <sup>2</sup>		

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

## Form 5 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A PROVISIONAL LICENCE

To the Magistrate <sup>4</sup> at	
* I (1) * the * firm/corporation for the benefit of which the	e licence was granted
of	under section 15 of the Auction Sales
instruction of (3) to act as an auctioned	er under the direct supervision and
for the period from the	
to the	
Dated the day of	
	Signature of Applicant.
I (3)	
day of	
* (for the benefit of	
a * firm/corporation of which I am an * employee/to this application am aware that I am personally lidefault of the holder of the provisional licence in the extent that I would be liable had such act been done	partner/Director and which consents able and responsible for any act or same manner and to the same
Dated the day of	, 20
	Signature of Auctioneer.
* Strike out whichever not required.	
<ol> <li>Name of auctioneer, firm or corporation making the ap</li> <li>Name of proposed trainee.</li> <li>Name of auctioneer.</li> <li>Describe class of business.</li> <li>Describe kind of licence.</li> </ol>	plication.

# Auction Sales Regulations 1974 Schedule

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or on.
 ay in
 t <sup>2</sup> .
· · ·

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

## Form 6 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION FOR A DUPLICATE LICENCE

To the Magistrate <sup>4</sup> at I,	
now residing at	
and carrying on business at	
do hereby apply for a duplicate of my (1)licence No which was issued to me at the	
Court on theday of	
Mylicence was Lost/Destroyed under the foll	· ·
Dated the day of	
S	ignature of Applicant.

(1) Describe kind of licence.

## Form 7 WESTERN AUSTRALIA

Auction Sales Act 1973

#### APPLICATION TO TRANSFER A LICENCE

To the Magistrate <sup>4</sup> at	
now residing at	
and carrying on business at	••
and having attained the age o	 f
18 years, do hereby apply for the transfer to me of the	
licence, number issued at the	
, 20 to	
(to be used by me for the benefit of	
a * firm/corporation of which I am an * employee/partner/Director, which consents to this application).	••
Dated the	
Signature of Applican	t.
* Strike out if not required.	
†the proposed transferor of the licence	e
consents to this Application.	
Signature of transfero	
-	1.
† Insert name of transferor.	
†	l,
Authorised person for	
firm or corporation	1.
† Insert name of firm or corporation.	
‡ for the benefit of which the transferred licence is to be used, consent to this Application.	
Authorised person for	 or
firm or corporation	
‡ Insert name of firm or corporation.	
	_

As at 01 Jul 2019

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# Auction Sales Regulations 1974 Schedule

Form 7			
The application will	be heard before the		
Court at		on the	day
			o'clock in the
	noon.		
		Regis	trar of the Magistrates Court <sup>2</sup>

A person wishing to object to this application should lodge with the registrar <sup>2</sup> of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

## Form 8 WESTERN AUSTRALIA

Auction	Sales Act 1973
FEE \$	No
GENERA	AL LICENCE
This is to certify thatresiding at	
and carrying on business at	employee/partner/Director), is hereby mitations or restrictions endorsed hereon — to actioneer throughout the State in relation to all Sales Act 1973 applies, from the date hereof, day of
	of, 20
Fee \$ paid. Date	Magistrate <sup>4</sup> // Receipt No.
	Registrar of the Magistrates Court <sup>2</sup> .

<sup>\*</sup> Strike out if not applicable.

## Form 9 WESTERN AUSTRALIA

Auc	tion Sales Act 1973
FEE \$	No
REST	RICTED LICENCE
residing at	
a * firm/corporation of whom he is a licensed, subject to the conditions, I and carry on the business of, an auc <i>Act 1973</i> , from the date hereof, unti of	an * employee/partner/Director), is hereby imitations or restrictions endorsed hereon to act as, tioneer in accordance with the <i>Auction Sales</i> 1 the
Issued this day of	, 20
at the	Court.
Fee \$ paid.	Magistrate <sup>4</sup> Date/ Receipt No.
	Registrar of the Magistrates Court <sup>2</sup>

## Form 10 WESTERN AUSTRALIA

Auction Sales Act 1973
FEE \$ No
OCCASIONAL LICENCE
Fhis is to certify thatesiding at
a * firm/corporation of whom he is an * employee/partner/Director), is hereby licensed as an auctioneer in accordance with the <i>Auction Sales Act 1973</i> , in relation to the occasion and circumstances specified herein, but subject to such conditions, limitations or restrictions endorsed herein, from the
Occasion and circumstances
Conditions, limitations or restrictions applicable
ssued this
Magistrate <sup>4</sup>
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court <sup>2</sup>

<sup>\*</sup> Strike out if inapplicable.

## Form 11 WESTERN AUSTRALIA

Auction Sales Act 1973

	Auction Sales Act 19	/3
FEE \$		No
	INTERIM LICENO	CE
This is to certify thatresiding at		
and carrying on business at is hereby authorised under the and to act as, and carry on the business at	Auction Sales Act 1973 Dusiness of	to sell by auction on behalf of,
whose †	licence	e would otherwise be current until
* (for the benefit of		
This licence applies from the until theinclusive during which time the	day ofe licence held by	, 20,
		itations or restrictions
	day of Court.	, 20at
		Magistrate <sup>4</sup>
† Describe kind of licence.		
Fee \$ pa		Receipt No
		egistrar of the Magistrates Court <sup>2</sup>
* Strike out if inapplicable.		

