

Auction Sales Act 1973

Auction Sales Regulations 1974

Western Australia

Auction Sales Regulations 1974

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Western Australia

Auction Sales Act 1973

Auction Sales Regulations 1974

1. Citation

- (1) These regulations may be cited as the *Auction Sales Regulations 1974* ¹.
- (2) In these regulations the *Auction Sales Act 1973* is referred to as the *Act*.

[Regulation 1 amended: Gazette 29 Aug 1986 p. 3205.]

2. Forms

The forms prescribed for the purposes of the Act are set out in the Schedule.

3. Applications

(1) The application forms referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column, and the fee payable for the purpose shall be at the rate specified in the third column.

Application forms and fees payable

Form No.	Purpose	Fee
1	General licence for a period of —	
	• 1 year	• \$197.00
	• 2 years	• \$395.00
	• 3 years	• \$592.00
2	Restricted licence for a period of —	
	• 1 year	• \$197.00
	• 2 years	• \$395.00
	• 3 years	• \$592.00
3	Occasional licence	\$69.50 per licence
4	Interim licence	\$16.00 per month or part of a month
5	Provisional licence	\$16.00 per month or part of a month
6	Duplicate licence	\$1.70
7	Transfer of licence	\$55.50

- (2) Copies of the application forms required may be obtained, free of charge, from the registrar of the Magistrates Court ^{2, 3}.
- (3) The forms of licence referred to in the first column of the table hereunder shall be used for the purposes respectively specified in relation thereto in the second column.

Licences

Form No. Purpose 8 General licence. 9 Restricted licence. 10 Occasional licence. 11 Interim licence. 12 Provisional licence.

(4) The form of transfer of a licence shall be in Form 13 and shall be endorsed on the original licence to which it relates.

[Regulation 3 amended: Gazette 28 Oct 1983 p. 4372; 29 Aug 1986 p. 3205; 5 Aug 1988 p. 2629; 30 Jun 1989 p. 1976; 1 Aug 1990 p. 3658; 13 Dec 1991 p. 6156; 14 Aug 1992 p. 4016-7; 27 Jun 2013 p. 2662; 17 Jun 2014 p. 1956; 23 Jun 2015 p. 2161; 3 Jun 2016 p. 1751; 23 Jun 2017 p. 3221; 27 Jun 2017 p. 3408-9; 25 Jun 2018 p. 2329; 18 Jun 2019 p. 2083.]

4. Renewals

- (1A) In addition to the fee payable under subregulation (1) on the renewal of a licence, the fee payable for an application for the renewal made after, but within 3 months of, the date on which the licence expires is 25% of the appropriate licence fee.
 - (1) The fee payable on the renewal of a licence shall, subject to apportionment in respect of periods of less than 12 months, be the fee payable on application for that kind of licence as set out in the table to regulation 3(1).
 - (2) A licence shall not be renewable as of right.
 - (3) An application for the renewal of a licence shall be made in the same manner as an application for the grant of a licence, save that the applicant is not required to lodge character testimonials. [Regulation 4 amended: Gazette 6 Oct 1978 p. 3634;

[Regulation 4 amended: Gazette 6 Oct 1978 p. 3634_] 27 Jun 2017 p. 3409.]

5. Application for transfer of licence

- [(1) deleted]
- (2) Where the application is for the transfer of a licence the application shall be made in Form 7 by the proposed transferee and shall be endorsed with the consent of the proposed transferor and on behalf of the firm or corporation (if any) named in the original licence as that for the benefit of which the licence is to be used.

[Regulation 5 amended: Gazette 27 Jun 2017 p. 3409.]

[6, 7. Deleted: Gazette 27 Jun 2017 p. 3409.]

8. Police inquiries

On receipt of a copy of the application for the grant, renewal or transfer of a licence from the registrar of the Magistrates Court ^{2, 3}, the senior police officer stationed within the police district shall —

- (a) inquire as to the character and suitability of the applicant; and
- (b) inquire as to the fitness and repute of the persons responsible for the management of that firm or corporation where the licence is sought for the benefit of a firm or corporation; and
- (c) inquire as to the circumstances and the likelihood of the occasion occurring, where an occasional licence is sought; and
- (d) inquire as to the genuineness of the incapacity by a medical certificate or other evidence, where an interim licence is sought; and
- (e) report in writing to the court the result of his inquiries.

8A. Prescribed period for general or restricted licence

For the purposes of section 19(2A)(b) of the Act, the prescribed period for a general or restricted licence is 3 years.

[Regulation 8A inserted: Gazette 27 Jun 2017 p. 3409.]

8B. Prescribed period for renewed general or restricted licence

In this regulation — (1)

> transition period means the period commencing on the day on which the Licensing Provisions Amendment Act 2016 section 8 comes into operation and ending 12 months after that day.

- For the purposes of section 19(2B) of the Act, the prescribed (2) period for a general or restricted licence that is renewed is 3 years.
- However, if an application for renewal of a general licence or a restricted licence is made during the transition period, the prescribed period for the renewed licence is as follows —
 - (a) for a licence that expires between 1 January and 30 April — a period of 3 years;
 - for a licence that expires between 1 May and (b) 31 August — a period of 2 years;
 - for a licence that expires between 1 September and 31 December — a period of 1 year.

[Regulation 8B inserted: Gazette 27 Jun 2017 p. 3409-10.]

8C. Refund of prescribed fee if licence surrendered

(1) In this regulation —

> surrendered licence means a general licence or a restricted licence that is surrendered under section 21(1) of the Act.

The registrar of the Magistrates Court must, on application by a (2) person who was the holder of a surrendered licensee, refund to the person an amount of the prescribed fee paid for the licence that, in the registrar's opinion, relates to the period between the

day the licence is surrendered and the day the licence would have expired.

[Regulation 8C inserted: Gazette 27 Jun 2017 p. 3410.]

9. Stock register

- (1) The register to be kept for the purposes of section 30 of the Act shall be in Form 15.
- (2) On the same day as a sale of stock is conducted, the auctioneer shall cause to be entered in the register the following particulars as a separate entry for each lot of animals offered for sale
 - (a) the number of the pen where the animals were held when auctioned; and
 - (b) the name and address or identifying code of the vendor of the animals so auctioned; and
 - (c) the quantity of animals auctioned in that lot; and
 - (d) a description of the breed and type of animal auctioned; and
 - (e) the identifier applied to the animals under the *Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013*; and
 - (f) if sold by auction, the price per head of each animal when knocked down to the purchaser, and the name and address or identifying code of the purchaser of the animal; and
 - (g) if passed in or sold by private treaty, a description of the means of disposal and the name and address of any purchaser.
- (3) The auctioneer conducting the sale shall append his signature in the place provided, signifying that the particulars contained in that sheet are, to the best of his knowledge, accurate particulars of all stock offered for sale by him.

(4) Where any alteration to particulars entered on the register appears, the auctioneer shall initial each alteration as being correct.

[Regulation 9 amended: Gazette 5 Feb 2013 p. 833.]

10. Waybills

A person who sells by auction or submits for sale by auction any stock, in relation to which a waybill issued under the *Biosecurity and Agriculture Management (Identification and Movement of Stock and Apiaries) Regulations 2013* is not furnished, commits an offence.

[Regulation 10 amended: Gazette 5 Feb 2013 p. 833.]

11. Misrepresentation

A person who wilfully makes any representation or statement which is false or misleading in a material particular in relation to any application, objection, register or other matter or proceeding under the Act or these regulations commits an offence and is liable to the penalties provided by section 35 of the Act.