## Form 12 WESTERN AUSTRALIA

#### Auction Sales Act 1973

	лиси	on sales Act 19	13	
FEE \$			No	
	PROVIS	SIONAL LICE	ENCE	
This is to certify tha residing atis hereby authorised direct supervision ar	under the <i>Auction</i> of	n Sales Act 1973	to act as an auction	neer under the
of the holder of a †			licence issued fo	r the benefit of
ofuntil the				
The licence applies the	from theday of following conditi	ons, limitations		, until
Issued this at the		•		, 20
				Magistrate <sup>4</sup> .
† Describe kind of licence	e.			
Fee \$	paid. Da	te/ F	Receipt No	
			egistrar of the Mag	

Period of this licence not to exceed 2 months.

#### Form 13

#### WESTERN AUSTRALIA

Auction Sales Act 1973

#### FORM OF ORDER OF TRANSFER TO BE ENDORSED ON LICENCE

I,			Mag istrate 4
at		, having he	ard an application
of			, for an order
transferring licen	ice number		
issued at the		Court on the	day
of	20	to him, and being satisfied tha	t the licensee (or
the legal persona	d representative	of the licensee) has consented to the a	application, and
that the applicant	t is a fit and prop	per person to be the holder of a licence	e under the
Auction Sales Ac	t 1973, do hereb	y order the licence to be transferred,	and the same is
hereby transferre	d to the said		
		, who	shall henceforth
have the sole righ	ht to use and exe	ercise the same, subject to the Act.	
Dated the	d	lay of,	20
			Magistrate 4

[Form 14 deleted: Gazette 22 Nov 1974 p. 5101.]

[Form 15 amended: Gazette 5 Feb 2013 p. 833.]

#### **Notes**

This is a compilation of the *Auction Sales Regulations 1974* and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

## **Compilation table**

Citation	Gazettal	Commencement		
Auction Sales Act Regulations 1974 <sup>5</sup>	13 Sep 1974 p. 3426-38	13 Sep 1974		
Untitled regulations	22 Nov 1974 p. 5101	22 Nov 1974		
Untitled regulations	6 Oct 1978 p. 3633-4	6 Oct 1978		
Auction Sales Amendment Regulations 1983	28 Oct 1983 p. 4372	1 Nov 1983 (see r. 2)		
Auction Sales Amendment Regulations 1986	29 Aug 1986 p. 3204-5	1 Sep 1986 (see r. 2)		
Auction Sales Amendment Regulations 1988	5 Aug 1988 p. 2629	5 Aug 1988		
Auction Sales Amendment Regulations 1989	30 Jun 1989 p. 1976	1 Jul 1989 (see r. 2)		
Auction Sales Amendment Regulations 1990	1 Aug 1990 p. 3658	1 Aug 1990		
Auction Sales Amendment Regulations 1991	13 Dec 1991 p. 6156	13 Dec 1991		
Auction Sales Amendment Regulations 1992	14 Aug 1992 p. 4016-17	14 Aug 1992		
Reprint of the Auction Sales Regulations 1974 as at 8 Sep 2000 (includes amendments listed above)				
Auction Sales Amendment Regulations 2013	5 Feb 2013 p. 832-3	r. 1 and 2: 5 Feb 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 May 2013 (see r. 2(b)(i)) and Gazette 5 Feb 2013 p. 823)		
Auction Sales Amendment Regulations (No. 2) 2013	27 Jun 2013 p. 2661-2	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2013 (see r. 2(b))		

Citation	Gazettal	Commencement		
Auction Sales Amendment Regulations 2014	17 Jun 2014 p. 1956	r. 1 and 2: 17 Jun 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2014 (see r. 2(b))		
Reprint 2: The <i>Auction Sales Regulations 1974</i> as at 7 Nov 2014 (includes amendments listed above)				
Auction Sales Amendment Regulations 2015	23 Jun 2015 p. 2160-1	r. 1 and 2: 23 Jun 2015 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2015 (see r. 2(b))		
Commerce Regulations Amendment (Fees and Charges) Regulations 2016 Pt. 2	3 Jun 2016 p. 1745-73	1 Jul 2016 (see r. 2(b))		
Commerce Regulations Amendment (Fees and Charges) Regulations 2017 Pt. 3	23 Jun 2017 p. 3213-52	1 Jul 2017 (see r. 2(b))		
Licensing Provisions Regulations Amendment Regulations 2017 Pt. 2	27 Jun 2017 p. 3408-16	1 Jul 2017 (see r. 2(b))		
Commerce and Industrial Relations Regulations Amendment (Fees and Charges) Regulations 2018 Pt. 3	25 Jun 2018 p. 2325-53	1 Jul 2018 (see r. 2(b))		
Commerce Regulations Amendment (Fees and Charges) Regulations 2019 Pt. 3	18 Jun 2019 p. 2077-115	1 Jul 2019 (see r. 2(b))		

- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 54(2), a reference in a written law to the clerk of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the registrar of the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 58, a reference in a written law to the court of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 15(7), a reference in a written law to a stipendiary magistrate is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to a magistrate. The reference was changed under the *Reprints Act 1984* s. 7(5)(a).
- Now known as the *Auction Sales Regulations 1974*; name changed (see note under r. 1).

## **Defined terms**

[This is a list of terms defined and the provisions where they are defined.

The list is not part of the law.]

Defined term	Provision(s)
Act	1(2
surrendered licence	8C(1
transition period	8B(1)