Schedule

Form 1

WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A CENERAL LICENCE

AFFLICA	HON FOR A GENERAL LICENCE
To the Magistrate 4 at	
I,	
now residing at	
and carrying on business at	
	of 18 years, do hereby apply for the * renewal/grant of a
	carry on the business of, an auctioneer throughout the State
	siness to which the Auction Sales Act 1973 applies * (for
	,
	n I am an * employee/partner/Director, which consents to
this application).	Trum un employee/purdien/Briector, which consents to
Dated the	day of, 20
	Signature of Applicant.
***	5 11
* Strike out whichever not required.	
†Consents to this Applica	
	A. d2 1 C
	Authorised person for firm or corporation.
† Insert name of firm or corporation	
The application will be heard	before the
	on the
	clock in the noon.
	Registrar of the Magistrates Court ² .
above Court and serve on the	this application should lodge with the registrar ² of the applicant or his authorised representative, at least 7 days the hearing, a notice stating the grounds of the objection.
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Form 2 WESTERN AUSTRALIA Auction Sales Act 1973

APPLICATION FOR A RESTRICTED LICENCE

To the Magistrate ⁴ at	ply for the * grant/renewal of a f, an auctioneer in (1)
in relation to the sale of (2)	
a * firm/corporation of which I am an * employee/par to this application).	
Dated the day of	, 20
 Describe Police Dist. Describe Class of Business. Describe Time Periods. * Strike out whichever not required. 	Signature of Applicant.
†	
+ Incort many of firms on accompany	Authorised person for firm or corporation.
The application will be heard before the	day
***************************************	Registrar of the Magistrates Court ² .
A person wishing to object to this application should above Court and serve on the applicant or his authoris before the date appointed for the hearing, a notice stat	sed representative, at least 7 days

Form 3 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR AN OCCASIONAL LICENCE

	ne Magistrate ⁴ at
,	
	residing at
	carrying on business at
	having attained the age of 18 years, do hereby apply for the grant of an occasional
	ice in accordance with section 13 of the Auction Sales Act 1973 to act as an
	oneer * (for the benefit of
	irm/corporation of which I am an * employee/partner/Director and which consents
	is application) from (1) to
)
	rict in relation to the sale of (3)
on th	ne occasion and in the circumstances following (4)
	m/am not the holder of a (5) licence under the Auction Sales
Act I	1973 in relation to the sale of (3)
* (gr	anted to be used for the benefit of
	of
whic	h * have/have not consented to the making of this application).
Date	d the, 20, 20
	Signature of Applicant.
* Stril	ke out whichever not required.
(1) (2)	Insert period of licence. Describe place of sale.
(3)	Describe class of business.
(4)	Describe reasons for application.
(5)	Describe kind of licence.
†	
,	Consents to this Application.
	11
	Authorised person for
	firm or corporation.
	•
† Inse	ert name of firm or corporation.

Auction Sales Regulations 1974 Schedule

F	'n	r	m	3

* *		da
		o'clock in the
	noon.	
		Registrar of the Magistrates Court ²

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 4 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR AN INTERIM LICENCE

† Insert name of firm or corporation.
firm or corporation.
Authorised person for
Consents to this Application.
†
(6) Describe reason for incapacity.
(5) Describe class of business.
(4) Name of proposed licensee.
(2) Describe kind of licence.(3) Name of auctioneer.
(1) Name of auctioneer, firm, corporation or proposed licensee making the application.
* Strike out whichever not required.
Signature of Applicant.
,
Dated the, 20,
will be incapacitated by reason of (6)
grounds that (3)
day of in relation to the sale of (5) on the
for the period from the day of to the
and carrying on business at
now residing at
Sales Act 1973 in favour of (4)
and for the grant of an interim licence in accordance with section 14 of the Auction
licence No granted to (3)
hereby apply for the suspension of the (2)
of
* a person acting on behalf and with the consent of the parties named in the licence
* the * firm/corporation for the benefit of which the licence was granted
* I (1)
To the Magistrate ⁴ at

Auction Sales Regulations 1974 Schedule

Form 4	
ion will be heard before the	The application will l
on theday of	
, 20, at	
Registrar of the Magistrates Court ²	

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 5 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A PROVISIONAL LICENCE

* I (1)
of
Act 1973 in favour of (2)
to act as an auctioneer under the direct supervision and instruction of (3)
instruction of (3) for the period from the
for the period from the
Of (4)
Dated the
Signature of Applicant. I (3)
I (3)
I (3)
carrying on business at
carrying on business at
carrying on business at
under a (5)
* (for the benefit of
* (for the benefit of
of
a * firm/corporation of which I am an * employee/partner/Director and which consents to this application am aware that I am personally liable and responsible for any act or default of the holder of the provisional licence in the same manner and to the same
to this application am aware that I am personally liable and responsible for any act or default of the holder of the provisional licence in the same manner and to the same
extent that I would be liable had such act been done or such default been made by me.
Dated theday of
24.00 ale, 20
Signature of Auctioneer.
* Strike out whichever not required.
(1) Name of auctioneer, firm or corporation making the application.
(2) Name of proposed trainee.(3) Name of auctioneer.
(4) Describe class of business.
(5) Describe kind of licence.

Auction Sales Regulations 1974 Schedule

_				_
	_		~	
г	u	п	ш	13

†Consents to this Application.	
	Authorised person for firm or corporation.
† Insert name of firm or corporation.	
Court aton	theday, ato'clock in
	Registrar of the Magistrates Court ² .

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 6 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION FOR A DUPLICATE LICENCE

now residing at	
•	
icence No which was	issued to me at the
	, 20
•	t/Destroyed under the following circumstances
	, 20
•	·
	Signature of Applicant

(1) Describe kind of licence.

Form 7 WESTERN AUSTRALIA

Auction Sales Act 1973

APPLICATION TO TRANSFER A LICENCE

To the Magistrate ⁴ at
now residing at
and having attained the age of 18 years, do hereby apply for the transfer to me of the licence, number issued at the
(to be used by me for the benefit of
a * firm/corporation of which I am an * employee/partner/Director, which consents to this application).
Dated theday of
Signature of Applicant.
* Strike out if not required. †
consents to this Application.
Signature of transferor.
† Insert name of transferor.
†
Authorised person for firm or corporation.
† Insert name of firm or corporation
‡ for the benefit of which the transferred licence is to be used, consent to this Application.
Authorised person for firm or corporation.
‡ Insert name of firm or corporation.

As at 22 Nov 2019

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Auction Sales Regulations 1974 Schedule

Form 7			
The application will	be heard before the .		
Court at		on the	day
of	, 20	at	o'clock in the
	noon.		
			of the Magistrates Court ²

A person wishing to object to this application should lodge with the registrar ² of the above Court and serve on the applicant or his authorised representative, at least 7 days before the date appointed for the hearing, a notice stating the grounds of the objection.

Form 8 WESTERN AUSTRALIA

Auction	Sales Act 1973
FEE \$	No
GENER	AL LICENCE
residing at	
• •	
a * firm/corporation of whom he is an * e licensed — * subject to the conditions, li act as, and carry on the business of, an au classes of business to which the <i>Auction</i>	employee/partner/Director), is hereby mitations or restrictions endorsed hereon — to actioneer throughout the State in relation to all <i>Sales Act 1973</i> applies, from the date hereof, day of
Issued thisday	of, 20
at the Cou	ırt. Magistrate ⁴ .
Fee \$ paid. Date	// Receipt No
	Registrar of the Magistrates Court ² .

^{*} Strike out if not applicable.

Form 9 WESTERN AUSTRALIA

Auction Sales Act 1973
FEE \$ No
RESTRICTED LICENCE
This is to certify thatresiding at
and carrying on business at
* (for the benefit of
a * firm/corporation of whom he is an * employee/partner/Director), is hereby licensed, subject to the conditions, limitations or restrictions endorsed hereon to act as, and carry on the business of, an auctioneer in accordance with the <i>Auction Sales Act 1973</i> , from the date hereof, until the
Issued this, 20
at the
Magistrate ⁴
Fee \$ paid. Date/ Receipt No
Registrar of the Magistrates Court ²

Form 10 WESTERN AUSTRALIA

Auction Sales Act 1973

Auction	Sales Act 1973
FEE \$	No
OCCASIO	NAL LICENCE
and carrying on business at	
a * firm/corporation of whom he is an * ϵ as an auctioneer in accordance with the A	
	ein, but subject to such conditions, limitations day of,
20, until the	, day of,
Conditions, limitations or restrictions app	olicable
	, 20
	Magistrate ⁴ .
Fee \$ paid. Date	/ Receipt No
	Registrar of the Magistrates Court ² .

 $[\]ast$ Strike out if inapplicable.

Form 11 WESTERN AUSTRALIA

Auction Sales Act 1973

Auction sales Ac	.1 17/3
FEE\$	No
INTERIM LIC	ENCE
This is to certify thatresiding at	
and carrying on business atis hereby authorised under the <i>Auction Sales Act</i> and to act as, and carry on the business of	1973 to sell by auction on behalf of,
whose † of	
whose j	
* (for the benefit of	
of	
This licence applies from the	, 20,
This licence is subject to the following conditions	, limitations or restrictions
,	
Issued this	, 20at
	Magistrate ⁴
† Describe kind of licence.	
Fee \$ paid. Date//.	Receipt No
	Registrar of the Magistrates Court ²
* Strike out if inapplicable.	-

Form 12 WESTERN AUSTRALIA

Auction Sales Act 1973

FEE\$		No	
PROVIS	SIONAL LICEN	ICE	
This is to certify that	n Sales Act 1973 to	act as an auctioned	er under the
ofthe holder of a †		licence issued for	the benefit of
of	day ofions, limitations or	., 20	, until , inclusive,
Issued this	y of Court.		, 20
† Describe kind of licence. Fee \$ paid. Da		ceipt No	Magistrate ⁴
		gistrar of the Magist	

Period of this licence not to exceed 2 months.

Form 13

WESTERN AUSTRALIA

Auction Sales Act 1973

FORM OF ORDER OF TRANSFER TO BE ENDORSED ON LICENCE

I,	Mag istrate ⁴
at	, having heard an application
	,
	, for an order
issued at the	Court on theday
of 20 t	o him, and being satisfied that the licensee (or
the legal personal representative of the li	censee) has consented to the application, and
that the applicant is a fit and proper person	on to be the holder of a licence under the
Auction Sales Act 1973, do hereby order	the licence to be transferred, and the same is
hereby transferred to the said	
	, who shall henceforth
have the sole right to use and exercise the	e same, subject to the Act.
Dated the day of	, 20
	Magistrate ⁴ .

[Form 14 deleted: Gazette 22 Nov 1974 p. 5101.]

							Form 15
			Fo	rm 15			
			WESTERN	AUSTRA	ALIA		
							, 20
Type of	Stock			ales Act 197			•
71			REGIST	ER		Lo	cation
Pen	Vendor, Address	Quantity	Description	Identifier	Price		Purchaser, Address or How Disposed of
	71dd1 C55						of How Disposed of
			1	•	1		
							Licensed Auctioneer.

Notes

This is a compilation as at 22 November 2019 of the *Auction Sales Regulations 1974* and includes the amendments made by the other written laws referred to in the following table. The table also contains information about any reprint.

Compilation table

Citation	Gazettal	Commencement
Auction Sales Act Regulations 1974 ⁵	13 Sep 1974 p. 3426-38	13 Sep 1974
Untitled regulations	22 Nov 1974 p. 5101	22 Nov 1974
Untitled regulations	6 Oct 1978 p. 3633-4	6 Oct 1978
Auction Sales Amendment Regulations 1983	28 Oct 1983 p. 4372	1 Nov 1983 (see r. 2)
Auction Sales Amendment Regulations 1986	29 Aug 1986 p. 3204-5	1 Sep 1986 (see r. 2)
Auction Sales Amendment Regulations 1988	5 Aug 1988 p. 2629	5 Aug 1988
Auction Sales Amendment Regulations 1989	30 Jun 1989 p. 1976	1 Jul 1989 (see r. 2)
Auction Sales Amendment Regulations 1990	1 Aug 1990 p. 3658	1 Aug 1990
Auction Sales Amendment Regulations 1991	13 Dec 1991 p. 6156	13 Dec 1991
Auction Sales Amendment Regulations 1992	14 Aug 1992 p. 4016-17	14 Aug 1992
Reprint of the <i>Auction Sales Regulati</i> listed above)	ons 1974 as at 8	Sep 2000 (includes amendments
Auction Sales Amendment Regulations 2013	5 Feb 2013 p. 832-3	r. 1 and 2: 5 Feb 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 May 2013 (see r. 2(b)(i) and Gazette 5 Feb 2013 p. 823)
Auction Sales Amendment Regulations (No. 2) 2013	27 Jun 2013 p. 2661-2	r. 1 and 2: 27 Jun 2013 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2013 (see r. 2(b))

1 Jul 2018 (see r. 2(b))

1 Jul 2019 (see r. 2(b))

Citation	Gazettal	Commencement
Auction Sales Amendment Regulations 2014	17 Jun 2014 p. 1956	r. 1 and 2: 17 Jun 2014 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2014 (see r. 2(b))
Reprint 2: The <i>Auction Sales Regulation</i> listed above)	ons 1974 as at 7	Nov 2014 (includes amendments
Auction Sales Amendment Regulations 2015	23 Jun 2015 p. 2160-1	r. 1 and 2: 23 Jun 2015 (see r. 2(a)); Regulations other than r. 1 and 2: 1 Jul 2015 (see r. 2(b))
Commerce Regulations Amendment (Fees and Charges) Regulations 2016 Pt. 2	3 Jun 2016 p. 1745-73	1 Jul 2016 (see r. 2(b))
Commerce Regulations Amendment (Fees and Charges) Regulations 2017 Pt. 3	23 Jun 2017 p. 3213-52	1 Jul 2017 (see r. 2(b))
Licensing Provisions Regulations	27 Jun 2017	1 Jul 2017 (see r. 2(b))

Reprint 3: The *Auction Sales Regulations 1974* as at 22 Nov 2019 (includes amendments listed above)

p. 3408-16

25 Jun 2018

18 Jun 2019

p. 2077-115

p. 2325-53

Amendment Regulations 2017 Pt. 2

Commerce and Industrial Relations

Regulations Amendment (Fees and

(Fees and Charges) Regulations 2019

Charges) Regulations 2018 Pt. 3
Commerce Regulations Amendment

- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 54(2), a reference in a written law to the clerk of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the registrar of the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 58, a reference in a written law to the court of petty sessions is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to the Magistrates Court. The reference was amended under the *Reprints Act 1984* s. 7(5)(a).
- Under the *Courts Legislation Amendment and Repeal Act 2004* s. 15(7), a reference in a written law to a stipendiary magistrate is, unless the contrary intention appears, to be construed as if it had been amended to be a reference to a magistrate. The reference was changed under the *Reprints Act 1984* s. 7(5)(a).

Now known as the *Auction Sales Regulations 1974*; name changed (see note under r. 1).

Defined terms

[This is a list of terms defined and the provisions where they are defined.

The list is not part of the law.]

Defined term	Provision(s)
Act	
surrendered licence	8C(1)
transition period	8B(1)